

NuScale Standard Plant Design Certification Application

Introduction and General Description of the Plant

PART 2 - TIER 2

Revision 1 March 2018

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## **CHAPTER 1 INTRODUCTION AND GENERAL DESCRIPTION OF THE PLANT**

#### 1.1 Introduction

This document represents the Final Safety Analysis Report (FSAR) required under 10 CFR 52.47(a) to be provided as part of an application for a standard design certification under 10 CFR 52, Subpart B and will be referred to as such throughout. It describes the NuScale Power, LLC design, including (1) the design bases and limits on its operation; (2) a safety analysis of the structures, systems, and components and of the facility as a whole; and (3) the information prescribed in 10 CFR 52.47(a) that is relevant to the NuScale design.

A NuScale Power Module (NPM) shown in Figure 1.2-6 and Figure 1.2-7, is a collection of systems, sub-systems, and components that together constitute a modularized, movable, nuclear steam supply system (NSSS). The NPM is composed of a reactor core, a pressurizer, and two steam generators integrated within a reactor pressure vessel (RPV) and housed in a compact steel containment vessel.

The NuScale advanced small modular reactor plant design is scalable, such that from one (1) to twelve (12) NPMs operate within a single Reactor Building. The information provided in this FSAR includes the design of an individual NPM, as well as plant design and interfaces for a 12 NPM facility. In general, chapters describe a single module. Multi-module information is only noted where warranted (e.g., shared systems or analyses such as seismic).

The NuScale design features:

- No AC or DC power required for safe shutdown and cooling
- Compact helical coil steam generators with reactor pressure on the outside of the tubes
- High-strength steel containment immersed in a pool of water
- Sub-atmospheric containment pressure during normal operation
- Small core with a correspondingly small source term
- Comprehensive digital instrumentation and controls (I&C) monitoring and control

Important features of a multi-unit plant include:

- a scalable plant design, which allows for incremental plant capacity growth.
- a compact nuclear island.
- the ability to operate in "island mode".

## 1.1.1 Plant Location

The NuScale Power Plant is designed to be located on a site having site characteristics (e.g., seismology, hydrology, meteorology, geology, and other site-related characteristics) bounded by the parameters described in Chapter 2, Site Characteristics.

COL Item 1.1-1: A COL applicant that references the NuScale Power Plant design certification will identify the site-specific plant location.

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#### 1.1.2 Containment Type

The NuScale containment vessel (CNV) is a supported, cylindrical vessel-type containment that is designed to withstand limiting high-pressure transients. The containment vessel (CNV) is an American Society of Mechanical Engineers (ASME) Boiler Pressure Vessel Code (BPVC) Class MC (steel) containment that is designed, analyzed, fabricated, inspected, tested and stamped as an ASME BPVC Class 1 pressure vessel. The CNV internal pressure is maintained at a vacuum during normal operations and as such insulation materials are not required between the reactor vessel and the CNV. The containment vessels are mounted to the Reactor Building module compartment walls and at the bottom within the Reactor Building pool.

## 1.1.3 Reactor Type

The NuScale NSSS is a passive NuScale-designed small modular pressurized water reactor. This design is comprised of an integral power module consisting of a reactor core, two steam generator tube bundles, and a pressurizer contained within a single reactor vessel, along with the containment vessel that immediately surrounds the reactor vessel. This design eliminates the need for external piping to connect the steam generators and pressurizer to the RPV. Natural circulation provides reactor coolant system flow, thereby eliminating the need for reactor coolant pumps.

### 1.1.4 Power Output

A NuScale Power Plant consists of from one to 12 NPMs. Each NPM is rated at 160 MWt (1,920 MWt, total), with approximately 50 MWe (600 MWe total) output. Electrical output is dependent on environmental conditions. When considering house loads, the total net output is approximately 570 MWe for a 12 NPM facility. Design power assumes an additional 2% to account for measurement uncertainty.

#### 1.1.5 Schedule

COL Item 1.1-2: A COL applicant that references the NuScale Power Plant design certification will provide the schedules for completion of construction and commercial operation of each power module.

#### 1.1.6 Format and Content

#### 1.1.6.1 Regulatory Guide 1.206

The format and content of this FSAR generally follow the format and content guidelines of Regulatory Guide 1.206. However, where applicable, Sections may be skipped or additional sections inserted. In addition, this FSAR includes Chapter 20, Mitigation of Beyond-Design-Basis Events and Chapter 21, Multi-Module Design Considerations, which are not included in Regulatory Guide (RG) 1.206.

### 1.1.6.2 Standard Review Plan - NuScale Design Specific Review Standard

A NuScale design specific review standard (DSRS) has been developed by the NRC as a supplement to NUREG-0800, "Standard Review Plan for the Review of Safety Analysis

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Reports for Nuclear Power Plants: LWR Edition" (SRP). Accordingly, the preparation of this FSAR used the technical guidance provided in the DSRS and SRP as the basis for the NuScale design. A detailed evaluation of conformance with the NuScale DSRS and the SRP is provided in Section 1.9.

### 1.1.6.3 Text, Tables, and Figures

Tables and figures are typically identified by the "X.Y" section in which they appear and are numbered sequentially. For example, Table 1.1-1 and Figure 1.1-1 would be the first table and figure appearing in Section 1.1. Figures consist of diagrams, plots, pictures, graphs, or other illustrations. Tables and figures are located at the end of the applicable "X.Y" section immediately following the text. The exception to this is for large "X.Y.Z" sections, in which the tables and figures are numbered sequentially in that section. For example, Table 3.9.3-1 and Figure 3.9.3-1 would be the first table and figure appearing in Section 3.9.3. Again, the tables and figures are located at the end of the applicable section intermediately following the text.

## 1.1.6.4 Page Numbering

Section pages are numbered sequentially and are typically identified by the "X.Y" section followed by a sequential number. The exception to this convention is for chapter appendices, which are numbered by the chapter number and appendix letter followed by a sequential number. For example, 3A-1 is the first page of Appendix A to Chapter 3.

### 1.1.6.5 Proprietary Information

This FSAR does not contain proprietary or safeguards information. Some portions of this FSAR are classified as sensitive and withheld from public disclosure pursuant to 10 CFR 2.390 and Regulatory Issue Summary (RIS) 2005-26. Such material is clearly marked and provided with the non-public version of the FSAR. A separate public version of the FSAR is provided that removes the withheld material. Proprietary or safeguards information that is necessary for the complete review of the design certification is provided to the NRC separately in the form of topical or technical reports. Topical and technical reports that are incorporated by reference are listed in Tables 1.6-1 and 1.6-2, respectively.

### 1.1.6.6 Acronyms and Abbreviations

A list of acronyms and abbreviations used in this FSAR is provided in Table 1.1-1, Acronyms and Abbreviations.

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**Table 1.1-1: Acronyms and Abbreviations** 

| Acronym or<br>Abbreviation | Description  |
|----------------------------|--|
| AAC                        | alternate AC power   |
| AAPS                       | auxiliary AC power source  |
| ABS                        | auxiliary boiler system  |
| ABVS                       | Annex Building HVAC system   |
| ABWR                       | Advanced Boiling Water Reactor   |
| AC                         | alternating current  |
| ACI                        | American Concrete Institute  |
| ACM                        | Availability Controls Manual   |
| ACRS                       | Advisory Committee on Reactor Safeguards                                   |
| AEA                        | Atomic Energy Act  |
| AFU                        | air filtration unit  |
| AFWS                       | auxiliary feedwater system   |
| AHJ                        | authority having jurisdiction  |
| AHU                        | air handling unit  |
| AIA                        | Authorized Inspection Agency   |
| AISC                       | American Institute of Steel Construction                                   |
| AISI                       | American Iron and Steel Institute  |
| ALARA                      | as low as reasonably achievable  |
| ALU                        | actuation logic unit   |
| ALWR                       | advanced light water reactor   |
| AMCA                       | Air Movement and Control Association International, Inc.                   |
| ANB                        | Annex Building   |
| ANS                        | American Nuclear Society   |
| ANSI                       | American National Standards Institute                                      |
| AO                         | axial offset   |
| AOA                        | axial offset anomaly   |
| AOO                        | anticipated operational occurrence   |
| AOV                        | air-operated valve   |
| API                        | American Petroleum Institute   |
| APWR                       | Advanced Pressurized Water Reactor   |
| AQ                         | augmented quality  |
| ARM                        | area radiation monitor   |
| ARO                        | all rods out   |
| ARS                        | acceleration response spectra  |
| ASCE                       | American Society of Civil Engineers  |
| ASD                        | adjustable speed drive   |
| ASHRAE                     | American Society of Heating, Refrigerating, and Air-Conditioning Engineers |
| ASM                        | American Society for Metals International                                  |
| ASME                       | American Society of Mechanical Engineers                                   |
| ASTM                       | American Society for Testing and Materials                                 |
| ATB                        | Administration and Training Building                                       |
| ATWS                       | anticipated transient without scram  |
| AVT                        | all-volatile treatment   |
| AWS                        | American Welding Society   |
| AWWA                       | American Water Works Association   |
| BAS                        | boron addition system  |
| BAST                       | boric acid storage tank  |
| BDBE                       | beyond design basis event  |
| BDBEE                      | beyond design basis external event   |
| SUBLE                      | persona design outs external event   |

**Table 1.1-1: Acronyms and Abbreviations (Continued)** 

| Acronym or<br>Abbreviation | Description                                 |
|----------------------------|---|
| BDG                        | backup diesel generator                     |
| BOC                        | beginning of cycle                          |
| BOL                        | beginning of life                           |
| BOP                        | balance-of-plant                            |
| BPDS                       | balance-of-plant drain system               |
| BPE                        | bioprocessing equipment                     |
| BPSS                       | backup power supply system                  |
| BPVC                       | Boiler Pressure Vessel Code                 |
| BRL                        | Ballistic Research Laboratory               |
| BRVS                       | battery room ventilation system             |
| BTP                        | Branch Technical Position                   |
| BWR                        | boiling water reactor                       |
| CAM                        | continuous air monitor                      |
| CARS                       | condenser air removal system                |
| CAS                        | central alarm station                       |
| CAS                        | compressed air system                       |
| CCBE                       | common cause basic event                    |
| CCDP                       | conditional core damage probability         |
| CCF                        | common cause failure                        |
| CCFL                       | counter current flow limitation             |
| CCFP                       | conditional containment failure probability |
| CDF                        | core damage frequency                       |
| CDI                        | conceptual design information               |
| CDM                        | certified design material                   |
| CEA                        | control element assembly                    |
| CES                        | containment evacuation system               |
| CET                        | containment event tree                      |
| CEUS                       | central and eastern United States           |
| CFDS                       | containment flooding and drain system       |
| CFR                        | Code of Federal Regulations                 |
| CFT                        | containment flange tool                     |
| CHF                        | critical heat flux                          |
| CHFR                       | critical heat flux ratio                    |
| CFWS                       | condensate and feedwater system             |
| CHRS                       | containment heat removal system             |
| CHWS                       | chilled water system                        |
| CILRT                      | containment integrated leak rate test       |
| CIM                        | civil interface macro                       |
| CIP                        | clean-in-place                              |
| CIS                        | containment isolation system                |
| CIV                        | containment isolation valve                 |
| CLRT                       | containment leakage rate testing            |
| CMAA                       | Crane Manufacturers Association of America  |
| CMS                        | code management software                    |
| CMTR                       | certified material test report              |
| CNTS                       | containment system                          |
| CNV                        | containment vessel                          |
| CNVF                       | containment vessel failure                  |
| COC                        | certificate of compliance                   |
| COL                        | combined license                            |
| COL                        | committee needse                            |

**Table 1.1-1: Acronyms and Abbreviations (Continued)** 

| Acronym or<br>Abbreviation | Description  |
|----------------------------|--|
| COLA                       | combined license application   |
| COLR                       | core operating limits report   |
| COMS                       | communication system   |
| CPRS                       | condensate polisher resin regeneration system                                  |
| CPS                        | condensate polishing system  |
| CQC                        | complete quadratic combination   |
| CRA                        | control rod assembly   |
| CRB                        | Control Building   |
| CRDM                       | control rod drive mechanism  |
| CRDS                       | control rod drive system   |
| CRE                        | control room envelope  |
| CRHS                       | control room habitability system   |
| CRM                        | control rod misoperation   |
| CRVS                       | normal control room HVAC system  |
| CSA                        | core support assembly  |
| CSDRS                      | certified seismic design response spectra                                      |
| CSDRS-HF                   | certified seismic design response spectra - high frequency                     |
| CSS                        | containment sampling system  |
| CST                        | condensate storage tank  |
| CTG                        | combustion turbine generator   |
| CUB                        | Central Utility Building   |
| CVAP                       | Comprehensive Vibration Assessment Program                                     |
| CVCS                       | chemical and volume control system   |
| CWS                        | circulating water system   |
| D3                         | diversity and defense in depth   |
| DAC                        | design acceptance criteria   |
| DAS                        | distributed antenna system   |
| DAS                        | diverse actuation system   |
| DAW                        | dry active waste   |
| DBA                        | design basis accident  |
| DBE                        | design basis event   |
| DBPB                       | design basis pipe break  |
| DBST                       | design basis source term   |
| DBT                        | design basis tornado   |
| DC                         | direct current   |
| DCA                        | Design Certification Application   |
| DCD                        | Design Control Document (Note - this is synonymous with FSAR in this document) |
| DCH                        | direct containment heating   |
| DCS                        | distributed control system   |
| DDC                        | distributed Control system  distributed Doppler coefficient                    |
| DDG                        | dry dock gate  |
| DGB                        | Diesel Generator Building  |
| DGBVS                      | Diesel Generator Building HVAC system  |
| DHRS                       | decay heat removal system  |
| DIM                        | ·  |
|                            | display interface module   |
| DMA                        | dimethylamine  |
| DNB                        | departure from nucleate boiling  |
| DNBR                       | departure from nucleate boiling ratio  |
| DOE                        | Department of Energy   |
| DOT                        | Department of Transportation   |

**Table 1.1-1: Acronyms and Abbreviations (Continued)** 

| Acronym or   | Description   |
|--------------|---|
| Abbreviation |   |
| D-RAP        | Design Reliability Assurance Program                |
| DSRS         | Design Specific Review Standard                     |
| DSS          | digital safety system                               |
| DSW          | dry solid waste                                     |
| DWS          | demineralized water system                          |
| EAB          | exclusion area boundary                             |
| EAL          | Emergency Action Level                              |
| ECCS         | emergency core cooling system                       |
| ECL          | effluent concentration limit                        |
| EDL          | equivalent dead load                                |
| EDMG         | extensive damage mitigation guidelines              |
| EDNS         | normal DC power system                              |
| EDSS         | highly reliable DC power system                     |
| EDSS-C       | EDSS-common   |
| EDSS-MS      | EDSS-module-specific                                |
| EDV          | engineering design verification                     |
| EFDS         | equipment and floor drainage system                 |
| EFPD         | effective full-power days                           |
| EFPY         | effective full-power years                          |
| EHVS         | 13.8 kV and switchyard system                       |
| EIM          | equipment interface module                          |
| ELAP         | extended loss of AC power                           |
| ELVS         | low voltage AC electrical distribution system       |
| ELWR         | evolutionary light water reactor                    |
| EMC          | electromagnetic compatibility                       |
| EMDAP        | evaluation model development and assessment process |
| EMDM         | electromagnetic drive mechanism                     |
| EMI          | electromagnetic interference                        |
| EMVS         | medium voltage AC electrical distribution system    |
| EOC          | end of cycle  |
| EOF          | emergency operations facility                       |
| EOL          | end of life   |
| EOP          | emergency operating procedure                       |
| EPA          | Environmental Protection Agency                     |
| EPG          | emergency procedure guidelines                      |
| EPRI         | Electric Power Research Institute                   |
| EPZ          | emergency planning zone                             |
| EQ           | equipment qualification                             |
| EQDP         | equipment qualification data package                |
| EQRF         | equipment qualification record file                 |
| ERDA         | Energy Research and Development Administration      |
| ERDS         | emergency response data system                      |
| ERF          | emergency response facility                         |
| ERO          | Emergency Response Organization                     |
| ERS          | equipment requirement specification                 |
| ESAS         | emergency safeguards actuation system               |
| ESBWR        | Economic Simplified Boiling Water Reactor           |
| ESF          | engineered safety feature                           |
| ESFAS        | engineered safety features actuation system         |
| ESL          | equivalent static load                              |
| LJL          | equivalent static road                              |

I

Table 1.1-1: Acronyms and Abbreviations (Continued)

| Acronym or<br>Abbreviation | Description  |
|----------------------------|--|
| ESP                        | early site permit  |
| ETA                        | ethanolamine   |
| ETAP                       | Electrical Transient Analyzer Program  |
| FA                         | functional analysis  |
| FAC                        | flow-accelerated corrosion   |
| FAT                        | factory acceptance test  |
| FATT                       | fracture appearance transition temperatures  |
| FCI                        | fuel-coolant interaction   |
| FCU                        | fan coil unit  |
| FDA                        | final design approval  |
| FDS                        | fire detection system  |
| FEM                        | Federation Europeenne de la Manutention  |
| FERC                       | Federal Energy Regulatory Commission   |
| FFD                        | fitness-for-duty   |
| FFT                        | fast Fourier transform   |
| FHA                        | fire hazards analysis  |
| FHE                        | fuel handling equipment  |
| FHM                        | fuel handling machine  |
| FIRS                       | foundation input response spectra  |
| FIT                        | flow-indicating transmitter  |
| FIV                        | flow-induced vibration   |
| FLEX                       | diverse and flexible coping strategies (based on NRC's Fukushima task force recommendations) |
| FLPRA                      | flooding probabilistic risk assessment   |
| FMEA                       | failure modes and effects analysis   |
| FOAK                       | first-of-a-kind  |
| FOM                        | figure of merit  |
| FPGA                       | field programmable gate array  |
| FPP                        | Fire Protection Program  |
| FPRA                       | fire probabilistic risk assessment   |
| FPS                        | fire protection system   |
| FRA                        | functional requirements analysis   |
| FRP                        | fiber-reinforced polymer   |
| FSAR                       | Final Safety Analysis Report (Note - this is synonymous with DCD in this document)           |
| FSG                        | FLEX support guidelines  |
| FSI                        | fluid-structure interaction  |
| FSSA                       | fire safe shutdown analysis  |
| FSSD                       | fire safe shutdown   |
| FW                         | feedwater  |
| FWB                        | Fire Water Building  |
| FWH                        | feedwater heater   |
| FWIV                       | feedwater isolation valve  |
| FWLB                       | feedwater line break   |
| FWRV                       | feedwater regulating valve   |
| FWS                        | feedwater system   |
| FWTS                       | feedwater treatment system   |
| GAC                        | granulated activated charcoal  |
| GDC                        | General Design Criteria  |
| GLPS                       | grounding and lightning protection system  |
| GMRS                       | ground motion response spectra   |
|                            |  |
| GQA                        | graded quality assurance   |

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**Table 1.1-1: Acronyms and Abbreviations (Continued)** 

| Acronym or   | Description                                       |
|--------------|---|
| Abbreviation |   |
| GRWS         | gaseous radioactive waste system                  |
| GSI          | generic safety issue                              |
| GTAW         | gas tungsten arc weld                             |
| GTS          | generic technical specifications                  |
| HAZ          | heat-affected zone                                |
| HCLPF        | high confidence of low probability of failure     |
| HCW          | high-conductivity waste                           |
| HDP          | Hardware Development Plan                         |
| HDPE         | high-density polyethylene                         |
| HED          | human engineering discrepancy                     |
| HEI          | Heat Exchanger Institute                          |
| HELB         | high-energy line break                            |
| HEP          | human error probability                           |
| HEPA         | high-efficiency particulate air                   |
| HFE          | human factors engineering                         |
| HFEITS       | human factors engineering issue tracking system   |
| HFP          | hot full power                                    |
| HIC          | high integrity container                          |
| HIPS         | highly integrated protection system               |
| HLHE         | heavy load handling equipment                     |
| НМІ          | human machine interface                           |
| HOV          | hydraulic-operated valve                          |
| HP           | high pressure, horsepower                         |
| HP-FWH       | high pressure feedwater heater                    |
| HPM          | human performance monitoring                      |
| HPN          | health physics network                            |
| HRA          | human reliability analysis                        |
| HRS          | hardware requirement specification                |
| HSI          | human-system interface                            |
| HVAC         | heating ventilation and air conditioning          |
| HVDS         | feedwater heater vents and drains system          |
| HWM          | hard-wired module                                 |
| HZP          | hot zero power                                    |
| I&C          | instrumentation and controls                      |
| IAB          | inadvertent actuation block                       |
| IAS          | instrument air system                             |
| IBC          | International Building Code                       |
| ICIS         | in-core instrumentation system                    |
| ICS          | integrated control system                         |
| ID           | inside diameter                                   |
| IDD          | interface design description                      |
| IE           | infrequent event, initiating event                |
| IEC          | International Electrotechnical Commission         |
| IEEE         | Institute of Electrical and Electronics Engineers |
| IES          | Illuminating Engineering Society of North America |
| IET          | integral effects test                             |
| IGSCC        | integranular stress-corrosion cracking            |
| IHA          | important human action                            |
| ILRT         | integrated leak rate testing                      |
| INL          | -   |
| IINL         | Idaho National Laboratory                         |

Table 1.1-1: Acronyms and Abbreviations (Continued)

| Acronym or<br>Abbreviation | Description   |
|----------------------------|---|
| INPO                       | Institute of Nuclear Power Operations   |
| IOTBS                      | inadvertent opening of the turbine bypass system  |
| IP                         | implementation plan   |
| IP                         | intermediate pressure   |
| IP-FWH                     | intermediate pressure feedwater heater  |
| ISA                        | integrated safety analysis, Instrument Society of America                               |
| ISG                        | interim staff guidance  |
| ISI                        | in-service inspection   |
| ISM                        | independent support motion  |
| ISO                        | International Organization for Standardization  |
| ISR                        | integral jet impingement shield and pipe whip restraint                                 |
| ISRS                       | in-structure response spectra   |
| IST                        | in-service testing  |
| ISV                        | integrated system validation  |
| ITAAC                      | Inspections, Tests, Analyses, and Acceptance Criteria                                   |
| ITM                        | inspection, testing, and maintenance  |
| ITP                        | Initial Test Program  |
| IVR                        | in-vessel retention   |
| JLD                        | Japan Lessons-Learned Directorate   |
| LBB                        | leak-before-break   |
| LCO                        | limiting condition for operation  |
| LCS                        | local control station   |
| LCW                        | low-conductivity waste  |
| LER                        | Licensee Event Report   |
| LHGR                       | linear heat generation rate   |
| LLRT                       | local leak rate test  |
| LOCA                       | loss-of-coolant accident  |
| LOLA                       | loss of large areas   |
| LOOP                       | loss of offsite power   |
| LP                         | low pressure  |
| LP-FWH                     | low pressure feedwater heater   |
| LPSD                       | low power and shut down   |
| LPZ                        | low population zone   |
| LRA                        | lower riser assembly  |
| LRF                        | large release frequency   |
| LRVP                       | liquid ring vacuum pump   |
| LRW                        | liquid radioactive waste  |
| LRWS                       | liquid radioactive waste system, liquid radwaste system                                 |
| LSH                        | level switch, high  |
| LSL                        | level switch, low   |
| LSSS                       | limiting safety system setting  |
| LTC                        | load manual tap changers  |
| LTCC                       | long-term core cooling  |
| LTOP                       |   |
|                            | low temperature overpressure protection loss of normal access to the ultimate heat sink |
| LUHS                       |   |
| LWMS                       | liquid waste management system  |
| LWR                        | light water reactor   |
| MAE                        | module assembly equipment   |
| MC                         | main condenser  |
| MCC                        | motor control center  |

**Table 1.1-1: Acronyms and Abbreviations (Continued)** 

| Acronym or   | Description  |
|--------------|--|
| Abbreviation |  |
| MCHFR        | minimum critical heat flux ratio                   |
| MCR          | main control room                                  |
| MCS          | module control system                              |
| MEL          | master equipment list                              |
| MEMS         | meteorological and environmental monitoring system |
| MFW          | main feedwater                                     |
| MHA          | maximum hypothetical accident                      |
| MHS          | module heatup system                               |
| MIB          | monitoring and indication bus                      |
| MIC          | microbiologically induced corrosion                |
| MIT          | Massachusetts Institute of Technology              |
| MLA          | module lifting adapter                             |
| MLD          | master logic diagram                               |
| MM           | multiple, multi-module                             |
| MMAF         | multi-module adjustment factor                     |
| MMI          | multi-module issue                                 |
| MMPSF        | multi-module performance shaping factor            |
| MMS          | moment magnitude scale                             |
| MOC          | middle of cycle                                    |
| MOV          | motor-operated valve                               |
| MPS          | module protection system                           |
| MPT          | main power transformer                             |
| MPU          | magnetic speed pickup                              |
| MSI          | main steam isolation                               |
| MSIBV        | main steam isolation bypass valves                 |
| MSIV         | main steam isolation valve                         |
| MSLB         | main steam line break                              |
| MSO          | multiple spurious operations                       |
| MSPI         | mitigating system performance index                |
| MSS          | main steam system                                  |
| MSSV         | main steam safety valve                            |
| MTC          | moderator temperature coefficient                  |
| MTU          | metric tons, uranium                               |
| MWe          | megawatt electric                                  |
| MWS          | maintenance workstation                            |
| MWt          | megawatt thermal                                   |
| N/A          | Not Applicable                                     |
| NDE          | non-destructive examination                        |
| NDS          | nitrogen distribution system                       |
| NDT          | non-destructive testing                            |
| NEI          | Nuclear Energy Institute                           |
| NERC         | North American Electric Reliability Corporation    |
| NFA          | new fuel assembly                                  |
| NFE          | new fuel elevator                                  |
| NFJC         |  |
| NFPA         | new fuel jib crane                                 |
|              | National Fire Protection Association               |
| NIC          | network interface controller                       |
| NIST         | National Institute of Standards and Technology     |
| NIST-1       | NuScale Integral System Test Facility              |
| NMS          | neutron monitoring system                          |

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**Table 1.1-1: Acronyms and Abbreviations (Continued)** 

| Acronym or<br>Abbreviation | Description   |
|----------------------------|---|
| NOG                        | nuclear overhead and gantry                               |
| NPM                        | NuScale Power Module                                      |
| NPP                        | NuScale Power Plant                                       |
| NPS                        | nominal pipe size   |
| NPSH                       | net positive suction head                                 |
| NRC                        | Nuclear Regulatory Commission                             |
| NRF                        | nuclear reliability factor                                |
| NSA                        | neutron source assembly                                   |
| NSAC                       | Nuclear Safety Analysis Center                            |
| NSSS                       | nuclear steam supply system                               |
| NTTF                       | Near-Term Task Force                                      |
| OBE                        | operating basis earthquake                                |
| OCS                        | operating basis eartiquake operational condition sampling |
| OD OD                      | outside diameter  |
| ODC                        | outside diameter overspeed detection circuit              |
| ODCM                       | Offsite Dose Calculation Manual                           |
| ODCM<br>OE                 | operating experience                                      |
| OER                        |   |
| OHLHS                      | operating experience review                               |
|                            | overhead heavy load handling system                       |
| ORNL                       | Oak Ridge National Laboratory                             |
| ORPP                       | Operational Radiation Protection Program                  |
| OSC                        | operational support center                                |
| OSHA                       | Occupational Safety and Health Administration             |
| OSP                        | overspeed protection system                               |
| OSU                        | Oregon State University                                   |
| P&ID                       | piping and instrumentation diagram                        |
| PA                         | protected area  |
| PA/GA                      | public address/general alarm                              |
| PACS                       | priority actuation and control system                     |
| PAM                        | post-accident monitoring                                  |
| PBX                        | private branch exchange                                   |
| PCA                        | primary coolant activity                                  |
| PCCV                       | prestressed concrete containment vessel                   |
| PCP                        | Process Control Program                                   |
| PCS                        | plant control system                                      |
| PCT                        | peak cladding temperature                                 |
| PCUS                       | pool cleanup system                                       |
| PDC                        | power distribution center                                 |
| PDC                        | principal design criteria                                 |
| PDIL                       | power dependent insertion limit                           |
| PDIT                       | differential pressure indicating transmitter              |
| PFT                        | process feed tank   |
| PGA                        | peak ground acceleration                                  |
| PID                        | proportional integral derivative                          |
| PING                       | particulate, iodine, and noble gas                        |
| PIRT                       | phenomena identification and ranking table                |
| PIT                        | pressure indicating transmitter                           |
| PLC                        | programmable logic controller                             |
| PLD                        | pool leakage detection                                    |
| PLDD                       | programmable logic design description                     |

Table 1.1-1: Acronyms and Abbreviations (Continued)

| Acronym or   | Description   |
|--------------|---|
| Abbreviation |   |
| PLDP         | Programmable Logic Development Plan                       |
| PLDS         | pool leakage detection system                             |
| PLHGR        | peak linear heat generation rate                          |
| PLM          | priority logic module                                     |
| PLRS         | programmable logic requirement specification              |
| PLS          | plant lighting system                                     |
| PLVVP        | Programmable Logic Verification and Validation Plan       |
| PMF          | probable maximum flood                                    |
| PMP          | probable maximum precipitation                            |
| PORV         | power-operated relief valve                               |
| POS          | plant operating state                                     |
| POV          | power-operated valve                                      |
| PPE          | personnel protective equipment                            |
| PPS          | plant protection system                                   |
| PRA          | probabilistic risk assessment                             |
| PRV          | pressure relief valve                                     |
| PSCIV        | primary system containment isolation valves               |
| PSCS         | pool surge control system                                 |
| PSD          | power spectra density                                     |
| PSMS         | power supply monitoring system                            |
| PSS          | process sampling system                                   |
| PST          | phase separator tank                                      |
| PSTN         | public switched telephone network                         |
| PTAC         | performance and test acceptance criteria band             |
| PTS          | pressurized thermal shock                                 |
| PVC          | polyvinyl chloride  |
| PVMS         | plant-wide video monitoring system                        |
| PWHT         | post-weld heat treatment                                  |
| PWR          | pressurized water reactor                                 |
| PWS          | potable water system                                      |
| PWSCC        | primary water stress-corrosion cracking                   |
| PZR          | pressurizer   |
| QA           | quality assurance   |
| QAP          | Quality Assurance Program                                 |
| QAPD         | Quality Assurance Program Description                     |
| QPD          | quadrant power difference                                 |
| QD           | quick disconnect  |
| QPF          | quadrant power fractions                                  |
| RAI          | request for additional information                        |
| RAP          | Reliability Assurance Program                             |
| RBC          | Reactor Building crane                                    |
| RBCM         | Reactor Building components                               |
| RBVS         | Reactor Building HVAC system                              |
| RCA          | radiologically controlled area                            |
| RCCA         | rod control cluster assembly                              |
| RCCWS        | reactor component cooling water system                    |
| RCP          | reactor coning water system reactor coolant pump          |
| RCPB         | reactor coolant pump<br>reactor coolant pressure boundary |
| RCRA         |   |
| RCS          | Resource Conservation and Recovery Act                    |
| uC2          | reactor coolant system                                    |

**Table 1.1-1: Acronyms and Abbreviations (Continued)** 

| Acronym or<br>Abbreviation | Description   |
|----------------------------|---|
| RDT                        | reactor drain tank                                    |
| REA                        | rod ejection accident                                 |
| RETS                       | Radiological Effluent Technical Specifications        |
| RFI                        | radio frequency interference                          |
| RFP                        | refueling pool  |
| RFT                        | reactor flange tool                                   |
| RG                         | Regulatory Guide                                      |
| RHR                        | residual heat removal                                 |
| RHX                        | regenerative heat exchanger                           |
| RIS                        | regulatory issue summary                              |
| RM                         | radiation monitoring                                  |
| RMS                        | fixed area radiation monitoring system                |
| RMTS                       | risk-managed technical specifications                 |
| RO                         | reverse osmosis                                       |
| ROCA                       | restricted owner controlled area                      |
| ROP                        |   |
| RPCS                       | Reactor Oversight Process reactor pool cooling system |
| RPI                        | rod position indication                               |
| RPS                        | ·   |
| RPV                        | reactor protection system                             |
|                            | reactor pressure vessel                               |
| RRS                        | required response spectrum                            |
| RRV                        | reactor recirculation valve                           |
| RSA                        | remote shutdown area                                  |
| RSR                        | results summary report                                |
| RSS                        | remote shutdown station                               |
| RSV                        | reactor safety valve                                  |
| RTB                        | reactor trip breaker                                  |
| RTD                        | resistance temperature detector                       |
| RTM                        | requirements traceability matrix                      |
| RT <sub>NDT</sub>          | reference temperature for nil-ductility transition    |
| RTNSS                      | regulatory treatment of nonsafety systems             |
| RTP                        | rated thermal power                                   |
| RTPTS                      | reference temperature, pressurized thermal shock      |
| RTS                        | reactor trip system                                   |
| RVI                        | reactor vessel internals                              |
| RVV                        | reactor vent valve                                    |
| RWB                        | Radioactive Waste Building                            |
| RWBCR                      | Radioactive Waste Building control room               |
| RWBVS                      | Radioactive Waste Building HVAC system                |
| RWDS                       | radioactive waste drain system                        |
| RWMS                       | radioactive waste management system                   |
| RWSS                       | raw water supply system                               |
| RXB                        | Reactor Building                                      |
| RXC                        | reactor core  |
| S&Q                        | staffing and qualifications                           |
| SAFDL                      | specified acceptable fuel design limit                |
| SAM                        | seismic anchor motion                                 |
| SAMDA                      | severe accident mitigation design alternative         |
| SAMG                       | severe accident management guideline                  |
| SAR                        | Safety Analysis Report                                |
| L                          |   |

**Table 1.1-1: Acronyms and Abbreviations (Continued)** 

| Acronym or<br>Abbreviation | Description   |
|----------------------------|---|
| SAS                        | secondary alarm station   |
| SAS                        | service air system  |
| SAT                        | site acceptance testing   |
| SBAC                       | smooth bounding analysis curve                                      |
| SBLB                       | subscale boundary layer boiling                                     |
| SBLOCA                     | small-break loss-of-coolant accident                                |
| SBM                        | scheduling and bypass module  |
| SBO                        | station blackout  |
| SBVS                       | Security Building HVAC system                                       |
| SC-I                       | Seismic Category I  |
| SC-II                      | Seismic Category II   |
| SC-III                     | Seismic Category III  |
| SCB                        | Security Buildings  |
| SCC                        | stress corrosion-cracking   |
| SCDF                       | seismic core damage frequency                                       |
| SCR                        | silicon controlled rectifier  |
| SCS                        | secondary sampling system   |
| SCWS                       | site cooling water system   |
| SDB                        | safety data bus   |
| SDD                        | system design description   |
| SDIS                       | safety display and indication system                                |
| SDM                        | shutdown margin   |
| SDOE                       | secure development and operational environment                      |
| SDOF                       | single-degree-of-freedom  |
| SDP                        | software development process  |
| SDS                        | site drainage system  |
| SEB                        | Security Building   |
| SECS                       | plant security system   |
| SECY                       | Secretary of the Commission, Office of the NRC                      |
| SEI                        | Structural Engineering Institute                                    |
| SEL                        | seismic equipment list  |
| SER                        | Safety Evaluation Report  |
| SFA                        | spent fuel assembly   |
| SFM                        | safety function module  |
| SFP                        | spent fuel pool   |
| SFPCS                      | spent fuel pool cooling system                                      |
| SFSS                       | spent fuel storage system   |
| SG                         | separation group  |
| SG                         | steam generator   |
| SG                         | strain gauge  |
| SGI                        | safeguards information  |
| SGS                        | steam generator system  |
| SGTF                       | steam generator tube failure  |
| SICS                       | safety information and control system                               |
| SIL                        | software integrity level  |
| SLB                        | steam line break  |
| SLP                        | site layout plan  |
| SM                         | single module   |
| SMA                        | seismic margin analysis   |
| SMACNA                     | Sheet Metal and Air Conditioning Contractors' National Association  |
| SIVIACIVA                  | Since Metal and All Conditioning Contractors (National Association) |

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Table 1.1-1: Acronyms and Abbreviations (Continued)

Table 1.1-1: Acronyms and Abbreviations (Continued)

| Acronym or<br>Abbreviation | Description   |  |
|----------------------------|---|--|
|                            |   |  |
| THD                        | total harmonic distortion   |  |
| TIHA                       | treatment of important human actions  |  |
| TIT                        | temperature indicating transmitter  |  |
| TLD                        | thermoluminescent dosimeter   |  |
| TLOSS                      | turbine lube oil storage system   |  |
| TMI                        | Three Mile Island   |  |
| TMR                        | triple module redundancy  |  |
| T <sub>NDT</sub>           | nil ductility temperature   |  |
| TOC                        | top of concrete   |  |
| TRS                        | test response spectrum  |  |
| TS                         | technical specifications  |  |
| TSC                        | technical support center  |  |
| TSTF                       | Technical Specification Task Force  |  |
| TUF                        | tubular ultrafiltration   |  |
| UAT                        | unit auxiliary transformer  |  |
| UCRW                       | uncontrolled control rod assembly withdrawal at power   |  |
| UCRWS                      | uncontrolled control rod assembly withdrawal from a subcritical or low power or startup condition |  |
| UDC                        | uniform Doppler coefficient   |  |
| UHS                        | ultimate heat sink  |  |
| UPS                        | uninterruptible power supply  |  |
| URD                        | Utility Requirements Document   |  |
| URS                        | uniform response spectrum   |  |
| URS                        | upper riser assembly/section  |  |
| USGS                       | United States Geological Survey   |  |
| USI                        | unresolved safety issue   |  |
| USM                        | uniform support motion  |  |
| UTC                        | coordinated universal time  |  |
| UWS                        | utility water system  |  |
| V&V                        | verification and validation   |  |
| VDU                        | video display unit  |  |
| VIT                        | vibration indicating transmitter  |  |
| VLA                        | vented lead-acid  |  |
| VRLA                       | valve-regulated lead-acid   |  |
| VRT                        | voltage regulating transformer  |  |
| WDT                        | watchdog timer  |  |
| WMCR                       | waste management control room   |  |
| WSW                        | wet solid waste   |  |
| WTB                        | Waste Treatment Building  |  |
| ZPA                        | zero period acceleration  |  |

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## 1.2 General Plant Description

This section summarizes the plant design and provides a general description of the overall facility. The description includes:

- principal design criteria, operating characteristics, and safety considerations
- engineered safety features (ESFs) and emergency systems
- instrumentation, controls, and electrical systems
- power conversion system
- fuel, fuel handling, and storage systems
- plant cooling water systems
- radioactive waste management systems
- auxiliary systems (e.g., compressed air, non-radioactive drains, water systems)

Each COL Applicant will develop a Final Safety Analysis Report (FSAR) that incorporates by reference the NuScale FSAR. The NuScale FSAR includes COL items that identify where site-specific information must be provided. However, in some instances, representative information is necessary to provide context for interface requirements as specified in 10 CFR 52.47(a)(24) and 10 CFR 52.47(a)(25). This representative or conceptual design information (CDI) is outside the scope of the NuScale Power Plant certified design. Where provided, CDI is delineated by double brackets ([[]]). The scope of the certified design and site-specific design is shown in Figure 1.2-2. The basic systems associated with power generation are shown in Figure 1.2-3. Although some components from these systems are physically located in buildings that are CDI, the system itself is not, with the exception of the clouded portion, which identifies the CDI cooling towers and certain circulating water systems. Security-related information is delineated using double braces {{}}}. This information is withheld in accordance with 10 CFR 2.390(d)(1).

## 1.2.1 Principal Site Characteristics

Figure 1.2-1 presents a representative conceptual layout of the overall site. The majority of the site buildings are located within the protected area (PA) and surrounded by a double fence and intrusion-detection equipment. The PA is located within the security owner controlled area (SOCA) surrounded by an additional single fence. An administration and training building and a warehouse are shown outside of the SOCA fence.

A NuScale Power Module (NPM) shown in Figure 1.2-6, is a collection of systems, subsystems, and components that make up a modularized, movable, nuclear steam supply system (NSSS). Each NPM is comprised of a reactor core, a pressurizer, and two steam generators (SGs) integrated within a reactor pressure vessel (RPV) and housed in a compact steel containment vessel (CNV).

The NuScale Power Plant is designed for 1 to 12 NPMs with the associated primary and secondary systems and components necessary to produce power and maintain the facility. This includes main steam systems, turbine generator sets, condensate and feedwater systems, and shared external cooling water systems (Figure 1.2-3), plus module assembly equipment, fuel handling equipment, turbine maintenance equipment, and radioactive

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waste processing equipment. The net total output for a NuScale Power Plant with 12 operating NPMs is approximately 570 MWe.

The following structures are included in the NuScale certified design (Figure 1.2-1 and Figure 1.2-2):

- 1) Reactor Building (RXB): located above and below grade, houses the following facilities (among others that are not specifically discussed in this section):
  - ultimate heat sink (reactor pool, refuel pool, and spent fuel pool)
  - fuel handling areas
  - remote shutdown station
  - primary systems

Additional details of the RXB are provided in Section 1.2.2.1.

- 2) Control Building (CRB): located above and below grade, adjacent to the RXB, provides space for the following facilities:
  - main control room (MCR): located below grade, houses the equipment, controls, and indications for operation of the NPMs
  - technical support center-located above the MCR, outside the radiological controlled area, provides space to support emergency operations and personnel

Additional details of the CRB are provided in Section 1.2.2.2.

3) Radioactive Waste Building (RWB): located above and below grade, provides space for heating ventilating and air conditioning (HVAC) equipment; and radioactive waste treatment and storage equipment. Additional details of the RWB are provided in Section 1.2.2.3.

The following structures are discussed as CDI (Figure 1.2-1 and Figure 1.2-2):

- 1) Turbine Generator Buildings (TGBs): house the turbine generators and associated equipment. Additional details of the TGBs are provided in Section 1.2.2.5.1.
- 2) Annex Building (ANB): controls access into the radiologically controlled area (RCA) and provides space for health physics facilities, servicing potentially radioactive and non-radioactive tooling, fixtures, and instrumentation, security services, and various personnel services. Additional details of the ANB are provided in Section 1.2.2.5.2.
- 3) Security Buildings (SCBs): provide for controlled access into the SOCA and the PA of the plant. Additional details of the SCBs are provided in Section 1.2.2.5.3.
- 4) Central Utility Building (CUB): houses various equipment for the chilled water system and other ancillary equipment for balance of plant systems. Additional details of the CUB are provided in Section 1.2.2.5.4.

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- 5) [[Diesel Generator Buildings (DGBs): house the backup diesel generators and associated equipment.]] Additional details of the DGBs are provided in Section 1.2.2.5.5.
- 6) [[Site Cooling Water System (SCWS): provides cooling water to plant auxiliary systems.]] Additional details of the SCWS are provided in Section 1.2.1.6.

## 1.2.1.1 Facility Description

#### **Process Overview**

The reactor core is located in a core support assembly, which is seated in the lower RPV assembly. A central hot leg riser is connected to the top of the core support assembly. The reactor core transfers heat into the reactor coolant and the heated reactor coolant flows upward through the core and lower and upper riser assemblies. The heated coolant exits the upper riser assembly and is redirected downwards into the SG region between the vessel wall and the upper riser assembly. As the reactor coolant transfers heat to the SGs, it cools and becomes denser, which drives the natural circulation flow. The coolant returns to the bottom of the vessel through the downcomer and back into the reactor core, where the cycle begins again (Figure 1.2-7).

On the secondary side, preheated feedwater is pumped into the tube side of the SGs where it boils. As the steam flows upward in the tubes, it is continually heated to produce superheated steam before exiting the top of the SGs.

The superheated steam is directed to a dedicated steam turbine. A generator, driven by the turbine, creates electric power that is delivered to the utility grid through a step-up transformer. A turbine bypass line provides up to 100% of the rated main steam flow directly from the associated steam generators to the main condenser in a controlled manner to remove heat from the reactor following a load reduction or loss of electrical load.

Steam that exits or bypasses the turbine is directed to the condenser. A shared circulating water loop removes heat and condenses the steam for up to 6 condensers. The condensate is pumped through condensate polishing equipment to the inlet of the variable speed feedwater pumps. A small amount of steam is extracted from turbine stages to preheat the feedwater and increase plant efficiency. Feedwater regulating valves control feed flow into the SGs.

[[Heat from the circulating water loop from up to 6 condensers is rejected to atmosphere by a set of evaporative mechanical-draft cooling towers. Two sets of cooling towers are provided for 12 NPMs.]]

#### 1.2.1.1.1 Principal Design Criteria

The design provides a simple, safe reactor and provides the following:

• reliable, passive safety systems that are simple in design and operation, and are not reliant on electrical power to fulfill their safety functions

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- safety features that assure a core damage frequency significantly lower than the current light water reactor fleet
- the absence of RPV or containment penetrations below the top of the reactor core
- modularization to enable in-shop fabrication of reactor and containment components

## 1.2.1.1.2 Operating Characteristics

The NPM is designed to operate up to full power conditions using natural circulation as the means of providing reactor coolant flow, eliminating the need for reactor coolant pumps.

The NPMs are partially immersed in a reactor pool and protected by passive safety systems. Each NPM has a dedicated emergency core cooling system (ECCS) and decay heat removal system (DHRS).

Important features of the NPM include the following:

- a small, modular design
- an integral pressurized water reactor (PWR) NSSS that combines the reactor core, SGs, and pressurizer within the RPV, eliminating the need for external piping to connect the SGs and pressurizer to the RPV
- natural circulation provides the driving force for reactor coolant flow, eliminating the need for reactor coolant pumps
- an RPV housed in a steel containment partially immersed in water, providing an effective passive heat sink for long-term decay heat removal
- a steel containment operated at a vacuum, eliminating the need for insulation on the RPV
- passive safety systems that are not reliant on electrical power

Table 1.2-1 presents the overall characteristics of the NuScale Power Plant.

The NPM is designed to perform normal power maneuvers. Electric power can be adjusted with turbine bypass to the condenser. In addition, core power maneuvering can be accomplished with control rods, soluble boron concentration changes, or a combination of control rods and soluble boron as described in Sections 4.2 and 4.3.

#### **Nuclear Steam Supply System**

The NSSS consists of a reactor core, two helical-coil SGs, and a pressurizer integrated within the RPV. The RPV is enclosed in an approximately cylindrical CNV that sits in the reactor pool. The reactor core is located below the helical-coil SGs inside the RPV. Using natural circulation, the primary reactor coolant flow path is upward through the central hot leg riser, and then downward around the outside of the SG tubes with return flow to the bottom of the core via an annular

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downcomer. As the reactor coolant flows across the SG tubes, heat is transferred to the secondary side fluid inside the SG tubes. Concurrently, as the secondary side fluid progresses up through the inside of the SG tubes, it is heated, boiled, and superheated to produce high pressure steam for the turbine generator unit.

#### **Reactor Core**

The core configuration for the NPM consists of 37 fuel assemblies and 16 control rod assemblies (CRAs). The CRAs are organized into two banks: a regulating bank and a shutdown bank. The regulating bank, consisting of four CRAs symmetrically located in the core, is used during normal plant operation to control reactivity. The shutdown bank (12 CRAs in three groups) is used during normal shutdown. All 16 CRAs are inserted for scram events.

The fuel assembly design is similar to a standard 17x17 PWR fuel assembly with 24 guide tube locations for control rods and a central instrument tube. The only significant differences are the fuel assembly is nominally half the height of a standard fuel assembly and is supported by five spacer grids. The fuel is uranium dioxide,  $UO_2$ , with gadolinium oxide,  $Gd_2O_3$ , as a burnable absorber homogeneously mixed within the fuel in select rod locations. The U-235 enrichment is less than 4.95 percent. A list of fuel design parameters is presented in Table 4.2-1.

#### **Pressurizer**

The pressurizer provides the primary means for controlling reactor coolant system (RCS) pressure. It is designed to maintain a stable reactor coolant pressure during operation. Reactor coolant pressure is increased by applying power to a pair of heater bundles installed above the pressurizer baffle plate. Pressure in the RCS is reduced using spray provided by the chemical and volume control system (CVCS).

#### **Steam Generator**

Each NPM uses two once-through, helical-coil SGs for steam production. The SGs are located in the annular space between the hot leg riser and the RPV inside diameter wall. The SG consists of tubes connected to feed and steam plenums with tube sheets. Preheated feedwater enters the lower feed plenum through nozzles on the RPV. As feedwater flows through the interior of the SG tubes, heat is transfered across the SG tube wall from the reactor coolant to the feedwater. The feedwater changes phase and exits the SG as superheated steam.

#### **Reactor Pressure Vessel**

The RPV consists of an approximately cylindrical steel vessel with an inside diameter of approximately 9 ft and an overall height of approximately 58 ft that is designed for an operating pressure of approximately 1,850 psia. The upper and lower heads are torispherical, and the lower portion of the vessel has a flange to provide access for refueling.

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The RPV consists of three sections: the RPV head section, the upper section, and the lower section. The RPV head is welded to the top of the upper section, and the upper and lower sections are flanged together using bolts.

The torispherical RPV head supports the control rod drive mechanisms (CRDMs) and includes penetrations ranging from 2" to 8" diameter for pressurizer spray, reactor vent valves, reactor safety valves, reactor high point degasification instrumentation and controls (I&C) instrument channels, and the CRDM nozzles.

The RPV upper section is cylindrical, approximately 9 ft in diameter with slightly thicker sections at the feedwater inlet and steam outlet areas. The upper section includes penetrations ranging from 2.25" to 25" diameter for main steam piping nozzles and main steam access ports, pressurizer heaters, feedwater piping nozzles and feedwater access ports, reactor recirculation valves, CVCS, and pressure instrumentation.

The RPV lower section is cylindrical, approximately 9 ft in diameter and includes a torispherical lower head that is welded in place. There are no penetrations in the lower section of the RPV.

A steel pressurizer baffle plate integral with the RPV provides a barrier between the saturated water in the pressurizer and the RCS. The pressurizer baffle plate is integrated with the upper steam plenums, has flow holes to allow surges of water into and out of the pressurizer, and to act as a thermal barrier.

#### **Containment Vessel**

The CNV is a cylindrical, steel pressure vessel housing the RPV, CRDMs, and associated NSSS piping and components. The CNV has an overall height of approximately 76 ft and an outside diameter of approximately 15 ft and consists of an upper CNV section with a welded torispherical top head and a lower CNV section with a welded head. The upper and lower CNV sections are flanged together using bolts. The flange connection permits the CNV to be separated to provide access to the RPV for refueling and maintenance.

The safety functions of the CNV are to contain the release of radioactive material following postulated accidents and to provide heat rejection to the reactor pool following ECCS actuation. The CNV also provides support for the RPV.

Manways provide access to components located inside the CNV. Penetrations on the CNV upper head are provided for process piping, electrical power, and instrumentation.

The CNV is supported laterally by support lugs located slightly below the steam plenum elevation and by the support skirt attached to the CNV lower head. The support skirt also provides vertical support for the CNV. Internal to the CNV, the RPV is laterally and vertically supported by four support plates located slightly below the steam plenum elevation and is laterally supported at the center of the lower RPV head.

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The CNV is partially immersed in the reactor pool, which provides a passive heat sink for containment heat removal. The CNV is designed to withstand the external environment of the reactor pool as well as the internal pressure and temperature of a design-basis accident.

The CNV is maintained at a vacuum under normal operating conditions. The benefits of maintaining a vacuum in the CNV include:

- minimizes moisture content that could impact the reliability and contribute to corrosion of components within the CNV
- facilitates detection of leakage from the reactor coolant pressure boundary
- eliminates convective heat transfer and therefore, the need for RPV insulation, which reduces potential debris generated in the CNV
- limits the initial amount of oxygen in containment (severe accident combustible gas consideration)

Following an actuation of the ECCS, steam is vented from the RPV through the reactor vent valves. This results in an initial spike in containment pressure and temperature. Steam in contact with the inside surface of the CNV is passively cooled and condensed by conduction and convection to the reactor pool water. This passive process rapidly reduces containment pressure and temperature and maintains containment pressure and temperature at less than design conditions indefinitely.

#### 1.2.1.1.3 Safety Considerations

NuScale has achieved an improvement in safety over existing plants through simplicity of design, reliance on passive safety systems, and small fuel inventory. The integral design of the NPM eliminates external coolant loop piping, which eliminates large-break LOCA scenarios. The availability of passive safety systems for decay heat removal, emergency core cooling, and control room habitability eliminates the need for external power under accident conditions. With these passive safety systems, small-break LOCAs do not challenge the safety of the plant. The result is a design with a core damage frequency that is lower than the current light water reactor fleet.

The reactor core has a small radioactive source term as compared to a conventional 1,000 MWe nuclear reactor. Based on the smaller fuel inventory, the amount of radioactive material available for release during a postulated accident is reduced. Table 1.2-2 provides a listing of some of the features of the NPM.

## 1.2.1.2 Engineered Safety Features and Emergency Systems

## 1.2.1.2.1 Engineered Safety Feature Materials

Details are provided in Section 6.1 related to the selection and fabrication methods for metallic and organic materials used in ESF components to ensure compatibility with fluids that the component may be exposed to during normal, accident, maintenance, and testing conditions.

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#### 1.2.1.2.2 Containment Systems

The containment is an integral part of the NPM and provides primary containment for the RCS. Section 6.2 provides further information for the containment system.

#### 1.2.1.2.3 Emergency Core Cooling System

The ECCS provides a passive means of decay heat removal in the event of a LOCA. The ECCS consists of three independent reactor vent valves and two independent reactor recirculation valves (Figure 1.2-9). All five valves are closed during normal operation.

During ECCS operation, the reactor vent valves vent steam from the RPV into the CNV where the steam condenses and collects in the bottom of the containment. The reactor recirculation valves allow water to reenter the RPV and be circulated through the core. When reactor coolant temperature is reduced to below the boiling point, core cooling continues via conduction directly into the reactor pool. The cooling function of the ECCS is entirely passive, with heat being conducted through the CNV wall to the reactor pool. Section 6.3 provides design and operational information for the ECCS.

#### 1.2.1.2.4 Control Room Habitability System

The control room habitability system (CRHS) ensures that plant operators are adequately protected against the effects of accidental releases of toxic or radioactive gases. The CRHS is a passive system that provides clean, compressed, breathable air to the MCR in the event of a radioactive release or when AC power is not available. Areas served by the CRHS are maintained at positive pressure relative to adjacent areas. Compressed breathable air storage capacity can provide clean air to the MCR spaces for at least 72 hours following an initiating event. Section 6.4 provides design and operational information for the CRHS.

### 1.2.1.2.5 Fission Product Removal and Control Systems

The only fission product removal and control system credited in the design is the CNV in conjunction with the containment isolation system. Fission product control is inherent in the design of the NPM, wherein the CNV atmosphere is depleted through the passive process of aerosol deposition. Section 6.5 provides information for this ESF.

## 1.2.1.2.6 In-service Inspection of Class 2 and 3 Components

The in-service inspection program includes the pre-service examinations and the periodic inservice inspections and tests necessary to ensure that safety-related and risk-significant systems, structures, and components are capable of fulfilling their intended safety functions. Section 6.6 provides detailed information for the inservice inspection program.

## 1.2.1.3 Instrumentation, Controls, and Electrical Systems

The I&C architectural design philosophy incorporates clear interconnection interfaces, separation between safety and non-safety systems, and simplification of system functions. The I&C architecture primarily consists of the following systems, which are described in Section 7.0:

- module protection system (MPS) provides information from safety-related sensors monitoring temperature, flow, neutron flux, and pressure data on the NSSS.
- neutron monitoring system measures neutron flux as an indication of core power and provides safety inputs to the MPS.
- module control system (MCS) is a distributed control system that allows monitoring and control of module-specific plant components.
- plant control system supplies non-safety inputs to the human system interfaces in the MCR, the remote shutdown station, and other locations where necessary.
- fixed area radiation monitoring system continuously monitors in-plant radiation and airborne radioactivity levels.
- safety display and indication system provides visual display and indication in the MCR from the MPS and plant protection system.
- plant protection system monitors and controls systems that are common to all NPMs and are not specific to an individual NPM.
- health physics network provides the permanently installed communications infrastructure necessary to support a licensee-implemented radiation protection program.
- in-core instrumentation system monitors various parameters within the reactor core and RCS and sends the parameter values to the MCS for display and evaluation.

