

## Exhibit 13

### Sample Annual Assessment Letter for Plants in the Degraded Cornerstone Column

Licensee distribution designate  
Licensee name/address

SUBJECT: Annual Assessment Letter - **(Official Plant Name)** (Report XX-XXXX)

Dear **(Mr./Ms. Last name of addressee)**

On **(date(s))**, the NRC staff completed its end-of- cycle plant performance assessment of **(official plant name)**. The end-of-cycle review for **(plant name)** involved the participation of all technical divisions in evaluating performance indicators (PIs) for the most recent quarter and inspection results for the period from April 1, 2001 December 31, 2001. The purpose of this letter is to inform you of our assessment of your safety performance during this period and our plans for future inspections at your facility so that you will have an opportunity to prepare for these inspections and to inform us of any planned inspections which may conflict with your plant activities.

As discussed in our previous annual assessment letter dated **(date)**, this inspection and assessment cycle consisted of three quarters (i.e. the second, third, and fourth calender quarters of calender year 2001) instead of the usual four quarters. This change was implemented in order to align the inspection and assessment cycle with the calender year beginning on January 1, 2002.

Overall, **(plant name)** operated in manner that preserved public health and safety and met all cornerstone objectives with moderate degradation in safety performance. Plant performance for the most recent quarter was within the Degraded Cornerstone Column of the NRC's Action matrix based on **(briefly state number of safety significant inspection findings and PIs, including appropriate safety significance, and associated cornerstone)**. However, the significance of **(state finding)** is still under review as part of the Significance Determination Process. **(Add additional details, as necessary)**

**[Describe the safety significant inspection findings and performance indicators including agency and licensee responses to the issues]**

While plant performance for the most recent quarter is within the Degraded Cornerstone Column of the Action Matrix, there were additional safety significant **(inspection findings and/or performance indicators)** during the first two quarters of the assessment cycle. **[Provide a brief summary of safety significant inspection findings and**

performance indicators from the first three quarters of the assessment cycle including agency and licensee actions]

[Add the following paragraph, if appropriate]

Additionally, the staff has identified a potential adverse trend in the cross-cutting area of **[Problem Identification and Resolution, Human Performance, or safety-conscious working environment]** .

**[Provide a qualitative discussion of substantial cross-cutting issues including details on any NRC action to address these concerns within the baseline inspection program]**

[If appropriate, discuss the basis for conducting an extra PI&R inspection in accordance with section 06.06.i]

[Add the following paragraph, if appropriate]

In our Annual Assessment Letter dated **[insert date]**, we advised you of potential adverse trend in the cross-cutting area of **[Problem Identification and Resolution, Human Performance, or Safety-Conscious Working Environment]**.

**[Provide a qualitative discussion of the licensee's progress in addressing these concerns].**

**[Add the following paragraph for any non-SDP enforcement action of severity level III or greater, if appropriate]**

Additionally, on **(month/day/year)** the staff issued a severity level **(I,II,or III)** Notice of Violation in accordance with the enforcement policy. **[Provide additional details including follow-up actions as necessary]**

The enclosed inspection plan details the inspections scheduled through March 31,2003. [Also briefly mention infrequent inspections or inspections that are somewhat unique to the plant such as steam generator replacement, license renewal, ISFI, OSRE, or plant layup inspections]. The inspection plan is provided to minimize the resource impact on your staff and to allow for scheduling conflicts and personnel availability to be resolved in advance of inspector arrival onsite. Routine resident inspections are not listed due to their ongoing and continuous nature. The last six months of the inspection plan is tentative and may be revised at the Mid-Cycle Review meeting.

In accordance with 10 CFR 2.790 of the NRC's "Rules of Practice," a copy of this letter and its enclosure will be made available electronically for public inspection in the NRC Public Document Room or from the Publicly Available Records (PARS) component of NRC's document system (ADAMS). ADAMS is accessible from the NRC Web site at <http://www.nrc.gov/NRC/ADAMS/index.html>. (the Public Electronic Reading Room).

If circumstances arise which cause us to change this inspection plan, we will contact you to discuss the change as soon as possible. Please contact **(DRP Branch Chief)** at **(telephone number)** with any questions you may have regarding this letter or the inspection plan.

(Signed by)

Regional Administrator<sup>1</sup>, Region XX

Docket Nos. 50-ABC, 50-XYZ  
Licensee Nos. NPF-0, NPF-0

Enclosure: **(Plant name)** Inspection/ Activity Plan

cc.

Normal cc list

Distribution:

Normal distribution list  
plus RidsNrrDipmlipb

Note 1: Refer to Action Matrix for proper signature authority. Signature authority is determined by the most significant column of the Action Matrix that the licensee has been in over the four quarters of the assessment cycle. For the transition cycle, the timeframe is the last three quarters.