Under normal operating conditions the AC electrical power distribution system supplies continuous power to equipment required for startup, normal operation, and shutdown of the plant. The NuScale Power Plant does not require onsite or offsite AC electrical power to cope with design-basis events. Safety systems are not reliant on AC or DC electrical power for actuation.

The power systems within the plant are described below:

- The 13.8 KV and switchyard system provides power from the turbine generators and the auxiliary AC power source to the 13.8 kV AC buses and connects the onsite AC system to the switchyard.
- Medium voltage AC electrical distribution system provides power at 4,160V AC to buses servicing medium voltage loads.
- Low voltage AC electrical distribution system provides power at 120V AC and 480V AC to buses servicing low voltage loads.
- Highly reliable DC power system provides a failure-tolerant source of 125V DC power to plant loads including emergency lighting, MPS, PPS, and post-accident monitoring loads.

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- Normal DC Power System provides power to non-safety control and instrumentation loads.
- Backup power is provided for onsite AC power. The backup diesel generators
  provide power at the 480VAC level and the auxiliary AC power source provides
  power at the 13.8kVAC level.

## 1.2.1.4 Power Conversion System

The power conversion systems associated with an NPM consist of a main steam system, a turbine generator set, a standard condenser and cooling tower arrangement, and a condensate and feedwater system as shown in Figure 1.2-3.

With multiple NPMs per plant, individual NPMs can be placed into service incrementally to meet construction schedules and grid demand as permitted by the site license. NPMs can also be taken off-line individually for refueling outages and maintenance.

## 1.2.1.5 Fuel Handling and Storage Systems

The fuel handling and reactor maintenance areas are located in the west end of the RXB and include space for the SFP, refueling pool, and dry dock. The pools are shown in Figure 1.2-16.

### 1.2.1.6 Plant Cooling Water Systems

The plant cooling water systems include several systems that are important to supporting plant operation. These systems include the following:

- The reactor component cooling water system (RCCWS) is a nonsafety-related, closed-loop cooling system that transfers heat from various plant components to the site cooling water system. The RCCWS provides cooling to the CRDMs, the nonregenerative heat exchangers for each CVCS, and the primary sampling system coolers. (Section 9.2.2)
- The reactor pool cooling system and the spent fuel pool cooling system are nonsafety-related, closed-loop systems that transfer heat from the associated pool to the site cooling water system. (Section 9.1.3)
- The circulating water system is an open-loop system that provides a continuous supply of cooling water to the plant turbine condensers. Circulating water pumps draw water from a common basin to provide cooling water flow for up to six condensers in one TGB. Heated circulating water from the outlet of the condensers flows to a set of mechanical-draft cooling towers where excess heat is removed as the water gravity flows back to the common basin. (Section 10.4.5)
- The site cooling water system is an open-loop system that provides a continuous supply of cooling water to the chilled water system, the balance of plant component cooling water system, the spent fuel pool cooling system, the reactor pool cooling system, the RCCWS, and the condenser air removal system. Site cooling water pumps draw water from a common basin to provide cooling water flow to the systems serviced. Heated site cooling water from the outlet of the

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individual system heat exchangers continues to a dedicated set of mechanical-draft cooling towers where excess heat is removed as the water gravity flows back to the common basin. (Section 9.2.7)

## 1.2.1.7 Radioactive Waste Management System

The radioactive waste management system is discussed in detail in Chapter 11. Liquid, gaseous, and solid radioactive waste management systems are discussed in detail in Sections 11.2, 11.3, and 11.4, respectively. Process effluent radiation monitoring and sampling systems are discussed in Section 11.5.

## 1.2.2 General Arrangement of Major Structures and Equipment

Figure 1.2-2 presents the layout of a NuScale Power Plant. This figure includes an administration and training building and a warehouse that are outside the scope of the FSAR and not discussed further.

## 1.2.2.1 Reactor Building

As shown in Figure 1.2-2, the RXB is approximately central to the site. See Figure 1.2-5 and Figure 1.2-10 through Figure 1.2-20 for RXB drawings. Dimensions provided in Figure 1.2-5 are nominal or approximate values for illustrative purposes. The RXB houses the NPMs and systems and components required for plant operation and shutdown. The RXB is primarily a rectangular configuration that is approximately 350 ft long and 150 ft wide, and extends approximately 81 ft above nominal plant grade level. The bottom of the RXB foundation is 86 ft below grade except for the areas under the elevator pit and the refueling pool, which are approximately 92 ft below grade. The RXB is a Seismic Category I, reinforced concrete structure with design considerations for the effects of aircraft impact, environmental conditions, postulated design basis accidents (internal and external), and design basis threats. The RXB also provides radiation protection to plant operations and maintenance personnel.

Each NPM is located in the common reactor pool in its own three-walled bay with the open wall towards the center of the pool. The bays are arranged into two rows with six bays per row along the north and south walls of the reactor pool at the east end of the pool. A central channel is provided between the bays to allow for movement of the NPMs between the bays and the refueling pool. The bays are approximately 20 ft wide by 20 ft long by 98 ft deep with a normal reactor pool water depth of approximately 69 ft (this correlates to an elevation of approximately 94'). Each bay has a concrete bioshield to reduce radiation levels in the RXB and to prevent deposition of foreign materials onto an NPM. The bioshield consists of a two foot thick horizontal slab comprised of reinforced concrete and polyurethane with a stainless steel surface and steel vertical faceplate that extends into the pool. The horizontal slab is bolted to the top of the bay. The bioshields are designed to be removed to access the NPM. To accommodate the removed bioshield, each bioshield is designed to have another bioshield stacked on top of it to allow for NPM movement during refueling.

The NPM, reactor pool, and SFP are below grade. The surface of the reactor pool water is approximately 6 feet below grade. Also located below grade are most primary

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systems and some radioactive waste equipment. Hoisting and handling equipment is located above grade.

Pipe fittings and electrical connections are provided above the reactor pool water level to permit manual connection and disconnection during NPM installation, refueling outages, and during replacement or removal of NPMs.

There is no safety-related equipment on the 125'-0" elevation. With the exception of demineralized water isolation valves, which are located on the 50'-0" elevation, there is no safety-related equipment below the 75'-0" elevation. Table 3.2-1, Classification of Structures, Systems, and Components, provides the location and classification of systems, structures, and components.

#### 1.2.2.1.1 Fuel Handling and Reactor Maintenance Areas

The fuel handling and reactor maintenance areas are located in the west end of the RXB and include space for the SFP, refueling pool, and dry dock. The pools are shown in Figure 1.2-16.

The operating areas at the west end, 100'-0" elevation of the RXB provide space for the operation of fuel handling equipment and accessing the upper portion of an NPM while the reactor core is being refueled.

The refueling pool is connected directly to the reactor pool accommodating transport of an NPM through the pool water using the Reactor Building crane (RBC). A weir between the refueling pool and SFP provides access for fuel assembly transport under water during the refueling process. The fuel handling and maintenance areas are designed to provide radiation protection for plant operations and maintenance personnel who are working in those areas.

The area west of the SFP contains a fuel receiving area and a jib crane for loading new fuel assemblies into the new fuel elevator. The area has pallet jack access to aid in new fuel receiving activities. Upon receipt, new fuel assemblies are inspected and temporarily stored in racks in the SFP before being placed in a reactor core.

The SFP provides storage space for the accumulated spent fuel assemblies prior to removal for dry storage and for temporary short-term storage for new fuel assemblies. Spent fuel assemblies removed from the reactor core are placed in spent fuel storage racks in the SFP.

The refueling pool contains the bolting tools to disassemble and reassemble the NPM during refueling. The reactor core remains in the lower head of the RPV while in the refueling pool for refueling and fuel management. A fuel handling machine moves new and used fuel through the weir between the refueling pool and SFP.

The dry dock area contains the module inspection rack and is separated from the refueling pool by a gate. With the gate closed, the dry dock water level can be lowered and maintenance activities on the upper NPM can be completed. Necessary inspection and testing equipment for the NPM are moved to this area during refueling.

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The dry dock provides maintenance access to the upper section of the NPM. The dry dock is also used for placing new NPM components into the reactor pool and preparing them for assembly. Additionally, it provides access for shipment of used NPMs off-site.

### 1.2.2.1.2 Refueling Operations

Refueling operations for an individual NPM is independent of the operating status of the remaining NPMs.

During refueling, an NPM is moved from its operating bay in the reactor pool to the refueling pool using the RBC. The RBC lifts the NPM off its supports within the reactor bay and moves it to the open channel in the center of the reactor pool, which serves as a pathway to transport the NPM to the refueling area.

In the refueling area, the NPM is set into the containment flange tool where the CNV flange is unbolted. The crane lifts the NPM, separating the lower CNV from the upper CNV with RPV still attached and intact. Next, the crane moves the upper CNV and RPV to the reactor vessel flange tool where the RPV flange is unbolted. The crane again lifts the NPM, this time separating the upper and lower RPV, leaving the lower RPV including the reactor core, in the reactor vessel flange tool. Finally, the crane transports the upper NPM (now consisting of just the upper CNV with attached upper RPV) to the module inspection rack in the dry dock. Inspection, testing, and maintenance are performed while the core is being refueled using a dedicated fuel handling machine.

After inspection, maintenance, and testing are complete and the reactor core has been refueled, the upper portion of the NPM is moved from the dry dock to the refueling pool where the NPM is reassembled in reverse order using the dedicated flange tools. Following reassembly, the NPM is moved into the reactor pool and returned to its operating bay by the RBC. In the operating bay, startup tests are performed and the reactor is prepared for restart. After the NPM has passed necessary tests and inspections, and the reactor coolant is at startup conditions, the NPM is brought online, and steam and power production begins.

### 1.2.2.2 Control Building

The CRB is located approximately 30 ft east of the RXB. See Figure 1.2-21 through Figure 1.2-27 for CRB drawings. The overall CRB footprint is rectangular, approximately 120 ft long by 80 ft wide at the 100'-0" elevation.

The following portions of the CRB are nonsafety-related and Seismic Category II:

- above the 120'-0" elevation
- inside the elevator shaft (full building height)
- inside the two stairwells (full building height)
- the fire protection vestibule located on the East side of the CRB

Structural steel and metal siding are used above the 120'-0" elevation. The remaining portion of the CRB, below the 120'-0" elevation, is a safety-related, Seismic Category I, concrete structure.

The lowest elevation of the CRB primarily houses electrical equipment and CRHS air bottles. There is a tunnel that connects the RXB to the CRB. However, the tunnel is for electrical equipment rather than personnel travel between the two buildings.

The MCR and the associated spaces are located below grade in the CRB. This is the area serviced by the CRHS. Associated spaces for the MCR include the following:

- conference room (shift turnover)
- open office area (auxiliary operator room)
- two offices
- storage room
- janitor closet
- three air locks
- viewing area
- shift manager's office
- reference room
- emergency equipment room
- lavatories
- break room
- telecommunication room

A tunnel allows for personnel travel between the CRB and RXB.

The technical support center (TSC) and the associated spaces are located at grade level in the CRB. Associated spaces for the TSC include:

- records storage
- three offices
- two conference rooms
- data equipment room
- lavatories
- data maintenance room
- break room

Additional equipment located in the CRB includes the control room HVAC system (CRVS) equipment, the chilled water system equipment supporting the CRVS, and an elevator machine room.

#### 1.2.2.2.1 Main Control Room

The MCR contains control panels for all installed NPMs. Each reactor operator monitors and controls multiple NPMs from a control room panel. Figure 18.7-1 provides the layout for the MCR.

Digital control systems are implemented in a manner that provides independence between safety-related protection systems and nonsafety-related control systems. Each reactor control system display provides the monitoring for a specific reactor. Additional display stations, including a separate display for shared plant systems, provide control room operators with access to a wide range of plant information for trending and diagnostics.

The reactor operators monitor the automated control system for each NPM. The MCR contains all alarms, displays, and controls for effective monitoring and control by the operators. The control room supervisor station provides an overview of all NPMs using multiple monitors. All monitor displays are designed using human factors analysis to enhance simplicity. The display layout and design uses graphical representations of plant systems and components.

The following monitoring and control activities are typical control room functions:

- initiate NPM startup
- initiate NPM shutdown
- set or correct selected set points that control the NPM or plant functions
- take corrective actions if an NPM or plant system does not operate as intended

The MCR enhances supervisory control of the NPMs and plant systems by providing alarm annunciation on the plant group-view overview display monitor as part of the alarm management system. This system includes information from the individual NPMs via the MPS, the MCS, and the shared I&C systems common to all the NPMs. In the event that the MCR becomes uninhabitable, a remote shutdown station in the Reactor Building provides a secondary location for safe shutdown of the reactors.

#### 1.2.2.2.2 Technical Support Center

A TSC is provided, compliant with the design requirements of NUREG-0696. Section 13.3 provides additional information.

#### 1.2.2.3 Radioactive Waste Building

The RWB houses equipment and systems for processing radioactive gaseous, liquid, and solid waste and for preparing waste for off-site shipment. See Figure 1.2-28 through Figure 1.2-33 for RWB drawings. The building houses equipment to prepare low-level radioactive waste for compaction to reduce volume and provides temporary storage for radioactive waste. HVAC equipment for high-efficiency particulate air filtration of air from the RXB and RWB is located in the RWB. The building is designed to

maintain radiation exposures to operators and maintenance personnel as low as reasonably achievable.

### 1.2.2.4 Major Systems

### 1.2.2.4.1 Decay Heat Removal System

The DHRS provides secondary side reactor cooling for non-LOCA events when normal feedwater is not available. The system, as shown in Figure 1.2-8, is a closed-loop, two-phase natural circulation cooling system. Two trains of decay heat removal equipment are provided, one attached to each SG loop. Each train is capable of removing 100 percent of the decay heat load and cooling the RCS. Each train has a passive condenser immersed in the reactor pool. In the event of a SG tube failure, the affected SG is isolated and the DHRS provides cooling through the intact SG.

On receipt of an actuation signal, feedwater and main steam isolation valves are closed and the DHRS valves open. Reactor coolant continues to circulate through the RPV collecting decay heat from the core. As water from the DHRS condenser travels through the SG tubes it is converted to steam absorbing decay heat from the reactor coolant. The steam then flows back to the DHRS condenser where it gives up excess heat to the reactor pool water and is condensed, and the cycle is repeated. This transfer of heat promotes natural circulation in both the RCS and the DHRS.

Section 5.4.2 provides design and operational information for the DHRS.

#### 1.2.2.4.2 Ultimate Heat Sink

The ultimate heat sink is a large, stainless steel-lined, reinforced concrete pool located in the RXB below plant grade level. The ultimate heat sink consists of the reactor pool area, the refueling pool area, and the spent fuel pool area. The pool areas are shown in Figure 1.2-16. During normal plant operations, heat is removed from the pool through the reactor pool cooling system and rejected into the atmosphere through a cooling tower or other external heat sink. The spent fuel pool has an independent spent fuel pool cooling system.

In a design basis accident involving a sustained loss of all AC power, decay heat is removed from the NPMs through passive heat transfer to the pool resulting in pool heat up and boiling. Water inventory in the reactor pool is adequate to cool the NPMs for at least 72 hours without adding water.

The reactor pool provides an additional means of fission product retention beyond that of the fuel, fuel cladding, RPV, and the containment for certain events.

Section 9.2.5 provides design and operational information for the ultimate heat sink.

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### 1.2.2.4.3 Chemical and Volume Control System

The CVCS is simple in design and its operation is not credited during or after an accident. During normal operation, the CVCS recirculates a portion of the reactor coolant through demineralizers and filters to maintain reactor coolant cleanliness and chemistry. A portion of the recirculated coolant is used to supply pressurizer spray for controlling reactor pressure. Reactor coolant inventory is controlled by injection of additional water when reactor coolant levels are low or letdown of reactor coolant to the liquid radioactive waste system when coolant inventory is high.

Additionally, during the NPM startup process, the CVCS is used in conjunction with the module heatup system, to add heat to the reactor coolant to establish natural circulation flow in the RCS.

Boron concentration in the RCS is controlled by a feed-and-bleed process. Injection pumps provide borated water or clean demineralized water that is delivered into the RCS with excess reactor coolant being letdown to the radioactive waste system. Safety-related protection is provided for an anticipated operational occurrence involving unintended dilution of the RCS due to CVCS equipment failure or operating error.

Section 9.3.4 provides design and operational information of the CVCS.

#### 1.2.2.5 Other Site Structures

### 1.2.2.5.1 Turbine Generator Building

A NuScale Power Plant has two separate TGBs. The TGBs are nonsafety-related structures. Each building houses six turbine generator sets along with their auxiliaries, the condensers, condensate systems, and the feedwater systems. A laydown area and overhead crane are provided for installation and maintenance activities in each TGB.

### 1.2.2.5.2 Annex Building

The ANB is a nonsafety-related structure. The ANB houses several facilities and serves several functions, including:

- controlling access to both radiologically-controlled and nonradiologicallycontrolled areas of the RXB
- housing various personnel support services such as locker rooms, showers, toilet facilities, lunch and conference rooms, and first aid
- [[providing space for personnel and component decontamination equipment and employee dosimeter processing
- housing a portion of the facilities that support plant security such as secondary alarm station, security briefing room, armory, security manager's office, etc.]]

#### 1.2.2.5.3 Security Buildings

The SCBs are non-safety related structures that include the following structures:

- primary access control building
- main security building
- vehicle barrier system

The SCBs provide the following nonsafety-related functions:

- control personnel and vehicle entry into the PA and screen personnel seeking unescorted access into the PA.
- verify identity and access status as well as search for contraband items.
- provide a structure or space to monitor access into areas of the plant as well as monitoring tamper alarm devices.

### 1.2.2.5.4 Central Utility Building

The CUB is a nonsafety-related structure that houses common utility plant services, which include the following:

- [[chiller equipment
- instrument air system
- service air system
- chemical treatment equipment for demineralized water
- maintenance area
- life safety
- demineralized water equipment
- security functions]]

### 1.2.2.5.5 [[Diesel Generator Buildings

The NuScale Power Plant design includes two DGBs, each housing a single backup diesel generator. The principal functions of each DGB are to provide support and housing for the backup diesel generators and their auxiliary equipment. The DGB houses no safety-related systems and has no functional requirements that support the ESFs. The DGBs house the following:

- diesel engines and associated support equipment
- generators
- DGB HVAC system
- maintenance area]]

### 1.2.3 Plant Features of Special Interest

### **Human Factors Considerations**

The NuScale Power Plant design minimizes human error through fail-safe design functionality, allows multi-modular control capability from a single control room with effective automation design, employs digital display design and soft control technology to enhance usability, and provides optimum workload management.

The HFE program satisfies specific regulatory requirements and guidance, and leverages human performance and operating experience from nuclear and non-nuclear industries.

Chapter 18 describes the HFE Program.

Table 1.2-1: Overall Characteristics of a NuScale Power Plant

| Overall Plant  |   |  |  |
|--|---|--|--|
| Nominal net output   | 570 MWe*  |  |  |
| Number of power modules  | 12  |  |  |
| Power Module   |   |  |  |
| Number of reactors   | One   |  |  |
| Thermal power rating   | 160 MWth  |  |  |
| Nominal gross electrical output  | 50 MWe  |  |  |
| RCS normal operating pressure  | 1,850 psia                                      |  |  |
| Steam generator number   | Two   |  |  |
| Steam generator type   | Vertical helical tube                           |  |  |
| Steam cycle  | Rankine-subcritical regenerative with superheat |  |  |
| Turbine type   | 3,600 rpm, condensing, with extraction          |  |  |
| Reactor Core   |   |  |  |
| Fuel   | UO <sub>2</sub> (<4.95% enrichment)             |  |  |
| Refueling intervals  | 24 months                                       |  |  |
| * Nominal net output is total gross electrical output minus house loads. |   |  |  |

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Table 1.2-2: Design Features of a NuScale Power Module

| NuScale Design Feature           | Primary Impact                      | Safety Enhancement                             |
|----------------------------------|-------------------------------------|--|
| RCS contained within the RPV     | No large diameter primary coolant   | Eliminates postulated large-break LOCA         |
|                                  | piping                              | spectrum accidents                             |
| Natural-convection-cooled core   | No reactor coolant pumps            | Eliminates reactor coolant pump accidents,     |
|                                  |                                     | shaft breaks, pump seizure, missile generation |
|                                  |                                     | and pump leaks                                 |
| High containment design pressure | Containment peak pressure for       | Containment integrity assured, minimizing the  |
|                                  | worst case design-basis accident    | potential for radioactive releases during      |
|                                  | remains below containment design    | postulated accidents.                          |
|                                  | pressure                            |  |
| RPV and NSSS inside the CNV      | During an accident, any water lost  | No postulated design-basis small-break LOCA    |
|                                  | from RPV stays within containment   | capable of uncovering nuclear fuel             |
|                                  | and is returned to the RPV by       |  |
|                                  | passive means                       |  |
| Evacuated containment            | Subatmospheric pressure during      | Minimal amount of noncondensible gases         |
|                                  | normal operation                    | increases the steam condensation rate for      |
|                                  |                                     | containment heat removal during postulated     |
|                                  |                                     | small-break LOCA. Amount of oxygen in          |
|                                  |                                     | containment during normal operations is        |
|                                  |                                     | minimized.                                     |
|                                  | No insulation on RPV                | Eliminates potential sump screen blockage and  |
|                                  |                                     | permits cooling of the exterior of the vessel  |
|                                  |                                     | during an accident                             |
| Low power core (160 MWt)         | Reduces decay heat removal          | Enhances in-vessel retention; maintains low    |
|                                  | requirements                        | accident consequences; reduces fission         |
|                                  |                                     | product source term; simplifies emergency      |
|                                  |                                     | planning                                       |
| Reactor pool with partially      | CNV partially immersed in reactor   | Provides passive long-term cooling             |
| (approximately 90%) immersed NPM | pool                                |  |
| Passive safety systems           | Safety systems cool and             | Active safety systems are not required         |
|                                  | depressurize the RPV/CNV even in    |  |
|                                  | the event of loss of external power |  |

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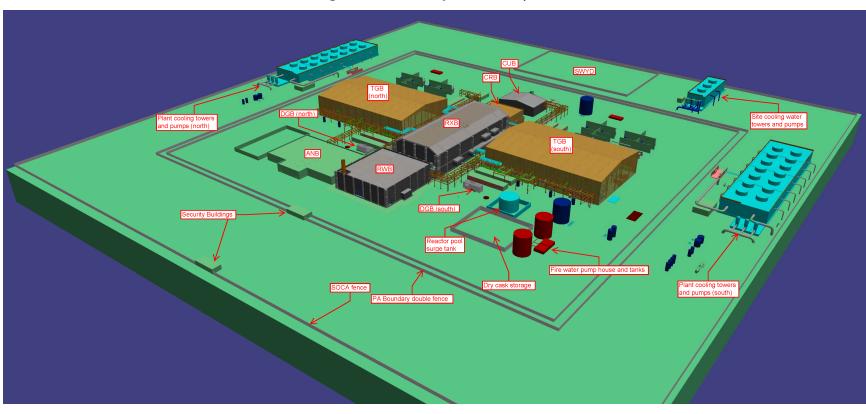
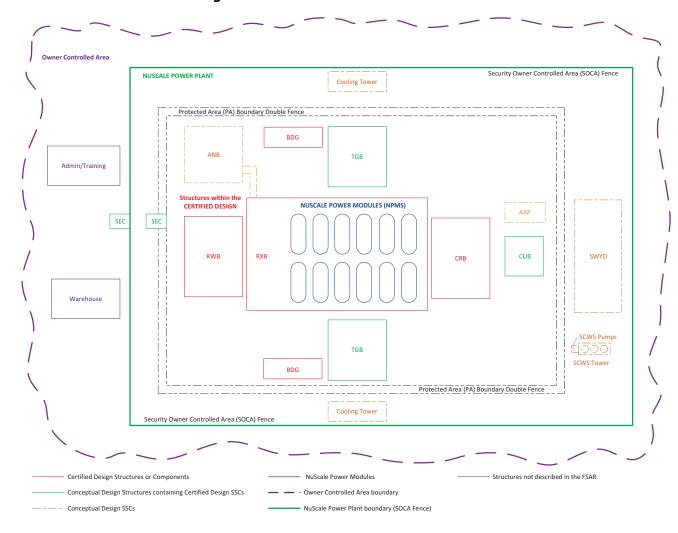


Figure 1.2-1: Conceptual Site Layout

**Figure 1.2-2: NuScale Functional Boundaries** 



**NuScale Final Safety Analysis Report** 

From Other Units Cooling Turbine Reactor Towers Bypass Building Condenser Steam Condensate Turbine Polishing 1 To Other Units Generator Condensate Pumps IP Feedwater Heater Feedwater LP Feedwater Pumps Feedwater Heater © NuScale Power, LLC

Figure 1.2-3: Schematic of a Single NuScale Power Module and Associated Secondary Equipment

Figure 1.2-4: Layout of a Multi-Module NuScale Power Plant

Figure 1.2-5: Cutaway Illustration of 12 Module Configuration

CONTROL ROD DRIVE MECHANISM PRESSURIZER MAIN STEAM RISER (PRIMARY FLOW) STEAM GENERATOR (SECONDARY FLOW) CONTAINMENT VESSEL FEEDWATER DOWNCOMER (PRIMARY FLOW) REACTOR **PRESSURE** VESSEL CORE (PRIMARY FLOW)

Figure 1.2-6: Cutaway View of NuScale Power Module

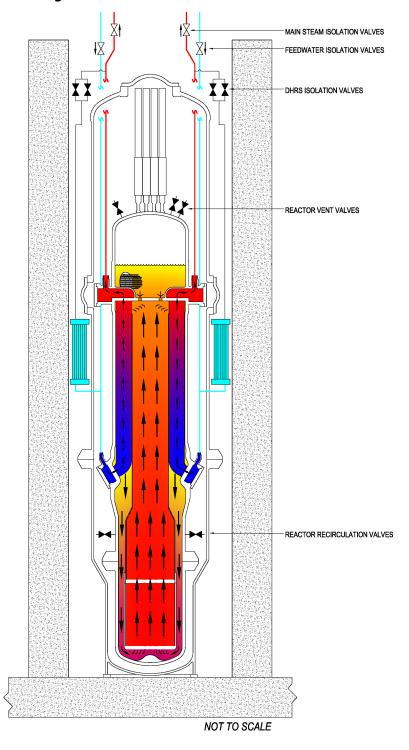


Figure 1.2-7: Steam Generator and Reactor Flow

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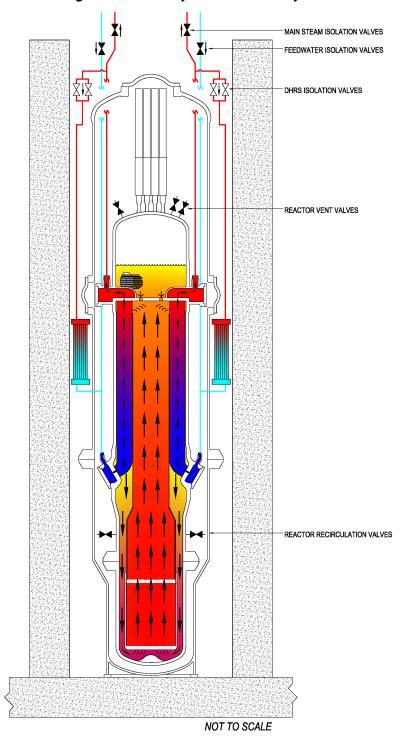
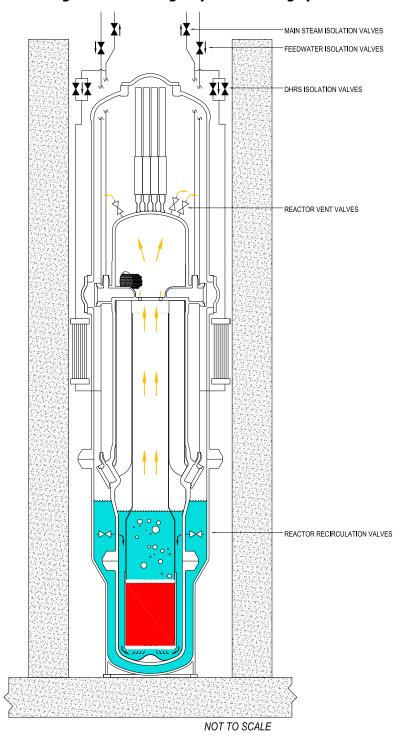


Figure 1.2-8: Decay Heat Removal System

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**Figure 1.2-9: Emergency Core Cooling System** 

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# Figure 1.2-10: Reactor Building 24'-0" Elevation

{{ Withheld - See Part 9 }}

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# Figure 1.2-11: Reactor Building 35'-8" Elevation

{{ Withheld - See Part 9 }}

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# Figure 1.2-12: Reactor Building 50'-0" Elevation

{{ Withheld - See Part 9 }}

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# Figure 1.2-13: Reactor Building 62'-0" Elevation

# Figure 1.2-14: Reactor Building 75'-0" Elevation

# Figure 1.2-15: Reactor Building 86'-0" Elevation

# Figure 1.2-16: Reactor Building 100'-0" Elevation

# Figure 1.2-17: Reactor Building 126'-0" Elevation

{{ Withheld - See Part 9 }}

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Figure 1.2-18: Reactor Building 145'-6" Elevation

{{ Withheld - See Part 9 }}

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# Figure 1.2-19: Reactor Building East and West Section View

# Figure 1.2-20: Reactor Building South Section View

Figure 1.2-23: Control Building 76'-6" Elevation

# Figure 1.2-27: Control Building West Section View

# Figure 1.2-28: Radioactive Waste Building 71'-0" Elevation

# Figure 1.2-29: Radioactive Waste Building 82'-0" Elevation

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Figure 1.2-30: Radioactive Waste Building 100'-0" Elevation

{{ Withheld - See Part 9 }}

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# Figure 1.2-31: Radioactive Waste Building 120'-0" Elevation

Figure 1.2-32: Radioactive Waste Building North and South Section Views

# Figure 1.2-33: Radioactive Waste Building West Section View

## 1.3 Comparison with Other Facilities

The major NuScale Power Plant design features and nominal parameters are provided in Table 1.3-1 and discussed further in the associated final safety analysis report (FSAR) section(s). These NuScale features and values are shown in comparison with a typical pressurized water reactor (PWR) plant design. All values are nominal and provided for comparison only. The typical PWR values presented are representative of the Standardized Nuclear Unit Power Plant System design.

Table 1.3-2 provides a comparison of safety systems and components required to protect the reactor core for the NuScale Power Plant versus a typical PWR plant.

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**Table 1.3-1: NuScale Plant Comparison with Other Facilities** 

| NuScale Plant Parameter or Feature (per NPM) | Typical PWR                           | NuScale                            |
|--|---------------------------------------|------------------------------------|
| Nominal gross electrical output (MWe)        | 1,186                                 | 50                                 |
| Core thermal output (MWt)                    | 3,411                                 | 160                                |
| Number of fuel assemblies                    | 193                                   | 37                                 |
| Fuel assembly lattice                        | -17x17                                | 17x17                              |
| Effective fuel length (ft)                   | 12                                    | 6.56                               |
| Fuel rods per fuel assembly                  | 264                                   | 264                                |
| Average linear heat rate (kW/ft)             | 5.4                                   | 2.5                                |
| Number of Control Rod Assemblies             | 53                                    | 16                                 |
| Design life (years)                          | 40                                    | 60                                 |
| Reactor Coolant System                       | -                                     |                                    |
| Number of heat transfer loops                | 4                                     | No External Loops                  |
| Reactor Coolant Pipes (in.)                  | 27.5-31                               | None                               |
| Operating pressure (psia)                    | 2,250                                 | 1,850                              |
| Hot leg temperature (°F)                     | 618                                   | 590                                |
| Reactor Vessel                               | 0.0                                   | 330                                |
| Vessel inner diameter (in.)                  | 173                                   | 107.5                              |
| Thermal shielding- and reflector design      | Neutron pad design                    | Stacked stainless steel reflector  |
| and reflector design                         | Neutron pad design                    | blocks                             |
| In-core instrumentation                      | Bottom mounted                        | Top mounted                        |
| Steam Generator                              | Bottom mounted                        | Top mounted                        |
| Number                                       | 4                                     | 2                                  |
|  | Vertical U-tube                       | Helical coil                       |
| Type Heat transfer area (ft2)                | 55,000                                | Approximately 18,000               |
| Number of tubes                              | 5,626                                 | 1,380                              |
|  | 3,020                                 | 0                                  |
| Reactor Coolant Pumps Pressurizer            | 4                                     | 0                                  |
|  | 1 000                                 | 560                                |
| Internal volume (ft3)                        | 1,800                                 | 568                                |
| Surge nozzle nominal diameter (in.)          | 14                                    | None                               |
| Residual Heat Removal Pumps                  | 2                                     | None                               |
| Containment                                  | T                                     | 1                                  |
| Type   | PCCV                                  | Steel Pressure Vessel              |
| Inner diameter (ft-in.)                      | 140-0                                 | 14-2                               |
| Height (ft-in.)                              | 205-0 (inner)                         | 75-8.5 (outer)                     |
| Containment Spray Pumps                      | 2                                     | None                               |
| High Pressure Safety Injection Pumps         | 2                                     | None                               |
| Charging / Safety Injection Pumps            | 2                                     | None                               |
| Low Pressure Safety Injection Pumps          | 2                                     | None                               |
| Accumulators                                 | 4                                     | None                               |
| I&C System type                              | Analog                                | Digital                            |
| Emergency Diesel Generators                  | 2                                     | None                               |
| Turbine Type                                 | 1800 rpm, Tandem Compound Six<br>Flow | 3,600 rpm, 10 stage with Superheat |
| Emergency Feedwater Pumps                    | 3                                     | None                               |
| Charging Pumps (CVCS pumps)                  | 2                                     | 2                                  |
| Used for Safety Injection                    | Yes                                   | No                                 |
| Volume Control Tank                          | 1                                     | 0                                  |
| Reactor Component Cooling Water Pumps        | 4                                     | 6 total for 12 NPMs                |

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Table 1.3-2: Safety Systems and Components Required to Protect the Reactor Core - NuScale Comparison with Other Facilities

| Safety System or Component                        | Typical PWR | NuScale |
|---|-------------|---------|
| Reactor Pressure Vessel                           | X           | Χ       |
| Containment Vessel                                | X           | Х       |
| Reactor Coolant System                            | X           | Х       |
| Decay Heat Removal System                         | X           | Х       |
| Emergency Core Cooling System                     | X           | Х       |
| Control Rod Drive System                          | X           | Х       |
| Containment Isolation System                      | X           | Х       |
| Ultimate Heat Sink                                | X           | Х       |
| Residual Heat Removal System                      | X           |         |
| Safety Injection System                           | X           |         |
| Refueling Water Storage Tank                      | X           |         |
| Condensate Storage Tank                           | X           |         |
| Auxiliary Feedwater System                        | X           |         |
| Emergency Service Water System                    | X           |         |
| Hydrogen Recombiner or Ignition System            | X           |         |
| Containment Spray System                          | X           |         |
| Reactor Coolant Pumps                             | X           |         |
| Safety-Related Electrical Distribution System     | X           |         |
| Alternative Off-Site Power                        | X           |         |
| Emergency Diesel Generators                       | X           |         |
| Safety-Related Class 1E Battery System            | X           |         |
| Anticipated Transient Without Scram (ATWS) System | X           |         |

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#### 1.4 Identification of Agents and Contractors

# 1.4.1 Applicant and Program Manager

NuScale Power, LLC (NuScale) was founded in 2007. The NuScale Power Plant design takes advantage of existing design tools and available nuclear fuel options while leveraging the wealth of knowledge developed through more than 50 years of practical application of light-water-cooled pressurized water reactor (PWR) technology.

#### 1.4.2 Division of Responsibility

NuScale has the overall design responsibility for the NuScale certified design including reactor, containment, primary reactor systems and structures (e.g., Reactor Building, Control Building, and Radioactive Waste Building). NuScale maintains headquarters in Portland, Oregon with engineering design offices in Corvallis, Oregon and Charlotte, North Carolina. In addition, NuScale uses major testing facilities in the United States, Canada, Italy, France, and Germany.

## 1.4.3 Principal Consultants and Other Participants

Fluor Corporation (Fluor) provides the balance of plant design from its Greenville, South Carolina office. Fluor, a major stakeholder of NuScale, has extensive architectural-engineering experience with over 40 years' experience with commercial nuclear projects, providing operating plant support services to 50 United States and international units at 29 locations. Fluor and its nearly 40,000 employees work with governments and clients in diverse industries around the world to design, construct, and maintain complex and challenging capital projects.

COL Item 1.4-1: A COL applicant that references the NuScale Power Plant design certification will identify the prime agents or contractors for the construction and operation of the nuclear power plant.

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#### 1.5 Requirements for Additional Technical Information

This section describes the verification and confirmation tests of unique design features that support the safety analysis for the NuScale Power Plant. The testing program described in this section was developed to provide data to support the final safety analyses.

## 1.5.1 NuScale Testing Programs

The following testing programs have been completed or are currently in progress. The tests focus on design features of the NuScale Power Module (NPM) for which applicable data or operational experience did not previously exist. Tests specific to the NuScale fuel design are summarized in Section 1.5.1.1 and Section 1.5.1.2; tests specific to the steam generator (SG) are summarized in Section 1.5.1.3 and Section 1.5.1.4; tests specific to the control rod assemblies are summarized in Section 1.5.1.6 and Section 1.5.1.7; and tests involving integrated system phenomena are summarized in Section 1.5.1.5.

#### 1.5.1.1 Critical Heat Flux Testing - Preliminary Fuel Design

The NPM employs a fuel design for heat generation that is similar to a standard pressurized water reactor (PWR), with the exception of the fuel assembly height and the reactor coolant driving force. The NuScale fuel is approximately half the height of standard PWR fuel and features low-flow natural circulation of primary coolant rather than pump-driven primary coolant flow. In order to meet fuel licensing requirements, two critical heat flux (CHF) test programs were conducted: (1) a test program described in this section for the preliminary fuel design, and (2) a second test program described in Section 1.5.1.2 for the final fuel design. The preliminary fuel design test program supported code development and safety analysis efforts, and provided data for development of NuScale's NSP2 CHF correlation for the final fuel design.

The NPM reactor core design employs 37 nuclear fuel assemblies. Each assembly is composed of a 17x17 square lattice of fuel rods assembled according to a given rod-to-rod pitch. Each fuel rod is approximately 2 meters in length. Fuel rods are assembled using spacer grids placed at specified locations along the length of the fuel rods such that fuel rods are evenly spaced and adequately supported. Primary coolant enters the NPM reactor core from the bottom through the core inlet plenum and heat transfer to the coolant occurs as coolant travels upward along the length of the fuel assemblies.

In off-normal conditions, such as anticipated operational occurrences and postulated accidents, it must be known how close the heat transfer mode is to transitioning to a state where a continuous steam layer covers the fuel rods or portions of the fuel rods. The point at which this transition occurs is referred to as the CHF point. In order to determine the CHF point for the reduced-length fuel under appropriate flow conditions, a CHF testing program was conducted over a wide range of operating conditions. In these tests, instrumentation was used to measure key test parameters, including: resistance temperature detectors (RTD), thermocouples, pressure transducers, mass flow rate instruments, and electrical voltage and current meters. These sensors were used to measure heater rod temperatures and fluid flow conditions at various points of the fluid loop, and the electrical power supplied to heater rods when CHF occurred. The tests allowed NuScale to obtain fuel bundle subchannel exit temperatures to determine mixing coefficients and to obtain single-phase and two-

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phase pressure drop characteristics of the test assembly for a range of bundle powers and hydraulic conditions. All information necessary for CHF correlation development and evaluation was collected.

Testing for the preliminary fuel design CHF test series was performed at Stern Laboratories in Ontario, Canada using their existing high-pressure flow loop, electrical power supplies, heat rejection devices, water conditioning system, and data acquisition system. The test section and fuel simulators were designed and manufactured to represent the preliminary NuScale fuel design. Fuel assembly simulators with different axial power shapes were manufactured along with two sets of spacer grids that allowed testing of a 5x5 fuel assembly simulation bundle with or without the center fuel rod replaced by a guide tube. The approach of using a 5x5 representation of the larger 17x17 fuel assembly is an industry-accepted practice for CHF testing of PWR fuel bundles. The fuel assembly simulation bundles were mounted within a square flow channel and installed in the instrumented test section. This allowed testing of three separate configurations of fuel assembly simulation bundles with different axial flux shapes and fuel assembly subchannels as described in NuScale Power Critical Heat Flux Correlations topical report (TR-0116-21012).

Tests were performed to envelope a range of bounding conditions and axial power shapes for vertical 5x5 fuel assembly configurations in accordance with the test specification and program documentation, which provided detailed test matrices for steady-state and transient CHF testing, pressure drop, and thermal mixing. The vertical 5x5 fuel assembly configurations were tested using industry-accepted test and acceptance methodology.

The CHF testing was conducted by flowing water over the test sections at discrete test points covering a range of hydraulic conditions sufficient to develop a CHF correlation that spanned the NPM operational envelope. At each test point, the loop was configured for the specified flow, inlet temperature, and exit pressure conditions. The bundle power was increased until CHF was detected, which was indicated by an excursion of the fuel simulator thermocouples. Loop flow conditions (temperature, pressure, and flow), bundle power, rod power, and fuel simulator temperatures were recorded for each run. As-built data for the test section and test article, such as flow channel width, fuel simulator diameters, and spacer grid dimensions, were also recorded.

In conclusion, tests were performed for a variety of thermal conditions using representative 5x5 fuel assembly simulations with a 2-meter heated length, differing axial power profiles, with and without a simulated guide tube. The testing investigated the effects of shorter fuel length and low-flow natural circulation of the primary coolant, and provided data that were used to develop NuScale's NSP2 CHF correlation in support of the NuScale small modular reactor technology. This test program was inspected by the NRC in accordance with Inspection Procedures IP 35034, 35017, and 36100.

#### 1.5.1.2 Critical Heat Flux Testing - NuFuel HTP2™ Fuel Design

The primary objective for this test program was to obtain CHF data for the NuScale fuel design that employs AREVA HMP™/HTP™ spacer grid technology (designated as

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NuFuel HTP2<sup>TM</sup>) to augment the existing database that was previously obtained for NuScale's preliminary fuel design (described in Section 1.5.1.1). The new data was used to develop NuScale NSP4 CHF correlation and to validate NuScale's NSP2 CHF correlation developed using the preliminary fuel design tests for the NPM application. In addition, this test allowed NuScale to obtain bundle subchannel exit temperatures to determine mixing coefficients, and to collect single-phase and two-phase pressure drop characteristics of the assembly for a range of bundle powers and hydraulic conditions.

The CHF test employed an electrically-heated test section that consisted of a 5x5 simulated fuel bundle built to prototypic geometry and employing AREVA HTP™/ HMP™ grid technology. The fuel assembly simulators with different power shapes were tested using a 5x5 fuel bundle with or without the center fuel rod replaced by a guide tube. The testing was conducted by flowing water through the test section at specified flow rates over a range of hydraulic conditions of the NPM. At each test point, the loop was configured for a specified flow rate, inlet temperature, and exit pressure conditions, and the bundle power was increased until CHF was detected over a range of operating conditions and axial power shapes for vertical 5X5 fuel assembly configurations. The occurrence of CHF was indicated by an excursion of the fuel simulator temperatures.

The prototypic fuel design tests were conducted at the AREVA Karlstein Thermal Hydraulics (KATHY) facility located in Karlstein, Germany. The test data was used to validate the applicability of NuScale's NSP2 CHF correlation and to develop the NSP4 correlation for the NuFuel HTP2™ fuel design.

# 1.5.1.3 Steam Generator Thermal-Hydraulic Performance Testing - Electrically Heated Facility

The NPM incorporates two collocated SGs housed within the reactor pressure vessel. The SGs provide heat transfer to and from the primary system for both normal and off-normal conditions. Through natural circulation, the reactor coolant system transfers the core power to the SG converting feed water into steam. Unlike current PWR designs, the reactor coolant flows around the outside of the SG tubes (primary side) and the feedwater and main steam flow through the inside of the tubes (secondary side). Because these design aspects of the helical SGs are different from those used in the nuclear fleet, operational experience is not available and large-scale experimental data were needed for validation of NuScale thermal-hydraulic systems and design computer codes, as well as determination of SG performance characteristics.

The objective of this testing was to determine the secondary side (inside tube) thermal-hydraulic performance of individual helical tubes representative of those used in the NPM steam generator design. This required testing over a range of conditions representative of the operational envelope. Measurement data were required to evaluate the distribution of temperature and pressure on the inside of the tubes.

The electrically-heated test focused on secondary-side performance and consisted of three isolated tubes that were instrumented with well-controlled boundary conditions. Heating was accomplished using Joule heating, wherein a known electrical current is passed through the tube walls to produce a constant heat flux boundary condition on

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the inside of the tubes. Three distinctive heating zones were employed to provide different heat fluxes for the subcooled, boiling, and superheat regions. Within each zone the heat flux was constant, which represents a simplification from the heat flux profile that results when fluid heating is employed, as would occur in an operating NuScale SG. This approach enabled tube wall heat flux to be controlled during testing and permitted better access to instrumentation on the outside of the tubing.

The testing was performed at the Societ Informazioni Esperienze Termoidrauliche (SIET) test facility in Piacenza, Italy. Types of testing carried out included adiabatic testing, diabatic testing, transient testing, and density wave oscillation testing. Dynamic pressure measurements were recorded during test runs which supported development of power spectral density spectra that may be used to support evaluation of the potential for internal two-phase (boiling) pressure fluctuations to contribute to flow induced vibration of SG tubes. These data also were used to inform sizing of the SG inlet flow restrictors for stable secondary-side SG operation, to provide benchmarking for NuScale thermal-hydraulic design and systems computer codes, and to define steam outlet conditions as a function of primary heat generation and secondary side conditions. This test program was inspected by the NRC in accordance with Inspection Procedures IP 35034, 35017, and 36100.

#### 1.5.1.4 Steam Generator Thermal-Hydraulic Performance Testing - Fluid-Heated Facility

Subsequent to the SG tests described in Section 1.5.1.3 that used three electrically heated SG tubes, a second set of SG tests was conducted using a 252-tube bundle array that was fluid heated on the exterior of the tubes to more accurately represent primary side SG conditions.

The test facility included a large pressure vessel, which was able to accommodate the tube bundle test section and allowed for testing at elevated pressures and temperatures. The test facility included heaters and pumps that provided a span of flow rates at a wide range of thermal-hydraulic conditions. The fluid-heated test focused on overall primary and secondary side performance, and consisted of a bank of 252 helical tubes, modeling five of the 21 helical coil columns, operated at near-prototypic primary- and secondary-flow conditions.

Testing activities were conducted at SIET in Piacenza, Italy using their fluid-heated hydraulic loop. Types of testing carried out included: adiabatic, diabatic, transient, density wave oscillation, and fluid-elastic instability tests. Each type of test consisted of multiple test points covering a range of conditions to characterize the phenomena of interest at various combinations of primary-side and secondary-side pressures, temperatures, and flow rates. In these tests, thermocouples, pressure transducers, mass flow rate instruments, and strain gauges were used to collect temperature, pressure, flow rate, and vibration data at several locations on the primary and secondary sides of the SG. These data have been used to benchmark NuScale thermal-hydraulic design and systems computer codes, and to define steam outlet conditions as a function of primary-fluid heating and secondary-side conditions.

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## 1.5.1.5 NuScale Integral System Test Program

The purpose of the NuScale integral system test program was to generate thermal-hydraulic data for system characterization and safety code validation using a scaled representation of the NPM design. Tests have also informed safety methodology development.

The NuScale Integral System Test Facility (NIST-1) allows NuScale to replicate the integrated thermal-hydraulic phenomenon occurring in the reactor coolant system, containment, safety systems, and reactor pool. Data collected provide system characterization data required for validation of safety-related software, NRELAP5 and PIM. The NRELAP5 code, which is based on a commercial version of RELAP5-3D, is a thermal-hydraulic analysis code developed at NuScale for use in the thermal-hydraulic design, safety analysis, and licensing of the NPM. The code incorporates models and correlations specific to the unique design features of the NPM. The PIM code is a NuScale-developed proprietary code used to assess the stability characteristics of the NPM during operation.

The NIST-1 is a scaled representation of the NPM reactor, containment, and reactor pool systems. It is constructed of stainless steel and has a maximum operating pressure of 1650 psia (11.4 MPa) and temperature of 630 degrees F (605 degrees K). NIST-1 volumes, lengths, and areas are obtained by multiplying the respective NPM design volumes, lengths, active heat transfer areas, and flow areas by the applicable scale factors determined through a detailed scaling analysis. This process ensures the NIST-1 properly captures the important thermal-hydraulic phenomena and processes that would occur in the plant.

A series of tests have been completed at the NIST-1, located on the Oregon State University campus in Corvallis, OR, in support of NuScale's Design Certification Application. These tests include:

- facility characterization tests used to develop the NRELAP5 model of the NIST-1.
- loss-of-coolant accident (LOCA) tests used to validate NRELAP5 for LOCA and containment analyses.
- flow-stability tests used to validate PIM for reactor stability analyses.
- non-LOCA (anticipated operational occurrence) tests used to validate NRELAP5 for non-LOCA analyses.
- long-term cooling tests used to validate NRELAP5 for long term cooling analyses.

Data obtained from the NIST-1 tests identified above have been used to successfully validate the NRELAP5 and PIM codes for LOCA and containment, non-LOCA, flow stability, and long term cooling applications. This test program was inspected by the NRC in accordance with Inspection Procedures IP 35034, 35017, and 36100.

#### 1.5.1.6 Control Rod Drive Mechanism Proof Test

The control rod drive mechanism for the NPM contains features that are not common in conventional control rod drive mechanisms: a remote disconnect mechanism and a

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long control rod drive shaft. A proof-of-concept testing program was conducted to demonstrate the validity of these new designs with regard to performance, reliability, and repeatability of each system. Additional testing to determine misalignment limits is described in Section 1.5.1.7.

Testing was completed at the Curtiss Wright facilities in Cheswick, PA, for both remote connect and remote disconnect operation of the coils. The test setup included a functional drive rod assembly, a prototypic remote disconnect gripper coil, a prototypic remote disconnect gripper latch, a prototypic lift coil, and weights to simulate the control rod assembly (CRA) with a prototypic CRA hub socket.

The remote disconnect mechanism was found to provide a reliable and repeatable method to engage and disengage the CRA within the reactor pressure vessel. This is consistent with the results of the remote operation, lift verification, and manual disengagement testing that was performed.

The tests provided a demonstration of hardware performance, which has been extended to aid in the design of drive rod position detection circuitry. Information gained from this testing has been used as a development tool to improve the design and does not create a design basis for the final control rod drive mechanism.

#### 1.5.1.7 Control Rod Assembly Drop and Control Rod Drive Shaft Alignment Test

The NPM is designed with control rod drive shafts that are longer than conventional PWR designs and have the capability to be remotely disconnected. The control rod drive shafts are aligned using the following multiple-support features:

- control rod drive mechanism nozzles in the reactor vessel head
- integrated steam plenum
- five upper control rod drive shaft supports in the upper riser section
- a control rod drive shaft alignment cone located at the top of the CRA guide tube

The design uses a CRA and fuel-assembly design similar to, but shorter than, traditional operating reactors. The arrangement of a shorter fuel assembly and CRA coupled to a longer control rod drive shaft creates a unique configuration of these components with no operational or testing experience. The CRA-drop and control rod drive shaft-alignment test program was developed to confirm the operability of this unique design.

Testing is to be performed at the AREVA Technical Center in Erlangen, Germany, and is configured as an ambient pressure and temperature test. The ambient test configuration is composed of a full-length control rod drive shaft coupled with a NPM control rod assembly and fuel assembly, as well as the control rod drive shaft support structures and a CRA guide tube assembly. The CRA guide tube assembly and fuel assembly are immersed in the water under ambient conditions with no coolant flow. During the test, the coupled CRA and control rod drive shaft assembly is dropped using multiple configurations having variations in the alignment of the control rod drive shaft supports and CRA guide tube assembly and a mid-span deflection of the fuel assembly.

Test results are used to confirm the operability of the control rod drive shafts for a range of potential component conditions and distortions. Test results are also used to provide CRA drop time and CRA impact velocity at end of drop.

# 1.5.2 NuScale Test and Inspection Plans

Information on NuScale test and inspection plans related to plant startup testing is provided in Section 14.2.

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# 1.6 Material Referenced

Topical reports and technical reports that are incorporated by reference as part of the NuScale Power Plant Design Certification Application are listed in Table 1.6-1 and Table 1.6-2, respectively.

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**Table 1.6-1: NuScale Referenced Topical Reports** 

| Topical Report Number      | Topical Report Title  | Submittal Date | FSAR Section |
|----------------------------|---|----------------|--------------|
| NP-TR-1010-859-NP-A, Rev 3 | NuScale Topical Report: Quality Assurance<br>Program Description for the NuScale Power<br>Plant   | December 2016  | 17           |
| TR-0515-13952-A, Rev 0     | Risk Significance Determination   | July 2015      | 17, 19       |
| TR-0815-16497, Rev 0       | Safety Classification of Passive Nuclear Power<br>Plant Electrical Systems  | October 2015   | 8            |
| TR-1015-18653-P-A, Rev 2   | Design of the Highly Integrated Protection System Platform Topical Report   | September 2017 | 7, 15        |
| TR-0915-17565, Rev 2       | Accident Source Term Methodology  | September 2017 | 15           |
| TR-0116-20825-P-A, Rev 1   | Applicability of AREVA Fuel Methodology for the NuScale Design  | February 2018  | 4            |
| TR-0616-48793, Rev 0       | Nuclear Analysis Codes and Methods<br>Qualification   | August 2016    | 4            |
| TR-0516-49417, Rev 0       | Evaluation Methodology for Stability Analysis of the NuScale Power Module   | July 2016      | 4            |
| TR-0516-49422, Rev 0       | LOCA Evaluation Model   | December 2016  | 15           |
| TR-0915-17564, Rev 1       | Subchannel Analysis Methodology   | February 2017  | 4            |
| TR-0516-49416, Rev 1       | Non-LOCA Methodologies  | August 2017    | 15           |
| TR-0116-21012, Rev 1       | NuScale Power Critical Heat Flux Correlations   | November 2017  | 4            |
| TR-0716-50350, Rev 0       | Rod Ejection Analysis Methodology   | December 2016  | 15           |
| TR-0716-50351, Rev 0       | NuScale Applicability of AREVA Method for the<br>Evaluation of Fuel Assembly Structural<br>Response to Externally Applied Forces                  | September 2016 | 4            |
| TR-0915-17772, Rev 0       | Methodology for Establishing the Technical<br>Basis for Plume Exposure Emergency Planning<br>Zones at NuScale Small Modular Reactor Plant<br>Site | December 2016  | 15           |

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Table 1.6-2: NuScale Referenced Technical Reports

| Report Number | Title   | FSAR Section          |
|---------------|---|-----------------------|
| TR-0116-20781 | Fluence Calculation Methodology and Results   | 4.3, 5.3              |
| TR-0316-22048 | Nuclear Steam Supply System Advanced Sensor Technical Report  | 7.1, 7.2              |
| TR-0416-48929 | NuScale Design of Physical Security Systems   | 9.5, 13.6, 14.2, 14.3 |
| TR-0516-49084 | Containment Analysis Methodology  | 6.2                   |
| TR-0616-49121 | NuScale Instrument Setpoint Methodology Technical Report  | 7.0, 7.2              |
| TR-0716-50424 | Combustible Gas Control   | 3.8, 6.2              |
| TR-0716-50439 | Comprehensive Vibration Assessment Program (CVAP) Technical Report TR-0716-50439                          | 3.9, 14.2             |
| TR-0816-49833 | Fuel Storage Rack Analysis  | 3.7, 3.8, 9.1         |
| TR-0816-50796 | Loss of Large Areas Due to Explosions and Fires Assessment  | 20.2                  |
| TR-0816-50797 | Mitigation Strategies for Extended Loss of AC Power (ELAP) Event  | 20.1                  |
| TR-0816-51127 | NuFuel HTP2 Fuel and Control Rod Assembly Designs   | 4.2                   |
| TR-0916-51299 | Long-Term Cooling Methodology   | 5.4, 6.2, 6.3, 15.0   |
| TR-0916-51502 | NuScale Power Module Seismic Analysis   | 3.7, 3.12             |
| TR-1015-18177 | Pressure and Temperature Limits Methodology   | 5.3                   |
| TR-1016-51669 | NuScale Power Module Short-Term Transient Analysis  | 3.8                   |
| TR-1116-51962 | NuScale Containment Leakage Integrity Assurance   | 6.2                   |
| TR-1116-52065 | Effluent Release Methodology Technical Report   | 11.1, 11.2, 11.3      |
| RP-0215-10815 | Concept of Operations   | 18.7                  |
| RP-0316-17614 | Human Factors Engineering Operating Experience Review Results Summary Report                              | 18.2                  |
| RP-0316-17615 | Human Factors Engineering Functional Requirements Analysis and Function Allocation Results Summary Report | 18.3                  |
| RP-0316-17616 | Human Factors Engineering Task Analysis Results Summary Report  | 18.4                  |
| RP-0316-17617 | Human Factors Engineering Staffing and Qualifications Results Summary Report                              | 18.5                  |
| RP-0316-17618 | Human Factors Engineering Treatment of Important Human Actions Results Summary Report                     | 18.6                  |
| RP-0316-17619 | Human Factors Engineering Human-System Interface Design Results Summary Report                            | 18.7                  |
| RP-0516-49116 | Control Room Staffing Plan Validation Results   | 18.5                  |
| RP-0914-8534  | Human Factors Engineering Program management Plan   | 18.1                  |
| RP-0914-8543  | Human Factors Verification and Validation Implementation Plan   | 18.1                  |
| RP-0914-8544  | Human Factors Engineering Design Implementation Implementation Plan                                       | 18.11                 |
| RP-1215-20253 | Control Room Staffing Plan Validation Methodology   | 18.5                  |
| TR-1117-57216 | NuScale Generic Technical Guidelines  | 13.5                  |
| TR-0917-56119 | CNV Ultimate Pressure Integrity   | 3.8                   |

I

## 1.7 Drawings and Other Detailed Information

Where appropriate, simplified instrumentation and controls (I&C), electrical, or mechanical drawings are provided as figures. These figures are used in conjunction with the written text in the associated section to provide visual clarification of design information. Component position indications shown on these figures do not represent a specific operational state unless noted.

#### 1.7.1 Electrical and Instrumentation and Control Drawings

Table 1.7-1 provides a list of I&C functional diagrams and electrical one-line diagrams used in the FSAR.

See Figure 1.7-1a, Figure 1.7-1b, and Figure 1.7-2 for the legends of the symbols and characters used in electrical and I&C diagrams.

COL Item 1.7-1: A COL applicant that references the NuScale Power Plant design certification will provide site-specific diagrams and legends, as applicable.

## 1.7.2 Piping and Instrumentation Diagrams

Table 1.7-2 provides a list of system drawings used in the FSAR.

See Figure 1.7-3a through Figure 1.7-3f for a legend of the symbols and characters used in piping and instrumentation diagrams.

COL Item 1.7-2: A COL applicant that references the NuScale Power Plant design certification will list additional site-specific piping and instrumentation diagrams and legends as applicable.

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Table 1.7-1: Instrumentation and Controls Functional and Electrical One-Line Diagrams

| Figure                        | Title   |
|-------------------------------|---|
| Figure 7.0-1                  | Overall Instrumentation and Controls System Architecture Diagram            |
| Figure 7.0-3                  | Module Protection System Safety Architecture Overview                       |
| Figure 7.0-4                  | Separation Group A Communication Architecture                               |
| Figure 7.0-5                  | Separation Group-A and Division I Reactor Trip System and Engineered Safety |
|                               | Features Actuation System Communication Architecture                        |
| Figure 7.0-6                  | Reactor Trip Breaker Arrangement  |
| Figure 7.0-7                  | Equipment Interface Module Configuration                                    |
| Figure 7.0-8                  | Equipment Interface Module Output   |
| Figure 7.0-9                  | Pressurizer Trip Breaker Arrangement  |
| Figure 7.0-10                 | Module Protection System Gateway Diagram                                    |
| Figures 7.0-11a and 7.0-11b   | Module Protection System Power Distribution                                 |
| Figure 7.0-12                 | Neutron Monitoring System Ex-Core Block Diagram                             |
| Figure 7.0-13                 | Plant Protection System Block Diagram                                       |
| Figure 7.0-14                 | Safety Display and Indication System Boundary                               |
| Figure 7.0-15                 | Safety Display and Indication Hub   |
| Figure 7.0-16                 | Display Interface Module  |
| Figure 7.0-17                 | Module Control System Internal Functions and External Interfaces            |
| Figure 7.0-20                 | Plant Control System Internal Functions and External Interfaces             |
| Figure 8.3-1                  | Station Single Line Diagram   |
| Figures 8.3-2a and 8.3-2b     | 13.8kV and Switchyard System  |
| Figures 8.3-3a and 8.3-3b     | Medium Voltage Electrical System  |
| Figures 8.3-4a through 8.3-4z | Low Voltage Electrical System   |
| Figures 8.3-5a and 8.3-5b     | Backup Power Supply System  |
| Figure 8.3-6                  | Highly Reliable DC Power System (Common)                                    |
| Figures 8.3-7a and 8.3-7b     | Highly Reliable DC Power System (Module Specific)                           |
| Figures 8.3-8a through 8.3-8f | Normal DC Power System  |
| Figure 11.5-2                 | Process and Effluent Radiation Monitoring System I&C Configuration          |

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**Table 1.7-2: System Drawings** 

| Figure                          | Title  |
|---------------------------------|--|
| Figure 5.1-2                    | Reactor Coolant System Simplified Diagram                                  |
| Figure 5.4-9                    | Decay Heat Removal System Simplified Diagram                               |
| Figure 6.3-1                    | Emergency Core Cooling System  |
| Figure 6.4-1                    | Control Room Habitability System Simplified Diagram                        |
| Figure 9.1.3-1                  | Spent Fuel Pool Cooling System Diagram                                     |
| Figures 9.1.3-2a and 9.1.3-2b   | Reactor Pool Cooling System Diagram  |
| Figure 9.1.3-3                  | Pool Cleanup System Diagram  |
| Figure 9.1.3-4                  | Pool Surge Cooling System Diagram  |
| Figure 9.2.2-1                  | Schematic of Reactor Component Cooling Water System                        |
| Figure 9.2.3-1                  | Demineralized Water System Schematic                                       |
| Figure 9.2.5-2                  | Ultimate Heat Sink Qualified Makeup Line                                   |
| Figure 9.2.7-1                  | Site Cooling Water System Schematic  |
| Figure 9.2.8-1                  | Chilled Water System Piping and Instrumentation Drawing                    |
| Figure 9.2.9-1                  | Utility Water System   |
| Figure 9.3.1-1                  | Instrument Air and Service Air   |
| Figure 9.3.1-2                  | Nitrogen Distribution System   |
| Figure 9.3.3-1                  | Radioactive Waste Drain System Simplified Configuration                    |
| Figure 9.3.3-2                  | Balance-of-Plant Drain System Simplified Configuration                     |
| Figure 9.3.4-1                  | Chemical and Volume Control System Simplified Diagram (Chemical and Volume |
|                                 | Control System Module 1 with Module Heatup System Subsystem 6A Shown)      |
| Figure 9.3.4-2                  | Boron Addition System Simplified Diagram                                   |
| Figure 9.3.6-1                  | Containment Evacuation System  |
| Figure 9.3.6-2                  | Containment Flooding and Drain System                                      |
| Figure 9.4.1-1                  | Control Room Ventilation System Simplified Diagram                         |
| Figure 9.4.2-1                  | Reactor Building HVAC System Simplified Diagram                            |
| Figure 9.4.3-1                  | Radioactive Waste Building HVAC System Simplified System Diagram           |
| Figure 9.4.4-1                  | Turbine Building HVAC System Simplified Diagram                            |
| Figure 9.5.1-1                  | Fire Protection System Water Supplies and Fire Pumps                       |
| Figure 9.5.1-2                  | Fire Protection System Yard Fire Main Loop                                 |
| Figure 10.1-1                   | Power Conversion System Block Flow Diagram                                 |
| Figure 10.1-2                   | Flow Diagram and Heat Balance Diagram at Rated Power for Steam and Power   |
|                                 | Conversion System Cycle  |
| Figure 10.2-1                   | Turbine Generator System Piping and Instrumentation Diagram                |
| Figure 10.4-1                   | Condenser Piping and Instrumentation Diagram                               |
| Figure 10.4-2                   | Condenser Air Removal System Piping and Instrumentation Diagram            |
| Figure 10.4-3                   | Circulating Water System Piping and Instrumentation Diagram (Typical of 2) |
| Figures 10.4-4a and 10.4-4b     | Auxiliary Boiler System Piping and Instrumentation Diagram                 |
| Figures 11.2-1a through 11.2-1j | Liquid Radioactive Waste System Diagram                                    |
| Figures 11.3-1a and 11.3-1b     | Gaseous Radioactive Waste System Diagram                                   |
| Figure 11.4-1                   | Block Diagram of the Solid Radioactive Waste System                        |
| Figure 11.4-2a                  | Process Flow Diagram for SRW Wet Solid Waste                               |
| Figure 11.4-2b                  | Solid Radioactive Waste System   |

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# Figure 1.7-1a: Electrical Symbols

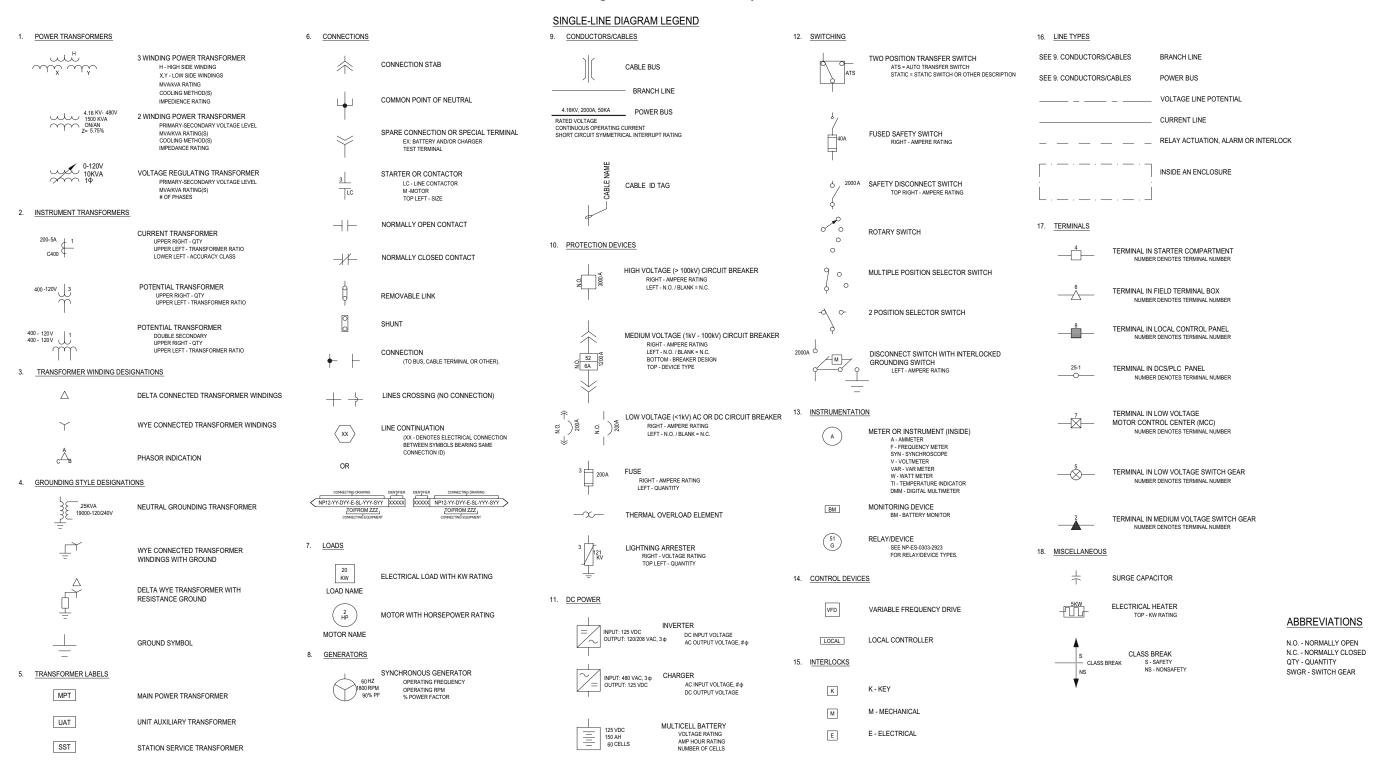
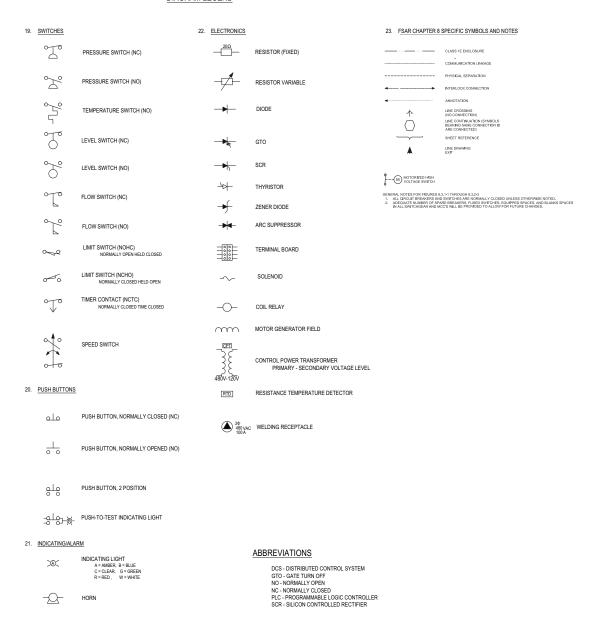


Figure 1.7-1b: Electrical Symbols

# OTHER ELECTRICAL DIAGRAM LEGEND



# Figure 1.7-2: Instrumentation and Controls Symbol Legend

DESCRIPTION

THE OUTPUT VALUE IS A NONLINEAR OR UNSPECIFIED FUNCTION OF THE INPUT. THE FUNCTION IS DEFINED IN A NOTE OR

THE OUTPUT VALUE IS THE ALGEBRAIC SUM OF THE INPUTS.

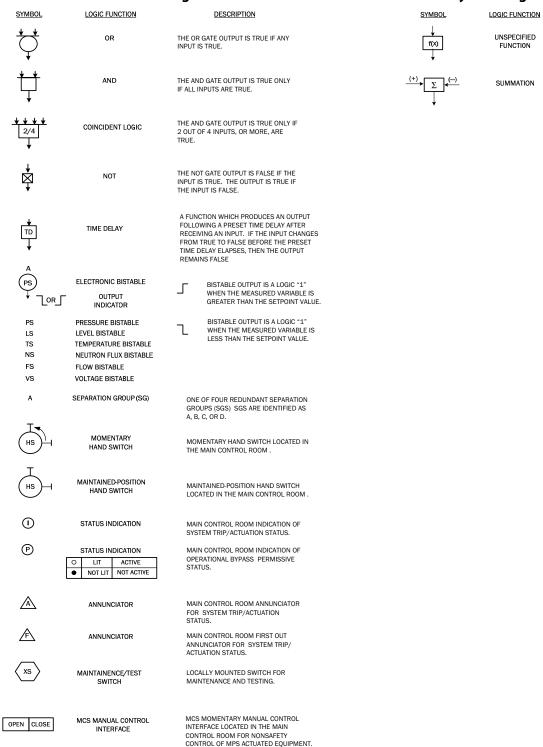


Figure 1.7-3a: Piping and Instrumentation Diagram Legends

|   | VALVES  | ;                               |  |                | FIRE & SAFETY                       |   | SPECIA                              | LTY ITEMS   |                         |               | FITTINGS/MISC                           | NGS/MISC DRAINS     |   |  |
|---|---|---------------------------------|--|----------------|-------------------------------------|---|-------------------------------------|---|-------------------------|---------------|---|---------------------|---|--|
| -1001- B  | BALL VALVE  |                                 | NEEDLE VALVE                                 | <b>₩</b>       | FIRE HYDRANT                        |   | FLAME ARRESTOR                      | 1   | LOOP OF AL              |               | CONCENTRIC REDUCER                      | REPRESENT           | & DRAINS ON THE P&ID<br>F A 0.75" NORMALLY CLOSED |  |
| , <del>, , , , , , , , , , , , , , , , , , </del> | THREE-WAY BALL VALVE                              | ,<br>                           |  |                | FIRE HYDRANT W/HOSE HOUSE           |   | HAMMER ARRESTOR                     |   | LOOP SEAL OIL SEPARATOR |               | ECCENTRIC REDUCER                       | VALVE. EXC<br>P&ID. | CEPTIONS MUST BE NOTED ON                         |  |
|   | NOTE 1) OUR-WAY, FOUR-PORTED                      | - <b>-</b> -                    | KNIFE GATE VALVE                             | I I            | FREEZE PROOF YARD HYDRANT           | Ψ   |                                     |   | EDUCTOR                 |               | CAP (BUTT WELD)                         | $  \langle \rangle$ | CLOSED VENT<br>OR DRAIN                           |  |
|   | NOTE 1)   | $-   \overline{\downarrow}   -$ | SLIDE VALVE                                  |                | FREEZE PROOF HOSE VALVE             | 10004   | EXPANSION JOINT                     |   | EJECTOR                 | J             | , ,                                     | то хххх             | XXXX = SYSTEM ABBREVIATION                        |  |
| - <b>⊳•</b> <-> s                                 | STRAIGHT GLOBE VALVE                              |                                 | THREE-WAY SLIDE VALVE                        |                | KEY OPERATED VALVE                  | 0   | HINGED EXPANSION JOINT SWIVEL JOINT |   | INLINE SIGHT GLASS      |               | SCREWED END                             |                     | OPEN VENT   |  |
| <b>₹</b> \— A                                     | NGLED GLOBE VALVE                                 | //                              |  |                |                                     |   | SINGLE BASKET STRAINER              | -8  | BREATHER VENT           |               | HOSE CONNECTION  CAPPED HOSE CONNECTION | TO XXXX             | OR DRAIN  XXXX = SYSTEM  ABBREVIATION             |  |
|   | THREE-WAY GLOBE VALVE NOTE 1)                     | -\$\$-                          | FLOAT VALVE                                  |                | W/ TAMPER SWITCH                    | 181   | DUPLEX BASKET STRAINER              |   | SPRAY NOZZLE            |               | FLANGE                                  |                     |   |  |
|   | SAFETY ANGLE VALVE / GENERIC                      | -124-                           | Y BLOWDOWN VALVE                             |                | AIR RELEASE VALVE                   | <del>                                      </del> | SIMPLEX BASKET STRAINER             | / <del>\tall \tall \tal</del> | STEAM TRAP              |               | BLIND FLANGE                            |                     | FLOOR DRAIN                                       |  |
|   | WO-WAY ANGLE VALVE THREE-WAY GATE VALVE / GENERIC | <b>F</b> -                      | ANGLE BLOWDOWN VALVE                         | H <b>Z</b> H   | DRY PIPE VALVE                      | <br> XXXXXI                                       | SUMP STRAINER                       |   | IN-LINE-MIXER           |               | REDUCING FLANGE                         |                     | DRAIN FUNNEL                                      |  |
|   | HREE-WAY VALVE<br>NOTE 1)                         | _                               | AUTOMATIC RECIRCULATION VALVE                | (D)            | DELUGE VALVE                        | 9   | T-STRAINER                          |   | MIXING TEE              | Ω             |   |                     | CLEAN OUT   |  |
| - F   | OUR-WAY, FOUR-PORTED GATE VALVE                   |                                 |  | ,              | FOAM CHAMBER                        |   | STARTUP STRAINER                    |   | IN-LINE SILENCER        | T             | CLOSED SPECTACLE FLANGE                 |                     | DRAIN   |  |
| 7⁴  | SENERIC FOUR-WAY VALVE (NOTE 1)                   | -55-                            | VALVE STEM EXTENDED THROUGH<br>SHIELDED WALL |                | HOSE RACK STATION                   |   | SCREEN STRAINER                     |   | VENT SILENCER           | 8             | OPEN SPECTACLE FLANGE                   |                     |   |  |
|   | SATE VALVE / GENERIC TWO-WAY<br>/ALVE             |                                 | BACKFLOW PREVENTER                           |                |                                     |   |                                     | S   | IN-LINE SAMPLER         | •             | SINGLE BLIND                            | <b>Y</b>            | GATE VALVE, FLANGED                               |  |
| 7 <u>7</u> 7                                      | OUR-WAY, FIVE-PORTED VALVE                        | $\sum_{i=1}^{n}$                | FOOT VALVE                                   | 9,             | HOSE REEL                           |   | CONE STRAINER                       |   | ISOKINETIC SAMPLER      | φ             | RING SPACER                             | _                   |   |  |
| 18 1  | BUTTERFLY VALVE                                   | >-                              | EXTENDED BODY GATE VALVE                     | P,             | FIRE MONITOR                        |   | TEMPORARY STRAINER                  |   | PULSATION DAMPENER      | <b>*</b> &    | OPEN & CLOSED SPECTACLE                 | ↓                   | OATE VALVE BUILDOED                               |  |
| -DDJ- p   | PLUG VALVE  |                                 | HOSE VALVE                                   |                | ELEVATED FIRE MONITOR               |   | VENT  VACUUM BREAKER                | d   | SIPHON                  | <b>⊸</b> I⊢Ī⊢ | FLANGES WITH PIPE FLANGES               | <b>+</b>            | GATE VALVE, PLUGGED                               |  |
|   | HREE-WAY PLUG VALVE                               |                                 | ANGLED HOSE VALVE                            |                | REMOTELY OPERATED FIRE              | 1 , 1 ,   | Y-STRAINER                          |   |                         |               | SINGLE BLIND WITH PIPE<br>FLANGES       | <b>+</b>            | DALL VALVE FLANCED                                |  |
| I .   | NOTE 1) OUR-WAY PLUG VALVE                        | l                               |  | T <sub>1</sub> | MONITOR                             |   |                                     | - <del></del>   | CIRCUIT SETTER          |               | INJECTION ELEMENT                       | <u> </u>            | BALL VALVE, FLANGED                               |  |
| *   | NOTE 1)   |                                 |  |                | FOAM MONITOR                        |   | FILTER                              | Ţ   | AUTOMATIC VENT VALVE    | l N           | FLEXIBLE HOSE                           |                     | BALL VALVE, PLUGGED                               |  |
| , , ,   | CCENTRIC ROTARY DISC VALVE                        |                                 |  |                | ELEVATED FOAM MONITOR               | ~****   | DISTRIBUTOR                         | 1 1   | BREAK POT               |               | THREADED PLUG                           |                     |   |  |
|   | DIAPHRAGM VALVE                                   |                                 |  |                | REMOTELY OPERATED FOAM              |   | INLET AIR FILTER                    | —   | ORIFICE PLATE           |               | UNION                                   |                     |   |  |
|   | PINCH VALVE                                       |                                 |  | 位              | MONITOR                             | RS  | REMOVABLE SPOOL                     | T   | TEST PORT               |               | DIELECTRIC UNION                        |                     |   |  |
|   |   |                                 |  |                | SAFETY SHOWER                       |   | MECHANICAL COUPLING                 |   | FLOW NOZZLE             |               | DIELECTRIC FLANGE                       |                     |   |  |
| C   | CHECK VALVE CHECK VALVE WITH 3/32                 |                                 |  | <u></u>        | SAFETY SHOWER W/ EYE WASH           |   | DRIP LEG                            |   |                         |               | WALL PENETRATION                        |                     |   |  |
| ¬ ''~_ c  | ORIFICE IN CLAPPER STOP CHECK VALVE               |                                 |  |                |                                     |   |                                     | 1   | PITOT TUBE AVERAGING    | <b>M</b>      | ROOF, FLOOR, OR GROUND<br>PENETRATION   |                     |   |  |
|   | NAFER CHECK VALVE                                 |                                 |  |                | EYE WASH                            |   | EXHAUST VENT                        |   | - BALL JOINT            |               | SWING ELBOW                             |                     |   |  |
| •   | FILTING DISC CHECK VALVE                          |                                 |  | <u> </u>       | PRE-ACTION SPRINKLER                | 4   | FREE VENT WITH SCREEN               |   |                         |               |   |                     |   |  |
|   | ANGLE CHECK VALVE                                 |                                 |  |                | SPRAY SPRINKLER WET SPRINKLER       |   | FREE VENT WITHOUT SCREEN            | <del>- </del>   | RUPTURE DISK            |               |   |                     |   |  |
| 台   |   |                                 |  |                |                                     | Z   | SAMPLE COOLER                       |   |                         |               |   |                     |   |  |
|   | IFT CHECK VALVE                                   |                                 |  | Jan J          | BUTTERFLY VALVE<br>W/ TAMPER SWITCH |   | SPRAY DESUPERHEATER                 |   |                         |               |   |                     |   |  |
|   | EXCESS FLOW CHECK VALVE                           |                                 |  |                | M GATE VALVE<br>W/ TAMPER SWITCH    |   | DEGUDERUSATES                       |   |                         |               |   |                     |   |  |
| → <b>S</b>  | STEM LEAK-OFF VALVE                               |                                 |  |                | W/ TAMPER SWITCH                    |   | DESUPERHEATER                       |   |                         |               |   |                     |   |  |
| М т   | TRIPLE DUTY VALVE                                 |                                 |  |                |                                     |   | PACKED BED                          |   |                         |               |   |                     |   |  |
|   |   |                                 |  |                |                                     |   |                                     |   |                         |               |   |                     |   |  |
|   |   |                                 |  |                |                                     |   |                                     |   |                         |               |   |                     |   |  |
|   |   |                                 |  |                |                                     |   |                                     |   |                         |               |   |                     |   |  |

NOTES:

ARROW INDICATES FAILURE OR UNACTUATED FLOW PATH.

Figure 1.7-3b: Piping and Instrumentation Diagram Legends

|             | ACTUATORS  |           | SELF-ACTUATING FINAL  | ADDITIONAL ACTUATOR TYPES  |  |          |  |
|-------------|--|-----------|---|--|--|----------|--|
| 7           | PNEUMATIC ACTUATOR<br>(GENERIC ACTUATOR / SPRING-DIAPHRAGM ACTUATOR)   | →(XXX)    | AUTOMATIC FLOW REGULATOR XXX=FCV WITHOUT INDICATOR XXX=FICV WITH INTEGRAL INDICATOR | PRESSURE SETTING PSET = 100 psig PRESSURE/VACUUM RELIEF MANHOLE COVER  | BALL FLOAT   |          |  |
|             | PNEUMATIC ACTUATOR (SPRING-DIAPHRAGM ACTUATOR W/ POSITIONER)   | (A) FICV  | VARIABLE AREA FLOWMETER W/ INTEGRAL MANUAL  | \$ · · · · · · · · · · · · · · · · · · ·   | CAPACITANCE SENSOR   |          |  |
|             | PNEUMATIC ACTUATOR<br>(PRESSURE-BALANCED DIAPHRAGM ACTUATOR)   | (8)       | ADJUSTING VALVE<br>(INSTRUMENT TAG BUBBLE REQUIRED WITH 'B')                        | PRESSURE-REDUCING REGULATOR W/ INTEGRAL OUTLET PRESSURE RELIEF AND PRESSURE GAUGE.   | DISPLACEMENT FLOAT   |          |  |
|             | LINEAR PISTON ACTUATOR (SINGLE-ACTING,<br>SPRING-OPPOSED OR DOUBLE-ACTING)   |           |   | PRESSURE SETTING   | Y DADDIE WIFE  |          |  |
|             | LINEAR PISTON ACTUATOR WITH POSITIONER   | FICV      | CONSTANT FLOW REGULATOR   | PSET = 100 psig  ANGLE PRESSURE RELIEF VALVE / GENERIC PRESSURE SAFETY VALVE   | PADOLE WHEEL   | HYDRAUI  | LIC CONTROL  HYDRAULIC ACTUATOR, REMOTELY OPERATED W/ LOCAL  |
| Ŧ           | ROTARY PISTON ACTUATOR (SINGLE-ACTING, SPRING-OPPOSED OR DOUBLE-ACTING)  | FG        | FLOW SIGHT GLASS<br>(TYPE SHALL BE NOTED IF MORE THAN ONE TYPE IS USED)             | VALVE  |  |          | N2 TANK FOR SAFE POSITIONING. SEQUENCING VALVE   |
|             | ROTARY PISTON ACTUATOR WITH POSITIONER   | FO        | GENERIC FLOW RESTRICTION / SINGLE STAGE ORIFICE                                     | ANGLE VACUUM RELIEF VALVE / GENERIC VACUUM SAFETY VALVE  |  |          | SEASE NOTICE THE PERSON OF THE |
| B           | BELLOWS SPRING OPPOSED ACTUATOR  |           | PLATE<br>(NOTE REQUIRED FOR MULTI-STAGE OR CAPILLARY TUBE<br>TYPES)                 | 4  |  |          | REDUCING VALVE   |
| M           | ROTARY MOTOR-OPERATED ACTUATOR   | FO        | RESTRICTION ORIFICE DRILLED IN VALVE PLUG (TAG NUMBER SHALL BE OMITTED IS VALVE IS  | STRAIGHT-THRU PRESSURE RELIEF VALVE  |  | *        | PRESSURE COMPENSATED FLOW CONTROL  |
| S           | SOLENOID ACTUATOR (OPEN-CLOSE OR MODULATING)   |           | OTHERWISE IDENTIFIED)   | PRESSURE-VACUUM RELIEF VALVE   | CONTROL VALVE FAILURE PROPERTY.  |          | 2 POS, 4 WAY DIRECTIONAL<br>CONTROL VALVE, SOLENOID<br>OPERATED WITH SPRING RETURN.  |
| Ŧ           | ACTUATOR WITH SIDE-MOUNTED HANDWHEEL   | TANK      | LEVEL REGULATOR W/ BALL FLOAT AND   | <del>   </del>   | CONTROL VALVE FAILURE POSITIONS  |          | 3 POS, 4 WAY, CLOSED CENTER DIRECTIONAL CONTROL VALVE,   |
| Ŧ           | ACTUATOR WITH TOP-MOUNTED HANDWHEEL  |           | MECHANICAL LINKAGE  | PRESSURE SAFETY ELEMENT / PRESSURE RUPTURE DISK  | FAIL TO OPEN POSITION  |          | 3 POS 4 WAY DRAIN CENTER   |
| T           | MANUAL ACTUATOR  | •         | BACKPRESSURE REGULATOR, INTERNAL PRESSURE TAP                                       | VACUUM SAFETY ELEMENT / VACUUM RUPTURE DISK  | FAIL TO CLOSED POSITION  |          | SOLENOID OPERATED 3 POS 4 WAY FLOAT CENTER   |
|             | ACTUATOR WITH MANUAL ACTUATED PARTIAL STROKE TEST DEVICE   |           | BACKDDESSIDE DECIII ATOD EVTEDNAI DDESSIDE TAD                                      | TEMPERATURE REGULATOR W/ FILLED THERMAL SYSTEM   | FAIL LOCKED AS-IS  |          | SOLENOID OPERATED  |
|             | ACTUATOR WITH REMOTE ACTUATED PARTIAL STROKE TEST DEVICE ON-OFF SOLENOID ACTUATOR, NON-LATCHING / AUTOMATIC RESET                              | -         | BACKPRESSURE REGULATOR, EXTERNAL PRESSURE TAP                                       | The second of th | FAIL AS-IS, DRIFT OPEN   |          | TO RESERVOIR  PRESSURE INTENSIFIER   |
| S<br>S<br>R | ON-OFF SOLENOID ACTUATOR, LATCHING / ON-OFF SOLENOID ACTUATOR, MANUAL OR REMOTE RESET  | 7         | PRESSURE REDUCING REGULATOR, INTERNAL PRESSURE TAP                                  | THREE-WAY TEMPERATURE REGULATOR W/ FILLED THERMAL SYSTEM   | ×  | <u> </u> | CYLINDER   |
| ® ®         | ON-OFF SOLENOID ACTUATOR, LATCHING / ON-OFF SOLENOID ACTUATOR, MANUAL AND REMOTE RESET   | <b></b> - |   | T  | FAIL AS-IS DRIFT CLOSED  |          | TANDEM CYLINDER  HYDRAULIC MOTOR   |
| *           | SPRING OR WEIGHT ACTUATED RELIEF OR SAFETY VALVE ACTUATOR  PILOT ACTUATED RELIEF OR SAFETY VALVE ACTUATOR W/                                   |           | PRESSURE REDUCING REGULATOR, EXTERNAL PRESSURE TAP                                  | ANGLED TEMPERATURE REGULATOR W/ FILLED THERMAL SYSTEM  | ADDITIONAL VALVE STATUS INFORMATION  |          | THE DISTRIBUTION   |
|             | PILOT ACTOALED RELIEF OR SAFETY VALVE ACTUATOR WI<br>PRESSURE SENSING LINE.<br>(PILOT PRESSURE SENSING LINE DELETED IF<br>SENSING IS INTERNAL) |           | DIFFERENTIAL PRESSURE REGULATOR, EXTERNAL PRESSURE TAPS                             | TSE THERMAL SAFETY ELEMENT, FUSIBLE PLUG OR DISK   | OPEN DURING NORMAL OPERATION (ALL VALVES EXCEPT BUTTERFLY VALVES)  CLOSED DURING NORMAL OPERATION (ALL VALVE) (VALVES) |          |  |
| EP          | ELECTRIC TO PNEUMATIC CONTROL<br>SIGNAL CONVERTER  |           | DIFFERENTIAL PRESSURE REGULATOR, INTERNAL PRESSURE TAPS                             | STEAM TRAP / GENERIC MOISTURE TRAP   | (ALL VALVES EXCEPT BUTTERFLY VALVES)   |          |  |
| EH          | ELECTRIC TO HYDRAULIC CONTROL<br>SIGNAL CONVERTER  |           | DIFFERENTIAL PRESSURE REGULATOR W/INTERNAL & EXTERNAL                               | (NOTE REQUIRED FOR OTHER TRAP TYPES)   | ACTION  NO - NORMALLY OPEN  NC - NORMALLY CLOSED  EQ. FAIL OPER  |          |  |
| E           | VOLTAGE TO CURRENT CONTROL<br>SIGNAL CONVERTER   | <b>-</b>  | PRESSURE TAPS   | TANK MOISTURE TRAP WITH EQUALIZATION LINE  | FO - FAIL OPEN<br>FC - FAIL CLOSED<br>FL - FAIL LAST<br>LO - LOCKED OPEN   |          |  |
| (E/H)       | ELECTROHYDRAULIC LINEAR OR<br>ROTARY ACTUATOR  |           | PRESSURE REDUCING REGULATOR, INTERNAL PRESSURE TAP WITH GLOBE VALVE                 |  | LC - LOCKED CLOSED ALL INLINE VALVES ON PAID ARE NORMALLY OPEN. EXCEPTIONS MUST BE NOTED ON PAID.                      |          |  |

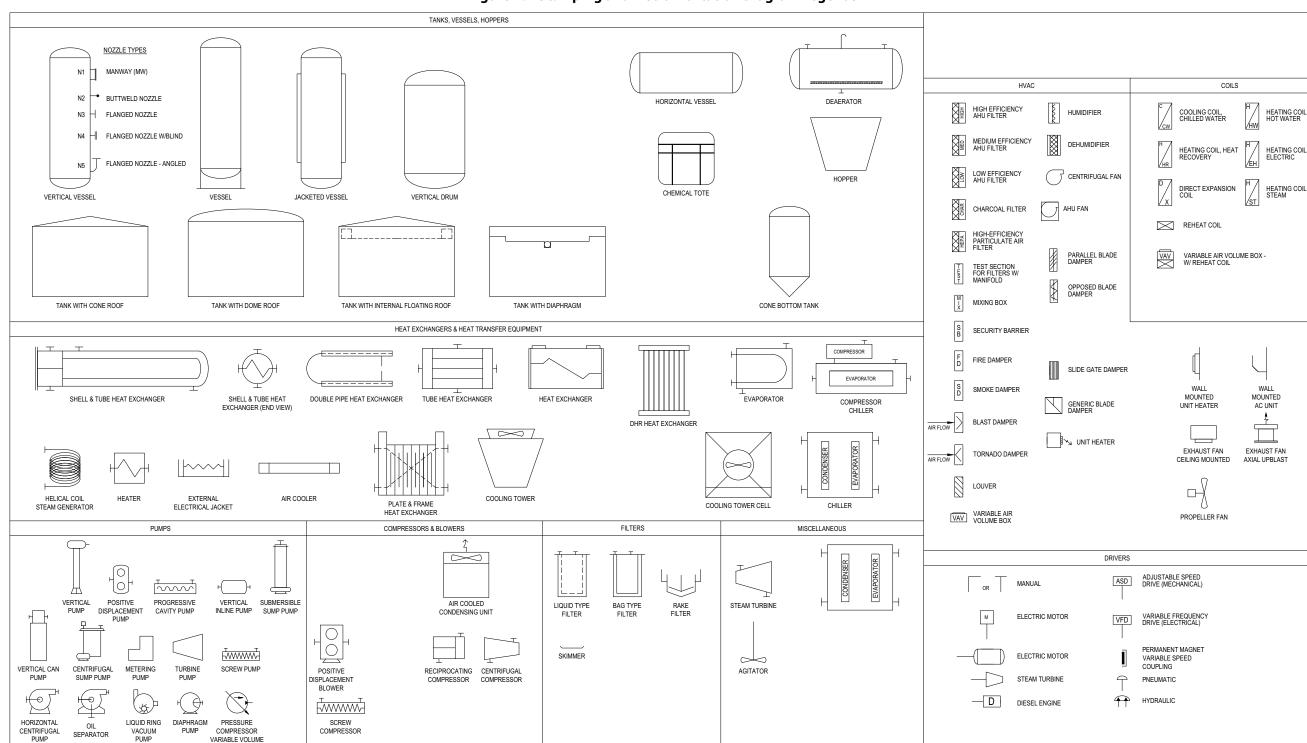


Figure 1.7-3c: Piping and Instrumentation Diagram Legends

# Figure 1.7-3d: Piping and Instrumentation Diagram Legends

|  |  | INSTRUMENTATION DEV              | /ICE AND FUNCTION SYMBOLS (N | NOTE 2)   |  |  |
|--|--|----------------------------------|------------------------------|---|--|--|
| SHARED DISPLAY,                                | SHARED CONTROL                                 |                                  |                              |   |  |  |
| PRIMARY CHOICE OR BASIC PROCESS CONTROL SYSTEM | ALTERNATE CHOICE OR SAFETY INSTRUMENTED SYSTEM | COMPUTER SYSTEMS<br>AND SOFTWARE | DISCRETE                     | LOCATION AND ACCESSIBILITY  |  |  |
|  |  |                                  |                              | LOCATED IN FIELD     NOT PANEL, CABINET OR CONSOLE MOUNTED     VISIBLE AT FIELD LOCATION     NORMALLY OPERATOR ACCESSIBLE   |  |  |
|  |  |                                  |                              | LOCATED IN OR ON FRONT OF CONTROL OR MAIN PANEL OR CONSOLE     VISIBLE ON FRONT OF PANEL OR ON VIDEO DISPLAY     NORMALLY OPERATOR ACCESSIBLE AT PANEL FRONT OR CONSOLE                     |  |  |
|  |  | <del></del>                      |                              | LOCATED IN REAR OF CENTRAL OR MAIN PANEL     LOCATED IN CABINET BEHIND PANEL     NOT VISIBLE ON FRONT OF PANEL OR ON VIDEO DISPLAY     NOT NORMALLY OPERATOR ACCESSIBLE AT PANEL OR CONSOLE |  |  |
|  |  |                                  |                              | LOCATED IN OR ON FRONT OF SECONDARY OR LOCAL PANEL OR CONSOLE     VISIBLE ON FRONT OF PANEL OR ON VIDEO DISPLAY     NORMALLY OPERATOR ACCESSIBLE AT PANEL FRONT OR CONSOLE                  |  |  |
|  |  | <del>===</del>                   | (===)                        | LOCATED IN REAR OF SECONDARY OR LOCAL PANEL     LOCATED IN FIELD CABINET     NOT VISIBLE ON FRONT OF PANEL OR ON VIDEO DISPLAY     NOT NORMALLY OPERATOR ACCESSIBLE AT PANEL OR CONSOLE     |  |  |

|         |                                   |   |       |         | TYPI       | CAL INSTRU | MENT COMP | PONENT COM | BINATIONS  |           |                      |         |       |         |                 |        |         |
|---------|-----------------------------------|---|-------|---------|------------|------------|-----------|------------|------------|-----------|----------------------|---------|-------|---------|-----------------|--------|---------|
|         |                                   | READOUT CONTROLLERS DEVICES SWITCHES AND ALARM DEVICES* |       |         |            | SOLENOIDS, |           |            |            |           |                      |         |       |         |                 |        |         |
| FIRST   |                                   | CONTRO  |       | CONTROL | DEVICES    | SWITCHES   | AND ALARI | M DEVICES* | TRANSMI    | ITER<br>I | RELAYS,<br>COMPUTING | PRIMARY | TEST  | WELL OR | VIEWING DEVICE. | SAFETY | FINAL   |
| LETTERS | INDICATING OR MEASURABLE VARIABLE | INDICATING  | BLIND | VALVES  | INDICATING | HIGH**     | LOW**     | COMB**     | INDICATING | BLIND     | DEVICES              | ELEMENT | POINT | PROBE   | GLASS           | DEVICE | ELEMENT |
| Α       | ANALYSIS                          | AIC   | AC    |         | Al         | ASH        | ASL       | ASHL       | AIT        | AT        | AY                   | AE      | AP    | AW      |                 |        | AV      |
| В       | BURNER/COMBUSTION                 | BIC   | BC    |         | BI         | BSH        | BSL       | BSHL       | BIT        | BT        | BY                   | BE      |       | BW      | BG              |        | BZ      |
| С       | USER'S CHOICE                     |   |       |         |            |            |           |            |            |           |                      |         |       |         |                 |        |         |
| D       | USER'S CHOICE                     |   |       |         |            |            |           |            |            |           |                      |         |       |         |                 |        |         |
| Е       | VOLTAGE                           | EIC   | EC    |         | El         | ESH        | ESL       | ESHL       | EIT        | ET        | EY                   | EE      |       |         |                 |        | EZ      |
| F       | FLOW RATE                         | FIC   | FC    | FCV     | FI         | FSH        | FSL       | FSJ        | FIT        | FT        | FY                   | FE      | FP    |         | FG              |        | FV      |
| FQ      | FLOW QUANTITY                     | FQIC  |       |         | FQI        | FQSH       | FQSL      |            | FQIT       | FQT       | FQY                  | FQE     |       |         |                 |        | FQV     |
| FF      | FLOW RATIO                        | FFIC  | FFC   |         | FFI        | FFSH       | FFSL      |            |            |           |                      |         |       |         |                 |        |         |
| G       | USER'S CHOICE                     |   |       |         |            |            |           |            |            |           |                      |         |       |         |                 |        |         |
| Н       | HAND                              | HIC   | HC    |         |            |            |           | HS         |            |           |                      |         |       |         |                 |        | HV      |
| ı       | CURRENT                           | IIC   |       |         | II         | ISH        | ISL       | ISHL       | IIT        | IT        | IY                   | IE      |       |         |                 |        | IZ      |
| J       | POWER                             | JIC   |       |         | JI         | JSH        | JSL       | JSHL       | JIT        | JT        | JY                   | JE      |       |         |                 |        | JZ      |
| K       | TIME                              | KIC   | KC    | KCV     | KI         | KSH        | KSL       | KSHL       | KIT        | KT        | KY                   | KE      |       |         |                 |        | KZ      |
| L       | LEVEL                             | LIC   | LC    | LCV     | LI         | LSH        | LSL       | LSHL       | LIT        | LT        | LY                   | LE      |       | LW      | LG              |        | LV      |
| M       | USER'S CHOICE                     |   |       |         |            |            |           |            |            |           |                      |         |       |         |                 |        |         |
| N       | USER'S CHOICE                     |   |       |         |            |            |           |            |            |           |                      |         |       |         |                 |        |         |
| 0       | USER'S CHOICE                     |   |       |         |            |            |           |            |            |           |                      |         |       |         |                 |        |         |
| Р       | PRESSURE/VACUUM                   | PIC   | PC    | PCV     | PI         | PSH        | PSL       | PSHL       | PIT        | PT        | PY                   | PE      | PP    |         |                 | PSV    | PV      |
| PD      | PRESSURE, DIFFERENTIAL            | PDIC  | PDC   | PDCV    | PDI        | PDSH       | PDSL      |            | PDIT       | PDT       | PDY                  | PDE     | PDP   |         |                 | PSE    | PDV     |
| Q       | QUANTITY                          | QIC   |       |         | QI         | QSH        | QSL       | QSHL       | QIT        | QT        | QY                   | QE      |       |         |                 |        | QZ      |
| R       | RADIATION                         | RIC   | RC    |         | RI         | RSH        | RSL       | RSHL       | RIT        | RT        | RY                   | RE      |       | RW      |                 |        | RZ      |
| S       | SPEED/FREQUENCY                   | SIC   | SC    | SCV     | SI         | SSH        | SSL       | SSHL       | SIT        | ST        | SY                   | SE      |       |         |                 |        | SV      |
| T       | TEMPERATURE (NOTE 2)              | TIC   | TC    | TCV     | TI         | TSH        | TSL       | TSHL       | TIT        | TT        | TY                   | TE      | TP    | TW      |                 | TSE    | TV      |
| TD      | TEMPERATURE, DIFFERENTIAL         | TDIC  | TDC   | TDCV    | TDI        | TDSH       | TDSL      |            | TDIT       | TDT       | TDY                  | TDE     | TDP   | TDW     |                 |        | TCV     |
| U       | MULTI VARIABLE                    |   |       |         | UI         |            |           |            |            |           |                      |         |       |         |                 |        | UV      |
| V       | VIBRATION/MACHINERY ANALYSIS      |   |       |         | VI         | VSH        | VSL       | VSHL       | VIT        | VT        | VY                   | VE      |       |         |                 |        |         |
| W       | WEIGHT/FORCE                      | WIC   | WC    | WCV     | WI         | WSH        | WSL       | WSHL       | WIT        | WT        | WY                   | WE      |       |         |                 |        | WZ      |
| WD      | WEIGHT/FORCE, DIFFERENTIAL        | WDIC  | WDC   | WDCV    | WDI        | WDSH       | WDSL      |            | WDIT       | WDT       | WDY                  | WDE     |       |         |                 |        | WDZ     |
| Х       | UNCLASSIFIED                      |   |       |         |            |            |           |            |            |           |                      |         |       |         |                 |        | XZ      |
| Υ       | EVENT/STATE/PRESENCE              | YIC   | YC    |         | YI         | YSH        | YSL       |            |            | YT        | YY                   | YE      |       |         |                 |        | YZ      |
| Z       | POSITION                          | ZIC   | ZC    | ZCV     | ZI         | ZSH        | ZSL       | ZSHL       | ZIT        | ZT        | ZY                   | ZE      |       |         |                 |        | ZV      |
| ZD      | GAUGING/DEVIATION                 | ZDIC  | ZDC   | ZDCV    | ZDI        | ZDSH       | ZDSL      |            | ZDIT       | ZDT       | ZDY                  | ZDE     |       |         |                 |        | ZDV     |

NOTE: THIS TABLE IS NOT ALL-INCLUSIVE

\*A, ALARM, THE ANNUNCIATION DEVICE, MAY BE USED IN THE SAME FASHION AS S, SWITCH, THE ACTUATION DEVICE

\*\* THE LETTERS "H" AND "L" MAY BE OMITTED IF NOT DEFINED. IF APPROPRIATE, "C" (CLOSED) AND

"O" (OPEN) MAY BE USED IN PLACE OF "H" AND "L."

OTHER POSSIBLE COMBINATIONS:

FO (RESTRICTION ORIFICE)
PFR (PRESSURE RATIO RECORD)

QQI (INDICATING COUNTER)
HCV (HAND CONTROL VALVE)

(QI (TIME TOTALIZING INDICATOR)

HCA (HAND CONTROL



- INSTRUMENT FUNCTION IDENTIFIER. USED ONLY WHEN THE COMPONENT TYPE REQUIRES FURTHER CLARIFICATION. SEE FUNCTION IDENTIFIERS TABLE ON FIGURE 1.7-3e.
- FOR INSTRUMENTATION TYPE AND FUNCTION, SEE INSTRUMENTATION IDENTIFICATION LETTERS TABLE ON THIS SHEET AND FUNCTION IDENTIFIERS TABLE ON FIGURE 1.7-3e.
- 3. TEMPERATURE ELEMENTS ARE THERMOCOUPLES UNLESS NOTED OTHERWISE.
- FOR GUIDANCE ON THE USE OF THE INSTRUMENTATION IDENTIFICATION LETTERS TABLE, REFER TO ANSI/ISA 5.1-2009.

|   |                                | INSTRUMEN <sup>-</sup>                | FATION IDENTIFICATION LETTERS (NOTE 3) |  |                      |
|---|--------------------------------|---------------------------------------|--|--|----------------------|
|   | FIRST LETT                     | ERS                                   | SI                                     |  |                      |
|   | COLUMN 1                       | COLUMN 2                              | COLUMN 3                               | COLUMN 4   | COLUMN 5             |
|   | MEASURED/INITIATING VARIABLE   | VARIABLE<br>MODIFIER                  | READOUT/PASSIVE<br>FUNCTION            | OUTPUT/ACTIVE<br>FUNCTION                                  | FUNCTION<br>MODIFIER |
| Α | ANALYSIS                       |                                       | ALARM                                  |  |                      |
| В | BURNER, COMBUSTION             |                                       | USER'S CHOICE                          | USER'S CHOICE  | USER'S CHOICE        |
| С | USER'S CHOICE                  |                                       |  | CONTROL  | CLOSE                |
| D | USER'S CHOICE                  | DIFFERENCE, DIFFERENTIAL              |  |  | DEVIATION            |
| Ε | VOLTAGE                        |                                       | SENSOR, PRIMARY ELEMENT                |  |                      |
| F | FLOW, FLOW RATE                | RATIO                                 |  |  |                      |
| G | USER'S CHOICE                  |                                       | GLASS, GAUGE, VIEWING DEVICE           |  |                      |
| Н | HAND                           |                                       |  |  | HIGH                 |
| Τ | CURRENT                        |                                       | INDICATE                               |  |                      |
| J | POWER                          |                                       | SCAN                                   |  |                      |
| K | TIME, SCHEDULE                 | TIME RATE OF CHANGE                   |  | CONTROL STATION  |                      |
| L | LEVEL                          |                                       | LIGHT                                  |  | LOW                  |
| М | USER'S CHOICE                  |                                       |  |  | MIDDLE, INTERMEDIATE |
| N | USER'S CHOICE                  |                                       | USER'S CHOICE                          | USER'S CHOICE  | USER'S CHOICE        |
| 0 | USER'S CHOICE                  |                                       | ORIFICE, RESTRICTION                   |  | OPEN                 |
| Р | PRESSURE                       |                                       | POINT (TEST CONNECTION)                |  |                      |
| Q | QUANTITY                       | INTEGRATE, TOTALIZE                   | INTEGRATE, TOTALIZE                    |  |                      |
| R | RADIATION                      |                                       | RECORD                                 |  | RUN                  |
| S | SPEED, FREQUENCY               | SAFETY                                |  | SWITCH   | STOP                 |
| Т | TEMPERATURE                    |                                       |  | TRANSMIT   |                      |
| U | MULTIVARIABLE                  |                                       | MULTIFUNCTION                          | MULTIFUNCTION  |                      |
| ٧ | VIBRATION, MECHANICAL ANALYSIS |                                       |  | VALVE, DAMPER, LOUVER                                      |                      |
| W | WEIGHT, FORCE                  |                                       | WELL, PROBE                            |  |                      |
| Χ | UNCLASSIFIED                   | X-AXIS                                | ACCESSORY DEVICES, UNCLASSIFIED        | UNCLASSIFIED   | UNCLASSIFIED         |
| Υ | EVENT, STATE, PRESENCE         | Y-AXIS                                |  | AUXILIARY DEVICES  |                      |
| Z | POSITION, DIMENSION            | Z-AXIS, SAFETY<br>INSTRUMENTED SYSTEM |  | DRIVER, ACTUATOR,<br>UNCLASSIFIED FINAL<br>CONTROL ELEMENT |                      |

INSTRUMENT TAGGING

COMPONENT TYPE

- UNIQUE IDENTIFIER

INSTRUMENT FUNCTION

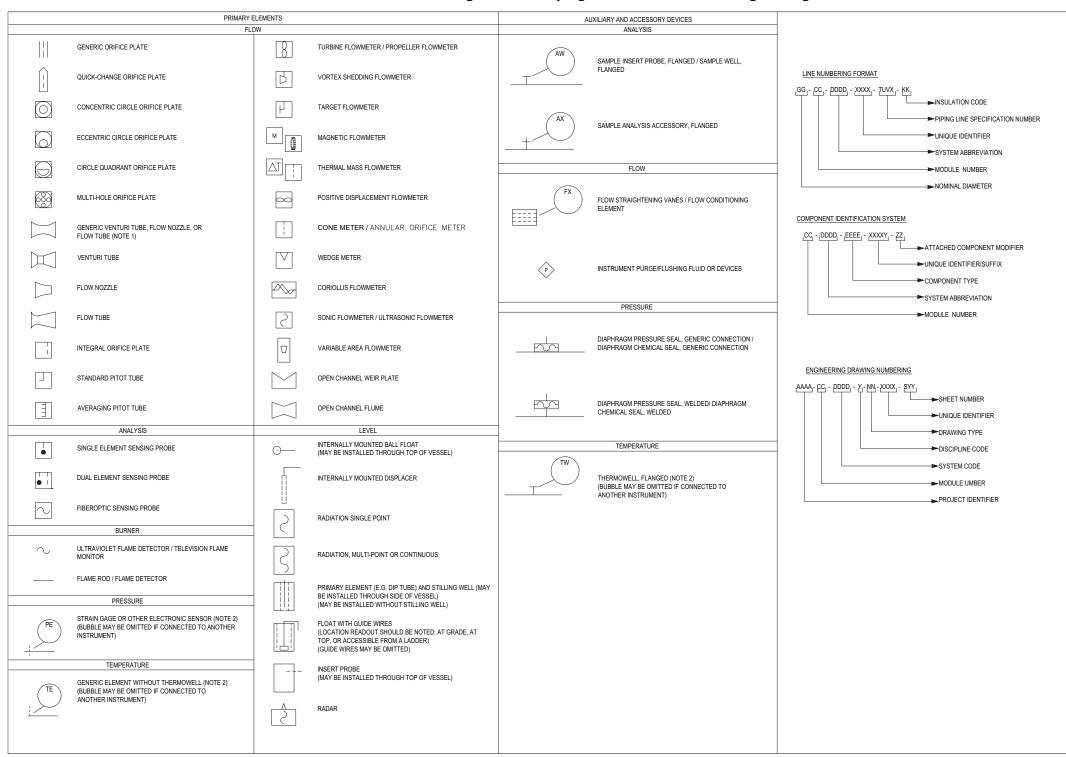
# Figure 1.7-3e: Piping and Instrumentation Diagram Legends

|                |  |                               |                |  |                     | FUNCTION IDENTIFIERS                             |                    |   |                    |   | INCTDUMENTATION   | LINE CANDOL C. INICTELIMENT TO DEOCECC AND EQUIDMENT CONNECTIONS  |
|----------------|--|-------------------------------|----------------|--|---------------------|--|--------------------|---|--------------------|---|---|---|
|                |  |                               |                |  |                     | ANALYSIS   |                    |   |                    |   | SYMBOL  | LINE SYMBOLS: INSTRUMENT TO PROCESS AND EQUIPMENT CONNECTIONS  DESCRIPTION  |
| CO (           | CALORIMETER<br>CARBON MONOXIDE<br>CARBON DIOXIDE |                               | GC<br>H2<br>HC | GAS CHROMATOGRAPH<br>HYDROGEN/HYDROGEN ANALYSIS<br>HYDROCARBON | MeOH<br>MOIST<br>MS | METHYL ALCOHOL<br>MOISTURE<br>MASS SPECTROMETER  | ORP<br>PHASE<br>pH | OXIDATION REDUCTION PHASE HYDROGEN ION      | TDS<br>THC<br>TOC  | TOTAL DISSOLVED SOLIDS<br>TOTAL HYDROCARBON<br>TOTAL ORGANIC CARBON | STWIDOL   | INSTRUMENT CONNECTION TO PROCESS AND EQUIPMENT     PROCESS IMPULSE LINES     ANALYZER SAMPLE LINES  |
|                | COLOR<br>COMBUSTION                              |                               | H2O<br>H2S     | WATER  | NIR<br>N2           | NEAR INFRARED<br>NITROGEN                        | REF<br>RI          | REFRACTIONETER                              | TURB<br>UV         | TURBIDITY<br>ULTRAVIOLET  |   |   |
|                | ELECTRICAL CONDUCTIVITY                          |                               | HUM            | HYDROGEN SULFIDE<br>HUMIDITY                                   | NH3                 | AMMONIA  | SOx                | REFRACTIVE INDEX OXIDES OF SULFUR           | VIS                | VISIBLE LIGHT   | (CT)  | HEAT (COOL) TRACED IMPULSE OR SAMPLE LINE FROM PROCESS     TYPE OF TRACING INDICATED BY (ET) ELECTRICAL, (ST) STEAM, (CW)   |
|                | DENSITY  |                               | %RH            | RELATIVE HUMIDITY  | NOx                 | OXIDES OF NITROGEN                               | SP GR              | SPECIFIC GRAVITY                            | VISC               | VISCOSITY   | (ST)  | CHILLED WATER, ETC  |
|                | DEW POINT  |                               | IR             | INFRARED   | 02                  | OXYGEN   | TC                 | THERMAL CONDUCTIVITY                        |                    |   |   | GENERIC INSTRUMENT CONNECTION TO PROCESS FLOW   |
| DO [           | DISSOLVED OXYGEN                                 |                               | LC             | LIQUID CHROMATOGRAPH   | OP                  | OPACITY  | TDL                | TUNABLE DIODE LASER                         |                    |   |   | GENERIC INSTRUMENT CONNECTION TO FROCESS FEW     GENERIC INSTRUMENT CONNECTION TO EQUIPMENT   |
| <u> </u>       |  |                               |                |  |                     | FLOW   |                    |   |                    |   |   |   |
|                | CONSTANT FLOW REGULAT<br>CONE                    |                               | FLT<br>LAM     | FLOW RATE<br>LAMINAR   | OP-E<br>OP-FT       | ECCENTRIC<br>FLANGE TAPS                         | PV<br>SNR          | PITOT VENTURI<br>SONAR                      | TUR<br>US          | TURBINE<br>ULTRASONIC   |   | HEAT (COOL) TRACED GENERIC INSTRUMENT IMPULSE LINE  |
|                | CORIOLLIS  |                               | MAG            | MAGNETIC   | OP-MH               | MULTI-HOLE                                       | SON                | SONIC                                       | VENT               | VENTURI TUBE  |   | PROCESS LINE OR EQUIPMENT MAY OR MAY NOT BE TRACED  |
| DOP [          | OOPPLER  |                               | OP             | ORIFICE PLATE  | OP-P                | PIPE TAPS  | TAR                | TARGET                                      | VOR                | VORTEX SHEDDING   |   | LIEAT(OOOL) TRACED INCTRIMENT   |
|                | OOPPLER SONIC                                    |                               | OP-CT<br>OP-CQ | CORNER TAPS  | OP-VC<br>PD         | VENA CONTRACTA TAPS POSITIVE DISPLACEMENT        | THER<br>TTS        | THERMAL TRANSPORTED                         | WDG                | WEDGE   |   | HEAT(COOL) TRACED INSTRUMENT     INSTRUMENT IMPULSE LINE MAY OR MAY NOT BE TRACED   |
| FLN F          | LOW NOZZLE                                       |                               | UP-CQ          | CIRCLE QUADRANT  | PT                  | PITOT TUBE                                       | 1115               | TRANSIT TIME SONIC                          |                    |   | {( )}   | THE HOME HIM GLOC LINE WAY OR WAY THE FEET WOLLD  |
|                |  |                               |                |  |                     | LEVEL  |                    |   |                    |   |   |   |
| CAP (          | CAPACITANCE                                      |                               | DP             | DIFFERENTIAL PRESSURE  | MS                  | MAGNETOSTRICTIVE                                 | SON                | SONIC                                       |                    |   |   |   |
|                | DIFFERENTIAL PRESSURE                            |                               | GWR            | GUIDED WAVE RADAR  | NUC                 | NUCLEAR  | US                 | ULTRASONIC                                  |                    |   | LINE TYPE (OVAROU   | LINE SYMBOLS  |
|                | DIELECTRIC CONSTANT                              |                               | LSR            | LASER  | RADAR               | RADAR  |                    |   |                    |   | LINE TYPE/SYMBOL  | DESCRIPTION  •IA MAY BE REPLACED BY PA (PLANT AIR), NS (NITROGEN), OR GS (ANY   |
| DISP           | DISPLACER  |                               | MAG            | MAGNETIC   | RES                 | RESISTANCE                                       |                    |   |                    |   | IA  | GAS SUPPLY).  |
| 100            |  |                               |                | MANAGETER  |                     | PRESSURE   | 1                  | I   |                    |   | In  | INDICATE SUPPLY PRESSURE AS REQUIRED, E.G. PA-70 KPA, NS-150 PSIG, ETC.   |
|                | ABSOLUTE<br>AVERAGE                              |                               | MAN<br>P-V     | MANOMETER<br>PRESSURE-VACUUM                                   | VAC                 | VACUUM   |                    |   |                    |   |   | INSTRUMENT ELECTRIC POWER SUPPLY.   |
|                | DRAFT  |                               | SG             | STRAIN GAUGE   |                     |  |                    |   |                    |   | ES -  | INDICATE VOLTAGE AND TYPE AS REQUIRED, E.G. ES-220 VAC  |
|                |  |                               |                |  |                     | TEMPERATURE                                      |                    |   |                    |   |   | S MAY BE REPLACED BY 24 VDC, 120 VAC, ETC.      NICTRIMENT LIVER AND COMPANY OF THE PROPERTY.  - INCOMPANY OF THE PROPERTY OF THE PROPERT |
|                | BI-METAL   |                               | RTD            | RESISTANCE TEMP. DETECTOR                                      | TCJ                 | THERMOCOUPLE, TYPE J                             | THRM               | THERMISTOR                                  |                    |   | HS  | INSTRUMENT HYDRAULIC POWER SUPPLY.     INDICATE PRESSURE AS REQUIRED, E.G. HS-70 PSIG.  |
|                | NFRARED  |                               | TC             | THERMOCOUPLE   | TCK                 | THERMOCOUPLE, TYPE K                             | TMP                | THERMOPILE                                  |                    |   |   | ELECTRONIC OR ELECTRICAL CONTINUOUSLY VARIABLE OR BINARY SIGNAL   |
|                | RADIATION<br>RADIATION PYROMETER                 |                               | TCE            | THERMOCOUPLE, TYPE E   | TCT                 | THERMOCOUPLE, TYPE T                             | TRAN               | TRANSISTOR                                  |                    |   |   | ◆FUNCTIONAL DIAGRAM BINARY SIGNAL.  |
| <u> </u>       | U DI MITORI I I MONIE I EN                       |                               |                |  |                     | MISCELLANEOUS                                    |                    | l   |                    | -   |   | FUNCTIONAL DIAGRAM CONTINUOUSLY VARIABLE SIGNAL.     FUNCTIONAL SOUTHWATER APPENDING PARK SIGNAL AND ROWER.   |
|                | ANNUNCIATION                                     |                               |                | BURNER, COMBUSTION   |                     |  | HER                |   |                    | POSITION  |   | •ELECTRICAL SCHEMATIC LADDER DIAGRAM SIGNAL AND POWER RAILS.  |
| ALM A          | ALARM  |                               | FR             | FLAME ROD  | CONC                | CONCENTRIC                                       | PB                 | PUSHBUTTON                                  | CAP                | CAPACITANCE   |   | FILLED THERMAL ELEMENT CAPILLARY TUBE.  |
|                | ANNUNCIATOR                                      |                               | IGN            | IGNITER  | HOA                 | HAND-OFF-AUTO                                    | PC                 | PHOTOCELL                                   | EC                 | EDDY CURRENT  | ^ ^   | FILLED SENSING LINE BETWEEN PRESSURE SEAL AND INSTRUMENT.   |
| 1              |  |                               | IR<br>UV       | TELEVISION   | L/R<br>MOS          | LOCAL/REMOTE MAINTENANCE OVERRIDE SWITCH         | SMOKE              | SMOKE<br>SYNCHRONIZATION                    | IND<br>LAS         | INDUCTIVE<br>LASER  |   | GUIDED ELECTROMAGNETIC SIGNAL.     GUIDED SONIC SIGNAL.   |
| 1              |  |                               | UV             | ULTRA VIOLET   | MULTI               | MULTIVARIABLE                                    | TDR                | TIME DELAY RELAY                            | MAG                | MAGNETIC  |   | •FIBER OPTIC SIGNAL.  |
| 1              |  |                               |                |  | O/L                 | OVERLOAD   | TEST               | TEST  | MECH               | MECHANICAL  |   | ◆COMMUNICATION LINK AND SYSTEM BUS, BETWEEN DEVICES AND   |
| 1              |  |                               |                |  | OX<br>NR            | OVERRIDE SWITCH<br>NARROW RANGE                  | VIBR<br>WR         | VIBRATION<br>WIDE RANGE                     | OPT<br>RADAR       | OPTICAL<br>RADAR  |   | FUNCTIONS OF A SHARED DISPLAY, SHARED CONTROL SYSTEM.  ◆DCS, PLC, OR PC COMMUNICATION LINK AND SYSTEM BUS.  |
| $\vdash$       | QUANTITY   |                               |                | RADIATION  | INIX                | SPEED  | VVIX               | WEIGHT, FORCE                               | IVADAIN            | IVADAIX   |   | COMMUNICATION LINK OR BUS CONNECTING TWO OR MORE  |
| PE F           | PHOTOELECTRIC                                    |                               | α              | ALPHA RADIATION  | ACC                 | ACCELERATION                                     | LC                 | LOAD CELL                                   |                    |   | <b>.</b>  | INDEPENDENT MICROPROCESSORS OR COMPUTER-BASED SYSTEMS.  |
|                | OGGLE  |                               | β              | BETA RADIATION   | EC                  | EDDY CURRENT                                     | SG                 | STRAIN GAUGE                                |                    |   |   | DCS-TO-DCS, DCS-TO-PLC, PLC-TO-PC, DCS-TO-FIELDBUS, ETC, CONNECTIONS.   |
| 1              |  |                               | Y              | GAMMA RADIATION  | PROX                | PROXIMITY  | WS                 | WEIGH SCALE                                 |                    |   |   | COMMUNICATION LINK AND SYSTEM BUS, BETWEEN DEVICES AND  |
| 1              |  |                               | n<br>RAD       | NEUTRON RADIATION<br>RADIATION ADSORBED DOSE                   | VEL                 | VELOCITY   |                    |   |                    |   | → → →   | FUNCTIONS OF A FIELDBUS SYSTEM.   |
| 1              |  |                               | REM            | ROENTGEN EQUIVALENT MAN  |                     |  |                    |   |                    |   |   | LINK FROM AND TO "INTELLIGENT" DEVICES.   |
| $\vdash$       |  |                               |                |  |                     | 1  |                    | I.  |                    |   |   | COMMUNICATION LINK BETWEEN A DEVICE AND A REMOTE CALIBRATION     ADJUSTMENT DEVICE OR SYSTEM.   |
|                | FO   | UIPMENT DESC                  | RIPTIONS       | (NOTE 1)   | T                   | BOUNDARY IDENTIFICATION                          |                    | MIS   | ELLANEOUS II       | DENTIFICATIONS  | ı L   | ◆LINK FROM AND TO 'SMART' DEVICES   |
| AIR CO         |  | HEAT EXCHAN                   |                | PRESSURE VESSEL  |                     |  |                    | IWIO  |                    |   | 1   <del>• • • •</del>  | MECHANICAL LINK OR CONNECTION.  |
|                | P/DT: PSIG/°F                                    | DESIGN DUTY                   |                | DP/DT: PSIG/°F   |                     | LIMITS OF LIMITS OF                              |                    |   |                    | ^   |   |   |
|                | P/OT : PSIG/°F                                   | DUTY CYCLE                    |                | OP/OT: PSIG/°F   |                     | STREAM PIPE DOWNSTREAM                           |                    |   | _                  | 2   |   | PRIMARY LINE  |
|                | I DUTY: BTU/HR                                   | SHELL DP/DT:                  |                | SIZE: ID X T-T   | "                   | LASS OR LINE PIPE CLASS OR<br>NUMBER LINE NUMBER |                    |   | {                  | REVISION CLOUD  |   | SECONDARY LINE  |
| 1              | GURATION:<br>NAME PLATE: HP                      | SHELL OP/OT:<br>TUBE DP/DT: F |                | CAPACITY: GAL  |                     | i  |                    |   | (                  | AL VISION GLOOD   |   |   |
| WOTON          | INAME FLATE, MY                                  | TUBE OP/OT: F                 |                | PUMP   |                     | AREA A AREA B                                    |                    | i i   | ~                  |   | (ST)(ST)(ST)(ST)-   | (ST)- • STEAM TRACE LINE  |
| COMPR          | ESSOR  | . 302 01 / 01 . 1             | _,,,,          | CAPACITY: GPM  |                     |  |                    | L   |                    | ^   |   | • ELECTRICAL TRACE LINE   |
| DP/DT:         |  | TANK                          |                | DUTY CYCLE: %  |                     | I  |                    | VENDOR PACKAGE                              |                    | REVISION TRIANGLE   | (21) (21)   |   |
| OP/OT:         |  | DP/DT: PSIG/°F                |                | DESIGN HEAD: FT  |                     | DRAWING CONNECTIONS                              |                    |   |                    | TENOON INDIOLE  | -# # # #  | +   |
|                | ITY: FT <sup>3</sup> /MIN                        | OP/OT: PSIG/°                 |                | MOTOR NAME PLATE: HP   |                     |  |                    |   |                    | $\sim\sim$  |   | - LIVDDALILIC CICNAL  |
|                | YCLE: %<br>NAME PLATE: HP                        | SIZE: ID X HEIO               |                | DP/DT: PSIG/°F   |                     | ONNECTOR DESTINATION D. NUMBER PAGE NUMBER       |                    |   | _                  | HOLD CLOUD  |   | HYDRAULIC SIGNAL.   |
| WOTON          | INAME FLATE, MY                                  | CAPACITY: GA                  | L              | CHILLER  | "                   |  |                    |   | \$                 | 2   | p   | • REFRIGERANT   |
| FILTER         | S  | AHU/ ACU/ FC                  | IJ             | CAPACITY: TONS   |                     |  |                    |   | (                  | y -   | n   | - ILL INGLIVETI   |
| DP/DT:         | _  | AIR FLOW: CF                  |                | DUTY CYCLE: %  |                     | TO/FROM  |                    |   |                    | \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\                              |   |   |
| 1              | PSIG/°F  | DUTY CYCLE:                   |                | EVAP FLOW: GPM   |                     |  |                    |   |                    | HOLD  |   | -··— OTHER SYSTEMS  |
| SIZE: ID       |  | COOLING CAP                   | ACITY: B       |  |                     | √xxxx-xx-xxxx-xxxx-xxxx  xxxxx                   |                    | NON-VENDOR PACKAGE<br>OR MODULE PACKAGE     |                    | <del></del>   |   |   |
| PARTIC         | LE SIZE: MICRON                                  | HEATING CAP                   |                |  |                     | TO/FROM  |                    | ON MODULE PAUNAGE                           |                    |   |   |   |
| F              |  | MOTOR NAME                    | PLATE: H       |  |                     |  |                    |   | $\hat{\mathbf{x}}$ | A DOE   |   |   |
| FAN<br>AIR FLO | DW: CFM  | UNIT HEATER                   |                | POWER: kW  | .                   | XXXX-XXX-XXXX-XXX-XXXX-XXXX XXXXXX               |                    | $\langle 7 \rangle$                         | ^/                 |   |   |   |
|                | YCLE: %  | HEATING CAP                   |                | 1  |                     | TOPROM   |                    |   | ATION NODE         | PIPE SLOPE  |   |   |
| י דונוט י      |  |                               |                |  |                     |  | 0                  | OTTOR TO THE PROPERTY AND PERSONS           |                    |   | i contract of the contract of |   |
|                | NAMEPLATE: HP                                    |                               |                |  |                     |  | (E                 | E.G., TO CONDENSER) (NODE IDEN<br>AND/OR LE | TIFIED WITH A      | NUMBER  |   |   |

#### NOTES:

SPECIFICATIONS LISTED FOR VARIOUS
 EQUIPMENT TYPES ARE RECOMMENDATIONS
 ONLY. THE REQUIRED SPECIFICATIONS ARE
 PER THE DISCRETION OF THE DESIGNER.

# Figure 1.7-3f: Piping and Instrumentation Diagram Legends



#### NOTES:

- ABBREVIATIONS FROM FUNCTION IDENTIFIERS TABLE ON FIGURE 1,7-3e SHALL BE USED IF MORE THAN ONE ELEMENT TYPE APPEARS ON THE DRAWING.
- 2. AN ABBREVIATION FROM THE FUNCTION IDENTIFIERS TABLE ON FIGURE 1.7-3e SHOULD BE USED TO IDENTIFY THE ELEMENT TYPE.

#### 1.8 Interfaces with Certified Design

This section addresses interface requirements between the NuScale Power Plant certified design and the site-specific design provided in the combined license (COL) application. Section 1.2 identifies the structures, systems, and components that are included in the certified design. Figure 1.2-1 provides a representation of the overall facility and Figure 1.2-2 provides the general boundaries between the certified design and site-specific design.

Table 1.8-1 identifies the interfaces between the NuScale certified design and the site-specific design. There are two types of interface requirements described:

- CDI: Conceptual design information that is provided for the non-certified portion of the
  plant to facilitate review of the certified design and to confirm the adequacy of identified
  interface requirements.
- COL: NuScale design assumptions related to site-specific design elements that are the responsibility of the COL applicant. This type of interface is identified as a COL information item.

#### 1.8.1 Combined License Information Items

Information that must be provided in order to license and operate a site-specific NuScale Power Plant, but is not included in the certified design, is identified throughout the Final Safety Analysis Report as COL information items. Table 1.8-2 lists the COL information items, includes the COL information item text and identifies the section where the information item is located. The COL applicant addresses each COL information item in the section where it is located.

## 1.8.2 Departures

COL Item 1.8-1: A COL applicant that references the NuScale Power Plant design certification will provide a list of departures from the certified design.

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Table 1.8-1: Summary of NuScale Certified Design Interfaces with Remainder of Plant

| System, Structure, or Component   | Interface | FSAR                    |
|---|-----------|-------------------------|
|   | Type      | Section                 |
| Turbine Generator Buildings   | CDI       | 1.2.2                   |
| Annex Building  | CDI       | 1.2.2                   |
| Cooling towers, pump houses, and associated structures, systems, and          | CDI       | 1.2.2,                  |
| components (e.g., cooling tower basin, circulating water pumps, cooling       |           | 10.4.5                  |
| tower fans, chemical treatment building, etc.)                                |           |                         |
| Security Buildings  | CDI       | 1.2.2                   |
| Central Utility Building  | CDI       | 1.2.2                   |
| Diesel Generator Buildings  | CDI       | 1.2.2                   |
| Offsite power transmission system, main switchyard, and transformer area      | CDI       | 8.2                     |
| Auxiliary AC power system   | CDI       | 8.3.1                   |
| Site cooling water system   | CDI       | 9.2.7                   |
| Circulating water system  | CDI       | 10.4.5                  |
| Grounding and lightning protection system                                     | CDI       | 8.3.1                   |
| Potable and sanitary water systems  | COL       | 9.2.4                   |
| Resin tanks for the condensate polishing system                               | COL       | 10.4                    |
| Site drainage system  | COL       | N/A                     |
| Raw water system  | COL       | 9.2.9                   |
| Site-specific design parameters, geographic and demographic                   | COL       | Table 2.0-1, 2.1, 2.2,  |
| characteristics, meteorological characteristics, nearby industrial,           |           | 2.3, 2.4, 2.5, 3.3, 3.4 |
| transportation, and military facilities, hydrologic characteristics, geology, |           |                         |
| seismology, and geotechnical characteristics, weather conditions and site     |           |                         |
| topography, flooding  |           |                         |
| Site-specific communications  | COL       | 9.5.2                   |
| Turbine generators  | COL       | 3.5-1                   |
| Diesel generators   | COL       | 3.5-1                   |
| Operational Support Center  | COL       | 13.3                    |

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**Table 1.8-2: Combined License Information Items** 

| Item No.         | Description of COL Information Item  | Section |
|------------------|--|---------|
| COL Item 1.1-1:  | A COL applicant that references the NuScale Power Plant design certification will identify the site-specific plant location.   | 1.1     |
| COL Item 1.1-2:  | A COL applicant that references the NuScale Power Plant design certification will provide the schedules for completion of construction and commercial operation of each power module.  | 1.1     |
| COL Item 1.4-1:  | A COL applicant that references the NuScale Power Plant design certification will identify the prime agents or contractors for the construction and operation of the nuclear power plant.  | 1.4     |
| COL Item 1.7-1:  | A COL applicant that references the NuScale Power Plant design certification will provide site-specific diagrams and legends, as applicable.   | 1.7     |
| COL Item 1.7-2:  | A COL applicant that references the NuScale Power Plant design certification will list additional site-specific piping and instrumentation diagrams and legends as applicable.   | 1.7     |
| COL Item 1.8-1:  | A COL applicant that references the NuScale Power Plant design certification will provide a list of departures from the certified design.  | 1.8     |
| COL Item 1.9-1:  | A COL applicant that references the NuScale Power Plant design certification will review and address the conformance with regulatory criteria in effect six months before the docket date of the COL application for the site-specific portions and operational aspects of the facility design.  | 1.9     |
| COL Item 1.10-1: | A COL applicant that references the NuScale Power Plant design certification will evaluate the potential hazards resulting from construction activities of the new NuScale facility to the safety-related and risk significant structures, systems, and components of existing operating unit(s) and newly constructed operating unit(s) at the co-located site per 10 CFR 52.79(a)(31). The evaluation will include identification of management and administrative controls necessary to eliminate or mitigate the consequences of potential hazards and demonstration that the limiting conditions for operation of an operating unit would not be exceeded. This COL item is not applicable for construction activities (build-out of the facility) at an individual NuScale Power Plant with operating NuScale Power Modules. | 1.10    |
| COL Item 2.0-1:  | A COL applicant that references the NuScale Power Plant design certification will demonstrate that site-specific characteristics are bounded by the design parameters specified in Table 2.0-1. If site-specific values are not bounded by the values in Table 2.0-1, the COL applicant will demonstrate the acceptability of the site-specific values in the appropriate sections of its combined license application.  | 2.0     |
| COL Item 2.1-1:  | A COL applicant that references the NuScale Power Plant design certification will describe the site geographic and demographic characteristics.  | 2.1     |
| COL Item 2.2-1:  | A COL applicant that references the NuScale Power Plant design certification will describe nearby industrial, transportation, and military facilities. The COL applicant will demonstrate that the design is acceptable for each potential accident, or provide site-specific design alternatives.   | 2.2     |
| COL Item 2.3-1:  | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific meteorological characteristics for Section 2.3.1 through Section 2.3.5, as applicable.  | 2.3     |
| COL Item 2.4-1:  | A COL applicant that references the NuScale Power Plant design certification will investigate and describe the site-specific hydrologic characteristics for Section 2.4.1 through Section 2.4.14, as applicable.   | 2.4     |
| COL Item 2.5-1:  | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific geology, seismology, and geotechnical characteristics for Section 2.5.1 through Section 2.5.5, below.   | 2.5     |
| COL Item 3.2-1:  | A COL applicant that references the NuScale Power Plant design certification will update Table 3.2-1 to identify the classification of site-specific structures, systems, and components.  | 3.2     |
| COL Item 3.3-1:  | A COL applicant that references the NuScale Power Plant design will confirm that nearby structures exposed to severe and extreme (tornado and hurricane) wind loads will not collapse and adversely affect the Reactor Building or Seismic Category I portion of the Control Building.   | 3.3     |
| COL Item 3.4-1:  | A COL applicant that references the NuScale Power plant design certification will confirm the final location of structures, systems, and components subject to flood protection and final routing of piping.   | 3.4     |

I

**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.        | Description of COL Information Item  | Section |
|-----------------|--|---------|
| COL Item 3.4-2: | A COL applicant that references the NuScale Power plant design certification will identify the selected mitigation strategy for each room containing structures, systems, and components subject to flood protection.  | 3.4     |
| COL Item 3.4-3: | A COL applicant that references the NuScale Power plant design certification will develop an inspection and maintenance program to ensure that each water-tight door, penetration seal, or other "degradable" measure remains capable of performing its intended function.   | 3.4     |
| COL Item 3.4-4: | A COL applicant that references the NuScale Power plant design certification will confirm that site-specific tanks or water sources are placed in locations where they cannot cause flooding in the Reactor Building or Control Building.  | 3.4     |
| COL Item 3.4-5: | A COL applicant that references the NuScale Power Plant design certification will determine the extent of waterproofing and dampproofing needed for the underground portion of the Reactor Building and Control Building based on site-specific conditions. Additionally, a COL applicant will provide the specified design life for waterstops, waterproofing, damp proofing, and watertight seals. If the design life is less than the operating life of the plant, the COL applicant will describe how continued protection will be ensured.  | 3.4     |
| COL Item 3.4-6: | A COL applicant that references the NuScale Power Plant design certification will confirm that nearby structures exposed to external flooding will not collapse and adversely affect the Reactor Building or Seismic Category I portion of the Control Building.   | 3.4     |
| COL Item 3.4-7: | A COL applicant that references the NuScale Power Plant design certification will determine the extent of waterproofing and damp proofing needed to prevent groundwater and foreign material intrusion into the expansion gap between the end of the tunnel between the Reactor Building and the Control Building, and the corresponding Reactor Building connecting walls.  | 3.4     |
| COL Item 3.5-1: | A COL applicant that references the NuScale Power Plant certified design will provide a missile analysis for the turbine generator which demonstrates that the probability of a turbine generator producing a low trajectory turbine missile is less than 10-5.  | 3.5     |
| COL Item 3.5-2: | A COL applicant that references the NuScale Power Plant certified design will address the effect of turbine missiles from nearby or co-located facilities.   | 3.5     |
| COL Item 3.5-3: | A COL applicant that references the NuScale Power Plant certified design will confirm that automobile missiles cannot be generated within a 0.5-mile radius of safety-related structures, systems, and components and risk-significant structures, systems, and components requiring missile protection that would lead to impact higher than 30 feet above plant grade. Additionally, if automobile missiles impact at higher than 30 feet above plant grade, the COL applicant will evaluate and show that the missiles will not compromise safety-related and risk-significant structures, systems, and components.   | 3.5     |
| COL Item 3.5-4: | A COL applicant that references the NuScale Power Plant design certification will evaluate site-<br>specific hazards for external events that may produce more energetic missiles than the design<br>basis missiles defined in FSAR Tier 2, Section 3.5.1.4.   | 3.5     |
| COL Item 3.6-1: | A COL applicant that references the NuScale Power Plant design certification will complete the routing of piping systems outside of the reactor pool bay, identify the location of high- and moderate-energy lines, and update Table 3.6-1 as necessary.   | 3.6     |
| COL Item 3.6-2: | A COL applicant that references the NuScale Power Plant design certification will verify that the pipe rupture hazards analysis (including dynamic and environmental effects) of the high- and moderate-energy lines in the reactor pool bay is applicable. If changes are required, the COL applicant will update the pipe rupture hazards analysis, design additional protection features as necessary, and update Table 3.6-2, Figure 3.6-12, Figure 3.6-13, Figure 3.6-14, and Figure 3.6-15 as appropriate.   | 3.6     |
| COL Item 3.6-3: | A COL applicant that references the NuScale Power Plant design certification will perform the pipe rupture hazards analysis (including dynamic and environmental effects) of the high- and moderate-energy lines outside the reactor pool bay and design appropriate protection features. This includes an evaluation and disposition of multi-module impacts in common pipe galleries, the identification of any new detection and auto-isolation functions for mitigating an auxiliary boiler high-energy line break, and evaluations regarding subcompartment pressurization. The COL applicant will update Table 3.6-2, Figure 3.6-16, and Figure 3.6-17 as appropriate. | 3.6     |

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**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.        | Description of COL Information Item   | Section |
|-----------------|---|---------|
| COL Item 3.6-4: | Not used.   | 3.6     |
| COL Item 3.7-1: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific structures, systems, and components.   | 3.7     |
| COL Item 3.7-2: | A COL applicant that references the NuScale Power Plant design certification will provide site-specific time histories. In addition to the above criteria for cross correlation coefficients, time step and earthquake duration, strong motion durations, comparison to response spectra and power spectra density, the applicant will also confirm that site-specific ratios V/A and AD/V2 (A, V, D, are peak ground acceleration, ground velocity, and ground displacement, respectively) are consistent with characteristic values for the magnitude and distance of the appropriate controlling events defining the site-specific uniform hazard response spectra.  | 3.7     |
| COL Item 3.7-3: | <ul> <li>A COL applicant that references the NuScale Power Plant design certification will:</li> <li>develop a site-specific strain compatible soil profile.</li> <li>confirm that the criterion for the minimum required response spectrum has been satisfied.</li> <li>determine whether the seismic site characteristics fall within the seismic design parameters such as soil layering assumptions used in the certified design, range of soil parameters, shear wave velocity values, and minimum soil bearing capacity.</li> </ul>   | 3.7     |
| COL Item 3.7-4: | A COL applicant that references the NuScale Power Plant design certification will confirm that nearby structures exposed to a site-specific safe shutdown earthquake will not collapse and adversely affect the Reactor Building or Seismic Category I portion of the Control Building.   | 3.7     |
| COL Item 3.7-5: | A COL applicant that references the NuScale Power Plant design certification will perform a soil-structure interaction analysis of the Reactor Building and the Control Building using the NuScale SASSI2010 models for those structures. The COL applicant will confirm that the site-specific seismic demands of the standard design structures, systems, and components are bounded by the corresponding design certified seismic demands and, if not, the standard design structures, systems, and components will be shown to have appropriate margin or should be appropriately modified to accommodate the site-specific demands.  | 3.7     |
| COL Item 3.7-6: | A COL applicant that references the NuScale Power Plant design certification will perform a structure-soil-structure interaction analysis that includes the Reactor Building, Control Building, Radioactive Waste Building and both Turbine Generator Buildings. The COL applicant will confirm that the site-specific seismic demands of the standard design structures, systems, and components are bounded by the corresponding design certified seismic demands and, if not, the standard design structures, systems, and components will be shown to have appropriate margin or should be appropriately modified to accommodate the site-specific demands.   | 3.7     |
| COL Item 3.7-7: | A COL applicant that references the NuScale Power Plant design certification will provide a seismic monitoring system and a seismic monitoring program that satisfies Regulatory Guide 1.12 "Nuclear Power Plant Instrumentation for Earthquakes," Rev. 2 (or later) and Regulatory Guide 1.166 "Pre-Earthquake Planning and Immediate Nuclear Power Plant Operator Postearthquake Actions," Rev. 0 (or later). This information is to be provided as noted below.  | 3.7     |
| COL Item 3.7-8: | A COL applicant that references the NuScale Power Plant design certification will identify the implementation milestone for the seismic monitoring program. In addition, a COL applicant that references the NuScale Power Plant design certification will prepare site-specific procedures for activities following an earthquake. These procedures and the data from the seismic instrumentation system will provide sufficient information to determine if the level of earthquake ground motion requiring shutdown has been exceeded. An activity of the procedures will be to address measurement of the post-seismic event gaps between the fuel racks and the pool walls and between the individual fuel racks and to take appropriate corrective action if needed (such as repositioning the racks or assuring that the as-found condition of the racks is acceptable based on the assumptions of the racks' design basis analysis). Acceptable guidance for procedure development is contained in Regulatory Guide 1.166 "Pre-Earthquake Planning and Immediate Nuclear Power Plant Operator Postearthquake Actions," Rev. 0 (or later) and 1.167, "Restart of a Nuclear Power Plant Shut Down by a Seismic Event," Rev. 0 (or later). | 3.7     |

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**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item  | Section |
|------------------|--|---------|
| COL Item 3.7-9:  | A COL applicant that references the NuScale Power Plant design certification will include an analysis of performance-based response spectra established at the surface and intermediate depth(s) that take into account the complexities of the subsurface layer profiles of the site and provide a technical justification for the adequacy of V/H spectral ratios used in establishing the site-specific foundation input response spectra and performance-based response spectra for the vertical direction.  | 3.7     |
| COL Item 3.7-10: | A COL applicant that references the NuScale Power Plant design certification will perform a site-specific configuration analysis that includes the Reactor Building with applicable configuration layout of the desired NuScale Power Modules. The COL applicant will confirm the following are bounded by the corresponding design certified seismic demands:  1) The in-structure response spectra of the standard design at the foundation and roof 2) The maximum forces in the NuScale Power Module lug restraints and skirts 3) The maximum forces and moments in the east and west wing walls and pool walls If not, the standard design will be shown to have appropriate margin or should be appropriately modified to accommodate the site-specific demands. | 3.7     |
| COL Item 3.8-1:  | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific program for monitoring and maintenance of the Seismic Category I structures in accordance with the requirements of 10 CFR 50.65 as discussed in Regulatory Guide 1.160. Monitoring is to include below grade walls, groundwater chemistry if needed, base settlements and differential displacements.   | 3.8     |
| COL Item 3.8-2:  | A COL applicant that references the NuScale Power Plant design certification will confirm that the site independent Reactor Building and Control Building are acceptable for use at the designated site.   | 3.8     |
| COL Item 3.9-1:  | A COL applicant that references the NuScale Power Plant design certification will provide the applicable test procedures before the start of testing and will submit the test and inspection results from the comprehensive vibration assessment program for the NuScale Power Module, in accordance with Regulatory Guide 1.20.   | 3.9     |
| COL Item 3.9-2:  | A COL applicant that references the NuScale Power Plant design certification will develop design specifications and design reports in accordance with the requirements outlined under American Society of Mechanical Engineers Boiler and Pressure Vessel Code, Section III (Reference 3.9-1). A COL applicant will address any known issues through the reactor vessel internals reliability programs (i.e. Comprehensive Vibration Assessment Program, steam generator programs, etc.) in regards to known aging degradation mechanisms such as those addressed in Section 4.5.2.1.  | 3.9     |
| COL Item 3.9-3:  | A COL applicant that references the NuScale Power Plant design certification will provide a summary of reactor core support structure ASME service level stresses, deformation, and cumulative usage factor values for each component and each operating condition in conformance with ASME Boiler and Pressure Vessel Code Section III Subsection NG.   | 3.9     |
| COL Item 3.9-4:  | A COL applicant that references the NuScale Power Plant design certification will submit a Preservice Testing program for valves as required by 10 CFR 50.55a.   | 3.9     |
| COL Item 3.9-5:  | A COL applicant that references the NuScale Power Plant design certification will establish an Inservice Testing program in accordance with ASME OM Code and 10 CFR 50.55a.  | 3.9     |
| COL Item 3.9-6:  | A COL applicant that references the NuScale Power Plant design certification will identify any site-specific valves, implementation milestones, and the applicable ASME OM Code (and ASME OM Code Cases) for the preservice and inservice testing programs. These programs are to be consistent with the requirements in the latest edition and addenda of the OM Code incorporated by reference in 10 CFR 50.55a in accordance with the time period specified in 10 CFR 50.55a before the scheduled initial fuel load (or the optional ASME Code Cases listed in Regulatory Guide 1.192 incorporated by reference in 10 CFR 50.55a).  | 3.9     |
| COL Item 3.9-7:  | Not Used.  | 2.0     |
| COL Item 3.9-8:  | A COL applicant that references the NuScale Power Plant design certification will develop specific test procedures to allow detection and monitoring of power-operated valve assembly performance sufficient to satisfy periodic verification design basis capability requirements.  | 3.9     |

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**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item  | Section |
|------------------|--|---------|
| COL Item 3.9-9:  | A COL applicant that references the NuScale Power Plant design certification will develop specific test procedures to allow detection and monitoring of emergency core cooling system valve assembly performance sufficient to satisfy periodic verification of design basis capability requirements.  | 3.9     |
| COL Item 3.9-10: | A COL applicant that references the NuScale Power Plant design certification will verify that evaluations are performed during the detailed design of the main steam lines, using acoustic resonance screening criteria and additional calculations as necessary (e.g., Strouhal number) to determine if there is a concern. The methodology contained in "NuScale Comprehensive Vibration Assessment Program Technical Report," TR-0716-50439 is acceptable for this purpose. The COL applicant will update Section 3.9.2.1.1.3 to describe the results of this evaluation. | 3.9     |
| COL Item 3.10-1: | A COL applicant that references the NuScale Power Plant design certification will develop and maintain a site-specific seismic and dynamic qualification program.  | 3.10    |
| COL Item 3.10-2: | A COL applicant that references the NuScale Power Plant design certification will develop the equipment qualification database and ensure equipment qualification record files are created for the structures, systems, and components that require seismic qualification.   | 3.10    |
| COL Item 3.10-3: | A COL applicant that references the NuScale Power Plant design certification will submit an implementation program for Nuclear Regulatory Commission approval prior to the installation of the equipment that requires seismic qualification.  | 3.10    |
| COL Item 3.11-1: | A COL applicant that references the NuScale Power Plant design certification will submit a full description of the environmental qualification program and milestones and completion dates for program implementation.   | 3.11    |
| COL Item 3.11-2: | A COL applicant that references the NuScale Power Plant design certification will develop the equipment qualification database and ensure equipment qualification record files are created for the structures, systems, and components that require environmental qualification.   | 3.11    |
| COL Item 3.11-3: | A COL applicant that references the NuScale Power Plant design certification will implement an equipment qualification operational program that incorporates the aspects in Section 3.11-7 specific to the environmental qualification of mechanical and electrical equipment.   | 3.11    |
| COL Item 3.11-4: | A COL applicant that references the NuScale Power Plant design certification will ensure the environmental qualification program cited in COL Item 3.11-1 includes a description of how equipment located in harsh conditions will be monitored and managed throughout plant life. This description will include methodology to ensure equipment located in harsh environments will remain qualified if the measured dose is higher than the calculated dose.  | 3.11    |
| COL Item 3.12-1: | A COL applicant that references the NuScale Power Plant design certification may use a piping analysis program other than the programs listed in Section 3.12.4.1; however, the applicant will implement a benchmark program using the models for the NuScale Power Plant standard design.   | 3.12    |
| COL Item 3.12-2: | A COL applicant that references the NuScale Power Plant design certification will confirm that the site-specific seismic response is within the parameters specified in Section 3.7. A COL applicant may perform a site-specific piping stress analysis in accordance with the methodologies described in this section, as appropriate.  | 3.12    |
| COL Item 3.13-1: | A COL applicant that references the NuScale Power Plant design certification will provide an inservice inspection program for ASME Class 1, 2 and 3 threaded fasteners. The program will identify the applicable edition and addenda of ASME Boiler and Pressure Vessel Code, Section XI and ensure compliance with 10 CFR 50.55a.   | 3.13    |
| COL Item 5.2-1:  | Not used   |         |
| COL Item 5.2-2:  | A COL applicant that references the NuScale Power Plant design certification will provide a certified Overpressure Protection Report in compliance with American Society of Mechanical Engineers Boiler and Pressure Vessel Code, Section III, Subarticles NB-7200 and NC-7200 to demonstrate the reactor coolant pressure boundary and secondary system are designed with adequate overpressure protection features, including low temperature overpressure protection features.  | 5.2     |
| COL Item 5.2-3:  | Not Used   | 5.2     |

**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.        | Description of COL Information Item   | Section |
|-----------------|---|---------|
| COL Item 5.2-4: | A COL applicant that references the NuScale Power Plant design certification will develop and implement a Strategic Water Chemistry Plan. The Strategic Water Chemistry Plan will provide the optimization strategy for maintaining primary coolant chemistry and provide the basis for requirements for sampling and analysis frequencies, and corrective actions for control of primary water chemistry consistent with the latest version of the Electric Power Research Institute Pressurized Water Reactor Primary Water Chemistry Guidelines.   | 5.2     |
| COL Item 5.2-5: | A COL applicant that references the NuScale Power Plant design certification will develop and implement a Boric Acid Control Program that includes: inspection elements to ensure the integrity of the reactor coolant pressure boundary components for subsequent service, the type of visual or other nondestructive inspections to be performed, and the required inspection frequency.  | 5.2     |
| COL Item 5.2-6: | A COL applicant that references the NuScale Power Plant design certification will develop site-specific preservice examination, inservice inspection, and inservice testing program plans in accordance with Section XI of the American Society of Mechanical Engineers Boiler and Pressure Vessel Code and will establish implementation milestones. If applicable, a COL applicant that references the NuScale Power Plant design certification will identify the implementation milestone for the augmented inservice inspection program. The COL applicant will identify the applicable edition of the American Society of Mechanical Engineers Code utilized in the program plans consistent with the requirements of 10 CFR 50.55a.   | 5.2     |
| COL Item 5.2-7: | A COL applicant that references the NuScale Power Plant design certification will establish plant-specific procedures that specify operator actions for identifying, monitoring, trending, and locating reactor coolant system leakage in response to prolonged low leakage conditions that exist above normal leakage rates and below the technical specification limits. The objective of the methods of detecting and locating the reactor coolant pressure boundary leak will be to provide the operator sufficient time to take actions before the plant technical specification limits are reached.   | 5.2     |
| COL Item 5.3-1: | A COL applicant that references the NuScale Power Plant design certification will establish measures to control the onsite cleaning of the reactor pressure vessel during construction in accordance with Regulatory Guide 1.28.  | 5.3     |
| COL Item 5.3-2: | A COL applicant that references the NuScale Power Plant design certification will develop operating procedures to ensure that transients will not be more severe than those for which the reactor design adequacy had been demonstrated.  | 5.3     |
| COL Item 5.3-3  | A COL applicant that references the NuScale Power Plant design certification will describe their reactor vessel material surveillance program consistent with NUREG 0800, Section 5.3.1.  | 5.3     |
| COL Item 5.4-1: | A COL applicant that references the NuScale Power Plant design certification will develop and implement a Steam Generator Program for periodic monitoring of the degradation of steam generator components to ensure that steam generator tube integrity is maintained. The Steam Generator Program will be based on the latest revision of Nuclear Energy Institute (NEI) 97-06, "Steam Generator Program Guidelines," and applicable Electric Power Research Institute steam generator guidelines at the time of the COL application. The elements of the program will include: assessment of degradation, tube inspection requirements, tube integrity assessment, tube plugging, primary-to-secondary leakage monitoring, shell side integrity and accessibility assessment, steam plant corrosion product deposition assessment, primary and secondary side water chemistry control, foreign material exclusion, loose parts management, contractor oversight, self-assessment, and reporting. | 5.4     |
| COL Item 6.2-1: | A COL applicant that references the NuScale Power Plant design certification will develop a containment leakage rate testing program that will identify which option is to be implemented under 10 CFR 50, Appendix J. Option A defines a prescriptive-based testing approach whereas Option B defines a performance-based testing program.   | 6.2     |

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**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.        | Description of COL Information Item  | Section |
|-----------------|--|---------|
| COL Item 6.3-1: | A COL applicant that references the NuScale Power Plant design certification will describe a containment cleanliness program that limits debris within containment. The program should contain the following elements:   | 6.3     |
|                 | Foreign material exclusion controls to limit the introduction of foreign material and debris sources into containment.   |         |
|                 | <ul> <li>Maintenance activity controls, including temporary changes, that confirm the emergency core<br/>cooling system function is not reduced by changes to analytical inputs or assumptions or<br/>other activities that could introduce debris or potential debris sources into containment.</li> </ul>  |         |
|                 | <ul> <li>Controls that limit the introduction of coating materials into containment.</li> <li>An inspection program to confirm containment vessel cleanliness prior to closing for normal power operation.</li> </ul>  |         |
| COL Item 6.4-1: | A COL applicant that references the NuScale Power Plant design certification will comply with Regulatory Guide 1.78 Revision 1, "Evaluating the Habitability of a Nuclear Power Plant Control Room During a Postulated Hazardous Chemical Release."  | 6.4     |
| COL Item 6.4-2: | Not used.  | 6.4     |
| COL Item 6.4-3: | Not used.  | 6.4     |
| COL Item 6.4-4: | Not used.  | 6.4     |
| COL Item 6.4-5: | A COL applicant that references the NuScale Power Plant design certification will specify testing and inspection requirements for the control room habitability system, including control room envelope integrity testing.   | 6.4     |
| COL Item 6.6-1: | A COL applicant that references the NuScale Power Plant design certification will implement an inservice testing program in accordance with 10 CFR 50.55a(f).  | 6.6     |
| COL Item 6.6-2: | A COL applicant that references the NuScale Power Plant design certification will develop preservice inspection and in-service inspection program plans in accordance with Section XI of the ASME Code, and will establish the implementation milestones for the program. The COL applicant will identify the applicable edition of the ASME Code used in the program plan consistent with the requirements of 10 CFR 50.55a. The COL applicant will, if needed, address the use of a single in-service inspection program for multiple NuScale Power Modules, including any alternative to the code that may be necessary to implement such an in-service inspection program. | 6.6     |
| COL Item 7.0-1: | A COL applicant that references the NuScale Power Plant design certification is responsible for demonstrating the stability of the NuScale Power Module during normal and power maneuvering operations for closed-loop module control system subsystems that use reactor power as a control input.   | 7.0     |
| COL Item 7.2-1: | A COL applicant that references the NuScale Power Plant design certification is responsible for the implementation of the life cycle processes for the operation phase for the instrumentation and controls systems, as defined in IEEE Std 1074-2006 and IEEE Std 1012-2004.  | 7.2     |
| COL Item 7.2-2: | A COL applicant that references the NuScale Power Plant design certification is responsible for the implementation of the life cycle processes for the maintenance phase for the instrumentation and controls systems, as defined in IEEE Std 1074-2006 and IEEE Std 1012-2004.  | 7.2     |
| COL Item 7.2-3: | The NuScale Digital instrumentation and controls (I&C) Software Configuration Management Plan provides guidance for the retirement and removal of a software product from use. A COL applicant that references the NuScale Power Plant design certification is responsible for the implementation of the life cycle processes for the retirement phase for the instrumentation and controls systems, as defined in IEEE Std 1074-2006 and IEEE Std 1012-2004. The NuScale Digital I&C Software Configuration Management Plan provides guidance for the retirement and removal of a software product from use.  | 7.2     |
| COL Item 8.2-1: | The design of the switchyard and the connections to an offsite power system are site-specific and are the responsibility of the combined license (COL) applicant. A COL applicant that references the NuScale Power Plant design certification will describe the site-specific switchyard layout and design, including offsite power connections, control and indication, characteristics of circuit breakers and buses, protective relaying, power supplies, lightning and grounding protection equipment, and conformance with General Design Criteria (GDC) 5.  | 8.2     |

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**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.        | Description of COL Information Item  | Section |
|-----------------|--|---------|
| COL Item 8.2-2: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific offsite power connection and grid stability studies, including the effects of grid contingencies such as the loss of the largest operating unit on the grid, the loss of one NuScale Power Module, and the loss of the full complement of NuScale Power Modules (up to 12). The study will be performed in accordance with the applicable Federal Energy Regulatory Commission, North American Electric Reliability Corporation, and transmission system operator requirements, including communication agreements and protocols. | 8.2     |
| COL Item 8.2-3: | A COL applicant that references the NuScale Power Plant design certification will describe the testing of the switchyard and the connections to an offsite power system, if provided, consistent with Regulatory Guide 1.68, Revision 3.   | 8.2     |
| COL Item 8.3-1: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific location, type, and design of the power source to be used as the auxiliary alternating current power system.  | 8.3     |
| COL Item 8.3-2: | A COL applicant that references the NuScale Power Plant design certification will describe the design of the site-specific electrical heat tracing system.   | 8.3     |
| COL Item 8.3-3: | A COL applicant that references the NuScale Power Plant design certification will describe the design of the site-specific plant grounding grid and lightning protection network.  | 8.3     |
| COL Item 9.1-1: | A COL applicant that references the NuScale Power Plant design certification will develop plant programs and procedures for safe operations during handling and storage of new and spent fuel assemblies, including criticality control.   | 9.1     |
| COL Item 9.1-2: | A COL applicant that references the NuScale Power Plant design certification will demonstrate that an NRC-licensed cask can be lowered into the dry dock and used to remove spent fuel assemblies from the plant.  | 9.1     |
| COL Item 9.1-3: | A COL applicant that references the NuScale Power Plant design certification will develop procedures related to the transfer of spent fuel to a transfer cask.   | 9.1     |
| COL Item 9.1-4: | A COL applicant that references the NuScale Power Plant design certification will provide the periodic testing plan for fuel handling equipment.   | 9.1     |
| COL Item 9.1-5: | The COL applicant that references the NuScale Power Plant design certification will describe the process for handling and receipt of critical loads including NuScale Power Modules.   | 9.1     |
| COL Item 9.1-6: | The COL applicant that references the NuScale Power Plant design certification will provide a design for a spent fuel cask and handling equipment including procedures and programs for safe handling.   | 9.1     |
| COL Item 9.1-7: | The COL applicant that references the NuScale Power Plant design certification will provide a description of the program governing heavy loads handling. The program should address  operating and maintenance procedures  inspection and test plans  personnel qualifications and operator training  detailed description of the safe load paths for movement of heavy loads  | 9.1     |
| COL Item 9.2-1: | A COL applicant that references the NuScale Power Plant design certification will select the appropriate chemicals for the reactor component cooling water system based on site-specific water quality and materials requirements.   | 9.2     |
| COL Item 9.2-2: | A COL applicant that references the NuScale Power Plant design certification will describe the source and pre-treatment methods of potable water for the site, including the use of associated pumps and storage tanks.  | 9.2     |
| COL Item 9.2-3: | A COL applicant that references the NuScale Power Plant design certification will describe the method for sanitary waste storage and disposal, including associated treatment facilities.  | 9.2     |
| COL Item 9.2-4: | A COL applicant that references the NuScale Power Plant design certification will provide details on the prevention of long-term corrosion and organic fouling in the site cooling water system.   | 9.2     |
| COL Item 9.2-5: | A COL applicant that references the NuScale Power Plant design certification will identify the site-specific water source and provide a water treatment system that is capable of producing water that meets the plant water chemistry requirements.   | 9.2     |

**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item  | Section |
|------------------|--|---------|
| COL Item 9.3-1:  | A COL applicant that references the NuScale Power Plant design certification will submit a leakage control program, including an initial test program, a schedule for re-testing these systems, and the actions to be taken for minimizing leakage from such systems.  | 9.3     |
| COL Item 9.3-2:  | A COL applicant that references the NuScale Power Plant design certification will develop the post-accident sampling contingency plans for using the process sampling system and the containment evacuation system off-line radiation monitor to obtain reactor coolant and containment atmosphere samples. The contingency plan will describe the process for collecting representative samples and disposing radioactive samples. A COL applicant will identify temporary equipment (e.g., temporary shielding, sample transport cask, etc.) required to support post-accident sampling. | 9.3     |
| COL Item 9.4-1:  | A COL applicant that references the NuScale Power Plant design certification will specify a periodic testing and inspection program for the normal control room heating ventilation and air conditioning system.   | 9.4     |
| COL Item 9.4-2:  | A COL applicant that references the NuScale Power Plant design certification will specify periodic testing and inspection requirements for the Reactor Building heating ventilation and air conditioning system in accordance with Regulatory Guide 1.140.   | 9.4     |
| COL Item 9.4-3:  | A COL applicant that references the NuScale Power Plant design certification will specify periodic testing and inspection requirements for the Radioactive Waste Building heating ventilation and air conditioning system.   | 9.4     |
| COL Item 9.4-4:  | A COL applicant that references the NuScale Power Plant design certification will specify periodic testing and inspection requirements for the Turbine Building heating ventilation and air conditioning system.   | 9.4     |
| COL Item 9.5-1:  | A COL applicant that references the NuScale Power Plant design certification will provide a description of the offsite communication system, how that system interfaces with the onsite communications system, as well as how continuous communications capability is maintained to ensure effective command and control with onsite and offsite resources during both normal and emergency situations.  | 9.5     |
| COL Item 9.5-2:  | A COL applicant that references the NuScale Power Plant design certification will determine the location for the security power equipment within a vital area in accordance with 10 CFR 73.55(e)(9)(vi)(B).  | 9.5     |
| COL Item 10.2-1: | Not used.  | 10.2    |
| COL Item 10.2-2: | Not used.  | 10.2    |

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**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item  | Section |
|------------------|--|---------|
| COL Item 10.2-3: | <ul> <li>A COL applicant that references the NuScale Power Plant design certification will perform an evaluation of the probability of turbine missile generation. The report provides a calculation of the probability of turbine missile generation using established methods and industry guidance applicable to the fabrication technology employed. The analysis is a comprehensive report containing a description of turbine fabrication methods, material quality and properties, and required maintenance and inspections that addresses:</li> <li>a) the calculated probability of turbine missile generation from material and overspeed-related failures based on as-built rotor and blade designs and as-built material properties (as determined in certified testing and nondestructive examination).</li> <li>b) maximum anticipated speed resulting from a loss of load, assuming normal control system function without trip.</li> </ul> | 10.2    |
|                  | c) overspeed basis and overspeed protection trip setpoints.  |         |
|                  | d) discussion of the design and structural integrity of turbine rotors.  |         |
|                  | e) an analysis of potential degradation mechanisms (e.g., stress corrosion cracking, pitting, low-cycle fatigue, corrosion fatigue, erosion and erosioncorrosion), and maintenance or operating requirements necessary for mitigation.   |         |
|                  | f) material properties (e.g., yield strength, stress-rupture properties, fracture toughness, minimum operating temperature of the high-pressure turbine rotor) and the method of determining those properties.   |         |
|                  | g) required preservice test and inspection procedures and acceptance criteria to support calculated turbine missile probability.   |         |
|                  | h) actual maximum tangential and radial stresses and their locations in the turbine rotor.   |         |
|                  | <ul> <li>rotor and blade design analyses, including loading combinations, assumptions and warmup<br/>time, that demonstrate sufficient safety margin to withstand loadings from postulated<br/>overspeed events up to 120 percent of rated speed.</li> </ul>   |         |
|                  | <ul> <li>j) description of the required inservice inspection and testing program for valves essential to overspeed protection and inservice tests, inspections, and maintenance activities for the turbine and valve assemblies that are required to support the calculated missile probability, including inspection and test frequencies with technical bases, type of inspection, techniques, areas to be inspected, acceptance criteria, disposition of reportable indications, and corrective actions.</li> </ul>   |         |
| COL Item 10.3-1: | A COL applicant that references the NuScale Power Plant design certification will provide a site-specific chemistry control program based on the latest revision of the Electric Power Research Institute Pressurized Water Reactor Secondary Water Chemistry Guidelines and Nuclear Energy Institute (NEI) 97-06 at the time of the COL application.  | 10.3    |
| COL Item 10.3-2: | Not used.  | 10.3    |
| COL Item 10.4-1: | A COL applicant that references the NuScale Power Plant design certification will determine the size and number of new and spent resin tanks in the condensate polishing system.   | 10.4    |
| COL Item 10.4-2: | A COL applicant that references the NuScale Power Plant design certification will describe the type of fuel supply for the auxiliary boilers.  | 10.4    |
| COL Item 10.4-3: | A COL applicant that references the NuScale Power Plant design certification will provide a secondary water chemistry analysis. This analysis will show that the size, materials, and capacity of the feedwater treatment system equipment and components satisfies the water quality requirements of the secondary water chemistry program described in Section 10.3.5, and that it is compatible with the chemicals used.  | 10.4    |
| COL Item 11.2-1: | A COL applicant that references the NuScale Power Plant design certification will ensure mobile equipment used and connected to plant systems is in accordance with ANSI/ANS-40.37, Regulatory Guide (RG) 1.143, 10 CFR 20.1406, NRC IE Bulletin 80-10 and 10 CFR 50.34a.  | 11.2    |

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**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item  | Section |
|------------------|--|---------|
| COL Item 11.2-2: | A COL applicant that references the NuScale Power Plant design certification will calculate doses to members of the public using the site-specific parameters, compare those liquid effluent doses to the numerical design objectives of 10 CFR 50, Appendix I, and comply with the requirements of 10 CFR 20.1302 and 40 CFR 190.   | 11.2    |
| COL Item 11.2-3: | A COL applicant that references the NuScale Power Plant design certification will perform a site-specific evaluation of the consequences of an accidental release of radioactive liquid from the pool surge control system storage tank in accordance with NRC Branch Technical Position 11-6.   | 11.2    |
| COL Item 11.2-4: | A COL applicant that references the NuScale Power Plant design certification will perform a site-specific evaluation using the site-specific dilution flow.  | 11.2    |
| COL Item 11.2-5: | A COL applicant that references the NuScale Power Plant design certification will perform a cost-benefit analysis as required by 10 CFR 50.34a and 10 CFR 50, Appendix I, to demonstrate conformance with regulatory requirements. This cost-benefit analysis is to be performed using the guidance of Regulatory Guide 1.110.   | 11.2    |
| COL Item 11.3-1: | A COL applicant that references the NuScale Power Plant design certification will perform a site-specific cost-benefit analysis.   | 11.3    |
| COL Item 11.3-2: | A COL applicant that references the NuScale Power Plant design certification will calculate doses to members of the public using the site-specific parameters, compare those gaseous effluent doses to the numerical design objectives of 10 CFR 50, Appendix I, and comply with the requirements of 10 CFR 20.1302 and 40 CFR 190.  | 11.3    |
| COL Item 11.3-3: | A COL applicant that references the NuScale Power Plant design certification will perform an analysis in accordance with Branch Technical Position 11-5 using the site-specific parameters.  | 11.3    |
| COL Item 11.4-1: | A COL applicant that references the NuScale Power Plant design certification will describe mobile equipment used and connected to plant systems in accordance with ANSI/ANS 40.37, Regulatory Guide 1.143, 10 CFR 20.1406, NRC IE Bulletin 80-10, and 10 CFR 50.34a.   | 11.4    |
| COL Item 11.4-2: | A COL applicant that references the NuScale Power Plant design certification will develop a site-specific process control program following the guidance of Nuclear Energy Institute (NEI) 07-10A (Reference 11.4-3).  | 11.4    |
| COL Item 11.5-1: | A COL applicant that references the NuScale Power Plant design certification will describe site-specific process and effluent monitoring and sampling system components and address the guidance provided in ANSI N13.1-2011, ANSI N42.18-2004 and Regulatory Guides 1.21, 1.33 and 4.15.  | 11.5    |
| COL Item 11.5-2: | A COL applicant that references the NuScale Power design certification will develop an offsite dose calculation manual (ODCM) that contains a description of the methodology and parameters used for calculation of offsite doses for gaseous and liquid effluents, using the guidance of Nuclear Energy Institute (NEI) 07-09A (Reference 11.5-8).  | 11.5    |
| COL Item 11.5-3: | A COL applicant that references the NuScale Power design certification will develop a radiological environmental monitoring program (REMP), consistent with the guidance in NUREG-1301 and NUREG-0133, that considers local land use census data for the identification of potential radiation pathways radioactive materials present in liquid and gaseous effluents, and direct external radiation from systems, structures, and components. | 11.5    |
| COL Item 12.1-1: | A COL applicant that references the NuScale Power Plant design certification will describe the operational program to maintain exposures to ionizing radiation as far below the dose limits as practical, as low as reasonably achievable (ALARA).   | 12.1    |
| COL Item 12.2-1: | A COL applicant that references the NuScale Power Plant design certification will describe additional site-specific contained radiation sources that exceed 100 millicuries (including sources for instrumentation and radiography) not identified in Section 12.2.1.  | 12.2    |
| COL Item 12.3-1: | A COL applicant that references the NuScale Power Plant design certification will develop the administrative controls regarding access to high radiation areas per the guidance of Regulatory Guide 8.38.  | 12.3    |
| COL Item 12.3-2: | A COL applicant that references the NuScale Power Plant design certification will develop the administrative controls regarding access to very high radiation areas per the guidance of Regulatory Guide 8.38.   | 12.3    |

**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item   | Section |
|------------------|---|---------|
| COL Item 12.3-3: | A COL applicant that references the NuScale Power Plant design certification will specify personnel exposure monitoring hardware, specify contamination identification and removal hardware, and establish administrative controls and procedures to control access into and exiting the radiologically controlled area.  | 12.3    |
| COL Item 12.3-4: | A COL applicant that references the NuScale Power Plant design certification will develop the processes and programs necessary for the implementation of 10 CFR 20.1501 related to conducting radiological surveys, maintaining proper records, calibration of equipment, and personnel dosimetry.  | 12.3    |
| COL Item 12.3-5: | A COL applicant that references the NuScale Power Plant design certification will describe design criteria for locating additional area radiation monitors.   | 12.3    |
| COL Item 12.3-6: | A COL applicant that references the NuScale Power Plant design certification will develop the processes and programs necessary for the use of portable airborne monitoring instrumentation, including accurately determining the airborne iodine concentration in areas within the facility where plant personnel may be present during an accident.  | 12.3    |
| COL Item 12.3-7: | A COL applicant that references the NuScale Power Plant design certification will develop the processes and programs associated with Objectives 5 and 6, to work in conjunction with design features, necessary to demonstrate compliance with 10 CFR 20.1406, and the guidance of Regulatory Guide 4.21.   | 12.3    |
| COL Item 12.4-1: | A COL applicant that references the NuScale Power Plant design certification will estimate doses to construction personnel from a co-located existing operating nuclear power plant that is not a NuScale Power Plant.  | 12.4    |
| COL Item 12.5-1: | A COL applicant that references the NuScale Power Plant design certification will describe elements of the operational radiation protection program to ensure that occupational and public radiation exposures are as low as reasonably achievable in accordance with 10 CFR 20.1101.   | 12.5    |
| COL Item 13.1-1: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the corporate or home office management and technical support organization, including a description of the qualification requirements for (1) each identified position or class of positions that provide technical support to the onsite operating organization, and (2) individuals holding management and supervisory positions in organizational units providing technical support to the onsite operating organization. | 13.1    |
| COL Item 13.1-2: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the proposed structure, functions, and responsibilities of the onsite organization necessary to operate and maintain the plant. The proposed operating staff shall be consistent with the minimum licensed operator staffing requirements in Section 18.5.   | 13.1    |
| COL Item 13.1-3: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the qualification requirements for each management, operating, technical, and maintenance position described in the operating organization.  | 13.1    |
| COL Item 13.2-1: | A COL applicant that references the NuScale Power Plant design certification will provide a description and schedule of the initial training and qualification as well as requalification programs for reactor operators and senior reactor operators.  | 13.2    |
| COL Item 13.2-2: | A COL applicant that references the NuScale Power Plant design certification will provide a description and schedule of the non-licensed plant staff training programs including initial training, periodic retraining, and qualification requirements.   | 13.2    |
| COL Item 13.3-1: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the onsite operational support center (OSC) including the direct communication system or systems between the OSC and the control room.   | 13.3    |
| COL Item 13.3-2: | A COL applicant that references the NuScale Power Plant design certification will provide a description of an emergency operations facility for management of overall licensee emergency response and which complies with the guidance in NUREG-0696, "Functional Criteria for Emergency Response Facilities," NUREG-0737 Supplement 1, "Clarification of TMI Action Plan Requirements - Requirements for Emergency Response Capability," and NSIR/DPR-ISG-01, "Interim Staff Guidance - Emergency Planning for Nuclear Power Plants."  | 13.3    |

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**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item   | Section |
|------------------|---|---------|
| COL Item 13.3-3: | A COL applicant that references the NuScale Power Plant design certification will provide a comprehensive emergency plan in accordance with 10 CFR 50.47, 10 CFR 50, Appendix E, 10 CFR 52.48, and 10 CFR 52.79(a)(21).   | 13.3    |
| COL Item 13.4-1: | A COL applicant that references the NuScale Power Plant design certification will provide site-specific information, including implementation schedule, for operational programs:  Inservice inspection programs (refer to Section 5.2, Section 5.4, and Section 6.6)  Inservice testing programs (refer to Section 3.9 and Section 5.2)  Environmental qualification program (refer to Section 3.11)  Pre-service inspection program (refer to Section 5.2 and Section 5.4)  Reactor vessel material surveillance program (refer to Section 5.3)  Pre-service testing program (refer to Section 3.9.6, Section 5.2, and Section 6.6)  Containment leakage rate testing program (refer to Section 6.2)  Fire protection program (refer to Section 9.5)  Process and effluent monitoring and sampling program (refer to Section 11.5)  Radiation protection program (refer to Section 12.5)  Non-licensed plant staff training program (refer to Section 13.2)  Reactor operator training program (refer to Section 13.2)  Reactor operator requalification program (refer to Section 13.2)  Emergency planning (refer to Section 13.3)  Process control program (PCP) (refer to Section 11.4)  Security (refer to Section 13.6)  Quality assurance program (refer to Section 17.5)  Maintenance rule (refer to Section 17.6)  Motor-operated valve testing (refer to Section 3.9)  Initial test program (refer to Section 14.2) | 13.4    |
| COL Item 13.5-1: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific procedures that provide administrative control for activities that are important for the safe operation of the facility consistent with the guidance provided in Regulatory Guide 1.33, Revision 3.  | 13.5    |
| COL Item 13.5-2: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific procedures that operators use in the main control room and locally in the plant, including normal operating procedures, abnormal operating procedures, and emergency operating procedures. The COL applicant will describe the classification system for these procedures, and the general format and content of the different classifications.  | 13.5    |
| COL Item 13.5-3: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific maintenance and other operating procedures, including how these procedures are classified, and the general format and content of the different classifications. The categories of procedures listed below should be included:  • plant radiation protection procedures  • emergency preparedness procedures  • calibration and test procedures  • chemical-radiochemical control procedures  • radioactive waste management procedures  • maintenance and modification procedures  • material control procedures  • plant security procedures  | 13.5    |
| COL Item 13.5-4: | A COL applicant that references the NuScale Power Plant design certification will provide a plan for the development, implementation, and control of administrative procedures, including preliminary schedules for preparation and target dates for completion. Additionally, the COL applicant will identify the group within the operating organization responsible for maintaining these procedures.  | 13.5    |

**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item  | Section |
|------------------|--|---------|
| COL Item 13.5-5: | A COL applicant that references the NuScale Power Plant design certification will provide a plan for the development, implementation, and control of operating procedures, including preliminary schedules for preparation and target dates for completion. Additionally, the COL applicant will identify the group within the operating organization responsible for maintaining these procedures.  | 13.5    |
| COL Item 13.5-6: | Not used.  | 13.5    |
| COL Item 13.5-7: | A COL applicant that references the NuScale Power Plant design certification will provide a plan for the development, implementation, and control of emergency operating procedures (EOPs), including preliminary schedules for preparation and target dates for completion. Included in the submittal is the Procedures Generation Package, consisting of the following:  • Plant-Specific Technical Guidelines, which are guidelines based on analysis of transients and   | 13.5    |
|                  | <ul> <li>accidents that are specific to the COL applicant's plant design and operating philosophy.</li> <li>A plant-specific writer's guide that details the specific methods to be used by the COL applicant in preparing EOPs based on the Plant-Specific Technical Guidelines.</li> <li>A description of the program for verification and validation of the EOPs.</li> <li>A description of the program for training operators on the EOPs.</li> <li>Additionally, the COL applicant will identify the group within the operating organization responsible for maintaining these procedures.</li> </ul> |         |
| COL Item 13.5-8: | A COL applicant that references the NuScale Power Plant design certification will provide a plan for the development, implementation, and control of maintenance and other operating procedures, including preliminary schedules for preparation and target dates for completion. Additionally, the COL applicant will identify what group or groups within the operating organization have the responsibility for maintaining and following these procedures.   | 13.5    |
| COL Item 13.6-1: | <ul> <li>A COL applicant that references the NuScale Power Plant design certification will provide the following:</li> <li>Security Plans (Physical Security, Security Training and Qualification, and Safeguards Contingency Plans)</li> <li>proposed site security provisions to be implemented during construction and as modules are completed and become operational of a new plant</li> <li>portions of the physical security system not located within the nuclear island and structures</li> </ul>   | 13.6    |
| COL Item 13.6-2: | A COL applicant that references the NuScale Power Plant design certification will be responsible for the requirements described in Table 5-1 of TR-0416-48929, Rev 0 NuScale Design of Physical Security Systems.  | 13.6    |
| COL Item 13.6-3: | A COL applicant that references the NuScale Power Plant design certification will provide a secondary alarm station that is equal and redundant to the central alarm station.  | 13.6    |
| COL Item 13.6-4: | A COL applicant that references the NuScale Power Plant design certification will provide inspections, tests, analyses, and acceptance criteria for site-specific physical security structures, systems, and components (SSC).   | 13.6    |
| COL Item 13.6-5: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the access authorization program.   | 13.6    |
| COL Item 13.6-6: | A COL applicant that references the NuScale Power Plant design certification will provide a Cyber Security Plan.   | 13.6    |
| COL Item 13.7-1: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the applicant's 10 CFR 26 compliant fitness-for-duty (FFD) program for plant operations.  | 13.7    |
| COL Item 13.7-2: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the applicant's 10 CFR 26 compliant fitness-for-duty (FFD) program for construction.  | 13.7    |
| COL Item 14.2-1: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific organizations that manage, supervise, or execute the Initial Test Program, including the associated training requirements.  | 14.2    |

**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.           | Description of COL Information Item   | Section |
|--------------------|---|---------|
| COL Item 14.2-2:   | A COL applicant that references the NuScale Power Plant design certification is responsible for   | 14.2    |
|                    | the development of the Startup Administration Manual that will contain the administrative   |         |
|                    | procedures and requirements that control the activities associated with the Initial Test Program.   |         |
|                    | The COL applicant will provide a milestone for completing the Startup Administrative Manual   |         |
|                    | and making it available for NRC inspection.   |         |
| COL Item 14.2-3:   | A COL applicant that references the NuScale Power Plant design certification will identify the  | 14.2    |
|                    | specific operator training to be conducted during low-power testing related to the resolution of  |         |
|                    | TMI Action Plan Item I.G.1, as described in NUREG-0660, NUREG-0694, and NUREG-0737.   |         |
| COL Item 14.2-4:   | A COL applicant that references the NuScale Power Plant design certification will provide a   | 14.2    |
|                    | schedule for the Initial Test Program.  |         |
| COL Item 14.2-5:   | A COL applicant that references the NuScale Power Plant design certification will provide a test  | 14.2    |
|                    | abstract for the potable water system pre-operational testing.  |         |
| COL Item 14.2-6:   | A COL applicant that references the NuScale Power Plant design certification will provide a test  | 14.2    |
|                    | abstract for the seismic monitoring system pre-operational testing.   |         |
| COL Item 14.2-7:   | A COL applicant that references the NuScale Power Plant design certification will select the plant  | 14.2    |
| COL Item 1 1.2 7.  | configuration to perform the Island Mode Test (number of NuScale Power Modules in service).   | 1 1.2   |
| COL Item 14.3-1:   | A COL applicant that references the NuScale Power Plant design certification will provide the   | 14.3    |
| COL Itelli 14.5-1. | site-specific selection methodology and inspections, tests, analyses, and acceptance criteria for   | 14.5    |
|                    | emergency planning.   |         |
| COL Item 14.3-2:   | A COL applicant that references the NuScale Power Plant design certification will provide the   | 142     |
| COL Item 14.3-2:   | 1 ''  | 14.3    |
|                    | site-specific selection methodology and inspections, tests, analyses, and acceptance criteria for structures, systems, and components within their scope. |         |
| COL II. 1611       |   | 161     |
| COL Item 16.1-1:   | A COL applicant that references the NuScale Power Plant design certification will provide the   | 16.1    |
|                    | final plant-specific information identified by [] in the generic Technical Specifications.  |         |
| COL Item 16.1-2    | A COL applicant that references the NuScale Power Plant design certification will prepare and   | 16.1    |
|                    | maintain an owner-controlled requirements manual that includes owner-controlled limits and  |         |
|                    | requirements described in the Bases of the Technical Specifications or as otherwise specified in  |         |
|                    | the FSAR.   |         |
| COL Item 17.4-1:   | A COL applicant that references the NuScale Power Plant design certification will describe the  | 17.4    |
|                    | reliability assurance program conducted during the operations phases of the plant's life.   |         |
| COL Item 17.4-2:   | A COL applicant that references the NuScale Power Plant design certification will identify site-  | 17.4    |
|                    | specific structures, systems, and components within the scope of the Reliability Assurance  |         |
|                    | Program.  |         |
| COL Item 17.4-3:   | A COL applicant that references the NuScale Power Plant design certification will identify the  | 17.4    |
|                    | quality assurance controls for the Reliability Assurance Program structures, systems, and   |         |
|                    | components during site-specific design, procurement, fabrication, construction, and   |         |
|                    | preoperational testing activities.  |         |
| COL Item 17.5-1:   | A COL applicant that references the NuScale Power Plant design certification will describe the  | 17.5    |
|                    | quality assurance program applicable to site-specific design activities and to the construction   |         |
|                    | and operations phases.  |         |
| COL Item 17.6-1:   | A COL applicant that references the NuScale Power Plant design certification will describe the  | 17.6    |
|                    | program for monitoring the effectiveness of maintenance required by 10 CFR 50.65.   |         |
| COL Item 18.5-1:   | A COL applicant that references the NuScale Power Plant design certification will address the   | 18.5    |
|                    | staffing and qualifications of non-licensed operators.  |         |
| COL Item 18.12-1:  | A COL applicant that references the NuScale Power Plant design certification will provide a   | 18.12   |
|                    | description of the human performance monitoring program in accordance with applicable   |         |
|                    | NUREG-0711 or equivalent criteria.  |         |
| COL Item 19.1-1:   | A COL applicant that references the NuScale Power Plant design certification will identify and  | 19.1    |
| COL ICIII 19.1 1.  | describe the use of the probabilistic risk assessment in support of licensee programs being   | 1 7.1   |
|                    | implemented during the COL application phase.   |         |
|                    | proprenties during the COL application phase.   |         |

**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item   | Section |  |  |  |
|------------------|---|---------|--|--|--|
| COL Item 19.1-2: | A COL applicant that references the NuScale Power Plant design certification will identify and describe specific risk-informed applications being implemented during the COL application phase.   | 19.1    |  |  |  |
| COL Item 19.1-3: | A COL applicant that references the NuScale Power Plant design certification will specify and describe the use of the probabilistic risk assessment in support of licensee programs during the construction phase (from issuance of the COL up to initial fuel loading).  | 19.1    |  |  |  |
| COL Item 19.1-4: | A COL applicant that references the NuScale Power Plant design certification will specify and describe risk-informed applications during the construction phase (from issuance of the COL up to initial fuel loading).  | 19.1    |  |  |  |
| COL Item 19.1-5: | A COL applicant that references the NuScale Power Plant design certification will specify and describe the use of the probabilistic risk assessment in support of licensee programs during the operational phase (from initial fuel loading through commercial operation).  | 19.1    |  |  |  |
| COL Item 19.1-6: | A COL applicant that references the NuScale Power Plant design certification will specify and describe risk-informed applications during the operational phase (from initial fuel loading through commercial operation).  | 19.1    |  |  |  |
| COL Item 19.1-7: | A COL applicant that references the NuScale Power Plant design certification will evaluate site-specific external event hazards, screen those for risk-significance, and evaluate the risk associated with external hazards that are not bounded by the design certification.   | 19.1    |  |  |  |
| COL Item 19.1-8: | A COL applicant that references the NuScale Power Plant design certification will confirm the validity of assumptions and data used in the design certification application and modify, as necessary, for applicability to the as-built, as-operated probabilistic risk assessment.   | 19.1    |  |  |  |
| COL Item 19.2-1: | 1: A COL applicant that references the NuScale Power Plant design certification will develop severe accident management guidelines and other administrative controls to define the response to beyond-design-basis events.  |         |  |  |  |
| COL Item 19.2-2: | A COL applicant that references the NuScale Power Plant design certification will use the site-specific probabilistic risk assessment to evaluate and identify improvements in the reliability of core and containment heat removal systems as specified by 10 CFR 50.34(f)(1)(i).  | 19.2    |  |  |  |
| COL Item 19.2-3: | A COL applicant that references the NuScale Power Plant design certification will evaluate severe accident mitigation design alternatives screened as "not required for design certification application."  | 19.2    |  |  |  |
| COL Item 19.3-1: | A COL applicant that references the NuScale Power Plant design certification will identify site-<br>specific regulatory treatment of nonsafety systems (RTNSS) structures, systems, and<br>components and applicable RTNSS process controls.  | 19.3    |  |  |  |
| COL Item 20.1-1: | A COL applicant that references the NuScale Power Plant design certification will ensure equipment and structures credited for diverse and flexible coping strategies are designed to be available following a site-specific seismic hazard.  | 20.1    |  |  |  |
| COL Item 20.1-2: | A COL applicant that references the NuScale Power Plant design certification will determine if a flood hazard is applicable at the site location. If a flood hazard is applicable, then the COL applicant will ensure equipment and structures credited for diverse and flexible coping strategies are designed to be available following a site-specific flood (including wave action) hazard.   | 20.1    |  |  |  |
| COL Item 20.1-3: | A COL applicant that references the NuScale Power Plant design certification will determine if high wind and applicable missile hazards are applicable at the site location. If high wind and applicable missile hazards are applicable, then the COL applicant will ensure equipment and structures credited for diverse and flexible coping strategies are designed to be available following a site-specific high wind and applicable missile hazards. | 20.1    |  |  |  |
| COL Item 20.1-4: | A COL applicant that references the NuScale Power Plant design certification will determine if snow, ice and extreme cold temperature hazards are applicable at the site location. If snow, ice and extreme cold hazards are applicable, the COL applicant will ensure equipment and structures credited for diverse and flexible coping strategies are designed to be available following a site-specific snow, ice or extreme cold temperature hazard.  | 20.1    |  |  |  |

**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item  | Section |
|------------------|--|---------|
| COL Item 20.1-5: | A COL applicant that references the NuScale Power Plant design certification will determine if extreme high temperature hazard is applicable at the site location. If extreme high temperature hazard is applicable, the COL applicant will ensure equipment and structures credited for diverse and flexible coping strategies are designed to be available following a site-specific extreme high temperature hazard.  | 20.1    |
| COL Item 20.1-6: | A COL applicant that references the NuScale Power Plant design certification will develop and implement the strategies and guidance for makeup to the ultimate heat sink after an extended loss of all alternating current power event using supplemental equipment for diverse and flexible coping strategies.  | 20.1    |
| COL Item 20.1-7: | A COL applicant that references the NuScale Power Plant design certification will develop a training and qualification program using the systems approach to training process. The training will ensure personnel will be able to perform activities in accordance with the diverse and flexible coping strategies and guidelines.   | 20.1    |
| COL Item 20.1-8: | A COL applicant that references the NuScale Power Plant design certification will develop procedures, training, and a qualification program for operations, maintenance, testing, and calibration of ultimate heat sink level instrumentation to ensure the level instruments will be available when needed and personnel are knowledgeable in interpreting the information as addressed in Nuclear Energy Institute (NEI) 12-02.  | 20.1    |
| COL Item 20.2-1: | A COL applicant that references the NuScale Power Plant design certification will develop enhanced firefighting capabilities by implementing the guidance in NRC guidance document "Developing Mitigating Strategies/Guidance for Nuclear Power Plants to Respond to Loss of Large Areas of the Plant in Accordance with B.5.b of the February 25, 2002, Order" dated February 25, 2005 (Reference 20.2-3). The enhanced firefighting capabilities should address the expectation elements listed in Section 4.1.3 of the Technical Report TR-0816-50796 (Reference 20.2-1).   | 20.2    |
| COL Item 20.2-2: | A COL applicant that references the NuScale Power Plant design certification will provide a means for water spray scrubbing using fog nozzles and the availability of water sources, and address runoff water containment issues (sandbags, portable dikes, etc.) as an attenuation measure for mitigating radiation releases outside containment.   | 20.2    |
| COL Item 20.3-1: | A COL applicant that references the NuScale Power Plant design certification will ensure that the severe accident management guidelines, diverse and flexible coping strategies support guidelines (FSGs), and extensive damage mitigation guidelines are integrated with the emergency operating procedures consistent with Recommendation 8.1 of SECY-11-0093, "Near-Term Report and Recommendations for Agency Actions Following the Events in Japan."  | 20.3    |
| COL Item 20.4-1: | A COL applicant that references the NuScale Power Plant design certification will perform an analysis that demonstrates the emergency response organization staff has the ability to implement the strategies of the emergency operating procedures, severe accident mitigation guidelines, diverse and flexible coping strategies support guidelines (FSGs), and extensive damage mitigation guidelines. The analysis will be performed with the offsite response organization access to onsite being impeded. The event shall be a loss of all onsite and offsite alternating current power and loss of normal access to the ultimate heat sink. | 20.4    |
| COL Item 20.4-2: | A COL applicant that references the NuScale Power Plant design certification will develop a supporting emergency response organization structure with defined roles and responsibilities to implement the strategies of the emergency operating procedures, severe accident mitigation guidelines, diverse and flexible coping strategies support guidelines (FSGs), and extensive damage mitigation guidelines.   | 20.4    |
| COL Item 20.4-3: | A COL applicant that references the NuScale Power Plant design certification will develop and describe at least one onsite and one offsite communications system capable of remaining functional during an extended loss of alternating current power including the effects of the loss of the local communications infrastructure.  | 20.4    |

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**Table 1.8-2: Combined License Information Items (Continued)** 

| Item No.         | Description of COL Information Item   | Section |
|------------------|---|---------|
| COL Item 20.4-4: | A COL applicant that references the NuScale Power Plant design certification will develop, implement, and maintain the training and qualification of personnel that perform activities in accordance with diverse and flexible coping strategies support guidelines (FSGs), severe accident mitigation guidelines, and extensive damage mitigation guidelines. The training and qualification on these activities will be developed using the systems approach to training as defined in 10 CFR 55.4 except for elements already covered under other NRC regulations.                                   | 20.4    |
| COL Item 20.4-5: | A COL applicant that references the NuScale Power Plant design certification will develop drills or exercises that demonstrate the ability to transition to one or more of the strategies and guidelines of the emergency operating procedures, diverse and flexible coping strategies support guidelines (FSGs), extensive damage mitigation guidelines, and severe accident mitigation guidelines using only the station communication equipment designed to be available following an extended loss of alternating current including effects of the loss of the local communications infrastructure. | 20.4    |
| COL Item 20.4-6: | A COL applicant that references the NuScale Power Plant design certification will develop and describe the means to be used for determining the magnitude of, and for continually assessing the impact of, the release of radioactive materials to the environment including releases from reactor core and spent fuel pool sources.  | 20.4    |

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### 1.9 Conformance with Regulatory Criteria

This section provides a guide to conformance with regulatory criteria in individual table format, as listed below. Conformance is assessed to regulatory criteria in effect six months before the anticipated docket date.

Table 1.9-1, "Conformance Status Legend," defines the codes used to indicate conformance in Table 1.9-2 through Table 1.9-8

Table 1.9-2, Conformance with Regulatory Guides

Table 1.9-3, Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS)

Table 1.9-4, Conformance with Interim Staff Guidance (ISG)

Table 1.9-5, Conformance with TMI Requirements (10 CFR 50.34(f)) and Generic Issues (NUREG-0933)

Table 1.9-6, Evaluation of Operating Experience (Generic Letters and Bulletins)

Table 1.9-7, Conformance with Advanced and Evolutionary Light Water Reactor Design Issues (SECYs and associated SRMs)

Table 1.9-8, Conformance with SECY-93-087, "Policy, Technical, and Licensing Issues Pertaining to Evolutionary and Advanced Light-Water Reactor Designs"

COL Item 1.9-1: A COL applicant that references the NuScale Power Plant design certification will review and address the conformance with regulatory criteria in effect six months before the submittal date of the COL application for the site-specific portions and operational aspects of the facility design.

## 1.9.1 Conformance with Regulatory Guides

Table 1.9-2 provides an evaluation of conformance with the guidance in NRC regulatory guides in effect 6 months before the submittal date of the Final Safety Analysis Report (FSAR). This evaluation also includes an identification and description of deviations from the guidance in the NRC Regulatory Guides as well as suitable justifications for any alternative approaches proposed.

The conformance evaluation was performed on the following groups of Regulatory Guides:

- Division 1, Power Reactors
- Division 4, Environmental and Siting (applies to the environmental report and should be discussed therein)
- Division 5, Materials and Plant Protection (applies to the security plan and should be discussed therein)
- Division 8, Occupational Health

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#### 1.9.2 Conformance with Standard Review Plan

NuScale performed a review of the SRP including Branch Technical Positions and guidance referenced within the SRP. A summary of this review was submitted to the NRC as NP-RT-0612-023, "Gap Analysis Summary Report," Revision 1, in July 2014 (Reference 1.9-1). The gap analysis review for applicability was directed towards the acceptance criteria of each SRP section. However, the review considered the relevance of sub-tier guidance whether referenced in the acceptance criteria or in other portions of the SRP section being reviewed.

Additionally, NuScale considered conformance with the DSRS developed by the NRC for the review of the NuScale Power small modular reactor design. This information has been incorporated into Table 1.9-3. Conformance with NRC Interim Staff Guidance is presented in Table 1.9-4.

### 1.9.3 Generic Issues

In accordance with 10 CFR 52.47(a)(8), conformance is assessed against technically relevant Three Mile Island (TMI) requirements identified in 10 CFR 50.34(f), except for paragraphs (f)(1)(xii), (f)(2)(ix), and (f)(3)(v). Plant characteristics and plant programs that address relevant TMI requirements are described in the appropriate FSAR sections.

In accordance with 10 CFR 52.47(a)(21), proposed resolutions must be identified for any technically relevant unresolved safety issues and medium-priority to high-priority generic safety issues (GSI) identified in the version of NUREG-0933 that is current six months prior to the application for design certification. Resolution and closure of generic issues is managed via the NRC Generic Issues Program. NRC SECY-07-0110, dated July 6, 2007 provides the most recent supplemental status report of the Generic Issues Program prior to the FSAR submittal. As such, Appendix B of NUREG-0933, Rev. 21 (including the Main Report and Supplements 1-34) and NRC letter SECY-07-0110, were used to identify those generic issues applicable to the NuScale Power Plant design certification.

Table 1.9-5 identifies the applicable TMI requirements and generic issues, along with an abbreviated summary description of the NRC position for each table entry. Table 1.9-5 also provides a brief conformance assessment notation, including annotation of any exceptions, and a reference to the FSAR section(s) addressing the issue. Those NUREG-0933 generic issues determined as non-applicable were eliminated from consideration in Table 1.9-5 based on these:

- Resolved: Issue has been completely resolved and removed from the latest Generic Issues Program list of Active and Regulatory Office Implementation Generic Issues.
- BWR, Ice Condenser Containment or Other: Issue applies to another nuclear power
  plant design concept or to the design of a nuclear facility other than a nuclear power
  plant.

## 1.9.4 Operational Experience (Generic Communications)

Per 10 CFR 52.47(a)(22) requirements, applicants for design certification of new plant designs include a description of how operational experience has been incorporated into the design process. Operational experience insights are incorporated into applicable SRP

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sections as they are updated. Operational experience from NRC Bulletins and Generic Letters not incorporated into the most recent applicable SRP six months before the application docket date are incorporated into the design unless stated otherwise. The design is an evolution of nuclear power plant designs that have been operated in the United States, as addressed by 10 CFR 52.41(b)(1); hence NRC guidance for technically relevant operational experience issues is addressed in the appropriate FSAR sections.

The conformance assessment relative to operational experience is provided in Table 1.9-6, "Evaluation of Operating Experience (Generic Letters and Bulletins)." Further, 10 CFR 21 notifications were reviewed for impact to the NuScale design as part of the supplier evaluation process. NuScale's QA supplier evaluation program includes a review of 10 CFR 21 notifications for every Nuclear Safety Related supplier prior to use as an approved supplier for safety related items/services. The evaluation for any 10 CFR 21 notifications is also performed as part of monitoring of supplier performance by periodic annual review. There have been no 10 CFR 21 notifications impacting nuclear safety related work performed by NuScale approved safety related suppliers for the development of the NuScale Design. Therefore, all applicable 10 CFR 21 notifications have been evaluated.

# 1.9.5 Advanced and Evolutionary Light-Water Reactor Design Issues

Guidance in SRP Section 1.0 recommends that this section address the applicable licensing and policy issues developed by the NRC and documented in SECY-93-087, "Policy, Technical, and Licensing Issues Pertaining to Evolutionary and Advanced Light-Water Reactor (ALWR) Designs," Secretary of the Commission, Office of the U.S. Nuclear Regulatory Commission, April, 2, 1993, as supplemented by the associated staff requirements memorandum (SRM) dated July 21, 1993.

Table 1.9-7 lists applicable design issues identified in SECYs and their associated SRMs. The table provides a conformance assessment notation, including annotation of any exceptions, for each issue. Table 1.9-7 also provides a cross-reference from the SECY issues to the FSAR sections that address them. Table 1.9-8 provides a separate assessment of SECY-93-087 line items pertaining to ALWR designs.

#### 1.9.6 References

1.9-1 NuScale Power, LLC, NP-RT-0612-023, Rev 1, Gap Analysis Summary Report.

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**Table 1.9-1: Conformance Status Legend** 

| <b>Conformance Status</b> | Description  |
|---------------------------|--|
| Code                      |  |
| Conforms                  | The regulation or regulatory guidance is relevant and applicable, and can be applied "as-is." The design fully conforms to the requirement or guidance described in the Section(s) identified. Where options are identified in the regulation or regulatory guidance, "Conforms" indicates that the design fully conforms to the option(s) selected.   |
| Partially Conforms        | <ul> <li>The design conforms to those portions of the requirement or guidance that can be appropriately applied as written.</li> <li>The underlying purpose or intent of the requirement or guidance is relevant to the design but cannot be appropriately applied as written, or some portion of the requirement or guidance is applicable while other portions are not applicable. The following are examples: <ul> <li>A portion of the regulatory requirement or guidance is literally applicable, but the specific language refers to a different type of light water reactor (LWR) design or structures, systems, and components (SSC) that are not part of the design.</li> <li>The regulatory requirement or guidance is applicable except for aspects that are specific to combined license or early site permit applicants, or to BWR designs, etc.</li> <li>The intent of a regulatory requirement or guidance is applicable, but the specific language refers to one of the following: <ul> <li>a different type of LWR design</li> <li>an SSC that is not part of the design, but for which a substantively equivalent function is served by other SSC within the design</li> </ul> </li> </ul></li></ul>   |
| Not Applicable            | The regulation or guidance is not appropriate to apply and therefore conformance is not required. The following are examples:  The regulatory requirement or guidance is applicable only to BWR designs.  The regulatory requirement or guidance is applicable only to large pressurized water reactor (PWR) designs.  The regulatory requirement or guidance is applicable to the design, but is the responsibility of the COL applicant.  The regulatory requirement or guidance is applicable to SSC that are not part of the design.   |
| Departure                 | For items found within the Code of Federal Regulations (CFR): the regulation is literally applicable; however NuScale intends to depart from the regulation based on the design or safety basis of the NuScale design. That is to say that conformance to the regulation would have a minimal, or even negative, impact on safety of the NuScale design and hence a departure from the regulation (that was originally created for traditional LWRs) is warranted. The form of the departure may be through an exemption request under 10 CFR 52.7 or through a specific process available for a set of regulations. For example, the introduction to 10 CFR 50, Appendix A provides a departure from the General Design Criteria as explained in Section 3.1, and the TMI action items may be identified and justified as "not technically relevant" to the design consistent with 10 CFR 52.47(a)(8) and 10 CFR 50.34(f). <sup>1</sup> Note that some TMI action items are categorized as "Partially Conforms" or "Not Applicable" rather than "Departure." The difference is that those requirements are not applicable by their own terms, for example because they apply to BWRs or to an SSC that the NuScale design lacks. A departure from a TMI requirement is appropriate where the requirement is literally applicable but is inappropriate to apply to the NuScale design. |

Tier 2 1.9-4 Revision 1

**Table 1.9-2: Conformance with Regulatory Guides** 

| RG   | Division Title   | Rev. | Conformance<br>Status | Comments  | Section           |
|------|--|------|-----------------------|---|-------------------|
| 1.3  | Assumptions Used for Evaluating the Potential<br>Radiological Consequences of a Loss of Coolant<br>Accident for Boiling Water Reactors             | 2    | Not Applicable        | This guidance is only applicable to BWRs.   | Not Applicable    |
| 1.4  | Assumptions Used for Evaluating the Potential Radiological Consequences of a Loss of Coolant Accident for Pressurized Water Reactors               | 2    | Not Applicable        | This RG pertains to existing reactors; RG 1.183 is specified in SRP Section 15.0.3 to be used for new reactors.   | Not Applicable    |
| 1.5  | Safety Guide 5 - Assumptions Used for Evaluating the Potential Radiological Consequences of a Steam Line Break Accident for Boiling Water Reactors | -    | Not Applicable        | This guidance is only applicable to BWRs.   | Not Applicable    |
| 1.6  | Safety Guide 6 - Independence Between<br>Redundant Standby (Onsite) Power Sources<br>and Between Their Distribution Systems                        | -    | Partially Conforms    | The onsite electrical AC power systems do not contain Class 1E distribution systems. The EDSS design conforms to the guidance for independence of standby power sources and their distribution systems.         | 8.3               |
| 1.7  | Control of Combustible Gas Concentrations in Containment   | 3    | Not Applicable        | The containment vessel integrity does not rely on combustible gas control systems.  | Not Applicable    |
| 1.8  | Qualification and Training of Personnel for<br>Nuclear Power Plants  | 3    | Not Applicable        | Site-specific programmatic and operational activities are the responsibility of the COL applicant.  | Not Applicable    |
| 1.9  | Application and Testing of Safety-Related Diesel Generators in Nuclear Power Plants  | 4    | Not Applicable        | The NuScale design does not require or include safety-related emergency diesel generators.  | Not Applicable    |
| 1.11 | Instrument Lines Penetrating the Primary Reactor Containment   | 1    | Not Applicable        | No lines penetrate the NPM containment.   | Not Applicable    |
| 1.12 | Nuclear Power Plant Instrumentation for Earth-<br>quakes   | 2    | Partially Conforms    | Selection of specific equipment is the responsibility of the COL applicant. In addition, seismic detectors cannot be installed inside the containment so Section 3.7.3 indicates they are installed in the RXB. | 3.7<br>12.3.1.1.6 |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title   | Rev. | Conformance<br>Status | Comments   | Section                    |
|------|--|------|-----------------------|--|----------------------------|
| 1.13 | Spent Fuel Storage Facility Design Basis   | 2    |                       | The design of the new and spent fuel storage facility complies with Regulatory Position C.8, Makeup Water by the large inventory of water within the Seismic Category I structures forming the ultimate heat sink (UHS) and by the separate Quality Group C, Seismic Category I makeup line. For Regulatory Position C.9, Pool Cooling, the UHS pool structures containing the inventory of makeup water credited for spent fuel cooling during accident conditions meet Seismic Category I requirements, but as structures, they are not designed to Quality Group C requirements. In addition, the reactor building ventilation system is not credited with the capability to vent steam or moisture to the atmosphere to protect safety-related components from high temperatures and moisture levels because such protection is not required for the design. | 3.2<br>9.1<br>9.2<br>3.5.2 |
| 1.14 | Reactor Coolant Pump Flywheel Integrity  | 1    | Not Applicable        | This guidance is applicable only to PWR designs that rely on reactor coolant pumps. The NuScale design uses passive natural circulation of the primary coolant, eliminating the need for reactor coolant pumps.  | Not Applicable             |
| 1.20 | Comprehensive Vibration Assessment Program for Reactor Internals During Preoperational and Initial Startup Testing | 3    | Partially Conforms    | The aspects of this RG that mandate start-up tests or inspections for non-prototype reactors are applicable as these tests must be accommodated in the start-up of each installed NuScale Power Module. The remainder is applicable to the COL applications for prototype reactors.  | 3.9<br>5.4.1.3             |
| 1.21 | Measuring, Evaluating, and Reporting Radioactive Material in Liquid and Gaseous Effluents and Solid Waste          | 2    | Partially Conforms    | Site-specific, programmatic and operational aspects are the responsibility of the COL applicant.   | 11.5                       |
| 1.22 | Periodic Testing of Protection System Actuation Functions  | 0    | Conforms              | None.  | 7.2                        |
| 1.23 | Meteorological Monitoring Programs for<br>Nuclear Power Plant  | 1    | Not Applicable        | This guidance is the responsibility of the COL applicant.  | Not Applicable             |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments   | Section        |
|------|---|------|-----------------------|--|----------------|
| 1.24 | Assumptions Used for Evaluating the Potential<br>Radiological Consequences of a Pressurized<br>Water Reactor Radioactive Gas Storage Tank<br>Failure  | 0    | Not Applicable        | Site-specific guidance is the responsibility of the COL applicant.   | Not Applicable |
| 1.25 | Assumptions Used for Evaluating the Potential<br>Radiological Consequences of a Fuel Handling<br>Accident in the Fuel Handling and Storage<br>Facility for Boiling and Pressurized Water Reactors | 0    | Not Applicable        | This RG pertains to TID14844 source terms used by licensees of existing reactors that are not authorized to use the alternative source term under 10 CFR 50.67. RG 1.183 is specified to be used in lieu of RG 1.25 for new reactors (and existing reactors authorized to use the alternative source term under 10 CFR 50.67). | Not Applicable |
| 1.26 | Quality Group Classifications and Standards for   | 4    | Conforms              | The quality group classification from RG 1.26  | 3.2            |
|      | Water-, Steam-, and Radioactive-Waste-Con-<br>taining Components of Nuclear Power Plants  |      |                       | applicable to a specific component is described throughout the FSAR.   | 5.2            |
|      |   |      |                       |  | 5.4            |
|      |   |      |                       |  | 6.2            |
|      |   |      |                       |  | 9.1            |
|      |   |      |                       |  | 9.3            |
|      |   |      |                       |  | 10.3           |
|      |   |      |                       |  | 10.4           |
| 1.27 | Ultimate Heat Sink for Nuclear Power Plants   | 3    | Not Applicable        | RG does not apply to plants that use a passive cooling system to transfer heat to the ultimate heat sink.  | Not Applicable |
| 1.28 | Quality Assurance Program Criteria (Design and  | 4    | Conforms              | The NuScale design is based on NQA-1-2008  | 3.13           |
|      | Construction)   |      |                       | and the NQA-1a-2009 addenda (rather than   | 4.5            |
|      |   |      |                       | NQA-1-1994), as endorsed in RG 1.28, Rev. 4. The design for threaded fasteners meet the cleaning   | 5.2.3          |
|      |   |      |                       | criteria in RG 1.28.   | 5.4.1          |
|      |   |      |                       |  | 6.1            |
|      |   |      |                       |  | 7.2            |
|      |   |      |                       |  | 14.2           |
|      |   |      |                       |  | 17.1           |
|      |   |      |                       |  | 17.5           |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title   | Rev. | Conformance<br>Status | Comments  | Section        |
|------|--|------|-----------------------|---|----------------|
| 1.29 | Seismic Design Classification for Nuclear Power  | 5    | Sta<br>de             | Endorsed in Section 3.2.1. Each SSC described in  | 3.2            |
|      | Plants   |      |                       | Staff Regulatory Guidance C.1.a through C.1.h is  | 5.2            |
|      |  |      |                       | designated as Seismic Category I. SSC that meet<br>Staff Regulatory Guidance C.1.i are designated   | 5.4            |
|      |  |      |                       | Seismic Category II rather than Seismic   | 6.1            |
|      |  |      |                       | Category I. The Seismic Category I dynamic  | 6.2            |
|      |  |      |                       | analysis is extended at the interface between   | 6.3            |
|      |  |      |                       | Seismic Category I and non-seismic Category I   | 6.4            |
|      |  |      |                       | SSC in accordance with Staff Regulatory Guidance C.2. Pertinent Quality Assurance require-  | 6.6            |
|      |  |      |                       | ments of Appendix B to 10 CFR 50 are applied to   | 8.3            |
|      |  |      |                       | all activities affecting the safety-related func-   |                |
|      |  |      |                       | tions of Seismic Category I SSC in accordance with Staff Regulatory Guidance C.3.  The seismic classification from RG 1.29 applicable to a specific component is described throughout the FSAR.   | 9.1            |
|      |  |      |                       |   | 9.2            |
|      |  |      |                       |   | 9.3            |
|      |  |      |                       |   | 9.4            |
|      |  |      |                       |   | 9.5            |
|      |  |      |                       |   | 10.3           |
|      |  |      |                       |   | 10.4           |
|      |  |      |                       |   | 19.3           |
| 1.30 | Safety Guide 30 - Quality Assurance Requirements for the Installation, Inspection, and Testing of Instrumentation and Electric Equipment | -    | Not Applicable        | This RG endorses IEEE Std. 336-1971 for the installation, inspection, and testing of instrumentation and electric equipment. The NuScale design is based on NQA-1-2008 and the NQA-1a-2009 addenda, as endorsed in RG 1.28, Rev. 4. NQA-1-2008 and NQA-a-2009 (Subpart 2.4) references IEEE Std. 336-1985 (as opposed to IEEE Std. 336-1971). The substantive content and intent of RG 1.30 is contained in Subpart 2.4 of NQA-1-2008 and NQA-a-2009 and IEEE Std. 336-1985, which is applicable to the NuScale design per NQA-2008 and NQA-a-2009. | Not Applicable |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG     | Division Title  | Rev. | Conformance<br>Status | Comments  | Section        |
|--------|---|------|-----------------------|---|----------------|
| 1.31   | Control of Ferrite Content in Stainless Steel   | 4    | Conforms              | None.   | 4.5            |
|        | Weld Metal  |      |                       |   | 5.2            |
|        |   |      |                       |   | 5.3            |
|        |   |      |                       |   | 5.4            |
|        |   |      |                       |   | 6.1            |
| 1.32   | Criteria for Power Systems for Nuclear Power  | 3    | Partially Conforms    | RG 1.32 is not applicable to the offsite and  | 8.2            |
|        | Plants  |      |                       | onsite AC power systems. The EDSS conforms to RG 1.32 to the extent described in Section 8.3.   | 8.3            |
| 1.33   | Quality Assurance Program Requirements (Operation)  | 3    | Not Applicable        | The NuScale design certification is being conducted under a QA program that implements the QA standards of NQA 1-2008 and NQA-1a-2009, as endorsed by RG 1.28, Revision 4. It is anticipated that COL applicants referencing the NuScale design will apply NQA 1-2008 and NQA-1a-2009, consistent with the QAPD to be described in the DCA. | Not Applicable |
| 1.34   | Control of Electroslag Weld Properties  | 1    | Conforms              | None.   | 5.2            |
|        |   |      |                       |   | 5.3            |
|        |   |      |                       |   | 5.4            |
| 1.35   | Inservice Inspection of Ungrouted Tendons in<br>Prestressed Concrete Containments                               | 3    | Not Applicable        | The NuScale design uses a steel containment vessel (i.e., does not use concrete in its design).   | Not Applicable |
| 1.35.1 | Determining Prestressing Forces for Inspection of Prestressed Concrete Containments                             | -    | Not Applicable        | The containment vessel is a steel containment (i.e., does not use in its design).   | Not Applicable |
| 1.36   | Nonmetallic Thermal Insulation for Austenitic<br>Stainless Steel  | -    | Not Applicable        | The NuScale design does not use nonmetallic thermal insulation on RCPB or CNV components.   | Not Applicable |
| 1.40   | Qualification of Continuous Duty Safety-<br>Related Motors for Nuclear Power Plants                             | 1    | Not Applicable        | The NuScale design does not use continuous duty Class 1E motors.  | Not Applicable |
| 1.41   | Preoperational Testing of Redundant Onsite<br>Electric Power Systems to Verify Proper Load<br>Group Assignments | -    | Not Applicable        | This RG is not identified as an applicable RG in DSRS Section 8.1.  | Not Applicable |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title                                 | Rev. | Conformance<br>Status | Comments  | Section |
|------|--|------|-----------------------|---|---------|
| 1.43 | Control of Stainless Steel Weld Cladding of    | 1    | Conforms              | None.   | 5.2     |
|      | Low-Alloy Steel Components                     |      |                       |   | 5.3     |
|      |  |      |                       |   | 5.4     |
|      |  |      |                       |   | 6.1     |
| 1.44 | Control of the Processing and Use of Stainless | 1    | Partially Conforms    | This RG is applicable except for its specification  | 4.5     |
|      | Steel  |      |                       | of applying RG 1.37 for cleaning and flushing of  | 5.2     |
|      |  |      |                       | finished surfaces. RG 1.37 has been withdrawn by the NRC.   | 5.3     |
|      |  |      |                       | by the NRC.   | 5.4     |
|      |  |      |                       |   | 6.1     |
| 1.45 | Guidance on Monitoring and Responding to       | 1    | Partially Conforms    | The design satisfies RG 1.45 guidance by using  | 3.6     |
|      | Reactor Coolant System Leakage                 |      |                       | two systems to detect leakage into the contain-   | 5.2     |
|      |  |      |                       | ment: containment pressure monitoring and leakage collection. Both leakage detection methods satisfy Regulatory Positions C.2.1 and C.2.2 in RG 1.45: a) leakage to the primary reac- | 6.2     |
|      |  |      |                       |   | 9.3     |
|      |  |      |                       |   | 11.5    |
|      |  |      |                       | tor containment from unidentified sources can   | 14.3    |
|      |  |      |                       | be detected, monitored, and quantified for rates  |         |
|      |  |      |                       | = 0.05 gpm; and; b) response time (not including transport delay time) is less than one hour  |         |
|      |  |      |                       | for a leakage rate greater than one gpm. Regu-  |         |
|      |  |      |                       | latory Position C.2.4 is satisfied because the con-   |         |
|      |  |      |                       | tainment pressure method is capable of  |         |
|      |  |      |                       | performing its function following a seismic   |         |
|      |  |      |                       | event that does not require plant shutdown (i.e.,   |         |
|      |  |      |                       | vacuum pump remains functional). C.2.5 is satis-<br>fied because both methods permit calibration  |         |
|      |  |      |                       | and testing during plant operation. Finally, radi-  |         |
|      |  |      |                       | ation detectors in the CES condenser vent line  |         |
|      |  |      |                       | provide an early indication of RCS leakage con-   |         |
|      |  |      |                       | sistent with Regulatory Position C.2.3. All leak-   |         |
|      |  |      |                       | age is treated as unidentified because of the limited capability to identify or quantify RCS  |         |
|      |  |      |                       | leakage.  |         |
| .47  | Bypassed and Inoperable Status Indication for  | 1    | Conforms              | None.   | 7.2     |
|      | Nuclear Power Plant Safety Systems             |      |                       |   |         |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments   | Section           |
|------|---|------|-----------------------|--|-------------------|
| 1.50 | Control of Preheat Temperature for Welding of   | 1    | Conforms              | None.  | 5.2               |
|      | Low-Alloy Steel   |      |                       |  | 5.3               |
|      |   |      |                       |  | 5.4               |
|      |   |      |                       |  | 6.1               |
| 1.52 | Design, Inspection, and Testing Criteria for Air Filtration and Adsorption Units of Post-Accident Engineered-Safety-Feature Atmosphere Cleanup Systems in Light-Water-Cooled Nuclear Power Plants | 4    |                       | This guidance addresses engineered safety feature (ESF) filter and atmosphere cleanup systems designed for fission product removal in a post-design basis accident environment. The NuScale design does not rely on ESF filter and atmosphere cleanup systems to mitigate the consequences of a design basis accident. Nonsafety-related normal ventilation systems provide atmosphere cleanup capability, as necessary, that meets the design, testing, and maintenance guidelines in RG 1.140. These systems provide appropriate containment, confinement, and filtering to limit releases of airborne radioactivity to the environment during normal and postulated accident conditions. However, these systems are not required following an accident, and accordingly receive no credit in the determination of the radiological consequences of an accident. | Not Applicable    |
| 1.53 | Application of the Single-Failure Criterion to Safety Systems   |      | Conforms              | None.  | 7.1<br>7.2<br>8.3 |
|      |   |      |                       |  | 9.3               |
|      |   |      |                       |  | 15.1              |
|      |   |      |                       |  | 15.2<br>15.3      |
| 1.54 | Service Level I, II, and III Protective Coatings  | 2    | Partially Conforms    | Portions of this RG govern operational aspects   | 11.2              |
|      | Applied to Nuclear Power Plants   | 2    |                       | (e.g., maintenance of safety-related coatings) that are the responsibility of the COL applicant.   | 11.4              |
|      |   |      |                       |  | 12.3              |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title   | Rev. | Conformance<br>Status | Comments   | Section                                  |
|------|--|------|-----------------------|--|--|
| 1.57 | Design Limits and Loading Combinations for<br>Metal Primary Reactor Containment System<br>Components | 2    |                       | Applicable except 1) justifying alternative by meeting NB requirements vs. NE requirements and 2) for reference to 10 CFR 50.34(f)(3)(v), since per 10 CFR 50.34(f) and 10 CFR 52.47(a)(8), a design certification applicant does not have to show compliance with 10 CFR 50.34(f)(3)(v).                              | 3.8<br>6.2                               |
| 1.59 | Design Basis Floods for Nuclear Power Plants   | 2    | Not Applicable        | The NuScale design assumes the NPP is located above the maximum flood height (including wind induced wave run-up).   | Not Applicable                           |
| 1.60 | Design Response Spectra for Seismic Design of<br>Nuclear Power Plants                                | 2    | Not Applicable        | The Certified Seismic Design Response Spectra (CSDRS) was not developed using RG 1.60. However, it is demonstrated that the design envelops the RG 1.60 spectra anchored to 0.1g.  | Not Applicable                           |
| 1.61 | Damping Values for Seismic Design of Nuclear<br>Power Plants   | 1    | Conforms              | In accordance with the guidance of RG 1.61, an alternative damping value for the NPM substructure was determined.  | 3.7<br>3.8<br>3.12<br>Appendix 3A<br>5.3 |
| 1.62 | Manual Initiation of Protective Actions  | 1    | Conforms              | This RG refers to Point 4 of BTP 7-19, Revision 5, March 2007.   | 7.1<br>7.2                               |
| 1.63 | Electric Penetration Assemblies in Containment<br>Structures for Nuclear Power Plants                | 3    | Partially Conforms    | The portion of the RG 1.63 guidance that endorses IEEE-317-1983 is applicable. IEEE 741-1997 is used for external circuit protection of electrical penetration assemblies instead of IEEE 741-1986 as endorsed by RG 1.63. The 1997 version, including the additional design enhancements, is consistent with RG 1.63. | 3.8.2<br>3.11<br>8.1<br>8.3              |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG     | Division Title   | Rev. | Conformance<br>Status | Comments  | Section  |
|--------|--|------|-----------------------|---|--|
| 1.65   | Materials and Inspections for Reactor Vessel<br>Closure Studs  | 1    | Partially Conforms    | This RG provides guidance for selecting reactor vessel closure stud bolting materials and properties, and conducting preservice and inservice inspection of closure studs. Inservice inspection is the responsibility of the COL applicant. The reactor pressure vessel (RPV) bolting material uses SB-637 UNS N07718 (alloy 718). Because of the material properties of alloy 718, the concerns addressed by RG 1.65 Positions 1(a)(i) and 2(b) do not apply to the RPV bolting material. Refer to Section 3.13.1.1. | 3.13<br>5.3  |
| 1.68   | Initial Test Programs for Water-Cooled Nuclear<br>Power Plants   | 4    | Partially Conforms    | This guidance is applicable except for aspects that (1) are BWR-specific or address specific PWR SSC design features not in the NuScale design; or (2) involve site-specific program implementation activities that are the responsibility of the COL applicant.  | 4.4<br>5.4<br>8.3<br>9.3.2<br>10.4<br>14.2<br>14.3<br>21.2 |
| 1.68.1 | Initial Test Program of Condensate and Feed-<br>water Systems for Light-Water Reactors                             | 2    | Partially Conforms    | This RG is applicable except for aspects that are BWR-specific or address specific unique PWR design features not in the NuScale design.  | 10.4   |
| 1.68.2 | Initial Startup Test Program to Demonstrate<br>Remote Shutdown Capability for Water-Cooled<br>Nuclear Power Plants | 2    | Partially Conforms    | This guidance is applicable except for site-specific aspects including test performance, test report preparation, and records retention, which are the responsibility of the COL applicant.   | 14.2   |
| 1.68.3 | Preoperational Testing of Instrument and Control Air Systems   | 1    | Partially Conforms    | This guidance is applicable except for site-specific aspects, including test performance and records retention, which are the responsibility of the COL applicant.  | 9.3  |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments   | Section                         |
|------|---|------|-----------------------|--|---------------------------------|
| 1.69 | Concrete Radiation Shields and Generic Shield<br>Testing for Nuclear Power Plants             | 1    | Partially Conforms    | This guidance is applicable to the design of concrete radiation shields. Site-specific aspects of this guidance, including development and implementation of a radiation shield test program, are the responsibility of the COL applicant.                                       | 3.8<br>9.3<br>12.3              |
| 1.70 | Standard Format and Content of Safety Analysis Reports for Nuclear Power Plants (LWR Edition) | 3    | Not Applicable        | RG 1.206 and NuScale Design Specific Review Standards (DSRS) are used.   | Not Applicable                  |
| 1.71 | Welder Qualification for Areas of Limited Accessibility                                       | 1    | Partially Conforms    | This guidance is applicable except for site-specific aspects, including specification of standards for weld fabrication and repair that are performed during construction, installation, and operation of a nuclear facility, which are the responsibility of the COL applicant. | 4.5<br>5.2<br>5.3<br>5.4<br>6.1 |
| 1.72 | Spray Pond Piping Made from Fiberglass-Reinforced Thermosetting Resin                         | 2    | Not Applicable        | The design does not use fiberglass piping in spray pond applications (or for the UHS design).  | Not Applicable                  |
| 1.73 | Qualification Tests for Safety-Related Actuators in Nuclear Power Plants                      | 1    | Conforms              | The guidance is applicable except for portions that apply to high temperature gas-cooled reactor designs.  | 3.11                            |
| 1.75 | Criteria for Independence of Electrical Safety<br>Systems                                     | 3    | Conforms              | None.  | 4.6<br>7.1<br>7.2<br>8.3<br>9.5 |
| 1.76 | Design-Basis Tornado and Tornado Missiles for<br>Nuclear Power Plants                         | 1    | Conforms              | Region 1 (bounding) characteristics are used as design parameters.   | 2.3<br>3.3<br>3.5<br>3.8<br>5.0 |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title   | Rev. | Conformance<br>Status | Comments   | Section               |
|------|--|------|-----------------------|--|-----------------------|
| 1.77 | Assumptions Used for Evaluating a Control Rod Ejection Accident for Pressurized Water Reactors                   |      | Partially Conforms    | Portions of this RG pertain to assumptions for radiological consequence analysis. Per SRP Section 15.0.3, Section I, Areas of Review, Item 10 under subheading Review Interfaces, for the review of design certification applications, SRP Section 15.0.3 supersedes the radiological analyses, assumptions, acceptance criteria, and methodologies identified in SRP Section 15.4.8, which references guidance in RG 1.77.  The NRC has identified this RG as out of date, and in need of revision. The fuel and cladding failure criteria are superseded by the criteria provided in 4.2, Appendix B. The radiological criteria are superseded by the criteria in RG 1.183. However, the general approach and intent of RG 1.77 still apply and are used in Section 15.4.8 analyses. | 4.3<br>15.0.3<br>15.4 |
| 1.78 | Evaluating the Habitability of a Nuclear Power Plant Control Room During a Postulated Hazardous Chemical Release | 1    | Partially Conforms    | Aspects of this RG related to control room habitability design within the scope of the NuScale design are applicable. Other aspects of this guidance require site-specific information (e.g., amount and location of toxic chemicals relative to the control room, and site-specific atmospheric dispersion factors) or specify operational, programmatic emergency planning activities. These aspects are the responsibility of the COL applicant.  | 3.2<br>6.4<br>9.4     |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG     | Division Title   | Rev. | Conformance<br>Status | Comments   | Section        |
|--------|--|------|-----------------------|--|----------------|
| 1.79   | Preoperational Testing of Emergency Core<br>Cooling Systems for Pressurized Water Reactors | 2    | Partially Conforms    | The intent of this RG is applicable to the NuScale design, but the literal language refers to SSC design features not in the NuScale design. For example, the ECCS design does not use high pressure or low pressure safety injection pumps as described in this guidance. Rather, the ECCS design provides core decay heat removal by steam condensation and natural reactor coolant recirculation. Nevertheless, preoperational testing will be performed on the ECCS in a manner that satisfies the intent of this guidance. Much of this RG prescribes preoperational test implementation activities that are the responsibility of the COL applicant. | 6.3<br>14.2    |
| 1.79.1 | Initial Test Program of Emergency Core Cooling Systems for New Boiling-Water Reactors      | -    | Not Applicable        | RG 1.79.1 is applicable to BWRs only.  | Not Applicable |
| 1.81   | Shared Emergency and Shutdown Electric Systems for Multi-Unit Nuclear Power Plants         | 1    | Not Applicable        | RG 1.81 is not relevant to the AC power systems.<br>As described in Section 8.3, the EDSS conforms<br>to portions of RG 1.81.  | Not Applicable |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments   | Section |  |  |  |   |  |
|------|---|------|-----------------------|--|---------|--|--|--|---|--|
| 1.82 | Water Sources for Long-Term Recirculation<br>Cooling Following a Loss-of-Coolant Accident | 4    | Partially Conforms    | The NuScale design complies with the intent of RG 1.82 regulatory positions that address the design criteria, performance standards, and analysis methods related to water sources for long-term cooling. However, the NuScale design is significantly different from the system designs the guidance addresses.   | 6.3     |  |  |  |   |  |
|      |   |      |                       | The NuScale design complies with the guidance with respect to debris generation, debris transport, coating debris, latent debris, downstream and chemical effects. The NuScale design is passive and does not include pumps, sumps, suction strainers, debris interceptor or trash racks and the design minimizes or negates the potential effect of non-condensables on coolant flow to the core. The NuScale design also does not require operator action to mitigate debris accumulation. |         |  |  |  |   |  |
|      |   |      |                       |  |         |  |  |  | The NuScale design does not comply with regulatory position C1.1 with the exception that NuScale does comply with the intent of the following regulatory positions: |  |
|      |   |      |                       | <ul> <li>Position C1.1.1.9 (assessment of the possibility of downstream clogging); and, position C1.1.1.10 (buildup of debris and chemical reaction products downstream).</li> <li>Position C.1.1.2 (minimization of debris source term, cleanliness programs, monitoring/sampling for latent debris, insulation selection, restriction on coatings and cladding</li> </ul>  |         |  |  |  |   |  |
|      |   |      |                       | of carbon steel).  |         |  |  |  |   |  |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments   | Section      |
|------|---|------|-----------------------|--|--------------|
|      |   |      |                       | Positions C1.1.3 and C1.1.4 are not applicable because the NuScale design does not rely on operator action to mitigate the consequences of debris accumulation and does not include active devices or systems to prevent debris accumulation.  |              |
|      |   |      |                       | The NuScale design does not comply with regulatory position C.1.2 (alternative water sources for inoperable strainers).  NuScale complies with the intent of regulatory position C.1.3 (evaluation of long term recirculation capability as applicable to the design) with the exception of the following: |              |
|      |   |      |                       | <ul> <li>Position C.1.3.1 (NPSH)</li> <li>Portions of position C.1.3.2 that are not consistent with the NuScale design</li> <li>The NuScale design does not comply with regulatory positions C1.3.7 (upstream effects) or C.1.3.9 (strainer structural integrity).</li> </ul>                              |              |
|      |   |      |                       | The NuScale design does not comply with regulatory position C1.3.12 (prototypical head loss testing).  |              |
|      |   |      |                       | The NuScale design does not comply with regulatory position C.2 with the exception that the intent of chemical reaction effects (position 2.2) is met.   |              |
|      |   |      |                       | The NuScale design does not comply with regulatory position C.3.   |              |
| 1.84 | Design, Fabrication, and Materials Code Case<br>Acceptability, ASME Section III | 36   | Conforms              | None.  | 3.12<br>3.13 |
|      |   |      |                       |  | 4.5          |
|      |   |      |                       |  | 5.2          |
|      |   |      |                       |  | 5.4          |
|      |   |      |                       |  | 6.1          |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments  | Section                           |
|------|---|------|-----------------------|---|-----------------------------------|
| 1.86 | Termination of Operating Licenses for Nuclear<br>Reactors   | -    | Not Applicable        | This RG governs the process for terminating nuclear reactor operating licenses.   | Not Applicable                    |
| 1.87 | Guidance for Construction of Class 1 Components in Elevated-Temperature Reactors (Supplement to ASME Section III Code Cases 1592, 1593, 1594, 1595, and 1596) | 1    | Not Applicable        | This RG applies to elevated-temperature reactors such as high-temperature gas-cooled reactors, liquid-metal fast-breeder reactors, and gas-cooled fast-breeder reactors.  | Not Applicable                    |
| 1.89 | Environmental Qualification of Certain Electric Equipment Important to Safety for Nuclear Power Plants  | 1    | Partially Conforms    | This RG is applicable except for: (1) aspects that are BWR-specific or related to SSC that are not relevant to the NuScale design (e.g., ice condenser containment, containment spray system, etc.); and (2) reference to RG 1.4 for source term, since the source term provisions of RG 1.4 are superseded by RG 1.183 for new reactors. | 3.8.2<br>3.11<br>Appendix 3C      |
| 1.90 | Inservice Inspection of Prestressed Concrete<br>Containment Structures with Grouted Tendons   | 2    | Not Applicable        | This RG is applicable only to LWR designs that incorporate a pre-stressed concrete containment structure with grouted tendons. The NuScale containment vessel is steel (i.e., does not use concrete or grouted tendons in its design).  | Not Applicable                    |
| 1.91 | Evaluations of Explosions Postulated to Occur<br>on Transportation Routes Near Nuclear Power<br>Plants  | 2    | Not Applicable        | This guidance governs the performance of site-<br>specific evaluations and is the responsibility of<br>the COL applicant.   | Not Applicable                    |
| 1.92 | Combining Modal Responses and Spatial Components in Seismic Response Analysis   | 3    | Conforms              | None.   | 3.7<br>3.8<br>3.9<br>3.10<br>3.12 |
| 1.93 | Availability of Electric Power Sources  | 1    | Not Applicable        | This RG is not identified as an applicable RG in DSRS Section 8.1.  | Not Applicable                    |
| 1.96 | Design of Main Steam Isolation Valve Leakage<br>Control Systems for Boiling Water Reactor<br>Nuclear Power Plants   | 1    | Not Applicable        | This RG is applicable only to BWR designs.  | Not Applicable                    |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title  | Rev. | Conformance<br>Status | Comments  | Section   |
|-------|---|------|-----------------------|---|---|
| 1.97  | Criteria for Accident Monitoring Instrumentation for Nuclear Power Plants   | 4    | Partially Conforms    | The NuScale design satisfies power supply requirements in Section 6.6 of IEEE Std 497-2002 for Type B and C variables with highly reliable power rather than with Class 1E. The absence of active mitigation equipment eliminates the need for function restoration guides to address potential failures of active accident mitigation equipment. Consequently, the importance of Type B or C variables is diminished for the NuScale design. Regulatory Position C(1) applies only to current operating reactor licensees that voluntarily convert to RG 1.97, Rev. 4. | 3.11 Appendix 3C 5.4 6.2 7.1 7.2 11.5 11.6 12.3 12.5 14.3 |
| 1.98  | Assumptions Used for Evaluating the Potential Radiological Consequences of a Radioactive Offgas System Failure in a Boiling Water Reactor (for Comment)             | -    | Not Applicable        | This RG is applicable only to BWR designs.  | Not Applicable  |
| 1.99  | Radiation Embrittlement of Reactor Vessel<br>Materials  | 2    | Conforms              | None.   | 5.3   |
| 1.100 | Seismic Qualification of Electrical and Active<br>Mechanical Equipment and Functional Qualifi-<br>cation of Active Mechanical Equipment for<br>Nuclear Power Plants | 3    | Partially Conforms    | This RG is applicable except for aspects related to: (1) when site-specific spectra exceed the certified design spectra (e.g., Position C1.2.1.g); and (2) qualification of new and replacement equipment in older unresolved safety issue A46 plants (e.g., Position C.1.2.2.j). Not applicable to electrical equipment. Site-specific guidance is the responsibility of the COL applicant.  | 3.9<br>3.10<br>3.11<br>5.2<br>14.3                        |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title   | Rev. | Conformance<br>Status | Comments   | Section                                     |
|-------|--|------|-----------------------|--|---|
| 1.101 | Emergency Response Planning and Preparedness for Nuclear Power Reactors  | 5    | Not Applicable        | This RG is limited to providing emergency response guidance for co-located licensees. As such, this RG is the responsibility of the COL applicant proposing to site a power plant such that the definition of co-located is met. Since RG 1.101, Revision 4, is the most current revision that endorses NUREG-0654/FEMA-REP-1, Revision 1, Revision 4 of RG 1.101 is applicable to the extent that it endorses (through NUREG-06554/FEMA-REP-1) the design-specific aspects of NUREG-0696.   | Not Applicable                              |
| 1.102 | Flood Protection for Nuclear Power Plants                                | 1    | Applicable            | The design assumes the NPP is located above the maximum flood height (including wind induced wave run-up).   | 2.4<br>3.4                                  |
| 1.105 | Setpoints for Safety-Related Instrumentation                             | 3    | Partially Conforms    | Chapter 15 analyses use the safety-related setpoints described in Chapter 7. This RG endorses ISA-67.04.01-1994, however, the NuScale Instrument Setpoint Methodology Technical Report (TR-0616-49121) applies the guidance contained in ISA-67.04.01-2006. A key difference is that the 1994 version of ISA-67.04.01 uses an allowable value to determine instrument channel operability during surveillance testing and calibration. The 2006 version of ISA-67.04.01 provides updated guidance for evaluating instrument channel operability based on the comparison of the as-found to the as-left value from the previous instrument calibration for the instrument setpoint. | 7.2<br>15.1<br>15.2<br>15.4<br>15.5<br>15.6 |
| 1.106 | Thermal Overload Protection for Electric Motors on Motor-Operated Valves | 2    | Not Applicable        | This RG governs the application of thermal overload protection devices to ensure that safety-related motor-operated valves perform their safety function. The NuScale design does not use safety-related motor operated valves.  | Not Applicable                              |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title  | Rev. | Conformance<br>Status | Comments  | Section                             |
|-------|---|------|-----------------------|---|-------------------------------------|
| 1.107 | Qualification for Cement Grouting for Prestress-<br>ing Tendons in Containment Structures   | 2    |                       | This RG is applicable only to LWR designs that use a prestressed concrete containment structure. The containment vessel is a steel containment (i.e., does not use concrete or pre-stressed tendons in its design). | Not Applicable                      |
| 1.109 | Calculation of Annual Doses to Man from Rou-<br>tine Releases of Reactor Effluents for the Pur-<br>pose of Evaluating Compliance with 10 CFR<br>Part 50, Appendix I | 1    |                       | This RG is applicable except for specification of site-specific information (e.g., meteorological data). Site-specific guidance is the responsibility of the COL applicant.   | 11.2<br>11.3                        |
| 1.110 | Cost-Benefit Analysis for Radwaste Systems for<br>Light-Water-Cooled Nuclear Power Reactors<br>(for Comment)  | 1    |                       | This RG is applicable except for aspects related to performance of a site-specific cost-benefit analysis. Site-specific guidance is the responsibility of the COL applicant.  | 11.2<br>11.3                        |
| 1.111 | Methods for Estimating Atmospheric Transport<br>and Dispersion of Gaseous Effluents in Routine<br>Releases from Light-Water-Cooled Reactors                         | 1    |                       | This RG is applicable except for specification of site-specific dispersion data. Site-specific guidance is the responsibility of the COL applicant.   | 2.3<br>3.3                          |
| 1.112 | Calculation of Releases of Radioactive Materials in Gaseous and Liquid Effluents from Light-Water-Cooled Nuclear Power Reactors                                     | 1    |                       | This RG is applicable except for specification of site-specific information (e.g., meteorological data). Site-specific guidance is the responsibility of the COL applicant.   | 2.3<br>11.1<br>11.2<br>11.3<br>12.2 |
| 1.113 | Estimating Aquatic Dispersion of Effluents from<br>Accidental and Routine Reactor Releases for the<br>Purpose of Implementing Appendix I                            | 1    |                       | This RG is applicable except for specification of site-specific dispersion data. Site-specific guidance is the responsibility of the COL applicant.   | 11.3                                |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title   | Rev. | Conformance<br>Status | Comments   | Section                            |
|-------|--|------|-----------------------|--|------------------------------------|
| 1.114 | Guidance to Operators at the Controls and to<br>Senior Operators in the Control Room of a<br>Nuclear Power Unit    | 3    |                       | Site-specific guidance is the responsibility of the COL applicant. Consistent with the discussion in RG 1.114, Section B.1, the ability of the COL applicant to meet this guidance is facilitated by the control room design and layout (including the designated surveillance area described in Position C.1.3). Due to advanced design and operational features unique to the NuScale power plant, portions of this guidance that implement operator staffing requirements of 10 CFR 50.54(m)(2)(i) and (iii) (e.g., Position C.1.5) are not applicable to COL applicants. It is more appropriate that the operating organization be based on these advanced plant design features rather than on staffing levels prescribed in 10 CFR 50.54(m)(2)(i) and (iii). | 18.5<br>18.7                       |
| 1.115 | Protection Against Low-Trajectory Turbine Missiles   | 2    | Conforms              | Site-specific guidance is the responsibility of the COL applicant.   | 3.5<br>9.1<br>10.1<br>10.2<br>10.3 |
| 1.117 | Protection Against Extreme Wind Events and<br>Missiles for Nuclear Power Plants                                    | 2    | Conforms              | None.  | 3.5<br>9.1                         |
| 1.118 | Periodic Testing of Electric Power and Protection Systems  | 3    | Partially Conforms    | Site-specific guidance is the responsibility of the COL applicant.   | 7.2<br>8.3                         |
| 1.121 | Bases for Plugging Degraded PWR Steam Generator Tubes (for Comment)  | -    | Conforms              | None.  | 5.4                                |
| 1.122 | Development of Floor Design Response Spec-<br>tra for Seismic Design of Floor-Supported<br>Equipment or Components | 1    | Conforms              | None.  | 3.7<br>3.12                        |
| 1.124 | Service Limits and Loading Combinations for Class 1 Linear-Type Supports   | 2    | Conforms              | None.  | 3.9                                |
| 1.125 | Physical Models for Design and Operation of<br>Hydraulic Structures and Systems for Nuclear<br>Power Plants        | 2    | Not Applicable        | The NuScale design does not require hydraulic structures.  | Not Applicable                     |

| RG    | Division Title   | Rev. | Conformance<br>Status | Comments   | Section        |
|-------|--|------|-----------------------|--|----------------|
| 1.126 | An Acceptable Model and Related Statistical<br>Methods for the Analysis of Fuel Densification              | 2    | Conforms              | None.  | 4.2            |
| 1.127 | Inspection of Water-Control Structures Associated with Nuclear Power Plants                                | 1    | Not Applicable        | This guidance governs the development of an inservice inspection and surveillance program for dams, slopes, canals, and other water-control structures associated with emergency cooling water systems or flood protection of nuclear power plants. Water control structures and associated inservice inspection and surveillance programs are site-specific details. Site-specific guidance is the responsibility of the COL applicant. | Not Applicable |
| 1.128 | Installation Design and Installation of Vented<br>Lead-Acid Storage Batteries for Nuclear Power<br>Plants  | 2    | Partially Conforms    | The EDSS uses VRLA batteries; thus IEEE Std 1187-2013 is applied.  | 8.3            |
| 1.129 | Maintenance, Testing, and Replacement of<br>Vented Lead-Acid Storage Batteries for Nuclear<br>Power Plants | 3    | Partially Conforms    | The EDSS uses VRLA batteries. NuScale applies IEEE Std 1188-2005 with the 2014 amendment.  | 8.3            |
| 1.130 | Service Limits and Loading Combinations for Class 1 Plate-and-Shell-Type Supports                          | 3    | Conforms              | None.  | 3.9            |
| 1.132 | Site Investigations for Foundations of Nuclear<br>Power Plants   | 2    | Not Applicable        | This RG governs site investigations performed as part of site selection. Site-specific guidance is the responsibility of the COL applicant.  | Not Applicable |
| 1.133 | Loose-Part Detection Program for the Primary<br>System of Light-Water-Cooled Reactors                      | 1    | Departure             | The low fluid velocities resulting from natural circulation flow combined with a design that has only small lines entering the RPV minimizes the potential for loose parts entering or being generated in the RPV.   | 4.4            |
| 1.134 | Medical Evaluation of Licensed Personnel at<br>Nuclear Power Plants  | 3    | Not Applicable        | This RG governs site-specific operational program activities. Site-specific guidance is the responsibility of the COL applicant.   | Not Applicable |
| 1.136 | Design Limits, Loading Combinations, Materials, Construction, and Testing of Concrete Containments         | 3    | Not Applicable        | This guidance is applicable only to LWR designs that use concrete containments. The NuScale design uses a steel containment vessel.  | Not Applicable |
| 1.137 | Fuel-Oil Systems for Standby Diesel Generators   | 2    | Not Applicable        | The design does not rely on or include safety-related EDGs.  | Not Applicable |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title   | Rev. | Conformance<br>Status | Comments   | Section   |
|-------|--|------|-----------------------|--|---|
| 1.138 | Laboratory Investigations of Soils and Rocks for<br>Engineering Analysis and Design of Nuclear<br>Power Plants   | 2    | Not Applicable        | This guidance is related to site-specific laboratory investigation activities. Site-specific guidance is the responsibility of the COL applicant.  | Not Applicable  |
| 1.140 | Design, Inspection, and Testing Criteria for Air<br>Filtration and Adsorption Units of Normal<br>Atmosphere Cleanup Systems in Light-Water-<br>Cooled Nuclear Power Plants | 2    | Partially Conforms    | Design-related aspects of this guidance are applicable. Aspects related to construction, testing, and repairs are the responsibility of the COL applicant.   | 3.2<br>9.4<br>11.3<br>12.3  |
| 1.141 | Containment Isolation Provisions for Fluid Systems   | 1    | Conforms              | With the exception of 10 CFR 50.34(f)(2)(xiv)(E), the CIVs, including associated controls, are designed in accordance with 10 CFR 50.34(f)(2)(xiv) and conform to the requirements of RG 1.141 through adherence to ANSI/ANS-56.2.   | 6.2   |
| 1.142 | Safety-Related Concrete Structures for Nuclear<br>Power Plants (Other than Reactor Vessels and<br>Containments)  | 2    | Partially Conforms    | The intent of this guidance is applicable but the language endorses ACI 349-1997 with exceptions. The 2006 version of the ACI 349 standard has been used. Aspects of Regulatory Positions C.1 and C.14 related to concrete structures within containment are not applicable to the design. The containment vessel is a steel component, and does not use interior concrete structures. | 3.8   |
| 1.143 | Design Guidance for Radioactive Waste Management Systems, Structures, and Components Installed in Light-Water-Cooled Nuclear Power Plants                                  | 2    | Partially Conforms    | The aspects of this RG related to steam generator blowdown systems are not applicable to the design. Radioactive waste management system design criteria specified in this RG are applicable. Construction, installation, and testing criteria are the responsibility of the COL applicant.  | 3.2<br>3.3<br>3.4<br>3.5<br>3.7<br>3.8<br>9.2.6<br>11.2<br>11.3<br>11.4<br>11.6 |

Revision 1

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title  | Rev. | Conformance<br>Status | Comments  | Section                                 |
|-------|---|------|-----------------------|---|---|
| 1.145 | Atmospheric Dispersion Models for Potential Accident Consequence Assessments at Nuclear Power Plants                                | 1    | Not Applicable        | This RG does not include modeling of building wake effects. For the short distances that may be used for EAB and LPZ, Regulatory Guide 1.194 is used to determine representative atmospheric dispersion factors.  | Not Applicable                          |
| 1.147 | Inservice Inspection Code Case Acceptability, ASME Section XI, Division 1   | 17   | Partially Conforms    | Performance of inservice inspections per the ASME BPVC is the responsibility of the COL applicant. The optional ASME Code cases listed in RG 1.147 may be used.   | 5.2<br>6.6                              |
| 1.149 | Nuclear Power Plant Simulation Facilities for Use in Operator Training, License Examinations, and Applicant Experience Requirements | 4    | Not Applicable        | Simulation facilities and conduct of licensed operator training and qualification are the responsibility of the COL applicant.  | Not Applicable                          |
| 1.151 | Instrument Sensing Lines  | 1    | Partially Conforms    | This RG governs design and installation of safety-related instrument sensing lines in nuclear power plants. The aspects of this RG regarding installation criteria are the responsibility of the COL applicant.   | 7.2                                     |
| 1.152 | Criteria for Use of Computers in Safety Systems of Nuclear Power Plants   | 3    | Partially Conforms    | The NuScale I&C development lifecycle differs from the conceptual waterfall lifecycle in RG 1.152. The applicable tasks from the RG lifecycle model will be mapped to the I&C development lifecycle. Compliance with Clause 5.5 of IEEE 7-4.3.2-2003 is conditioned by the choice of field programmable gate array technology, which makes some tests not applicable (e.g., calculation tests, watchdog timer tests). | 3.11<br>7.1<br>7.2<br>14.3              |
| 1.153 | Criteria for Safety Systems   | 1    | Conforms              | Applicable to EDSS.   | 3.11<br>8.3                             |
| 1.155 | Station Blackout  | 1    | Partially Conforms    | The design conforms to the aspects of the RG as it pertains to passive plant designs.   | 5.4<br>6.2<br>8.4<br>9.3<br>9.5<br>10.3 |
|       |   |      |                       |   | 14.2                                    |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title  | Rev. | Conformance<br>Status | Comments  | Section        |
|-------|---|------|-----------------------|---|----------------|
| 1.156 | Qualification of Connection Assemblies for<br>Nuclear Power Plants                      | 1    | Conforms              | None.   | 3.11           |
| 1.157 | Best-Estimate Calculations of Emergency Core<br>Cooling System Performance              | -    | Not Applicable        | Best estimate calculations are not used.  | Not Applicable |
| 1.158 | Qualification of Safety-Related Lead Storage<br>Batteries for Nuclear Power Plants      | -    | Conforms              | The DC system batteries are non-Class 1E.   | 3.11           |
| 1.159 | Assuring the Availability of Funds for Decommissioning Nuclear Reactors                 | 2    | Not Applicable        | Decommissioning funding activities are the responsibility of the COL applicant.   | Not Applicable |
| 1.160 | Monitoring the Effectiveness of Maintenance at Nuclear Power Plants                     | 3    | Not Applicable        | Monitoring the effectiveness of maintenance activities is the responsibility of the COL applicant.  | Not Applicable |
| 1.161 | Evaluation of Reactor Pressure Vessels with Charpy UpperShelf Energy Less Than 50 Ft-Lb | -    | Not Applicable        | The Charpy upper-shelf energy of the NuScale reactor vessel materials will exceed the 50 ft-lb energy value (throughout the life of the vessel with significant margin) below which this guidance would apply. However, in the unlikely event the reactor vessel material surveillance program implemented during reactor operations indicates that this is not the case, the requirements of 10 CFR 50, Appendix G and the provisions of RG 1.161 would be the responsibility of the COL applicant (see discussion of RG 1.162). | Not Applicable |
| 1.162 | Format and Content of Report for Thermal<br>Annealing of Reactor Pressure Vessels       | -    | Not Applicable        | If thermal annealing becomes necessary, the requirements of 10 CFR 50.66 and the provisions of RG 1.162 would be the responsibility of the COL applicant.   | Not Applicable |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title   | Rev. | Conformance<br>Status | Comments  | Section        |
|-------|--|------|-----------------------|---|----------------|
| 1.163 | Performance-Based Containment Leak-Test<br>Program   | -    | Not Applicable        | The design of containment penetrations support performance of local leak rate tests (Type B and Type C tests) in accordance with the guidance provided in ANSI/ANS 56.8, Regulatory Guide 1.163, and NEI 94-01. The NuScale system design, in conformance with 10 CFR 50.54(o), accommodates the 10 CFR 50, Appendix J, test method frequencies of Option A or Option B. The COL applicant will develop a containment leakage rate testing program that will identify which option is to be implemented under 10 CFR 50, Appendix J.  | Not Applicable |
| 1.166 | Pre-Earthquake Planning and Immediate<br>Nuclear Power Plant Operator Post Earthquake<br>Actions                           | -    | Not Applicable        | This RG governs programmatic activities (earth-<br>quake planning and post-earthquake actions)<br>that are the responsibility of the COL applicant.   | Not Applicable |
| 1.167 | Restart of a Nuclear Power Plant Shut Down by a Seismic Event  | -    | Not Applicable        | This RG governs post-earthquake inspections and tests that are the responsibility of the COL applicant.   | Not Applicable |
| 1.168 | Verification, Validation, Reviews, and Audits for Digital Computer Software Used in Safety Systems of Nuclear Power Plants | 2    | Partially Conforms    | This RG refers to Revision 1 of RG 1.152 as containing NRC endorsement of IEEE Std. 7 4.3.2-1993. Revision 3 of RG 1.152 endorses (with exceptions) IEEE Std. 7 4.3.2-2003. The NuScale design applies RG 1.152, Revision 3 (unless superseded by a newer revision), and IEEE Std. 7-4.3.2-2003 that it endorses. For RG 1.168, the requirements of IEEE 1012-2004 are tailored to the NuScale I&C development lifecycle, which is different than that of the conceptual waterfall lifecycle listed in IEEE 1012-2004. The applicable tasks from IEEE 1012-2004 to the I&C development are mapped. Some administrative mandatory requirements in the standard conflict with established Engineering or QA documentation requirements. The requirements of IEEE 1028-2008 are tailored to the NuScale I&C development lifecycle. | 7.2            |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title  | Rev. | Conformance<br>Status | Comments   | Section |
|-------|---|------|-----------------------|--|---------|
| 1.169 | Configuration Management Plans for Digital<br>Computer Software Used in Safety Systems of<br>Nuclear Power Plants | 1    |                       | For this RG, the requirements of IEEE 828-2005 are tailored to the NuScale I&C development lifecycle, which is different than that of the conceptual waterfall lifecycle listed in RG 1.152. The applicable tasks from IEEE 828-2005 are mapped to the NuScale I&C development lifecycle.  | 7.2     |
| 1.170 | Test Documentation for Digital Computer Software Used in Safety Systems of Nuclear Power Plants                   | 1    |                       | For this RG, the requirements of IEEE 829-2008 are tailored to the NuScale I&C development lifecycle, which is different than that of the conceptual waterfall lifecycle listed in RG 1.152. The applicable tasks from IEEE 829-2008 will be mapped to the NuScale I&C development lifecycle. NuScale takes exception to some of the administrative mandatory requirements in the standard that conflict with established Engineering or quality documentation requirements. | 7.2     |
| 1.171 | Software Unit Testing for Digital Computer<br>Software Used in Safety Systems of Nuclear<br>Power Plants          | 1    |                       | NuScale takes exception to some of the administrative mandatory requirements in IEEE 1008-1987 that conflict with established Engineering or quality documentation requirements.   | 7.2     |
| 1.172 | Software Requirements Specifications for Digital Computer Software Used in Safety Systems of Nuclear Power Plants | 1    |                       | NuScale takes exception to some of the administrative mandatory requirements in IEEE 830-1998 standard that conflict with established Engineering or quality documentation requirements.   | 7.2     |

Tier 2

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title  | Rev. | Conformance<br>Status | Comments   | Section        |
|-------|---|------|-----------------------|--|----------------|
| 1.173 | Developing Software Life Cycle Processes for<br>Digital Computer Software Used in Safety Sys-<br>tems of Nuclear Power Plants         | 1    | Partially Conforms    | For this RG, the requirements of IEEE 1074-2006 are tailored to the NuScale I&C development lifecycle, which is different than that of the conceptual waterfall lifecycle listed in RG 1.152. Applicable tasks from IEEE 1074-2006 are mapped to the NuScale I&C development lifecycle. NuScale takes exception to some of the administrative mandatory requirements in the standard that conflict with established Engineering or quality documentation requirements. | 7.2            |
| 1.174 | An Approach for Using Probabilistic Risk<br>Assessment in Risk-Informed Decisions on<br>Plant-Specific Changes to the Licensing Basis | 2    | Not Applicable        | Applicable to changes in licensing basis. Not directly used for design certification.  | Not Applicable |
| 1.175 | An Approach for Plant-Specific, Risk Informed Decision making: Inservice Testing  | -    | Not Applicable        | This RG is applicable to licensees seeking change to licensing basis and is the responsibility of the COL applicant. NuScale is not using a risk-informed approach for ISI.  | Not Applicable |
| 1.177 | An Approach for Plant-Specific, Risk-Informed Decision making: Technical Specifications (Draft DG-1287)                               | 1    | Partially Conforms    | This RG applies to existing licensees seeking NRC approval of changes to their plant-specific technical specifications. NuScale considered this guidance, as appropriate, in risk-informed decision making.  | 16.1           |
| 1.178 | An Approach for Plant-Specific Risk-Informed<br>Decision making for Inservice Inspection of Pip-<br>ing (Draft DG-1288)               | 1    | Not Applicable        | This RG addresses the use of PRA in support of a risk-informed inservice inspection program for piping. Such a program is a plant-specific operational program that is the responsibility of the COL applicant.  | Not Applicable |
| 1.179 | Standard Format and Content of License Termi-<br>nation Plans for Nuclear Power Reactors  | 1    | Not Applicable        | This guidance governs site-specific decommissioning and license termination planning and implementation activities that are the responsibility of the COL applicant.   | Not Applicable |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title   | Rev. | Conformance<br>Status | Comments  | Section  |
|-------|--|------|-----------------------|---|--|
| 1.180 | Guidelines for Evaluating Electromagnetic and<br>Radio-Frequency Interference in Safety-Related<br>Instrumentation and Control Systems | 1    | Partially Conforms    | Aspects of this guidance related to the design of SSC to address effects of electromagnetic and radio-frequency interference (EMI/RFI) are applicable. Aspects of this guidance related to the design of site-specific SSC and installation and testing practices for addressing the effects of EMI/RFI and power surges on safety-related I&C systems are the responsibility of the COL applicant.   | 3.11<br>7.2<br>9.5                                     |
| 1.181 | Content of the Updated Final Safety Analysis<br>Report in Accordance with 10 CFR 50.71(e)  | -    | Not Applicable        | This guidance governs site-specific reporting activities that are the responsibility of the COL applicant.  | Not Applicable   |
| 1.183 | Alternative Radiological Source Terms for Evaluating Design Basis Accidents at Nuclear Power Reactors (Draft DG-1199)                  | -    | Partially Conforms    | For the typical large LWR, the limiting dose consequence analysis corresponds to the design basis LOCA; however, for the NuScale design, core damage is not expected for a design basis LOCA event. Thus, the RG 1.183 guidance will only be partially applicable to the NuScale LOCA dose consequence analysis. The basis and justification for departures from the RG 1.183 guidance for the limiting LOCA dose consequence analysis for NuScale are provided in a Technical Report. Notwithstanding the above, NuScale will use the alternative source term non-LOCA or transient-specific guidance of RG 1.183 for Chapter 15 events that do not result in core damage. | 6.4<br>9.3<br>12.2<br>15.0.2<br>15.0.3<br>15.6<br>15.7 |
| 1.184 | Decommissioning of Nuclear Power Reactors  | 1    | Not Applicable        | This RG governs site-specific decommissioning planning and implementation activities that are the responsibility of the COL applicant.  | Not Applicable   |
| 1.185 | Standard Format and Content for Post-Shut-<br>down Decommissioning Activities Report   | 1    | Not Applicable        | This RG governs site-specific decommissioning planning activities that are the responsibility of the COL applicant.   | Not Applicable   |

Revision

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title   | Rev. | Conformance<br>Status | Comments   | Section   |
|-------|--|------|-----------------------|--|---|
| 1.186 | Guidance and Examples for Identifying<br>10 CFR 50.2 Design Bases  | -    | Not Applicable        | This RG endorses NEI 97-04 Appendix B and provides non-mandatory guidance for operating reactor licensees in defining what constitutes design basis information and is the responsibility of the COL applicant.  | Not Applicable                                    |
| 1.187 | Guidance for Implementation of 10 CFR 50.59,<br>Changes, Tests, and Experiments                                    | -    | Not Applicable        | This RG implements change process requirements that are the responsibility of the COL applicant.   | Not Applicable                                    |
| 1.188 | Standard Format and Content for Applications to Renew Nuclear Power Plant Operating Licenses                       | 1    | Not Applicable        | This RG is applicable only to operating reactor licensees seeking to renew their operating licenses.   | Not Applicable                                    |
| 1.189 | Fire Protection for Nuclear Power Plants   | 2    | ŕ                     | This RG is applicable except for (1) directed toward a specific reactor design (e.g., BWR or non-LWR) or SSC conditions not relevant to the NuScale PWR design; and (2) related to site-specific fire protection systems and equipment or programmatic and procedural activities that are the responsibility of the COL applicant. | 9.4<br>9.5<br>Appendix 9A<br>11.3<br>14.3<br>19.1 |
| 1.190 | Calculational and Dosimetry Methods for<br>Determining Pressure Vessel Neutron Fluence                             | -    | Partially Conforms    | None.  | 5.3   |
| 1.191 | Fire Protection Program for Nuclear Power Plants During Decommissioning and Permanent Shutdown                     | -    | Not Applicable        | This RG governs site-specific fire protection program activities that are applicable only to holders of reactor licenses that have permanently ceased power operations.  | Not Applicable                                    |
| 1.192 | Operation and Maintenance Code Case Acceptability, ASME OM Code  | 1    | Not Applicable        | Implementation of in-service testing per this code is the responsibility of the COL applicant.   | Not Applicable                                    |
| 1.193 | ASME Code Cases Not Approved for Use   | 4    | Conforms              | ASME code cases in RG 1.193 are not used unless authorized by the NRC in 10 CFR 50.55a(z).   | 5.2   |
| 1.194 | Atmospheric Relative Concentrations for Control Room Radiological Habitability Assessments at Nuclear Power Plants | -    | Conforms              | None.  | 9.4<br>15.0.3                                     |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title  | Rev. | Conformance<br>Status | Comments   | Section            |
|-------|---|------|-----------------------|--|--------------------|
| 1.195 | Methods and Assumptions for Evaluating<br>Radiological Consequences of Design Basis<br>Accidents at Light-Water Nuclear Power Reac-<br>tors | -    | Not Applicable        | This RG pertains to TID14844 source terms used by licensees of existing reactors that are not authorized to use the alternative source term under 10 CFR 50.67. Therefore, RG 1.183 is specified to be used in lieu of RG 1.195 for new reactors and existing reactors authorized to use the alternative source term under 10 CFR 50.67.   | Not Applicable     |
| 1.196 | Control Room Habitability at Light-Water<br>Nuclear Power Reactors  | 1    | Partially Conforms    | Aspects of this RG related to control room habitability design within the scope of the standard plant design are applicable to the DCA. References to ESF ventilation systems are not applicable to the NuScale design. The NuScale control room habitability system neither relies on nor uses emergency filtration to protect operators during accident conditions. Rather, clean air is provided using compressed air tanks. Other aspects of this guidance specify operational, programmatic activities that are the responsibility of the COL applicant. These aspects include but are not limited to maintenance, configuration control, training, and post-construction comparison of system design, configuration, and operation with the plant licensing basis. | 3.8<br>6.4<br>18.7 |
| 1.197 | Demonstrating Control Room Envelope Integrity at Nuclear Power Reactors   | -    | Not Applicable        | This RG provides an acceptable approach for measuring inleakage into the control room envelope at nuclear power reactors to ensure that the control room is habitable during normal and accident conditions. These inleakage testing activities are outside the scope of the certified design, and are the responsibility of the COL applicant referencing the certified design.   | Not Applicable     |
| 1.198 | Procedures and Criteria for Assessing Seismic<br>Soil Liquefaction at Nuclear Power Plant Sites   | -    | Not Applicable        | This RG governs evaluation activities that require site-specific information not available to a design certification applicant. The evaluation governed by this guidance is the responsibility of the COL applicant referencing the certified design.  | Not Applicable     |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title   | Rev. | Conformance<br>Status | Comments  | Section        |
|-------|--|------|-----------------------|---|----------------|
| 1.199 | Anchoring Components and Structural Supports in Concrete   | -    | Partially Conforms    | The intent of this guidance is applicable but the specific language endorses Appendix B of ACI 349-2001 with specified exceptions in the area of load combinations. NuScale uses the 2006 version of the ACI 349 standard.  | 3.8            |
| 1.200 | An Approach for Determining the Technical<br>Adequacy of Probabilistic Risk Assessment<br>Results for Risk-Informed Activities | 2    | Conforms              | As referenced in SRP 19.0 with regard to PRA quality and technical adequacy.  | 19.1           |
| 1.201 | Guidelines for Categorizing Structures, Systems, and Components in Nuclear Power Plants According to Their Safety Significance | 1    | Partially Conforms    | 10 CFR 50.69 provides an alternative regulatory framework for a licensee to use a risk-informed process for categorizing SSC by their safety significance, and based on this process can remove SSC of low safety significance from the scope of identified special treatment requirements. Thus, these requirements are applicable to licensees that choose this alternative framework. NuScale uses a risk-informed, performance-based approach to safety classification that blends the strengths of deterministic engineering judgment and probabilistic methods. Specifically, the NuScale approach to SSC safety classification combines the traditional approach using the definitions of 10 CFR 50.2 and guidance of RG 1.26 and SRP Section 3.2.2 with the alternative regulatory framework similar to that prescribed in 10 CFR 50.69 and RG 1.201 (and NEI 00-04 endorsed by RG 1.201). This methodology is consistent with SECY-03-0047 and SECY-10-0034, which recommend the use of a probabilistic, risk-informed approach for SSC safety classification. NuScale applies the guidance of RG 1.201 and NEI 00-04 to the extent appropriate given the baseline risk metrics for the NuScale advanced reactor design. | 3.2            |
| 1.202 | Standard Format and Content of Decommis-<br>sioning Cost Estimates for Nuclear Power Reac-<br>tors                             | -    | Not Applicable        | This RG implements regulatory requirements for decommissioning cost estimates that are applicable only to licensees.  | Not Applicable |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title  | Rev. | Conformance<br>Status | Comments  | Section                       |
|-------|---|------|-----------------------|---|-------------------------------|
| 1.203 | Transient and Accident Analysis Methods   | -    | Conforms              | None.   | 15.0.2                        |
| 1.204 | Guidelines for Lightning Protection of Nuclear<br>Power Plants  | -    | Partially Conforms    | The grounding and lightning protection systems are designed, installed, tested, and maintained in conformance with RG 1.204, with the exception that where IEEE Std. 666-1991 (Reaffirmed 1996) and IEEE Std. 1050-1996 are specified, IEEE Std. 666-2007 and IEEE Std. 1050-2004 instead are applied. Reconciliation of the two versions of each standard demonstrates the acceptability of the use of the later versions. | 3.8<br>7.2<br>8.3             |
| 1.205 | Risk-Informed, Performance-Based Fire Protection for Existing Light-Water Nuclear Power Plants  | 1    | Not Applicable        | This RG applies to reactor licensees or applicants that are developing or revising a risk-informed, performance-based fire protection program pursuant to 10 CFR 50.48(c). Development and implementation of a risk-informed, performance-based fire protection program would be the responsibility of COL applicants that reference the NuScale design, and that elect to implement the provisions of 10 CFR 50.48(c).     | Not Applicable                |
| 1.206 | Combined License Applications for Nuclear Power Plants (LWR Edition)  | -    | Partially Conforms    | This RG is the template for the FSAR layout, with exceptions.   | Ch. 1 through Ch. 19          |
| 1.207 | Guidelines for Evaluating Fatigue Analyses<br>Incorporating the Life Reduction of Metal Com-<br>ponents Due to the Effects of the Light-Water<br>Reactor Environment for New Reactors | -    | Conforms              | None.   | 3.8<br>3.9<br>3.12            |
| 1.208 | A Performance-Based Approach to Define the<br>Site-Specific Earthquake Ground Motion  | -    | Not Applicable        | This guidance for development of site-specific ground motion response spectra.  | Not Applicable                |
| 1.209 | Guidelines for Environmental Qualification of<br>Safety-Related Computer-Based Instrumenta-<br>tion and Control Systems in Nuclear Power<br>Plants                                    | -    | Conforms              | None.   | 3.11<br>App 3C<br>7.2<br>14.3 |
| 1.210 | Qualification of Safety-Related Battery Chargers and Inverters for Nuclear Power Plants   | -    | Not Applicable        | No safety-related battery chargers or inverters; EDSS battery chargers are not located in a harsh environment.  | Not Applicable                |

## **Table 1.9-2: Conformance with Regulatory Guides (Continued)**

| RG    | Division Title   | Rev.          | Conformance<br>Status | Comments   | Section           |
|-------|--|---------------|-----------------------|--|-------------------|
| 1.211 | Qualification of Safety-Related Cables and Field<br>Splices for Nuclear Power Plants                         | -             | Conforms              | None.  | 3.11              |
| 1.212 | Sizing of Large Lead-Acid Storage Batteries  | November 2008 | Partially Conforms    | The NuScale DC power systems conform to the VRLA sizing guidance in IEEE Std. 485-1997, with consideration as appropriate for regulatory positions in RG 1.212 relevant to VRLA battery sizing.  | 8.3               |
| 1.213 | Qualification of Safety-Related Motor Control<br>Centers for Nuclear Power Plants                            | -             | Not Applicable        | The NuScale electrical system design does not use safety-related motor control centers.  | Not Applicable    |
| 1.214 | Response Strategies for Potential Aircraft<br>Threats  | 1             | Conforms              | Not publicly available.  | 19.5              |
| 1.215 | Guidance for ITAAC Closure Under<br>10 CFR Part 52   | 2             | Not Applicable        | This guidance describes acceptable methods of complying with the requirements of 10 CFR 52.99, which is applicable to COL applicants.  | Not Applicable    |
| 1.216 | Containment Structural Integrity Evaluation for<br>Internal Pressure Loadings Above Design-Basis<br>Pressure | 0             | Conforms              | None.  | 3.8<br>6.2        |
| 1.217 | Guidance for the Assessment of Beyond-<br>Design-Basis Aircraft Impacts                                      | -             | Conforms              | None.  | 19.5<br>21.1      |
| 1.218 | Condition-Monitoring Techniques for Electric<br>Cables Used in Nuclear Power Plants                          | -             | Not Applicable        | The COL holder determines whether a cable is subject to condition monitoring during the development of the maintenance rule (10 CFR 50.65) program. This includes identification of SSC that require assessment per 10 CFR 50.65(a)(4). Cables that meet the criteria for inclusion in the maintenance rule program are subject to the guidance of RG 1.218. | Not Applicable    |
| 1.219 | Guidance on Making Changes to Emergency<br>Plans for Nuclear Power Reactors                                  | -             | Not Applicable        | These requirements are applicable to operating reactor licensees, including COL holders.   | Not Applicable    |
| 1.221 | Design-Basis Hurricane and Hurricane Missiles for Nuclear Power Plants                                       | -             | Conforms              | NuScale uses the highest wind speed postulated in Regulatory Position 1 (which occurs in Figure 2 of RG 1.221 Rev. 0) as the wind speed for the design basis hurricane.  | 3.3<br>3.5<br>3.8 |

Tier 2

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG    | Division Title  | Rev. | Conformance<br>Status | Comments   | Section        |
|-------|---|------|-----------------------|--|----------------|
| 4.1   | Radiological Environmental Monitoring for<br>Nuclear Power Plants   | 2    | Not Applicable        | This guidance governs site-specific, programmatic environmental monitoring activities that are the responsibility of the COL applicant.  | Not Applicable |
| 4.2   | Preparation of Environmental Reports for Nuclear Power Stations   | 2    | Not Applicable        | This guidance governs site-specific environ-<br>mental evaluation activities that are the respon-<br>sibility of a license or construction permit<br>applicant.  | Not Applicable |
| 4.2S1 | Supplement 1 to RG 4.2, Preparation of Supplemental Environmental Reports for Applications to Renew Nuclear Power Plant Operating Licenses                            | 1    | Not Applicable        | Revision 1 of RG 4.2S1 (pending DG-4015 dated 7/2009), This guidance is applicable only to licensees seeking renewal of their operating license.   | Not Applicable |
| 4.4   | Reporting Procedure for Mathematical Models<br>Selected to Predict Heated Effluent Dispersion<br>in Natural Water Bodies  | -    | Not Applicable        | This guidance governs site-specific environ-<br>mental activities related to modeling tempera-<br>ture impact of plant discharge on aquatic<br>systems. These activities are the responsibility<br>of the COL applicant. | Not Applicable |
| 4.7   | General Site Suitability Criteria for Nuclear<br>Power Stations   | 2    | Not Applicable        | This guidance governs site-specific evaluation activities that are the responsibility of the COL applicant.  | Not Applicable |
| 4.9   | Preparation of Environmental Reports for Commercial Uranium Enrichment Facilities   | 1    | Not Applicable        | This guidance applies only to uranium enrichment facilities.   | Not Applicable |
| 4.11  | Terrestrial Environmental Studies for Nuclear<br>Power Stations   | 2    | Not Applicable        | This guidance governs site-specific environ-<br>mental evaluation activities that are the respon-<br>sibility of a license or construction permit<br>applicant.  | Not Applicable |
| 4.13  | Performance, Testing, and Procedural Specifications for Thermoluminescence Dosimetry: Environmental Applications  | 1    | Not Applicable        | This guidance governs site-specific procedural activities that are the responsibility of a COL applicant or holder.  | Not Applicable |
| 4.14  | Radiological Effluent and Environmental Monitoring at Uranium Mills   | 1    | Not Applicable        | This guidance is applicable only to uranium mills.   | Not Applicable |
| 4.15  | Quality Assurance for Radiological Monitoring<br>Programs (Inception through Normal Opera-<br>tions to License Termination) - Effluent Streams<br>and the Environment | 2    | Not Applicable        | Applicable to COL applicants.  | Not Applicable |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments  | Section   |
|------|---|------|-----------------------|---|---|
| 4.16 | Monitoring and Reporting Radioactive Materi-<br>als in Liquid and Gaseous Effluents from<br>Nuclear Fuel Cycle Facilities | 2    | Not Applicable        | This guidance is applicable only to fuel cycle facilities.  | Not Applicable  |
| 4.17 | Standard Format and Content of Site Character-<br>ization Plans for High-Level-Waste Geologic<br>Repositories             | 1    | Not Applicable        | This guidance is applicable only to geological repositories.  | Not Applicable  |
| 4.18 | Standard Format and Content of Environmental Reports for Near-Surface Disposal of Radioactive Waste                       | -    | Not Applicable        | This guidance is applicable only to near-surface disposal of radioactive waste, which is not within the scope of the NuScale certified design.  | Not Applicable  |
| 4.19 | Guidance for Selecting Sites for Near-Surface Disposal of Low-Level Radioactive Waste                                     | -    | Not Applicable        | This guidance is applicable only to near-surface disposal of radioactive waste, which is not within the scope of the NuScale certified design.  | Not Applicable  |
| 4.20 | Constraint on Releases of Airborne Radioactive<br>Materials to the Environment for Licensees<br>other than Power Reactors | 1    | Not Applicable        | This guidance is applicable only to non-reactor facilities.   | Not Applicable  |
| 4.21 | Minimization of Contamination and Radioactive Waste Generation: Life-Cycle Planning                                       | -    | Partially Conforms    | This guidance is applicable, except for the portions that relate to site-specific, operational aspects that are the responsibility of the COL applicant referencing the NuScale design. | 9.1<br>9.2<br>9.4<br>10.4<br>11.2<br>11.3<br>11.6<br>12.3.6<br>12.5<br>14.3 |
| 4.22 | Decommissioning Planning During Operations  | -    | Not Applicable        | This RG is applicable to operating reactor licensees.   | Not Applicable  |
| 5.3  | Statistical Terminology and Notation for Special<br>Nuclear Materials Control and Accountability                          | -    | Not Applicable        | This RG is directed towards licensees of fuel processing and fuel fabrication facilities.   | Not Applicable  |
| 5.4  | Standard Analytical Methods for the Measure-<br>ment of Uranium Tetrafluoride (UF4) and Ura-<br>nium Hexafluoride (UF6)   | -    | Not Applicable        | This RG is directed towards licensees of enrichment facilities.   | Not Applicable  |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments   | Section                                 |
|------|---|------|-----------------------|--|---|
| 5.5  | Standard Methods for Chemical, Mass Spectro-<br>metric, and Spectrochemical Analysis of<br>Nuclear-Grade Uranium Dioxide Powders and<br>Pellets | -    | Not Applicable        | This RG is directed towards licensees of fuel fabrication facilities.  | Not Applicable                          |
| 5.7  | Entry/Exit Control for Protected Areas, Vital<br>Areas, and Material Access Areas   | 1    |                       | Site-specific, programmatic aspects of this guidance are the responsibility of the COL applicant referencing the NuScale design. | 13.6 (via Security<br>Technical Report) |
| 5.8  | Design Considerations for Minimizing Residual<br>Holdup of Special Nuclear Material in Drying<br>and Fluidized Bed Operations                   | 1    | Not Applicable        | Applicable to Part 70 facilities.  | Not Applicable                          |
| 5.9  | Guidelines for Germanium Spectroscopy Systems for Measurement of Special Nuclear Material   | 2    |                       | This guidance governs processes, procedures, equipment, and methods that are not applicable to the NuScale design.               | Not Applicable                          |
| 5.11 | Nondestructive Assay of Special Nuclear Material Contained in Scrap and Waste   | 1    |                       | These RG process SNM. The NuScale design does not process SNM.   | Not Applicable                          |
| 5.12 | General Use of Locks in the Protection and Controls of Facilities and Special Nuclear Materials   | -    |                       | Site-specific, programmatic aspects of this guidance are the responsibility of the COL applicant referencing the NuScale design. | 13.6 (via Security<br>Technical Report) |
| 5.13 | Conduct of Nuclear Material Physical Inventories  | -    | Not Applicable        | Applicable to Part 70 facilities.  | Not Applicable                          |
| 5.18 | Limit of Error Concepts and Principles of Calculation in Nuclear Materials Control  | -    | Not Applicable        | This RG is applicable to a special nuclear material licensee.  | Not Applicable                          |
| 5.20 | Training, Equipping, and Qualifying of Guards and Watchmen  | -    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.21 | Nondestructive Uranium-235 Enrichment Assay<br>by Gamma Ray Spectrometry  | 1    | Not Applicable        | Applicable to Part 70 facilities.  | Not Applicable                          |
| 5.22 | Assessment of the Assumption of Normality (Employing Individual Observed Values)  | -    |                       | This RG is not applicable to the DCA because NuScale is not a special nuclear material licensee.                                 | Not Applicable                          |
| 5.23 | In Situ Assay of Plutonium Residual Holdup  | 1    | Not Applicable        | Applicable to Part 70 facilities.  | Not Applicable                          |
| 5.25 | Design Considerations for Minimizing Residual<br>Holdup of Special Nuclear Material in Equip-<br>ment for Wet Process Operations                | -    |                       | Applicable to Part 70 facilities.  | Not Applicable                          |
| 5.26 | Selection of Material Balance Areas and Item<br>Control Areas   | 1    | Not Applicable        | Applicable to Part 70 facilities.  | Not Applicable                          |
| 5.27 | Special Nuclear Material Doorway Monitors   | -    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |

| RG   | Division Title   | Rev. | Conformance<br>Status | Comments   | Section                                 |
|------|--|------|-----------------------|--|---|
| 5.28 | Evaluation of Shipper-Receiver Differences in the Transfer of Special Nuclear Materials  | -    | Not Applicable        | This RG applies to fuel processing and fuel fabrication licensees.   | Not Applicable                          |
| 5.29 | Nuclear Material Control systems for Nuclear<br>Power Plants   | 2    | Not Applicable        | This RG is not applicable to the NuScale design<br>but may be used by a COL applicant to meet the<br>material control and accounting requirements<br>in Subpart B of 10 CFR Part 74. | Not Applicable                          |
| 5.31 | Specially Designed Vehicle with Armed Guards for Road Shipment of Special Nuclear Material   | 1    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.33 | Statistical Evaluation of Material Unaccounted For   | -    | Not Applicable        | Applicable to Part 70 facilities.  | Not Applicable                          |
| 5.34 | Nondestructive Assay for Plutonium in Scrap<br>Material by Spontaneous Fission Detection   | 1    | Not Applicable        | Applicable to Part 70 processing.  | Not Applicable                          |
| 5.36 | Recommended Practice for Dealing with Outlying Observations  | 1    | Not Applicable        | This RG is applicable to a special nuclear material licensee.  | Not Applicable                          |
| 5.39 | General Methods for the Analysis of Uranyl<br>Nitrate Solutions for Assay, Isotopic Distribu-<br>tion, and Impurity Determinations                         | -    | Not Applicable        | This RG is not applicable to the DCA because NuScale is not an applicant for a special nuclear material in an unsealed form license.   | Not Applicable                          |
| 5.42 | Design Considerations for Minimizing Residual<br>Holdup of Special Nuclear Material in Equip-<br>ment for Dry Process Operations                           | -    | Not Applicable        | Applicable to Part 70 facilities.  | Not Applicable                          |
| 5.43 | Plant Security Force Duties  | -    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.44 | Perimeter Intrusion Alarm Systems  | 3    | Partially Conforms    | Site-specific, programmatic aspects of this guidance are the responsibility of the COL applicant referencing the NuScale design.   | 13.6 (via Security<br>Technical Report) |
| 5.48 | Design Considerations-Systems for Measuring the Mass of Liquids  | -    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.49 | Internal Transfers of Special Nuclear Material (for Comment)   | -    | Not Applicable        | Issued for comment.  | Not Applicable                          |
| 5.51 | Management Review of Nuclear Material Control and Accounting Systems (for Comment)   | -    | Not Applicable        | Issued for comment.  | Not Applicable                          |
| 5.52 | Standard Format and Content of a Licensee Physical Protection Plan for Strategic Special Nuclear Material at Fixed Sites (Other than Nuclear Power Plants) | 3    | Not Applicable        | Not applicable to nuclear power plants.  | Not Applicable                          |
| 5.53 | Qualification, Calibration, and Error Estimation<br>Methods for Nondestructive Assay   | 1    | Not Applicable        | This RG applies to fuel processing licensees.  | Not Applicable                          |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments   | Section                                 |
|------|---|------|-----------------------|--|---|
| 5.54 | Standard Format and Content of Safeguards<br>Contingency Plans for Nuclear Power Plants   | 1    | Not Applicable        | This guidance governs site-specific physical protection features and security program activities that are the responsibility of the COL applicant. | Not Applicable                          |
| 5.55 | Standard Format and Content of Safeguards Contingency Plans for Fuel Cycle Facilities (for Comment)   | -    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.56 | Standard Format and Content of Safeguards<br>Contingency Plans for Transportation (for Comment)   | -    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.57 | Shipping and Receiving Control of Strategic<br>Special Nuclear Material   | 1    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.58 | Considerations for Establishing Traceability of<br>Special Nuclear Material Accounting Measure-<br>ments  | 1    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.59 | Standard Format and Content for a Licensee<br>Physical Security Plan for the Protection of Spe-<br>cial Nuclear Material of Moderate or Low Strate-<br>gic Significance | 1    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.60 | Standard Format and Content of a Licensee<br>Physical Protection Plan for Strategic Special<br>Nuclear Material in Transit  | -    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.61 | Intent and Scope of the Physical Protection Upgrade Rule Requirements for Fixed Sites   | -    | Not Applicable        | This guidance applies to fuel cycle facilities.  | Not Applicable                          |
| 5.62 | Reporting of Safeguards Events  | 1    | Not Applicable        | This guidance applies to site-specific security issues concerning SNM and is the responsibility of the COL applicant.                              | Not Applicable                          |
| 5.63 | Physical Protection for Transient Shipments   | -    | Not Applicable        | Applicable to COL applicant.   | Not Applicable                          |
| 5.65 | Vital Area Access Controls, Protection of Physical Security Equipment, and Key and Lock Controls  | -    | Partially Conforms    | Site-specific, programmatic aspects of this guidance are the responsibility of the COL applicant referencing the NuScale design.                   | 13.6 (via Security<br>Technical Report) |
| 5.66 | Access Authorization Program for Nuclear<br>Power Plants  | 2    | Not Applicable        | This guidance governs site-specific physical security program activities that are the responsibility of the COL applicant.                         | Not Applicable                          |
| 5.68 | Protection Against Malevolent Use of Vehicles at Nuclear Power Plants   | -    | Partially Conforms    | Although Applicable to the COL applicant, the design must allow compliance (e.g., F090 Site Layout Plan, which references parts of 73.55).         | 13.6 (via Security<br>Technical Report) |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments   | Section                                 |
|------|---|------|-----------------------|--|---|
| 5.69 | Guidance for the Application of Radiological Sabotage Design-Basis Threat in the Design, Development and Implementation of a Physical Security Program that Meets 10 CFR 73.55 Requirements (SGI) | -    | Partially Conforms    | COL applicant responsibility.  | 13.6 (via Security<br>Technical Report) |
| 5.70 | Guidance for the Application of the Theft and Diversion Design-Basis Treat in the Design Development, and Implementation of a Physical Security Program that Meets CFR 73.45 and 73.46 (SGI)      | -    | Not Applicable        | COL applicant responsibility.  | Not Applicable                          |
| 5.71 | Cyber Security Programs for Nuclear Facilities  | -    | Partially Conforms    | The portions of RG 5.71 that govern site-specific operational and programmatic activities (e.g., development and implementation of operational cyber security plans) apply to the COL applicant. | 13.6 (via Security<br>Technical Report) |
| 5.73 | Fatigue Management for Nuclear Power Plant<br>Personnel   | -    |                       | This RG is not applicable to the NuScale design<br>but may be used by a COL applicant to meet the<br>fatigue management requirements of 10 CFR 26<br>Subpart I.                                  | Not Applicable                          |
| 5.74 | Managing the Safety/Security Interface  | -    | Not Applicable        | COL applicant responsibility.  | Not Applicable                          |
| 5.75 | Training and Qualification of Security Personnel at Nuclear Power Reactor Facilities  | -    | Not Applicable        | COL applicant responsibility.  | Not Applicable                          |
| 5.76 | Physical Protection Programs at Nuclear Power<br>Reactors   | -    | Partially Conforms    | This guidance governs site-specific physical protection program activities that are the responsibility of the COL applicant.   | 13.6 (via Security<br>Technical Report) |
| 5.77 | Insider Mitigation Program (OUO-SRI)  | -    | Not Applicable        | COL applicant responsibility.  | Not Applicable                          |
| 5.78 | Physical Protection of Mixed Oxide Fuels in Nuclear Power Plants (SGI)  | -    | Not Applicable        | The NuScale design does not use mixed oxide fuels.   | Not Applicable                          |
| 5.79 | Protection of Safeguard Information   | -    | Conforms              | None.  | Not Applicable                          |
| 5.80 | Pressure-Sensitive and Tamper-Indicating Device Seals for Material Control and Accounting of Special Nuclear Material   | -    | Not Applicable        | This RG is not applicable to the NuScale design.   | Not Applicable                          |
| 5.81 | Target Set Identification and Development for Nuclear Power Reactors (OUO-SRI)  | -    | Not Applicable        | COL applicant responsibility.  | Not Applicable                          |
| 5.83 | Cyber Security Event Notifications  | -    | Not Applicable        | COL applicant responsibility.  | Not Applicable                          |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments   | Section   |
|------|---|------|-----------------------|--|---|
| 5.84 | Fitness-For-Duty for New Nuclear Power Plant<br>Construction Sites  | -    | Not Applicable        | COL applicant responsibility.  | Not Applicable  |
| 8.2  | Administrative Practices in Radiation Surveys and Monitoring  | 1    | Not Applicable        | This guidance governs site-specific, programmatic activities related to radiation surveys and monitoring that are the responsibility of the COL applicant.   | Not Applicable  |
| 8.4  | Personnel Monitoring Device - Direct-Reading<br>Pocket Dosimeters   | 1    | Not Applicable        | Revision 2 of RG 8.4 pending DG-8036, April 2010. This guidance governs site-specific, programmatic activities related to the selection, maintenance, calibration, training, and reading of pocket dosimeters that are the responsibility of the COL applicant.  | Not Applicable  |
| 8.7  | Instructions for Recording and Reporting Occupational Radiation Dose Data   | 2    | Not Applicable        | This guidance governs site-specific, programmatic activities related to recording and reporting dose data that are the responsibility of the COL applicant.  | Not Applicable  |
| 8.8  | Information Relevant to Ensuring that Occupational Radiation Exposures at Nuclear Power Stations Will Be as Low as Is Reasonably Achievable | 3    | Partially Conforms    | Implementation of this guidance is largely site-specific and is the responsibility of the COL applicant. However, the NuScale application for standard design certification considered this guidance to be applicable to the extent necessary to provide reasonable assurance that the COL applicant referencing the certified design can meet these requirements. The aspects of this guidance that are design-specific (i.e., pertain to design features, facilities, functions, and equipment that are technically relevant to the NuScale standard plant design - e.g., Position C.2) are applicable to the DCA. | 9.3<br>10.4<br>11.2<br>11.4<br>11.5<br>12.1<br>12.3<br>12.5<br>14.3 |
| 8.9  | Acceptable Concepts, Models, Equations, and<br>Assumptions for a Bioassay Program   | 1    | Not Applicable        | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.   | Not Applicable  |
| 8.10 | Operating Philosophy for Maintaining Occupational Radiation Exposures as Low as Is Reasonably Achievable                                    | 1-R  | Not Applicable        | These site-specific aspects are the responsibility of the COL applicant referencing the certified design.  | Not Applicable  |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title   | Rev. | Conformance<br>Status | Comments   | Section        |
|------|--|------|-----------------------|--|----------------|
| 8.11 | Applications of Bioassay for Uranium   | -    | Not Applicable        | This guidance governs programmatic activities that apply to licensees for which uranium bioassay is required.  | Not Applicable |
| 8.13 | Instruction Concerning Prenatal Radiation Exposure   | 3    | Not Applicable        | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.   | Not Applicable |
| 8.15 | Acceptable Programs for Respiratory Protection   | 1    | Not Applicable        | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.   | Not Applicable |
| 8.18 | Information Relevant to Ensuring that Radiation Exposures at Medical Institutions Will Be as Low as is Reasonably Achievable | 2    | Not Applicable        | This guidance governs activities applicable only to medical institutions.  | Not Applicable |
| 8.19 | Occupational Radiation Dose Assessment in<br>Light Water Reactor Power Plants - Design<br>Stage Man-Rem Estimates            | 1    | Conforms              | None.  | 12.4           |
| 8.20 | Applications of Bioassay for Radioiodine   | 2    | Not Applicable        | Revision 2 of RG 8.20 pending draft DG-8050,<br>September 2011. This guidance governs site-<br>specific, programmatic activities, procedures,<br>equipment, and methods that are the responsi-<br>bility of the COL applicant. | Not Applicable |
| 8.21 | Health Physics Surveys for Byproduct Material at NRC Licensed Processing and Manufacturing Plants                            | 1    | Not Applicable        | Applicable only to processing and manufacturing plants.  | Not Applicable |
| 8.22 | Bioassay at Uranium Mills  | 1    | Not Applicable        | Applicable only to uranium mills.  | Not Applicable |
| 8.23 | Radiation Safety Surveys at Medical Institutions   | 1    | Not Applicable        | This guidance governs activities applicable only to medical institutions.  | Not Applicable |
| 8.24 | Health Physics Surveys During Enriched Ura-<br>nium-235 Processing and Fuel Fabrication                                      | 2    | Not Applicable        | This guidance governs activities applicable only to facilities that process or fabricate fuel with uranium enriched with the U-235 isotope.  | Not Applicable |
| 8.25 | Air Sampling in the Workplace  | 1    | Not Applicable        | This guidance governs site-specific, programmatic activities related to air sampling in the workplace that are the responsibility of the COL applicant.  | Not Applicable |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title   | Rev. | Conformance<br>Status | Comments   | Section        |
|------|--|------|-----------------------|--|----------------|
| 8.26 | Applications of Bioassay for Fission and Activation Products   | -    | Not Applicable        | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.                                 | Not Applicable |
| 8.27 | Radiation Protection Training for Personnel at<br>Light-Water-Cooled Nuclear Power Plants  | -    | Not Applicable        | This guidance governs site-specific operational training programs, plans, and procedures that are the responsibility of the COL applicant.   | Not Applicable |
| 8.28 | Audible-Alarm Dosimeters   | -    | Not Applicable        | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.                                 | Not Applicable |
| 8.29 | Instruction Concerning Risks from Occupational Radiation Exposure  | 1    | Not Applicable        | This guidance governs site-specific, programmatic training and instructional activities that are the responsibility of the COL applicant.  | Not Applicable |
| 8.30 | Health Physics Surveys in Uranium Recovery Facilities  | 1    | Not Applicable        | This guidance governs activities applicable only to uranium recovery facilities.   | Not Applicable |
| 8.31 | Information Relevant to Ensuring that Occupational Radiation Exposures at Uranium Recovery Facilities Will Be as Low as Is Reasonably Achievable | 1    | Not Applicable        | This guidance governs activities applicable only to uranium recovery facilities.   | Not Applicable |
| 8.32 | Criteria for Establishing a Tritium Bioassay Program   | -    | Not Applicable        | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of a licensee authorized to possess nuclear material. | Not Applicable |
| 8.34 | Monitoring Criteria and Methods to Calculate<br>Occupational Radiation Doses   | -    | Not Applicable        | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.                                 | Not Applicable |
| 8.35 | Planned Special Exposure   | 1    | Not Applicable        | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.                                 | Not Applicable |
| 8.36 | Radiation Dose to the Embryo/Fetus   | -    | Not Applicable        | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.                                 | Not Applicable |

**Table 1.9-2: Conformance with Regulatory Guides (Continued)** 

| RG   | Division Title  | Rev. | Conformance<br>Status | Comments  | Section                        |
|------|---|------|-----------------------|---|--------------------------------|
| 8.37 | ALARA Levels for Effluents from Materials Facilities                            | -    | Not Applicable        | This guidance governs activities applicable only to materials facilities.   | Not Applicable                 |
| 8.38 | Control of Access to High and Very High Radiation Areas in Nuclear Power Plants | 1    | ,                     | Implementation of this guidance is largely site-specific and is the responsibility of the COL applicant. However, NuScale considers this guidance to be applicable to the extent necessary to provide reasonable assurance that the COL applicant referencing the certified design can meet these requirements. | 12.1<br>12.3<br>12.5<br>14.2.7 |
| 8.39 | Release of Patients Administered Radioactive<br>Materials                       | -    | Not Applicable        | This guidance governs activities applicable only to facilities that administer radio-pharmaceuticals.   | Not Applicable                 |
| 8.40 | Methods for Measuring Effective Dose Equiva-<br>lent from External Exposure     | -    | Not Applicable        | This guidance governs dosimetry methods for determining effective dose equivalent for external radiation exposures. These methods are the responsibility of the COL applicant.  | Not Applicable                 |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS)

| SRP or DSRS Section, Rev:<br>Title                                    | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|-------|---|-----------------------|---|----------------|
| SRP 1.0, Rev 2: Introduction  | II.1  | No Specific Acceptance Criteria   | -                     | No Specific Acceptance Criteria.  | Not Applicable |
| and Interfaces  |       |   |                       |   |                |
| SRP 1.0, Rev 2: Introduction and Interfaces                           | II.2  | SRP Acceptance Criteria Associated with Each Referenced SRP section   | Conforms              | None.   | Ch 1           |
| SRP 1.0, Rev 2: Introduction and Interfaces                           | II.3  | Performance of New Safety Features and Design Qualification Testing Requirements                                  | Conforms              | None.   | Ch 1           |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | II.1  | Specific SRP Acceptance Criteria<br>Contained in Related SRP Chapter 2<br>or Other Referenced SRP sections        | Conforms              | This acceptance criterion is a pointer to other SRP sections.   | 2.0            |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | II.2  | COL Application Referencing an Early<br>Site Permit   | Not Applicable        | This acceptance criterion is applicable only to COL applicants that do not reference the DCA.         | Not Applicable |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | II.3  | COL Application Referencing a<br>Certified Design   | Not Applicable        | This acceptance criterion is for COL applicants to meet the design parameters established in the DCA. | Not Applicable |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | 11.4  | COL Application Referencing an Early<br>Site Permit and a Certified Design  | Not Applicable        | This acceptance criterion is for COL applicants to meet the design parameters established in the DCA. | Not Applicable |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | II.5  | COL Application Referencing Neither<br>an Early Site Permit Nor a Certified<br>Design                             | Not Applicable        | This acceptance criterion is applicable only to COL applicants that do not reference the DCA.         | Not Applicable |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | Арр А | Table 1: Examples of Site<br>Characteristics and Site Parameters  | Partially Conforms    | NuScale provides design parameters where applicable.  | Table 2.0-1    |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | Арр А | Table 2: Examples of Site-Related<br>Design Parameters and Design<br>Characteristics                              | Partially Conforms    | NuScale provides design parameters where applicable.  | Table 2.0-1    |
| SRP 2.1.1, Rev 3: Site Location and Description                       |       | Specification of Location and Site<br>Area Map  | Not Applicable        | Site-specific.  | Not Applicable |
| SRP 2.1.2, Rev 3: Exclusion<br>Area Authority and Control             | All   | Establishment of Authority, Exclusion or Removal of Personnel and Property, and Proposed and Permitted Activities | Not Applicable        | Site-specific.  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC             | AC Title/Description   | Conformance<br>Status | Comments       | Section              |
|--|----------------|--|-----------------------|----------------|----------------------|
| SRP 2.1.3, Rev 3: Population<br>Distribution   | All            | Population Data, Exclusion Area, Low-<br>Population Zone, Nearest Population<br>Center Boundary, and Population<br>Density | Not Applicable        | Site-specific. | Not Applicable       |
| SRP 2.2.1-2.2.2, Rev 3:<br>Identification of Potential<br>Hazards in Site Vicinity           | All            | Various  | Not Applicable        | Site-specific. | Not Applicable       |
| SRP 2.2.3, Rev 3: Evaluation of Potential Accidents  | All            | Event Probability and Design-Basis Event Analysis  | Not Applicable        | Site-specific. | Not Applicable       |
| SRP 2.3.1, Rev 3: Regional<br>Climatology  | All            | Various  | Not Applicable        | Site-specific  | Not Applicable       |
| Climatology  | III.4.b.1      | Postulated Site Parameters   | Conforms              | None.          | Table 2.0-1<br>2.3.1 |
| Climatology  | III.4.b.2      | Site Parameters Included as Tier 1<br>Information  | Conforms              | None.          | Table 2.0-1<br>2.3.1 |
| Climatology  | III.4.b.3      | Site Parameters Summary Table  | Conforms              | None.          | Table 2.0-1<br>2.3.1 |
| SRP 2.3.1, Rev 3: Regional<br>Climatology  | III.4.b.4      | Basis for Site Parameters  | Conforms              | None.          | Table 2.0-1<br>2.3.1 |
| SRP 2.3.2, Rev 3: Local<br>Meteorology   | II.1 thru II.4 | Various  | Not Applicable        | Site-specific. | Not Applicable       |
| SRP 2.3.2, Rev 3: Local<br>Meteorology   | III.4.b.i      | Postulated Site Parameters   | Conforms              | None.          | Table 2.0-1<br>2.3.2 |
| SRP 2.3.2, Rev 3: Local<br>Meteorology   | III.4.b.ii     | Site Parameters Included as Tier 1<br>Information  | Conforms              | None.          | Table 2.0-1<br>2.3.2 |
| SRP 2.3.2, Rev 3: Local<br>Meteorology   | III.4.b.iii    | Site Parameters Summary Table  | Conforms              | None.          | Table 2.0-1<br>2.3.2 |
| Meteorology  | III.4.b.iv     | Basis for Site Parameters  | Conforms              | None.          | Table 2.0-1<br>2.3.2 |
| SRP 2.3.3, Rev 3: Onsite<br>Meteorological<br>Measurements Program                           | All            | Various  | Not Applicable        | Site-specific. | Not Applicable       |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | All            | Various  | Not Applicable        | Site-specific. | Not Applicable       |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC              | AC Title/Description  | Conformance<br>Status | Comments       | Section        |
|--|-----------------|---|-----------------------|----------------|----------------|
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | III.6.b.1       | Postulated Site Parameters  | Conforms              | None.          | 2.3.4          |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | III.6.b.2       | Site Parameters Included as Tier 1<br>Information   | Conforms              | None.          | 2.3.4          |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | III.6.b.3       | Site Parameters Summary Table   | Conforms              | None.          | 2.3.4          |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | III.6.b.4       | Basis for Site Parameters   | Conforms              | None.          | 2.3.4          |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | III (no number) | Applicable Short-Term (Post-<br>Accident) Site Parameters - EAB, LPZ,<br>and Control Room Atmospheric<br>Dispersion Factors | Conforms              | None.          | 2.3.4          |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases   | All             | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases   | III.5.b.1       | Postulated Site Parameters  | Conforms              | None.          | 2.3.5          |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases   | III.5.b.2       | Site Parameters Included as Tier 1<br>Information   | Conforms              | None.          | 2.3.5          |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases   | III.5.b.3       | Site Parameters Summary Table   | Conforms              | None.          | 2.3.5          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC              | AC Title/Description   | Conformance<br>Status | Comments       | Section        |
|--|-----------------|--|-----------------------|----------------|----------------|
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases | III.5.b.4       | Basis for Site Parameters  | Conforms              | None.          | 2.3.5          |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases | III (no number) | Applicable Long-Term (Routine<br>Release) Site Parameters - Maximum<br>Annual Average Site Boundary<br>Atmospheric Dispersion Factor | Conforms              | None.          | 2.3.5          |
| SRP 2.4.1, Rev 3: Hydrologic<br>Description  | All             | Various  | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.1, Rev 3: Hydrologic<br>Description  | III.7.B.i       | Postulated Site Parameters   | Conforms              | None.          | 2.4.1          |
| SRP 2.4.1, Rev 3: Hydrologic<br>Description  | III.7.B.ii      | Site Parameters Included as Tier 1<br>Information  | Conforms              | None.          | 2.4.1          |
| SRP 2.4.1, Rev 3: Hydrologic<br>Description  | III.7.B.iii     | Site Parameters Summary Table  | Conforms              | None.          | 2.4.1          |
| SRP 2.4.1, Rev 3: Hydrologic<br>Description  | III.7.B.iv      | Basis for Site Parameters  | Conforms              | None.          | 2.4.1          |
| SRP 2.4.2, Rev 4: Floods   | All             | Various  | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.2, Rev 4: Floods   | III.11.B.i      | Postulated Site Parameters   | Conforms              | None.          | 2.4.2          |
| SRP 2.4.2, Rev 4: Floods   | III.11.B.ii     | Site Parameters Included as Tier 1<br>Information  | Conforms              | None.          | 2.4.2          |
| SRP 2.4.2, Rev 4: Floods   | III.11.B.iii    | Site Parameters Summary Table  | Conforms              | None.          | 2.4.2          |
| SRP 2.4.2, Rev 4: Floods   | III.11.B.iv     | Basis for Site Parameters  | Conforms              | None.          | 2.4.2          |
| SRP 2.4.3, Rev 4: Probable<br>Maximum Flood (PMF) on<br>Streams and Rivers                 | All             | Various  | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.3, Rev 4: Probable<br>Maximum Flood (PMF) on<br>Streams and Rivers                 | III.4.B.i       | Postulated Site Parameters   | Conforms              | None.          | 2.4.3          |
| SRP 2.4.3, Rev 4: Probable<br>Maximum Flood (PMF) on<br>Streams and Rivers                 | III.4.B.ii      | Site Parameters Included as Tier 1<br>Information  | Conforms              | None.          | 2.4.3          |
| SRP 2.4.3, Rev 4: Probable<br>Maximum Flood (PMF) on<br>Streams and Rivers                 | III.4.B.iii     | Site Parameters Summary Table  | Conforms              | None.          | 2.4.3          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC          | AC Title/Description                              | Conformance<br>Status | Comments       | Section        |
|--|-------------|---|-----------------------|----------------|----------------|
|  | III. 4 D :  | D : ( C: D  |                       | IN I           | 2.12           |
| SRP 2.4.3, Rev 4: Probable<br>Maximum Flood (PMF) on<br>Streams and Rivers | III.4.B.iv  | Basis for Site Parameters                         | Conforms              | None.          | 2.4.3          |
| SRP 2.4.4, Rev 3: Potential<br>Dam Failures                                | All         | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.4, Rev 3: Potential<br>Dam Failures                                | III.8.B.i   | Postulated Site Parameters                        | Conforms              | None.          | 2.4.4          |
| SRP 2.4.4, Rev 3: Potential<br>Dam Failures                                | III.8.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.4.4          |
| SRP 2.4.4, Rev 3: Potential<br>Dam Failures                                | III.8.B.iii | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.4          |
| SRP 2.4.4, Rev 3: Potential<br>Dam Failures                                | III.8.B.iv  | Basis for Site Parameters                         | Conforms              | None.          | 2.4.4          |
| SRP 2.4.5, Rev 3: Probable<br>Maximum Surge and Seiche<br>Flooding         | All         | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.5, Rev 3: Probable<br>Maximum Surge and Seiche<br>Flooding         | III.7.B.i   | Postulated Site Parameters                        | Conforms              | None.          | 2.4.5          |
| SRP 2.4.5, Rev 3: Probable<br>Maximum Surge and Seiche<br>Flooding         | III.7.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.4.5          |
| SRP 2.4.5, Rev 3: Probable<br>Maximum Surge and Seiche<br>Flooding         | III.7.B.iii | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.5          |
| SRP 2.4.5, Rev 3: Probable<br>Maximum Surge and Seiche<br>Flooding         | III.7.B.iv  | Basis for Site Parameters                         | Conforms              | None.          | 2.4.5          |
| SRP 2.4.6, Rev 3: Probable<br>Maximum Tsunami Hazards                      | All         | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.6, Rev 3: Probable<br>Maximum Tsunami Hazards                      | III.9.B.i   | Postulated Site Parameters                        | Conforms              | None.          | 2.4.6          |
| SRP 2.4.6, Rev 3: Probable<br>Maximum Tsunami Hazards                      | III.9.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.4.6          |
| SRP 2.4.6, Rev 3: Probable<br>Maximum Tsunami Hazards                      | III.9.B.iii | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.6          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:                                | AC          | AC Title/Description                              | Conformance    | Comments       | Section        |
|--|-------------|---|----------------|----------------|----------------|
| Title  |             |   | Status         |                |                |
| SRP 2.4.6, Rev 3: Probable                               | III.9.B.iv  | Basis for Site Parameters                         | Conforms       | None.          | 2.4.6          |
| Maximum Tsunami Hazards                                  |             |   |                |                |                |
| SRP 2.4.7, Rev 3: Ice Effects                            | All         | Various   | Not Applicable | Site-specific. | Not Applicable |
| SRP 2.4.7, Rev 3: Ice Effects                            | III.6.B.i   | Postulated Site Parameters                        | Conforms       | None.          | 2.4.7          |
| SRP 2.4.7, Rev 3: Ice Effects                            | III.6.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms       | None.          | 2.4.7          |
| SRP 2.4.7, Rev 3: Ice Effects                            | III.6.B.iii | Site Parameters Summary Table                     | Conforms       | None.          | 2.4.7          |
| SRP 2.4.7, Rev 3: Ice Effects                            | III.6.B.iv  | Basis for Site Parameters                         | Conforms       | None.          | 2.4.7          |
| SRP 2.4.8, Rev 3: Cooling<br>Water Canals and Reservoirs | All         | Various   | Not Applicable | Site-specific. | Not Applicable |
| SRP 2.4.8, Rev 3: Cooling<br>Water Canals and Reservoirs | III.5.B.1   | Postulated Site Parameters                        | Conforms       | None.          | 2.4.8          |
| SRP 2.4.8, Rev 3: Cooling<br>Water Canals and Reservoirs | III.5.B.2   | Site Parameters Included as Tier 1<br>Information | Conforms       | None.          | 2.4.8          |
| SRP 2.4.8, Rev 3: Cooling<br>Water Canals and Reservoirs | III.5.B.3   | Site Parameters Summary Table                     | Conforms       | None.          | 2.4.8          |
| SRP 2.4.8, Rev 3: Cooling<br>Water Canals and Reservoirs | III.5.B.4   | Basis for Site Parameters                         | Conforms       | None.          | 2.4.8          |
| SRP 2.4.9, Rev 3: Channel<br>Diversions                  | All         | Various   | Not Applicable | Site-specific. | Not Applicable |
| SRP 2.4.9, Rev 3: Channel<br>Diversions                  | III.8.B.i   | Postulated Site Parameters                        | Conforms       | None.          | 2.4.9          |
| SRP 2.4.9, Rev 3: Channel<br>Diversions                  | III.8.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms       | None.          | 2.4.9          |
| SRP 2.4.9, Rev 3: Channel<br>Diversions                  | III.8.B.iii | Site Parameters Summary Table                     | Conforms       | None.          | 2.4.9          |
| SRP 2.4.9, Rev 3: Channel                                | III.8.B.iv  | Basis for Site Parameters                         | Conforms       | None.          | 2.4.9          |
| Diversions   |             |   |                |                |                |
| SRP 2.4.10, Rev 3: Flooding<br>Protection Requirements   | All         | Various   | Not Applicable | Site-specific. | Not Applicable |
| SRP 2.4.10, Rev 3: Flooding<br>Protection Requirements   | III.5.B.i   | Postulated Site Parameters                        | Conforms       | None.          | 2.4.10         |
| SRP 2.4.10, Rev 3: Flooding<br>Protection Requirements   | III.5.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms       | None.          | 2.4.10         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC             | AC Title/Description                              | Conformance<br>Status | Comments       | Section        |
|--|----------------|---|-----------------------|----------------|----------------|
| SRP 2.4.10, Rev 3: Flooding<br>Protection Requirements   | III.5.B.iii    | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.10         |
| SRP 2.4.10, Rev 3: Flooding<br>Protection Requirements   | III.5.B.iv     | Basis for Site Parameters                         | Conforms              | None.          | 2.4.10         |
| SRP 2.4.11, Rev 3: Low Water<br>Considerations   | All            | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.11, Rev 3: Low Water<br>Considerations   | III.6.B.i      | Postulated Site Parameters                        | Conforms              | None.          | 2.4.11         |
| SRP 2.4.11, Rev 3: Low Water<br>Considerations   | III.6.B.ii     | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.4.11         |
| SRP 2.4.11, Rev 3: Low Water<br>Considerations   | III.6.B.iii    | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.11         |
| SRP 2.4.11, Rev 3: Low Water<br>Considerations   | III.6.B.iv     | Basis for Site Parameters                         | Conforms              | None.          | 2.4.11         |
| SRP 2.4.12, Rev 3:<br>Groundwater  | II.1 thru II.5 | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.12, Rev 3:<br>Groundwater  | III.6.B.i      | Postulated Site Parameters                        | Conforms              | None.          | 2.4.12         |
| SRP 2.4.12, Rev 3:<br>Groundwater  | III.6.B.ii     | Site Parameters Included as Tier 1 Information    | Conforms              | None.          | 2.4.12         |
| SRP 2.4.12, Rev 3:<br>Groundwater  | III.6.B.iii    | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.12         |
| SRP 2.4.12, Rev 3:<br>Groundwater  | III.6.B.iv     | Basis for Site Parameters                         | Conforms              | None.          | 2.4.12         |
| SRP 2.4.13, Rev 3: Accidental<br>Releases of Radioactive<br>Liquid Effluents in Ground<br>and Surface Waters | All            | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.13, Rev 3: Accidental<br>Releases of Radioactive<br>Liquid Effluents in Ground<br>and Surface Waters | III.5.B.i      | Postulated Site Parameters                        | Conforms              | None.          | 2.4.13         |
| SRP 2.4.13, Rev 3: Accidental<br>Releases of Radioactive<br>Liquid Effluents in Ground<br>and Surface Waters | III.5.B.ii     | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.4.13         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:     | AC          | AC Title/Description                    | Conformance                           | Comments       | Section                                 |
|-------------------------------|-------------|---|---------------------------------------|----------------|---|
| Title                         |             |   | Status                                |                |   |
| SRP 2.4.13, Rev 3: Accidental | III.5.B.iii | Site Parameters Summary Table           | Conforms                              | None.          | 2.4.13                                  |
| Releases of Radioactive       |             |   |                                       |                |   |
| Liquid Effluents in Ground    |             |   |                                       |                |   |
| and Surface Waters            |             |   |                                       |                |   |
| SRP 2.4.13, Rev 3: Accidental | III.5.B.iv  | Basis for Site Parameters               | Conforms                              | None.          | 2.4.13                                  |
| Releases of Radioactive       |             |   |                                       |                |   |
| Liquid Effluents in Ground    |             |   |                                       |                |   |
| and Surface Waters            |             |   |                                       |                |   |
| SRP 2.4.14, Rev 3: Technical  | All         | Various                                 | Not Applicable                        | Site-specific. | Not Applicable                          |
| Specifications and            |             |   |                                       |                |   |
| Emergency Operation           |             |   |                                       |                |   |
| Requirements                  |             |   |                                       |                |   |
| SRP 2.4.14, Rev 3: Technical  | III.5.B.i   | Postulated Site Parameters              | Conforms                              | None.          | 2.4.14                                  |
| Specifications and            |             |   |                                       |                |   |
| Emergency Operation           |             |   |                                       |                |   |
| Requirements                  |             |   |                                       |                |   |
| SRP 2.4.14, Rev 3: Technical  | III.5.B.ii  | Site Parameters Included as Tier 1      | Conforms                              | None.          | 2.4.14                                  |
| Specifications and            |             | Information                             |                                       |                |   |
| Emergency Operation           |             |   |                                       |                |   |
| Requirements                  |             |   |                                       |                |   |
| SRP 2.4.14, Rev 3: Technical  | III.5.B.iii | Site Parameters Summary Table           | Conforms                              | None.          | 2.4.14                                  |
| Specifications and            |             | ,                                       |                                       |                |   |
| Emergency Operation           |             |   |                                       |                |   |
| Requirements                  |             |   |                                       |                |   |
| SRP 2.4.14, Rev 3: Technical  | III.5.B.iv  | Basis for Site Parameters               | Conforms                              | None.          | 2.4.14                                  |
| Specifications and            |             |   |                                       |                |   |
| Emergency Operation           |             |   |                                       |                |   |
| Requirements                  |             |   |                                       |                |   |
| SRP 2.5.1, Rev 4: Basic       | All         | Regional and Site Geology               | Not Applicable                        | Site-specific. | Not Applicable                          |
| Geologic and Seismic          |             | , | 1.1.                                  |                |   |
| Information                   |             |   |                                       |                |   |
| SRP 2.5.2, Rev 4: Vibratory   | All         | Various                                 | Not Applicable                        | Site-specific. | Not Applicable                          |
| Ground Motion                 |             |   | i i i i i i i i i i i i i i i i i i i |                | 111111111111111111111111111111111111111 |
| SRP 2.5.2, Rev 4: Vibratory   | III.2.a     | Postulated Site Parameters              | Conforms                              | None.          | 2.5.2                                   |
| Ground Motion                 |             | . I Standard State . Middlifeters       | 20.11011113                           |                | 2.3.2                                   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC      | AC Title/Description                              | Conformance<br>Status | Comments       | Section        |
|---|---------|---|-----------------------|----------------|----------------|
| SRP 2.5.2, Rev 4: Vibratory   | III.2.b | Site Parameters Included as Tier 1                | Conforms              | None.          | 2.5            |
| Ground Motion   |         | Information                                       |                       |                |                |
| SRP 2.5.2, Rev 4: Vibratory   | III.2.c | Site Parameters Summary Table                     | Conforms              | None.          | 2.5.2          |
| Ground Motion   |         |   |                       |                |                |
| SRP 2.5.2, Rev 4: Vibratory<br>Ground Motion                              | III.2.d | Basis for Site Parameters                         | Conforms              | None.          | 2.5.2          |
| SRP 2.5.3, Rev 4: Surface<br>Faulting                                     | All     | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.5.3, Rev 4: Surface<br>Faulting                                     | III.2.a | Postulated Site Parameters                        | Conforms              | None.          | 2.5.3          |
| SRP 2.5.3, Rev 4: Surface<br>Faulting                                     | III.2.b | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.5.3          |
| SRP 2.5.3, Rev 4: Surface<br>Faulting                                     | III.2.c | Site Parameters Summary Table                     | Conforms              | None.          | 2.5            |
| SRP 2.5.3, Rev 4: Surface<br>Faulting                                     | III.2.d | Basis for Site Parameters                         | Conforms              | None.          | 2.5.3          |
| SRP 2.5.4, Rev 4: Stability of<br>Subsurface Materials and<br>Foundations | All     | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.5.4, Rev 4: Stability of<br>Subsurface Materials and<br>Foundations | III.2.A | Postulated Site Parameters                        | Conforms              | None.          | 2.5.4          |
| SRP 2.5.4, Rev 4: Stability of<br>Subsurface Materials and<br>Foundations | III.2.B | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.5.4          |
| SRP 2.5.4, Rev 4: Stability of<br>Subsurface Materials and<br>Foundations | III.2.C | Site Parameters Summary Table                     | Conforms              | None.          | 2.5            |
| SRP 2.5.4, Rev 4: Stability of<br>Subsurface Materials and<br>Foundations | III.2.D | Basis for Site Parameters                         | Conforms              | None.          | 2.5.4          |
| SRP 2.5.5, Rev 4: Stability of Slopes                                     | All     | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.5.5, Rev 4: Stability of Slopes                                     | III.2.A | Postulated Site Parameters                        | Conforms              | None.          | 2.5.5          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                       | AC                      | AC Title/Description  | Conformance<br>Status | Comments   | Section     |
|--|-------------------------|---|-----------------------|--|-------------|
| SRP 2.5.5, Rev 4: Stability of<br>Slopes                 | III.2.B                 | Site Parameters Included as Tier 1<br>Information   | Conforms              | None.  | 2.5.5       |
| SRP 2.5.5, Rev 4: Stability of Slopes                    | III.2.C                 | Site Parameters Summary Table   | Conforms              | None.  | 2.5         |
| SRP 2.5.5, Rev 4: Stability of Slopes                    | III.2.D                 | Basis for Site Parameters   | Conforms              | None.  | 2.5.5       |
| SRP 3.2.1, Rev 2: Seismic<br>Classification              | II.1                    | Seismic Design Classification to Meet<br>GDC 2; 10 CFR 100, Appendix A; and<br>10 CFR 50, Appendix S  | Partially Conforms    | This acceptance criterion is applicable except that SSC meeting Staff Regulatory Guidance C.1.i of Regulatory Guide 1.29 are designated Seismic Category II rather than Seismic Category I.  | 3.2.1       |
| SRP 3.2.2, Rev 2: System<br>Quality Group Classification | II.1                    | Quality Group Classification to Meet<br>GDC 1 and 10 CFR 50.55a   | Conforms              | None.  | 3.2.2       |
| SRP 3.2.2, Rev 2: System<br>Quality Group Classification | Table 3.2.21            | Summary of Construction Codes and<br>Standards for Components of<br>WaterCooled Nuclear Power Plants by<br>NRC Quality Classification System<br>(Page 3.2.2-12) | Partially Conforms    | This acceptance criterion is applicable except for reference to RG 1.85, which was withdrawn in 2004 because its guidance was updated and incorporated into RG 1.84.   | Table 3.2-1 |
| SRP 3.2.2, Rev 2: System<br>Quality Group Classification | App. A and<br>Table A-1 | Additional Guidance for Classification of Systems and Components and Application of Quality Standards   | Partially Conforms    | The intent of Table A-1 is applicable but some of the specific language refers to SSC not part of the NuScale design. For example, the NuScale design does not include combustible gas control systems, emergency diesel generators, ESF rooms, or pressurizer power operated relief valves. | Table 3.2-1 |
| SRP 3.3.1, Rev. 3:<br>Wind Loadings                      | II.1                    | Most Severe Wind  | Partially Conforms    | Bounding parameters are established.   | 3.3.1       |
| SRP 3.3.1, Rev. 3:<br>Wind Loadings                      | II.2                    | Design Wind Speed, Recurrence<br>Interval, and Other Site-Related Wind<br>Parameters  | Conforms              | None.  | 3.3.1       |
| SRP 3.3.1, Rev. 3:<br>Wind Loadings                      | II.3                    | Procedures for Transforming Wind<br>Speed Into Equivalent Pressure  | Conforms              | None.  | 3.3.1       |
| SRP 3.3.2: Rev. 3:<br>Tornado Loads                      | II.1                    | Most Severe Tornado Wind and<br>Associated Missiles   | Partially Conforms    | Bounding parameters are established.   | 3.3.2       |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section |
|---|------|---|-----------------------|--|---------|
| SRP 3.3.2: Rev. 3:<br>Tornado Loads   | II.2 | Acceptance Criteria for Tornado<br>Parameters and Spectrum of<br>Tornado-Generated Missiles   | Conforms              | None.  | 3.3.2   |
| SRP 3.3.2: Rev. 3:<br>Tornado Loads   | II.3 | Procedures for Transforming Tornado<br>Parameters Into Equivalent Loads on<br>Structures  | Conforms              | None.  | 3.3.2   |
| SRP 3.3.2: Rev. 3:<br>Tornado Loads   | II.4 | Demonstrating That Failure of Structure or Component Not Designed for Tornado Loads Will Not Affect the Capability of Other SSC to Perform Safety Functions | Conforms              | None.  | 3.3.2   |
| SRP 3.4.1, Rev. 3: Internal<br>Flood Protection for Onsite<br>Equipment Failures      | II.1 | Seismic Design and Classification<br>Requirements   | Conforms              | None.  | 3.4.1   |
| SRP 3.4.1, Rev. 3: Internal<br>Flood Protection for Onsite<br>Equipment Failures      | II.2 | Compliance with GDC 4   | Conforms              | None.  | 3.4.1   |
| SRP 3.4.2, Rev. 3: Protection of<br>Structures Against Flood from<br>External Sources |      | Most Severe Highest Flood and<br>Groundwater Levels   | Partially Conforms    | The NuScale Certified design assumes the NPP is above the maximum flood level. | 3.4.2   |
| SRP 3.4.2, Rev. 3: Protection of<br>Structures Against Flood from<br>External Sources | II.2 | Highest Flood Level Below Grade -<br>Consideration of Hydrostatic Effects<br>and Wave Action  | Conforms              | The NuScale Certified design assumes the NPP is above the maximum flood level. | 3.4.2   |
| SRP 3.4.2, Rev. 3: Protection of<br>Structures Against Flood from<br>External Sources | II.3 | Highest Flood Level Above Grade -<br>Consideration of Dynamic Loads<br>From Wave Action   | Conforms              | The NuScale Certified design assumes the NPP is above the maximum flood level. | 3.4.2   |
| SRP 3.5.1.1, Rev. 3: Internally-<br>Generated Missiles (Outside<br>Containment)       | II.1 | Statistical Significance of an<br>Identified Missile by Probability<br>Analysis   | Conforms              | None.  | 3.5.1   |
| SRP 3.5.1.1, Rev. 3: Internally-<br>Generated Missiles (Outside<br>Containment)       | II.2 | Acceptable Methods of Providing<br>Missile Protection   | Conforms              | None.  | 3.5.1   |
| SRP 3.5.1.2, Rev. 3: Internally<br>Generated Missiles (Inside<br>Containment)         | II.1 | Statistical Significance of an<br>Identified Missile by Probability<br>Analysis   | Conforms              | None.  | 3.5.1   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC            | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|---|---------------|--|-----------------------|--|----------------|
| SRP 3.5.1.2, Rev. 3: Internally<br>Generated Missiles (Inside<br>Containment) | II.2          | Acceptable Methods of Providing<br>Missile Protection  | Conforms              | None.  | 3.5.1          |
| DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                                     | II.1          | Probability of Unacceptable Damage<br>From Turbine Missiles  | Not Applicable        | COL applicant to verify that TG missile generation is less than 1.0E-05.                 | Not Applicable |
| DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                                     | II.2          | Turbine Missile Generation   | Not Applicable        | The NuScale design assumes no turbine missile is generated.                              | Not Applicable |
| DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                                     | II.3          | Acceptably Low Missile Generation<br>Probability   | Not Applicable        | The NuScale design assumes no turbine missile is generated.                              | Not Applicable |
| DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                                     | II.4          | Missile Generation Probability Tables<br>From Turbine Manufacturers<br>(Including Table 3.5.1.3-1)   | Not Applicable        | The NuScale design assumes no turbine missile is generated.                              | Not Applicable |
| DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                                     | 11.5          | Inservice Inspection and Test Program for Applicants Obtaining Turbine From Manufacturers without NRC-Approved Procedures for Calculating Missile Generation Probabilities | Not Applicable        | COL applicant to verify that TG missile generation is less than 1.0E-05.                 | Not Applicable |
| DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                                     | II.6          | Protective Barriers  | Not Applicable        | COL applicant to verify that TG missile generation is less than 1.0E-05.                 | Not Applicable |
| SRP 3.5.1.4, Rev. 4: Missiles<br>Generated by Extreme Winds                   | II.1          | Design Basis Tornado-Generated<br>Missile Spectrum   | Conforms              | The NuScale design also includes RG 1.221 for Design Basis Hurricane-Generated Missiles. | 3.5.1.4        |
| SRP 3.5.1.4, Rev. 4: Missiles<br>Generated by Extreme Winds                   | II.2          | Statistical Significance of an<br>Identified Missile by Probability  | Conforms              | None.  | 3.5.1.4        |
| SRP 3.5.1.4, Rev. 4: Missiles<br>Generated by Extreme Winds                   | II.3          | Identifying Appropriate Design Basis<br>Missiles Generated by Natural<br>Phenomena   | Conforms              | None.  | 3.5.1.4        |
| SRP 3.5.1.5, Rev 4: Site<br>Proximity Missiles (Except<br>Aircraft)           | II.1          | Compliance with 10 CFR 100   | Not Applicable        | The NuScale design assumes no proximity missiles.  | Not Applicable |
| SRP 3.5.1.5, Rev 4: Site<br>Proximity Missiles (Except<br>Aircraft)           | II.2          | Compliance with GDC 4  | Not Applicable        | The NuScale design assumes no proximity missiles.  | Not Applicable |
| SRP 3.5.1.6, Rev 4: Aircraft<br>Hazards                                       | II.1 and II.2 | Various  | Not Applicable        | The NuScale design assumes no aircraft hazard missiles.                                  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC        | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|-----------|--|-----------------------|---|----------------|
| SRP 3.5.1.6, Rev 4: Aircraft<br>Hazards   | III.8.B.1 | Postulated Site Parameters   | Conforms              | The NuScale design assumes no aircraft hazard missiles.   | Table 2.0-1    |
| SRP 3.5.1.6, Rev 4: Aircraft<br>Hazards   | III.8.B.2 | Site Parameters Included as Tier 1<br>Information  | Conforms              | The NuScale design assumes no aircraft hazard missiles.   | Table 2.0-1    |
| SRP 3.5.1.6, Rev 4: Aircraft<br>Hazards   | III.8.B.3 | Site Parameters Summary Table  | Conforms              | The NuScale design assumes no aircraft hazard missiles.   | Table 2.0-1    |
| SRP 3.5.1.6, Rev 4: Aircraft<br>Hazards   | III.8.B.4 | Basis for Site Parameters  | Conforms              | The NuScale design assumes no aircraft hazard missiles.   | Table 2.0-1    |
| SRP 3.5.2, Rev 3: Structures,<br>Systems, and Components to<br>be Protected From Externally-<br>Generated Missiles                |           | Capability of SSC to Withstand the<br>Effects of Externally Generated<br>Missiles            | Conforms              | None.   | 3.5.2          |
| SRP 3.5.3, Rev. 3: Barrier<br>Design Procedures   | II.1.A    | For Local Damage Prediction -<br>Concrete  | Conforms              | None.   | 3.5.3          |
| SRP 3.5.3, Rev. 3: Barrier<br>Design Procedures   | II.1.B    | For Local Damage Prediction - Steel  | Conforms              | None.   | 3.5.3          |
| SRP 3.5.3, Rev. 3: Barrier<br>Design Procedures   | II.1.C    | For Local Damage Prediction -<br>Composite sections  | Not Applicable        | This acceptance criterion specifies provisions when using composite or multi-element barriers. NuScale does not intend to use composite or multi-element barriers.    | Not Applicable |
| SRP 3.5.3, Rev. 3: Barrier<br>Design Procedures   | II.2      | For Overall Damage Prediction  | Partially Conforms    | This acceptance criterion is applicable except for reference to subtier ANSI/AISC N690-1994 with Supplement 2 (2004). NuScale uses the 2012 version of this standard. | 3.5.3          |
| SRP 3.6.1, Rev 3: Plant Design<br>for Protection Against<br>Postulated Piping Failures in<br>Fluid Systems Outside<br>Containment | II.1      | Separation of High and Moderate<br>Energy Fluid Systems From Essential<br>Systems/Components | Conforms              | None.   | 3.6.1          |
| SRP 3.6.1, Rev 3: Plant Design<br>for Protection Against<br>Postulated Piping Failures in<br>Fluid Systems Outside<br>Containment | II.2      | High and Moderate Energy Fluid<br>Systems Are Enclosed                                       | Conforms              | None.   | 3.6.1          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:   | AC    | AC Title/Description  | Conformance | Comments | Section |
|---|-------|---|-------------|----------|---------|
| Title   |       |   | Status      |          |         |
| SRP 3.6.1, Rev 3: Plant Design<br>for Protection Against<br>Postulated Piping Failures in<br>Fluid Systems Outside<br>Containment | II.3  | Cases Where Neither Physical<br>Separation Nor Protective Enclosures<br>Are Practical | Conforms    | None.    | 3.6.1   |
| SRP 3.6.1, Rev 3: Plant Design<br>for Protection Against<br>Postulated Piping Failures in<br>Fluid Systems Outside<br>Containment | II.4  | Design Features   | Conforms    | None.    | 3.6.1   |
| SRP 3.6.1, Rev 3: Plant Design<br>for Protection Against<br>Postulated Piping Failures in<br>Fluid Systems Outside<br>Containment | II.5  | Effects of Postulated Failures  | Conforms    | None.    | 3.6.1   |
| SRP 3.6.2, Rev 2: Determination of Rupture Locations and Dynamic Effects Associated with the Postulated Rupture of Piping         | II.1  | Postulated Pipe Rupture Locations<br>Inside Containment                               | Conforms    | None.    | 3.6.2   |
| SRP 3.6.2, Rev 2: Determination of Rupture Locations and Dynamic Effects Associated with the Postulated Rupture of Piping         | II.2  | Postulated Pipe Rupture Locations<br>Outside Containment                              | Conforms    | None.    | 3.6.2   |
| SRP 3.6.2, Rev 2: Determination of Rupture Locations and Dynamic Effects Associated with the Postulated Rupture of Piping         | II.3  | Methods of Analysis   | Conforms    | None.    | 3.6.2   |
| SRP 3.6.2, Rev 2: Determination of Rupture Locations and Dynamic Effects Associated with the Postulated Rupture of Piping         | III.1 | Pipe Break Criteria   | Conforms    | None.    | 3.6.2   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:   | AC    | AC Title/Description   | Conformance        | Comments   | Section |
|---|-------|--|--------------------|--|---------|
| Title   |       |  | Status             |  |         |
| SRP 3.6.2, Rev 2: Determination of Rupture Locations and Dynamic Effects Associated with the Postulated Rupture of Piping | III.2 | Dynamic Effects  | Conforms           | None.  | 3.6.2   |
| SRP 3.6.2, Rev 2: Determination of Rupture Locations and Dynamic Effects Associated with the Postulated Rupture of Piping | III.3 | Assumptions for Modeling Jet<br>Impingement Forces                                 | Partially Conforms | Jets are excluded by the use of an integrated shield/restraint device. | 3.6.2   |
| SRP 3.6.2, Rev 2: Determination of Rupture Locations and Dynamic Effects Associated with the Postulated Rupture of Piping | III.4 | Analyses of Pipe Break Dynamic<br>Effects on Mechanical Components<br>and Supports | Conforms           | Jets are excluded by the use of an integrated shield/restraint device. | 3.6.2   |
| SRP 3.6.3, Rev 1: Leak-Before-<br>Break Evaluation Procedures   | II.1  | Compliance with GDC 4  | Conforms           | None.  | 3.6.3   |
| SRP 3.6.3, Rev 1: Leak-Before-<br>Break Evaluation Procedures   | II.2  | Low Probability of Pipe Rupture  | Conforms           | None.  | 3.6.3   |
| DSRS 3.7.1, Rev. 0: Seismic<br>Design Parameters  | II.1  | Design Ground Motion   | Conforms           | None.  | 3.7.1   |
| DSRS 3.7.1, Rev. 0: Seismic<br>Design Parameters  | II.2  | Percentage of Critical Damping<br>Values   | Conforms           | None.  | 3.7.1   |
| DSRS 3.7.1, Rev. 0: Seismic<br>Design Parameters  | II.3  | Supporting Media for Seismic<br>Category I Structures                              | Conforms           | None.  | 3.7.1   |
| DSRS 3.7.1, Rev. 0: Seismic<br>Design Parameters  | 11.4  | Review Considerations for DC and COL Applications                                  | Conforms           | None.  | 3.7.1   |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis   | II.1  | Seismic Analysis Methods   | Conforms           | None.  | 3.7.2   |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis   | II.2  | Natural Frequencies and Responses  | Conforms           | None.  | 3.7.2   |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis   | II.3  | Procedures Used for Analytical<br>Modeling   | Conforms           | None.  | 3.7.2   |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis   | II.4  | Soil-Structure Interaction   | Conforms           | None.  | 3.7.2   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                | AC    | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|-------|--|-----------------------|---|----------------|
| DSRS 3.7.2, Rev 0: Seismic                        | II.5  | Development of In-Structure  | Conforms              | None.   | 3.7.2          |
| System Analysis                                   |       | Response Spectra   |                       |   |                |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis     | II.6  | Three Components of Design Ground Motion   | Conforms              | None.   | 3.7.2          |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis     | II.7  | Combination of Modal Responses   | Conforms              | None.   | 3.7.2          |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis     | II.8  | Interaction of Non-Seismic Category I<br>Structures with Seismic Category I<br>SSCs  | Conforms              | None.   | 3.7.2          |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis     | II.9  | Effects of Parameter Variations on Floor Response Spectra  | Conforms              | None.   | 3.7.2          |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis     | II.10 | Use of Equivalent Vertical Static<br>Factors   | Conforms              | None.   | 3.7.2          |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis     | II.11 | Methods Used to Account for<br>Torsional Effects   | Conforms              | None.   | 3.7.2          |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis     | II.12 | Comparison of Responses  | Not Applicable        | NuScale will not be performing both time history analysis and response spectrum analysis in its analysis of structures. | Not Applicable |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis     | II.13 | Analysis Procedure for Damping   | Conforms              | None.   | 3.7.1<br>3.7.2 |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis     | II.14 | Determination of Overturning<br>Moments and Sliding Forces,<br>Structure to Soil Pressures and<br>Frictional Forces for Seismic Category<br>I Structures | Conforms              | None.   | 3.7.2          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.1  | Seismic Analysis Methods   | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.2  | Determination of Number of<br>Earthquake Cycles  | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.3  | Procedures Used for Analytical<br>Modeling   | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.4  | Basis for Selection of Frequencies   | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.5  | Analysis Procedure for Damping   | Conforms              | None.   | 3.7.3          |

| SRP or DSRS Section, Rev:<br>Title                | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|-------|---|-----------------------|---|----------------|
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.6  | Three Components of Design Ground Motion  | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.7  | Combination of Modal Responses  | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.8  | Interaction of Non-Seismic Category I<br>Subsystems with Seismic Category I<br>SSCs | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.9  | Multiply-Supported Equipment and Components with Distinct Inputs                    | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.10 | Use of Equivalent Vertical Static<br>Factors  | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.11 | Torsional Effects of Eccentric Masses   | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.12 | Seismic Category I Buried Piping,<br>Conduits, and Tunnels                          | Conforms              | None.   | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.13 | Methods for Seismic Analysis of<br>Seismic Category I Concrete Dams                 | Not Applicable        | The NuScale design does not use dams.   | Not Applicable |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.14 | Methods for Seismic Analysis of<br>Above-Ground Tanks                               | Conforms              | None.   | 3.7.3          |
| SRP 3.7.4, Rev 2: Seismic<br>Instrumentation      | II.1  | Comparison with RG 1.12   | Partially Conforms    | There is a COL item to comply. Locations are identified in conformance with RG 1.12, however seismic instrumentation cannot be placed inside containment. | 3.7.4          |
| SRP 3.7.4, Rev 2: Seismic<br>Instrumentation      | II.2  | Comparison with RG 1.166  | Not Applicable        | See RG 1.166 in Table 1.9-2.  | Not Applicable |
| SRP 3.7.4, Rev 2: Seismic<br>Instrumentation      | II.3  | Comparison with the requirements of 10 CFR 20.1101 (ALARA)                          | Not Applicable        | Identified as an expectation for COL applicants.  | Not Applicable |
| SRP 3.8.1, Rev 4: Concrete<br>Containment         | All   | Various   | Not Applicable        | The NuScale design does not have a concrete containment.  | Not Applicable |
| DSRS 3.8.2, Rev. 0: Steel<br>Containment          | II.1  | Description of the Containment  | Conforms              | None.   | 3.8.2          |
| DSRS 3.8.2, Rev. 0: Steel<br>Containment          | II.2  | Applicable Codes, Standards, and Specifications                                     | Conforms              | None.   | 3.8.2          |
| DSRS 3.8.2, Rev. 0: Steel<br>Containment          | II.3  | Loads and Loading Combinations  | Conforms              | None.   | 3.8.2          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title | AC     | AC Title/Description                    | Conformance<br>Status | Comments                                  | Section        |
|------------------------------------|--------|---|-----------------------|---|----------------|
| DSRS 3.8.2, Rev. 0: Steel          | II.4   | Design and Analysis Procedures          | Conforms              | None.                                     | 3.8.2          |
| Containment                        |        |   |                       |   |                |
| DSRS 3.8.2, Rev. 0: Steel          | II.5   | Structural Acceptance Criteria          | Conforms              | None.                                     | 3.8.2          |
| Containment                        |        |   |                       |   |                |
| DSRS 3.8.2, Rev. 0: Steel          | II.6   | Materials, Quality Control, and Special | Conforms              | None.                                     | 3.8.2          |
| Containment                        |        | Construction Techniques                 |                       |   |                |
| DSRS 3.8.2, Rev. 0: Steel          | II.7   | Testing and Inservice Surveillance      | Conforms              | None.                                     | 3.8.2          |
| Containment                        |        | Requirements                            |                       |   |                |
| SRP 3.8.3, Rev 4: Concrete and     | All    | Various                                 | Not Applicable        | The NuScale containment does not have     | Not Applicable |
| Steel Internal Structures of       |        |   |                       | internal structures.                      |                |
| Steel or Concrete                  |        |   |                       |   |                |
| Containments                       |        |   |                       |   |                |
| 1 '                                | II.1   | Description of the Structures           | Conforms              | None.                                     | 3.8.4          |
| Seismic Category I Structures      |        |   |                       |   |                |
| 1 '                                | II.2   | Applicable Codes, Standards, and        | Conforms              | None.                                     | 3.8.4          |
| Seismic Category I Structures      |        | Specifications                          |                       |   |                |
| DSRS 3.8.4, Rev. 0, Other          | II.3   | Loads and Load Combinations             | Conforms              | None.                                     | 3.8.4          |
| Seismic Category I Structures      |        |   |                       |   |                |
| DSRS 3.8.4, Rev. 0, Other          | II.3.A | Concrete Structures                     | Conforms              | None.                                     | 3.8.4          |
| Seismic Category I Structures      |        |   |                       |   |                |
| DSRS 3.8.4, Rev. 0, Other          | II.3.B | Steel Structures                        | Conforms              | None.                                     | 3.8.4          |
| Seismic Category I Structures      |        |   |                       |   |                |
| DSRS 3.8.4, Rev. 0, Other          | II.4   | Design and Analysis Procedures          | Conforms              | None.                                     | 3.8.4          |
| Seismic Category I Structures      |        |   |                       |   |                |
| DSRS 3.8.4, Rev. 0, Other          | II.5   | Structural Acceptance Criteria          | Conforms              | None.                                     | 3.8.4          |
| Seismic Category I Structures      |        |   |                       |   |                |
| DSRS 3.8.4, Rev. 0, Other          | II.6   | Materials, Quality Control, and Special | Conforms              | None.                                     | 3.8.4          |
| Seismic Category I Structures      |        | Construction Techniques                 |                       |   |                |
| DSRS 3.8.4, Rev. 0, Other          | II.7   | Testing and Inservice Surveillance      | Conforms              | None.                                     | 3.8.4          |
| Seismic Category I Structures      |        | Requirements                            |                       |   |                |
| DSRS 3.8.4, Rev. 0, Other          | II.8   | Masonry Walls                           | Not Applicable        | Masonry walls are not used in the NuScale | Not Applicable |
| Seismic Category I Structures      |        |   |                       | design.                                   |                |
| DSRS 3.8.5, Rev. 0:                | II.1   | Description of the Foundation           | Conforms              | None.                                     | 3.8.5          |
| Foundations                        |        |   |                       |   |                |
| DSRS 3.8.5, Rev. 0:                | II.2   | Applicable Codes, Standards, and        | Conforms              | None.                                     | 3.8.5          |
| Foundations                        |        | Specifications                          |                       |   |                |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:  | AC   | AC Title/Description   | Conformance        | Comments  | Section        |
|--|------|--|--------------------|---|----------------|
| Title  |      |  | Status             |   |                |
| DSRS 3.8.5, Rev. 0:<br>Foundations   | II.3 | Loads and Load Combinations  | Conforms           | None.   | 3.8.5          |
| DSRS 3.8.5, Rev. 0:<br>Foundations   | 11.4 | Design and Analysis Procedures   | Conforms           | None.   | 3.8.5          |
| DSRS 3.8.5, Rev. 0:<br>Foundations   | II.5 | Structural Acceptance Criteria   | Conforms           | None.   | 3.8.5          |
| DSRS 3.8.5, Rev. 0:<br>Foundations   | II.6 | Materials, Quality Control, and Special Construction Techniques                              | Conforms           | None.   | 3.8.5          |
| DSRS 3.8.5, Rev. 0:<br>Foundations   | II.7 | Testing and Inservice Surveillance<br>Requirements   | Conforms           | None.   | 3.8.5          |
| SRP 3.9.1, Rev 3: Special<br>Topics for Mechanical<br>Components                               | II.1 | Specification of Transients  | Conforms           | None.   | 3.9.1          |
| SRP 3.9.1, Rev 3: Special<br>Topics for Mechanical<br>Components                               | II.2 | Computer Programs to be Used in<br>Dynamic and Static Analyses                               | Conforms           | None.   | 3.9.1          |
| SRP 3.9.1, Rev 3: Special<br>Topics for Mechanical<br>Components                               | II.3 | Use of Experimental Stress Analysis<br>Methods in Lieu of Analytical<br>Methods              | Not Applicable     | Experimental Stress Analysis Method is not used.  | Not Applicable |
| SRP 3.9.1, Rev 3: Special<br>Topics for Mechanical<br>Components                               | 11.4 | When Service Level D Limits are<br>Specified for Code Class 1 and Core<br>Support Components | Conforms           | None.   | 3.9.1          |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components | II.1 | Vibration, Thermal Expansion, and<br>Dynamic Effects Testing                                 | Partially Conforms | This acceptance criterion is applicable except for aspects related to test performance and associated corrective actions (as required), which are the responsibility of the COL applicant referencing the certified design. | 3.9.2          |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components | II.2 | Compliance with GDC 2  | Conforms           | None.   | 3.9.2          |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components | II.3 | Analytical Solutions to Predict<br>Vibrations of Reactor Internals for<br>Prototype Plants   | Conforms           | None.   | 3.9.2          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:   | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|------|--|-----------------------|---|----------------|
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components                        | II.4 | Preoperational Vibration and Stress<br>Test Program                              | Conforms              | None.   | 3.9.2          |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components                        | 11.5 | Structural Design Adequacy of<br>Reactor Internals and Reactor Coolant<br>Piping | Conforms              | None.   | 3.9.2          |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components                        | II.6 | Correlation of Tests and Analyses of<br>Reactor Internals                        | Conforms              | None.   | 3.9.2          |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components                        | II.7 | Test Specifications for New<br>Applications                                      | Conforms              | None.   | 3.9.2          |
| SRP 3.9.3, Rev 3: ASME Code<br>Class 1, 2, and 3 Components<br>and Component Supports,<br>and Core Support Structures | II.1 | Loading Combinations, System<br>Operating Transients, and Stress<br>Limits       | Conforms              | None.   | 3.9.3          |
| Class 1, 2, and 3 Components<br>and Component Supports,<br>and Core Support Structures                                | II.2 | Design and Installation of Pressure<br>Relief Devices                            | Conforms              | None.   | 3.9.3          |
| SRP 3.9.3, Rev 3: ASME Code<br>Class 1, 2, and 3 Components<br>and Component Supports,<br>and Core Support Structures | II.3 | Component Supports   | Not Applicable        | NRC Bulletin 88-11 applies to PWR designs that incorporate a pressurizer separate from the reactor pressure vessel, with a surge line connecting the two. In the NuScale design, the pressurizer is integral (i.e., is located within) to the reactor pressure vessel: there is no pressurizer surge line within which thermal stratification (that is the issue of this bulletin) would occur. | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                     | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section        |
|--|------|---|-----------------------|--|----------------|
| SRP 3.9.4, Rev 3: Control Rod<br>Drive Systems         | II.1 | Adequacy of Descriptive Information   | Conforms              | This acceptance criterion is applicable (seismic design per RG 1.29) but contains a typographical error. The wording is confusing because it mixes an SRP section reference with a RG. | 3.9.4          |
| SRP 3.9.4, Rev 3: Control Rod<br>Drive Systems         | II.2 | Codes and Standards for Construction  | Conforms              | None.  | 3.9.4          |
| SRP 3.9.4, Rev 3: Control Rod<br>Drive Systems         | II.3 | Load Combination Sets for Design<br>and Service Conditions Defined in<br>ASME Code Section III, NB-3113                       | Conforms              | None.  | 3.9.4          |
| SRP 3.9.4, Rev 3: Control Rod<br>Drive Systems         | 11.4 | Operability Assurance Program   | Conforms              | None.  | 3.9.4          |
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals | II.1 | Loads, Loading Combinations, and<br>Limits for Portions Constructed to<br>ASME Code Section NG                                | Conforms              | None.  | 3.9.3<br>3.9.5 |
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals | II.2 | Design and Construction of Core<br>Support Structures   | Conforms              | None.  | 3.9.3<br>3.9.5 |
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals | II.3 | Design Criteria, Loading Conditions,<br>and Analyses for Design of Reactor<br>Internals Other Than Core Support<br>Structures | Conforms              | None.  | 3.9.2          |
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals | II.4 | Deformation Limits for Reactor<br>Internals   | Conforms              | None.  | 3.9.5          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section |
|--|------|--|-----------------------|--|---------|
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals   | II.5 | Design of Reactor Internals to Accommodate Asymmetric Blowdown Loads From Postulated Pipe Ruptures | Partially Conforms    | The intent of subtier NUREG-0609 is applicable but the language refers to a different type of LWR and SSC conditions not relevant to the NuScale design. Specifically, this guidance provides methodology for evaluation of loading transients and structural components, including containment subcompartment analysis, when a double-ended guillotine break of reactor coolant loop piping occurs at the reactor vessel inlet. The NuScale containment vessel design does not have subcompartments. In addition, the NuScale design does not have reactor coolant loops. Notwithstanding the above, this guidance is applicable to the evaluation of loading transients and structural components for postulated breaks of chemical and volume control system (CVCS) piping and piping at the reactor vent valves. | 3.9.5   |
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals   | II.6 | Effects of Flow-Induced Vibration and<br>Acoustic Resonances (Including<br>Appendix A)             | Partially Conforms    | This acceptance criterion (including Appendix A) is applicable except for aspects that are BWR-specific.   | 3.9.5   |
| SRP 3.9.6, Rev 3: Functional<br>Design, Qualification, and<br>Inservice Testing Programs<br>for Pumps, Valves, and<br>Dynamic Restraints | II.1 | Functional Design and Qualification of Pumps, Valves, and Dynamic Restraints                       | Partially Conforms    | This acceptance criterion is applicable except for aspects related to functional design, qualification, and testing of safety-related pumps. Safety-related pumps are not used in the NuScale design.  | 3.9.6   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description                                | Conformance<br>Status | Comments  | Section        |
|--|------|---|-----------------------|---|----------------|
| SRP 3.9.6, Rev 3: Functional<br>Design, Qualification, and<br>Inservice Testing Programs<br>for Pumps, Valves, and<br>Dynamic Restraints | II.2 | Inservice Testing Program for Pumps                 | Partially Conforms    | This acceptance criterion is applicable except for aspects related to inservice testing of safety-related pumps. Safety-related pumps are not used in the NuScale design. The only pumps that fall within the scope of this criterion in the NuScale design are the CVCS pumps. These pumps are ASME Class III because they contain reactor coolant during normal operation, but they serve no safety function. | 3.9.6          |
| SRP 3.9.6, Rev 3: Functional<br>Design, Qualification, and<br>Inservice Testing Programs<br>for Pumps, Valves, and<br>Dynamic Restraints | II.3 | Inservice Testing Program for Valves                | Partially Conforms    | Refer to Section 3.9.6.3.2 for valve testing and Section 3.9.6.6 for augmented valves testing program.  | 3.9.6          |
| SRP 3.9.6, Rev 3: Functional<br>Design, Qualification, and<br>Inservice Testing Programs<br>for Pumps, Valves, and<br>Dynamic Restraints | II.4 | Inservice Testing Program for<br>Dynamic Restraints | Not Applicable        | The NuScale Power Plant does not have pumps or dynamic restraints that perform a specific function identified in the ASME OM Code Subsection ISTA-1100.   | Not Applicable |
| SRP 3.9.6, Rev 3: Functional<br>Design, Qualification, and<br>Inservice Testing Programs<br>for Pumps, Valves, and<br>Dynamic Restraints | II.5 | Relief Requests and Proposed<br>Alternatives        | Conforms              | Refer to Section 3.9.6.5 for relief requests and alternative authorizations to the code.  | 3.9.6          |
| SRP 3.9.6, Rev 3: Functional<br>Design, Qualification, and<br>Inservice Testing Programs<br>for Pumps, Valves, and<br>Dynamic Restraints | II.6 | Operational Programs                                | Not Applicable        | This acceptance criterion is related to operational activities, including implementation of pre-service testing, inservice testing and inspection, and motoroperated valve testing programs, that are the responsibility of the COL applicant referencing the certified design.   | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|--|------|---|-----------------------|---|----------------|
| SRP 3.9.7, Rev 0: Risk-<br>Informed Inservice Testing  | All  | Various   | Not Applicable        | This SRP section and its acceptance criteria are applicable only to reactor licensees and applicants that are developing or revising a risk-informed, performance-based inservice testing program. Development and implementation of a risk-informed, performance-based inservice testing program is the responsibility of COL applicants that reference the NuScale certified design and that elect to implement such a program.             | Not Applicable |
| SRP 3.9.8, Rev 0: Standard<br>Review Plan for the Review of<br>Risk-Informed Inservice<br>Inspection of Piping | All  | Various   | Not Applicable        | This SRP section and its acceptance criteria are applicable only to reactor licensees and applicants that are developing or revising a risk-informed, performance-based inservice inspection program for piping.  Development and implementation of a risk-informed, inservice inspection program for piping is the responsibility of COL applicants that reference the NuScale certified design, and that elect to implement such a program. | Not Applicable |
| RP 3.10, Rev 3: Seismic and<br>Dynamic Qualification of<br>Mechanical and Electrical<br>Equipment              | II.1 | Qualification of Electrical Equipment and Associated Supports | Conforms              | None.   | 3.10           |
| SRP 3.10, Rev 3: Seismic and<br>Dynamic Qualification of<br>Mechanical and Electrical<br>Equipment             | II.2 | Testing of Instrumentation Described in RG 1.97               | Partially Conforms    | See RG 1.97 in Table 1.9-2  | 3.11           |
| RP 3.10, Rev 3: Seismic and<br>Dynamic Qualification of<br>Mechanical and Electrical<br>Equipment              | II.3 | Experience-Based Qualification                                | Not Applicable        | Experience based seismic qualification is not used.   | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section |
|--|------|---|-----------------------|---|---------|
| SRP 3.10, Rev 3: Seismic and<br>Dynamic Qualification of<br>Mechanical and Electrical<br>Equipment | 11.4 | Records   | Conforms              | The NuScale design indicates that a Records program is required and includes a COL item to maintain one.  | 3.10    |
| SRP 3.10, Rev 3: Seismic and<br>Dynamic Qualification of<br>Mechanical and Electrical<br>Equipment | 11.5 | Qualification Program for Valves that<br>are Part of the Reactor Coolant<br>Pressure Boundary                   | Conforms              | None.   | 3.10    |
| SRP 3.10, Rev 3: Seismic and<br>Dynamic Qualification of<br>Mechanical and Electrical<br>Equipment | II.6 | Documentation of Qualification<br>Program   | Conforms              | None.   | 3.10    |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment     | II.1 | Application of RG 1.89 for<br>Environmental Qualification Program<br>per 10 CFR 50.49                           | Partially Conforms    | See RG 1.89 in Table 1.9-2.   | 3.11    |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment     | II.2 | Application of Clarification Related to IEEE Std. 323 Criteria  | Conforms              | None.   | 3.11    |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment     | II.3 | Application of RG 1.63 for<br>Environmental Design and<br>Qualification of Electrical Penetration<br>Assemblies | Conforms              | The portion of the guidance that endorses IEEE 317-1983 is applicable. See RG 1.63 entry in Table 1.9-2 with respect to the other aspects of RG 1.63. | 3.11.2  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment     | 11.4 | Application of RG 1.73 for<br>Environmental Design and<br>Qualification of Class 1E Electric Valve<br>Operators | Conforms              | None.   | 3.11.2  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment     | II.5 | Application of RG 1.89 for<br>Environmental Qualification of<br>Electrical Equipment Important to<br>Safety     | Partially Conforms    | See RG 1.89 in Table 1.9-2.   | 3.11.2  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment     | II.6 | Application of RG 1.97 for<br>Environmental Design and<br>Qualification of PostAccident<br>Monitoring Equipment | Partially Conforms    | See RG 1.97 in Table 1.9-2.   | 3.11.2  |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:  | AC    | AC Title/Description   | Conformance        | Comments                     | Section        |
|--|-------|--|--------------------|------------------------------|----------------|
| Title  |       |  | Status             |                              |                |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.7  | Application of RG 1.152 for<br>Environmental design and<br>qualification of computer-specific<br>requirements  | Conforms           | None.                        | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.8  | Application of RG 1.153 for<br>Environmental design and<br>qualification of power,<br>instrumentation, and control portions<br>of the safety systems | Conforms           | None.                        | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.9  | Application of RG 1.209 for<br>Environmental design and<br>qualification of safety-related<br>computer-based I&C systems in mild<br>environments     | Conforms           | None.                        | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.10 | Application of RG 1.211 for<br>Environmental Qualification of Class<br>1E Electric Cables and Field Splices  | Conforms           | None.                        | 3.11.2         |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.11 | Application of RG 1.156 for<br>Environmental Qualification of Class<br>1E Connection Assemblies  | Conforms           | None.                        | 3.11.2         |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.12 | Application of RG 1.158 for<br>Environmental Qualification of Class<br>1E Lead Storage Batteries   | Not Applicable     | See RG 1.158 in Table 1.9-2. | Not Applicable |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.13 | Application of RG 1.180 for<br>Electromagnetic and Radio-<br>Frequency Interference in Safety<br>Related I&C Equipment                               | Partially Conforms | See RG 1.180 in Table 1.9-2. | 3.11.2         |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.14 | Application of RG 1.183 for Accident<br>Source Term Used in Environmental<br>Design and Qualification of<br>Equipment Important to Safety            | Partially Conforms | See RG 1.183 in Table 1.9-2. | 3.11.2         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|--|-------|---|-----------------------|---|----------------|
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.15 | Application of RG 1.100 for Seismic<br>Qualification of Electrical and Active<br>Mechanical Equipment and<br>Functional Qualification of Active<br>Mechanical Equipment for Nuclear<br>Power Plants | Partially Conforms    | See RG 1.100 in Table 1.9-2.  | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.16 | Application of RG 1.204 for Environmental design and qualification of the lightning protection system   | Not Applicable        | Lightning protection is not applicable to EQ because it is associated with an external/natural event. | Not Applicable |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.17 | Effects of Environmental Conditions for All Important to Safety Equipment   | Conforms              | None.   | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.18 | Suitability of Materials, Parts, and<br>Equipment Essential to Safety-<br>Related Functions   | Conforms              | None.   | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.19 | Qualification of Nonmetallic Parts  | Conforms              | None.   | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.20 | Design/Purchase Specifications of<br>Equipment to Perform Under<br>Applicable Environmental Conditions  | Conforms              | None.   | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.21 | Applicable documentation for<br>Environmental Design and<br>Qualification of Safety-Related<br>Mechanical, Electrical, and I&C<br>Equipment   | Conforms              | None.   | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.22 | Maintenance/surveillance programs<br>to provide assurance Assurance of<br>Environmental Design and<br>Qualification Status of Equipment in<br>Mild and Harsh Environments                           | Not Applicable        | The programs are described and maintained by the COL applicant.                                       | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description  | Conformance<br>Status | Comments                      | Section        |
|--|-------|---|-----------------------|-------------------------------|----------------|
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment                           | II.23 | Operational Program<br>Implementation                                   | Not Applicable        | This is a COL applicant item. | Not Applicable |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment                           | II.24 | Exposure of Organic Components on<br>Engineered Safety Features Systems | Conforms              | None.                         | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment                           | II.25 | Design and Procurement<br>Specifications                                | Not Applicable        | This is a COL applicant item. | Not Applicable |
| SRP 3.12, Rev 1: ASME Code<br>Class 1, 2, and 3 Piping<br>Systems, Piping Components<br>and Their Associated<br>Supports | II.A  | Piping Analysis Methods   | Conforms              | None.                         | 3.12.3         |
| SRP 3.12, Rev 1: ASME Code<br>Class 1, 2, and 3 Piping<br>Systems, Piping Components<br>and Their Associated<br>Supports | II.B  | Piping Modeling Techniques  | Conforms              | None.                         | 3.12.4         |
| SRP 3.12, Rev 1: ASME Code<br>Class 1, 2, and 3 Piping<br>Systems, Piping Components<br>and Their Associated<br>Supports | II.C  | Piping Stress Analysis Criteria   | Conforms              | None.                         | 3.12.5         |
| SRP 3.12, Rev 1: ASME Code<br>Class 1, 2, and 3 Piping<br>Systems, Piping Components<br>and Their Associated<br>Supports | II.D  | Piping Support Design   | Conforms              | None.                         | 3.12.6         |
| SRP 3.13, Rev. 0: Threaded<br>Fasteners - ASME Code Class<br>1, 2, and 3   | II.1  | Design Aspects (Including Table 3.13-1)                                 | Conforms              | None.                         | 3.13.1         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section                             |
|--|------|--|-----------------------|---|-------------------------------------|
| SRP 3.13, Rev. 0: Threaded<br>Fasteners - ASME Code Class<br>1, 2, and 3   | II.2 | Preservice and Inservice Inspection<br>Requirements (Including Table 3.13-<br>2) | Conforms              | None.   | 3.13.2                              |
| BTP 3-1, Rev 2: Classification<br>of Main Steam Components<br>Other Than the Reactor<br>Coolant Pressure Boundary<br>for BWR Plants      | All  |  | Not Applicable        | This guidance is applicable only to BWR plants. | Not Applicable                      |
| BTP 3-2, Rev 2: Classification<br>of BWR/6 Main Steam and<br>Feedwater Components<br>Other Than the Reactor<br>Coolant Pressure Boundary | All  |  | Not Applicable        | This guidance is applicable only to BWR plants. | Not Applicable                      |
| BTP 3-3, Rev 3: Protection<br>Against Postulated Piping<br>Failures in Fluid Systems<br>Outside Containment                              | B.1  | Plant Arrangement  | Conforms              | None.   | 3.6                                 |
| BTP 3-3, Rev 3: Protection<br>Against Postulated Piping<br>Failures in Fluid Systems<br>Outside Containment                              | B.2  | Design Features  | Conforms              | None.   | 3.6                                 |
| BTP 3-3, Rev 3: Protection<br>Against Postulated Piping<br>Failures in Fluid Systems<br>Outside Containment                              | B.3  | Analyses and Effects of Postulated<br>Piping Failures                            | Conforms              | None.   | 3.6                                 |
| BTP 3-3, Rev 3: Protection<br>Against Postulated Piping<br>Failures in Fluid Systems<br>Outside Containment                              | B.4  | Implementation   | Conforms              | None.   | 3.6                                 |
| BTP 3-4, Rev 2: Postulated<br>Rupture Locations in Fluid<br>System Piping Inside and<br>Outside Containment                              | B.A  | High-Energy Fluid System Piping  | Conforms              | None.   | 3.6<br>15.1<br>15.2<br>15.5<br>15.6 |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title    | AC     | AC Title/Description                             | Conformance<br>Status | Comments | Section |
|---------------------------------------|--------|--|-----------------------|----------|---------|
| BTP 3-4, Rev 2: Postulated            | B.B    | Moderate-Energy Fluid System Piping              | Conforms              | None.    | 3.6     |
| Rupture Locations in Fluid            |        |  |                       |          | 15.1    |
| System Piping Inside and              |        |  |                       |          | 15.2    |
| Outside Containment                   |        |  |                       |          | 15.5    |
|                                       |        |  |                       |          | 15.6    |
| BTP 3-4, Rev 2: Postulated            | B.C    | Type of Breaks and Leakage Cracks in             | Conforms              | None.    | 3.6     |
| Rupture Locations in Fluid            |        | Fluid System Piping                              |                       |          | 15.1    |
| System Piping Inside and              |        |  |                       |          | 15.2    |
| Outside Containment                   |        |  |                       |          | 15.5    |
|                                       |        |  |                       |          | 15.6    |
| SRP 4.2, Rev 3: Fuel System<br>Design | II.1   | Design Bases                                     | Conforms              | None.    | 4.2.1   |
| SRP 4.2, Rev 3: Fuel System<br>Design | II.1.A | Fuel System Damage                               | Conforms              | None.    | 4.2.1   |
| SRP 4.2, Rev 3: Fuel System           | II.1.B | Fuel Rod Failure                                 | Conforms              | None.    | 4.2.1   |
| Design                                |        |  |                       |          | 4.2.3   |
| SRP 4.2, Rev 3: Fuel System           | II.1.C | Fuel Coolability                                 | Conforms              | None.    | 4.2.1   |
| Design                                |        |  |                       |          |         |
| SRP 4.2, Rev 3: Fuel System<br>Design | II.2   | Description and Design Drawings                  | Conforms              | None.    | 4.2.2   |
| SRP 4.2, Rev 3: Fuel System           | II.3   | Design Evaluation                                | Conforms              | None.    | 4.2.1   |
| Design                                |        |  |                       |          | 4.2.3   |
|                                       |        |  |                       |          | 4.2.4   |
| SRP 4.2, Rev 3: Fuel System           | II.4   | Testing, Inspection, and Surveillance            | Conforms              | None.    | 4.2.1   |
| Design                                |        | Plans  |                       |          | 4.2.4   |
| SRP 4.2, Rev 3: Fuel System           | Арр А  | Evaluation of Fuel Assembly                      | Conforms              | None.    | 4.2.1   |
| Design                                |        | Structural Response to Externally Applied Forces |                       |          |         |
| SRP 4.2, Rev 3: Fuel System           | Арр В  | Interim Acceptance Criteria and                  | Conforms              | None.    | 4.2.1   |
| Design                                |        | Guidance for the Reactivity Initiated Accidents  |                       |          | 15.0.0  |
| SRP 4.3, Rev 0:                       | II.1   | Design Limits for Power Densities and            | Conforms              | None.    | 4.3.1   |
| Nuclear Design                        |        | Power Distributions                              |                       |          |         |
| SRP 4.3, Rev 0:                       | II.2   | Reactivity Coefficients                          | Conforms              | None.    | 4.3.2   |
| Nuclear Design                        |        |  |                       |          |         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                          | AC    | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|-------|--|-----------------------|---|----------------|
| SRP 4.3, Rev 0:<br>Nuclear Design                           | II.3  | Control Rod Patterns and Reactivity Worth  | Conforms              | None.   | 4.3.2          |
| SRP 4.3, Rev 0:   | II.4  | Analytical Methods and Data  | Conforms              | None.   | 4.3.3          |
| Nuclear Design  | 111.4 | Analytical Methods and Data  | Comornis              | none.   | 4.3.3          |
| DSRS 4.4, Rev 0: Thermal and                                | II.1  | Fuel Design Limits, Core Design, and   | Conforms              | None.   | 4.4.1          |
| Hydraulic Design  |       | Thermal Margin   |                       |   | 4.4.2          |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design            | II.2  | Subchannel Hydraulic Analysis Codes  | Conforms              | None.   | 4.4.4          |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design            | II.3  | Core Oscillations and Thermal-<br>Hydraulic Instabilities                                    | Conforms              | None.   | 4.4.7          |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design            | II.4  | RPV Fluid Flow Calculations  | Conforms              | None.   | 4.4.4          |
| DSRS 4.4, Rev 0: Thermal and                                | II.5  | Technical Specifications   | Conforms              | None.   | 4.4.3          |
| Hydraulic Design  |       | ·  |                       |   | 4.4.6          |
| , c   |       |  |                       |   | 16.1           |
| DSRS 4.4, Rev 0: Thermal and                                | II.6  | Preoperational and Initial Test  | Conforms              | None.   | 4.4.5          |
| Hydraulic Design  |       | Programs   |                       |   |                |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design            | II.7  | Loose Parts Monitoring System  | Departure             | Low flow in primary systems precludes damage from loose parts and the need for loose parts monitoring system.   | 4.4.6          |
| DSRS 4.4, Rev 0: Thermal and                                | II.8  | Critical Heat Flux Calculations and  | Conforms              | None.   | 4.4.2          |
| Hydraulic Design  |       | Process Monitoring   |                       |   | 4.4.4          |
| , s   |       |  |                       |   | 4.4.6          |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design            | II.9  | Instrumentation and Procedures for<br>Detection and Recovery from<br>Inadequate Core Cooling | Conforms              | None.   | 4.4.6          |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design            | II.10 | Core Stability Performance During<br>Anticipated Transient without Scram<br>Event            | Not Applicable        | Diverse RTS signals prevent an ATWS from occurring. This prevents flow instabilities from occurring, so this AC is not applicable based on the current ATWS approach. | Not Applicable |
| SRP 4.5.1, Rev 3: Control Rod<br>Drive Structural Materials | II.1  | Materials Specifications   | Conforms              | RG 1.85 was withdrawn in 2004. Guidance was updated and incorporated into RG 1.84.  | 4.5.1          |
| SRP 4.5.1, Rev 3: Control Rod<br>Drive Structural Materials | II.2  | Austenitic Stainless Steel<br>Components   | Conforms              | The NuScale QAPD is based on ANSI/ASME<br>NQA-1-2008 with NQA-1a-2009 addenda, as<br>endorsed by RG 1.28, Rev. 4.   | 4.5.1          |

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                            | Conformance<br>Status | Comments   | Section |
|---|------|---|-----------------------|--|---------|
| SRP 4.5.1, Rev 3: Control Rod<br>Drive Structural Materials                   | II.3 | Other Materials                                 | Conforms              | None.  | 4.5.1   |
| SRP 4.5.1, Rev 3: Control Rod<br>Drive Structural Materials                   | II.4 | Cleaning and Cleanliness Control                | Conforms              | The NuScale QAPD is based on ANSI/ASME NQA-1-2008 with NQA-1a-2009 addenda, as endorsed by RG 1.28, Rev. 4.  | 4.5.1   |
| SRP 4.5.2, Rev 3: Reactor<br>Internal and Core Support<br>Structure Materials | II.1 | Materials                                       | Conforms              | None.  | 4.5.2   |
| SRP 4.5.2, Rev 3: Reactor<br>Internal and Core Support<br>Structure Materials | II.2 | Controls on Welding                             | Conforms              | None.  | 4.5.2   |
| SRP 4.5.2, Rev 3: Reactor<br>Internal and Core Support<br>Structure Materials | II.3 | Nondestructive Examination                      | Conforms              | None.  | 4.5.2   |
| SRP 4.5.2, Rev 3:Reactor<br>Internal and Core Support<br>Structure Materials  | II.4 | Austenitic Stainless Steels                     | Conforms              | None.  | 4.5.2   |
| SRP 4.5.2, Rev 3: Reactor<br>Internal and Core Support<br>Structure Materials | II.5 | Other Materials                                 | Conforms              | None.  | 4.5.2   |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | II.1 | Environmental and Dynamic Effects -<br>GDC 4    | Conforms              | None.  | 4.6.2   |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | II.2 | Failure Modes and Effects - GDC 23              | Conforms              | None.  | 4.6.2   |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | II.3 | Single Malfunction - GDC 25                     | Conforms              | None.  | 4.6.2   |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | II.4 | Operational Control and Reliability -<br>GDC 26 | Conforms              | NuScale does not interpret GDC 26 as requiring two safety-related means of reactivity control. One of the independent reactivity control systems used to meet the requirements of GDC 26 in the NuScale design is the chemical volume control system, which is not safety-related. | 4.6.2   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section                    |
|--|------|--|-----------------------|--|----------------------------|
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System                      | II.5 | Combined Capability - GDC 27                                       | Departure             | The NuScale design bases conform to a design-specific Principal Design Criterion (PDC) in lieu of GDC 27, as reflected in Section 3.1.   | 3.1<br>4.2<br>4.3<br>4.6.2 |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System                      | II.6 | Reactivity Limits - GDC 28   | Conforms              | None.  | 4.6.0.2<br>4.6.2           |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System                      | II.7 | Protection Against Anticipated<br>Operational Occurrences - GDC 29 | Conforms              | None.  | 4.6.2                      |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System                      | II.8 | BWR Alternate Rod Injection System                                 | Not Applicable        | This guidance is applicable only to BWR plants.  | Not Applicable             |
| BTP 4-1, Rev 3: Westinghouse<br>Constant Axial Offset Control<br>(CAOC)                  | All  | -  | Not Applicable        | This BTP is applicable only to PWR designs that use the Constant Axial Offset Control operating scheme. NuScale does not use the Constant Axial Offset Control operating scheme. | Not Applicable             |
| SRP 5.2.1.1, Rev 3:<br>Compliance with the Codes<br>and Standards Rule,<br>10 CFR 50.55a | II   | Use of RG 1.26 to meet GDC 1 and 10 CFR 50.55a                     | Conforms              | See RG 1.26 in Table 1.9-2.  | 5.2.1                      |
| SRP 5.2.1.2, Rev 3: Applicable<br>Code Cases   | II.1 | Use of RG 1.84 to meet GDC 1 and 10 CFR 50.55a                     | Conforms              | See RG 1.26 in Table 1.9-2.  | 5.2.1                      |
| SRP 5.2.1.2, Rev 3: Applicable<br>Code Cases   | II.2 | Use of RG 1.147 to meet GDC 1 and 10 CFR 50.55a                    | Partially Conforms    | See RG 1.147 in Table 1.9-2.   | 5.2.1                      |
| SRP 5.2.1.2, Rev 3: Applicable<br>Code Cases   | II.3 | Use of RG 1.192 to meet GDC 1 and 10 CFR 50.55a                    | Partially Conforms    | See RG 1.192 in Table 1.9-2.   | 5.2.1                      |
| SRP 5.2.2, Rev 3: Overpressure Protection  | II.1 | Material Specifications  | Conforms              | None.  | 5.2.2                      |
| SRP 5.2.2, Rev 3: Overpressure Protection  | II.2 | Design Requirements for BWRs<br>Operating at Power                 | Not Applicable        | This guidance is applicable only to BWR plants.  | Not Applicable             |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                                  | AC     | AC Title/Description  | Conformance<br>Status | Comments   | Section |
|---|--------|---|-----------------------|--|---------|
|   | 11.2   | Design Descriptions and for DWDs  |                       | The average was analysis does not essue  | F 2 2   |
| SRP 5.2.2, Rev 3: Overpressure<br>Protection                        | II.3   | Design Requirements for PWRs<br>Operating at Power                            | Partially Conforms    | The overpressure analysis does not assume a secondary safety-grade signal from the RPS initiates the reactor trip. NuScale does not have a secondary safety-grade reactor trip system. | 5.2.2   |
| SRP 5.2.2, Rev 3: Overpressure<br>Protection                        | II.4   | Design Requirements for PWRs Operating at Low Temperature (Startup, Shutdown) | Conforms              | None.  | 5.2.2   |
| SRP 5.2.2, Rev 3: Overpressure Protection                           | II.5   | Testing and Inspections   | Conforms              | None.  | 5.2.2   |
| SRP 5.2.2, Rev 3: Overpressure<br>Protection                        | II.6   | Technical Specifications  | Partially Conforms    | Certain subtier guidance documents referenced in this acceptance criterion are not applicable or only partially applicable.  | 5.2.2   |
| SRP 5.2.2, Rev 3: Overpressure Protection                           | II.7   | TMI Action Plan Requirements  | Conforms              | None.  | 5.2.2   |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials | II.1   | Material Specifications   | Partially Conforms    | This acceptance criterion is applicable except for references to subtier guidance that is applicable only to BWRs.   | 5.2.3   |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials | II.2   | Compatibility of Materials with the<br>Reactor Coolant                        | Partially Conforms    | This acceptance criterion is applicable except for references to subtier guidance that is applicable only to BWRs.   | 5.2.3   |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials | II.3   | Fabrication and Processing of Ferritic<br>Materials                           | Conforms              | None.  | 5.2.3   |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials | II.3.A | Fracture Toughness - 10 CFR 50,<br>Appendix G                                 | Conforms              | None.  | 5.2.3   |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials | II.3.B | Control of Ferritic Steel Welding   | Conforms              | None.  | 5.2.3   |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials | II.3.C | NDE of Ferritic Steel Tubular Products  | Conforms              | None.  | 5.2.3   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC     | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|--|--------|---|-----------------------|---|----------------|
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4   | Fabrication and Processing of<br>Austenitic Stainless Steel   | Partially Conforms    | This acceptance criterion is applicable except for references to subtier guidance that is applicable only to BWRs or to large LWRs that use nonmetallic thermal insulation on reactor coolant pressure boundary components.   | 5.2.3          |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4.A | GDC 4 Compatibility of Components -<br>Measures to Avoid Sensitization in<br>Austenitic Stainless Steel             | Partially Conforms    | This acceptance criterion is applicable except for references to subtier guidance that is applicable only to BWRs.  | 5.2.3          |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4.B | GDC 4 Compatibility of Components -<br>Controls to Avoid Stress Corrosion<br>Cracking in Austenitic Stainless Steel | Partially Conforms    | This acceptance criterion is applicable except for references to subtier guidance that is applicable only to BWRs, and to subtier RG 1.37, which endorses use of NQA-1-1994. The NuScale design is based on NQA-1-2008 and the NQA-1a-2009 addenda, rather than NQA-1-1994. | 5.2.3          |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4.C | Compatibility of Austenitic Stainless<br>Steel Materials with Thermal<br>Insulation                                 | Not Applicable        | This acceptance criterion is applicable only to LWRs that use nonmetallic thermal insulation on reactor coolant pressure boundary components. NuScale does not use nonmetallic thermal insulation on reactor coolant pressure boundary components.                          | Not Applicable |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4.D | Control of Welding of Austenitic<br>Stainless Steels  | Partially Conforms    | This acceptance criterion is applicable except for references to subtier guidance that is applicable only to BWRs.  | 5.2.3          |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4.E | NDE of Austenitic Stainless Steel<br>Tubular Products   | Conforms              | None.   | 5.2.3          |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4.G | Operational Programs  | Not Applicable        | This acceptance criterion governs plant-<br>specific programmatic information that is<br>the responsibility of the COL applicant.   | Not Applicable |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.1   | System Boundary Subject to<br>Inspection  | Conforms              | None.   | 5.2.4          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:                             | AC    | AC Title/Description               | Conformance | Comments | Section |
|---|-------|------------------------------------|-------------|----------|---------|
| Title   |       | A 11.115                           | Status      | la.      | 53.4    |
| DSRS 5.2.4, Rev 0: Reactor                            | II.2  | Accessibility                      | Conforms    | None.    | 5.2.4   |
| Coolant Pressure Boundary                             |       |                                    |             |          |         |
| Inservice Inspection and                              |       |                                    |             |          |         |
| Testing   |       |                                    |             |          |         |
| DSRS 5.2.4, Rev 0: Reactor                            | II.3  | Examination Categories and Methods | Conforms    | None.    | 5.2.4   |
| Coolant Pressure Boundary                             |       |                                    |             |          |         |
| Inservice Inspection and                              |       |                                    |             |          |         |
| Testing   |       |                                    |             |          |         |
| DSRS 5.2.4, Rev 0: Reactor                            | II.4  | Inspection Intervals               | Conforms    | None.    | 5.2.4   |
| Coolant Pressure Boundary                             |       |                                    |             |          |         |
| Inservice Inspection and                              |       |                                    |             |          |         |
| Testing   |       |                                    |             |          |         |
| DSRS 5.2.4, Rev 0: Reactor                            | II.5  | Evaluation of Examination Results  | Conforms    | None.    | 5.2.4   |
| Coolant Pressure Boundary                             |       |                                    |             |          |         |
| Inservice Inspection and                              |       |                                    |             |          |         |
| Testing   |       |                                    |             |          |         |
| DSRS 5.2.4, Rev 0: Reactor                            | II.6  | System Pressure Tests              | Conforms    | None.    | 5.2.4   |
| Coolant Pressure Boundary                             |       |                                    |             |          |         |
| Inservice Inspection and                              |       |                                    |             |          |         |
| Testing   |       |                                    |             |          |         |
| DSRS 5.2.4, Rev 0: Reactor                            | II.7  | Code Exemptions                    | Conforms    | None.    | 5.2.4   |
| Coolant Pressure Boundary                             |       |                                    |             |          |         |
| Inservice Inspection and                              |       |                                    |             |          |         |
| Testing   |       | D I: (D                            | <i>c c</i>  | N.       | 5.2.4   |
| DSRS 5.2.4, Rev 0: Reactor                            | II.8  | Relief Requests                    | Conforms    | None.    | 5.2.4   |
| Coolant Pressure Boundary<br>Inservice Inspection and |       |                                    |             |          |         |
| •   |       |                                    |             |          |         |
| Testing DSRS 5.2.4, Rev 0: Reactor                    | 11.9  | Code Cases                         | Conforms    | None.    | 5.2.4   |
| Coolant Pressure Boundary                             | 11.9  | Code Cases                         | Conforms    | none.    | 5.2.4   |
| Inservice Inspection and                              |       |                                    |             |          |         |
| Testing   |       |                                    |             |          |         |
| DSRS 5.2.4, Rev 0: Reactor                            | II.10 | Augmented ISI to Protect Against   | Conforms    | None.    | 5.2.4   |
| Coolant Pressure Boundary                             | 11.10 | Postulated Piping Failures         | Comorms     | inone.   | 5.2.4   |
| Inservice Inspection and                              |       | r ostulated riping rallules        |             |          |         |
| Testing   |       |                                    |             |          |         |
| resuing   | 1     |                                    |             |          |         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|--|-------|---|-----------------------|---|----------------|
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.11 | Other Inspection Programs   | Partially Conforms    | Although a boric acid control program will not be fully established, a brief description of the program is provided in the DCA.   | 5.2.4          |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.12 | Operational Programs  | Not Applicable        | This acceptance criterion governs plant-<br>specific programmatic information that is<br>the responsibility of the COL applicant. | Not Applicable |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.13 | ITAAC   | Partially Conforms    | A portion of this acceptance criterion is applicable only to COL applicants.  | 5.2.4          |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.14 | Risk Informed ISI Program   | Not Applicable        | This acceptance criterion governs plant-<br>specific programmatic information that is<br>the responsibility of the COL applicant. | Not Applicable |
| DSRS 5.2.5, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Leakage Detection                   | II.1  | Criteria to Meet GDC 2  | Conforms              | None.   | 5.2.5          |
| DSRS 5.2.5, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Leakage Detection                   | II.2  | Criteria to Meet GDC 14   | Conforms              | None.   | 5.2.5          |
| DSRS 5.2.5, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Leakage Detection                   | II.3  | Criteria to Meet GDC 30   | Conforms              | None.   | 5.2.5          |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.1  | Materials   | Conforms              | None.   | 5.3.1          |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.2  | Special Processes Used for<br>Manufacture and Fabrication of<br>Components                            | Conforms              | None.   | 5.3.1          |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.3  | Special Methods for Nondestructive Examination  | Conforms              | None.   | 5.3.1          |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.4  | Special Controls and Special<br>Processes Used for Ferritic Steels and<br>Austenitic Stainless Steels | Conforms              | None.   | 5.3.1          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC     | AC Title/Description   | Conformance<br>Status | Comments | Section        |
|--|--------|--|-----------------------|----------|----------------|
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.5   | Fracture Toughness   | Conforms              | None.    | 5.3.1          |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.6   | Material Surveillance  | Conforms              | None.    | 5.3.1          |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.7   | Reactor Vessel Fasteners   | Conforms              | None.    | 5.3.1          |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.1.A | Pressure-Temperature - Applicable<br>Regulations, Codes, and Basis<br>Documents      | Conforms              | None.    | 5.3.2          |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.1.B | Pressure-Temperature Requirements  | Conforms              | None.    | 5.3.2          |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.2.A | Upper-Shelf Energy - Applicable<br>Regulations, Codes, and Basis<br>Documents        | Conforms              | None.    | 5.3.2          |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.2.B | Upper-Shelf Energy Requirements  | Conforms              | None.    | 5.3.1<br>5.3.2 |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.3.A | Pressurized Thermal Shock -<br>Applicable Regulations, Codes, and<br>Basis Documents | Conforms              | None.    | 5.3.2          |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.3.B | Pressurized Thermal Shock<br>Requirements  | Conforms              | None.    | 5.3.2          |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | II.1   | Design   | Conforms              | None.    | 5.3.3          |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | II.2   | Materials of Construction  | Conforms              | None.    | 5.3.3          |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | II.3   | Fabrication Methods  | Conforms              | None.    | 5.3.3          |

| SRP or DSRS Section, Rev:                            | AC   | AC Title/Description  | Conformance    | Comments   | Section        |
|--|------|---|----------------|--|----------------|
| Title  |      |   | Status         |  |                |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity       | II.4 | Inspection Requirements   | Conforms       | None.  | 5.3.3          |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity       | II.5 | Shipment and Installation   | Conforms       | None.  | 5.3.3          |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity       | II.6 | Operating Conditions  | Conforms       | None.  | 5.3.3          |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity       | II.7 | Inservice Surveillance  | Conforms       | Inservice surveillance of the reactor vessel is described in the DCD. However, the COL applicant develops and implements the reactor vessel surveillance program.  | 5.3.3          |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity       | II.8 | Operational Programs  | Not Applicable | This acceptance criterion governs plant-<br>specific programmatic information that is<br>the responsibility of the COL applicant.  | Not Applicable |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity       | II.9 | 10 CFR 52.47(b)(1) compliance   | Not Applicable | This requirement applies to plant-specific verification and is the responsibility of the COL applicant.  | Not Applicable |
| SRP 5.4.1.1, Rev 3: Pump<br>Flywheel Integrity (PWR) | All  | Various   | Not Applicable | This SRP section and its acceptance criteria (II.1 through II.7) apply only to PWR designs that use reactor coolant pumps. The NuScale reactor design does not require or include reactor coolant pumps. Rather, the NuScale design uses passive natural circulation of the primary coolant, eliminating the need for reactor coolant pumps. | Not Applicable |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.1 | Selection, Processing, Testing, and Inspection of Materials   | Conforms       | None.  | 5.4.1          |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.2 | Steam Generator Design  | Conforms       | None.  | 5.4.1          |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.3 | Fabrication and Processing of Ferritic<br>Materials   | Conforms       | None.  | 5.4.1          |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.4 | Fabrication and Processing of<br>Austenitic Stainless Steel   | Conforms       | None.  | 5.2<br>5.4.1   |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.5 | Compatibility of Materials with the<br>Primary (Reactor) and Secondary<br>Coolant and Cleanliness Control | Conforms       | None.  | 5.4.1          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC             | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|----------------|---|-----------------------|---|----------------|
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials                         | II.6           | Provisions for Accessing the<br>Secondary Side of the Steam<br>Generator          | Conforms              | None.   | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program                           | II.1           | Steam Generator Tube Susceptibility to Degradation                                | Conforms              | None.   | 5.4            |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program                           | II.2           | Steam Generator Monitoring<br>Program Elements                                    | Partially Conforms    | A portion of this acceptance criterion is applicable to COL applicants referencing a certified design.  | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program                           | II.3           | Steam Generator Program Elements<br>in Technical Specifications                   | Partially Conforms    | Certain subtier guidance documents referenced in this acceptance criterion are only partially applicable.   | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program                           | II.4           | Steam Generator Tube Repair Criteria  | Conforms              | None.   | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program                           | II.5           | Steam Generator Tube Repair<br>Methods  | Conforms              | None.   | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program                           | II.6           | Steam Generator Tube Preservice<br>Inspection                                     | Conforms              | None.   | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program                           | 11.7           | Periodic Tube Inspection and Testing in Certified Design Technical Specifications | Partially Conforms    |   | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program                           | II.8           | Operational Programs  | Partially Conforms    | This acceptance criterion governs plant-<br>specific programmatic activities that are the<br>responsibility of the COL applicant<br>referencing a certified design. | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program                           | II.9           | ITAAC   | Partially Conforms    | A portion of this acceptance criterion is applicable only to COL applicants.  | 5.4.1          |
| SRP 5.4.6, Rev 4: Reactor Core<br>Isolation Cooling System<br>(BWR)       | All            | Various   | Not Applicable        | This SRP section and its acceptance criteria (II.1 through II.10) apply only to BWRs.   | Not Applicable |
| DSRS 5.4.7, Rev 0: Decay Heat<br>Removal (DHR) System<br>Responsibilities | II.1 thru II.3 | Various   | Conforms              | None.   | 5.4.3          |
| DSRS 5.4.7, Rev 0: Decay Heat<br>Removal (DHR) System<br>Responsibilities | 11.4           | GDC 5   | Conforms              | None.   | 5.4.3          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description              | Conformance<br>Status | Comments  | Section        |
|---|------|-----------------------------------|-----------------------|---|----------------|
| DSRS 5.4.7, Rev 0: Decay Heat<br>Removal (DHR) System<br>Responsibilities | II.5 | GDC 14                            | Not Applicable        | The DHRS is connected to the secondary system and does not directly interface with the RCPB.  | Not Applicable |
| DSRS 5.4.7, Rev 0: Decay Heat<br>Removal (DHR) System<br>Responsibilities | II.6 | GDC 19                            | Conforms              | None.   | 5.4.3          |
| DSRS 5.4.7, Rev 0: Decay Heat<br>Removal (DHR) System<br>Responsibilities | II.7 | GDC 34                            | Departure             | The NuScale design supports an exemption from the power provisions of GDC 34. As described in Section 3.1.4, the design complies with a NuScale-specific principal design criterion in lieu of this GDC.  | 5.4.3          |
| DSRS 5.4.7, Rev 0: Decay Heat<br>Removal (DHR) System<br>Responsibilities |      | GDC 54                            | Partially Conforms    | This closed-loop DHRS outside the containment is directly connected to the closed-loop SG system within the RPV providing dual passive barriers between the RCS and the reactor pool outside the NPM. Breaches of this piping system outside containment is not considered credible because the system is a welded design with a system design pressure equivalent to the RPV, designed to Class 2 requirements in accordance with ASME BPV Code, Section III, and meets the applicable criteria of NRC Branch Technical Position 3-4, Revision 2. As a result, leakage detection and isolation capabilities of this piping system from containment are not considered important to safety. | 5.4.3          |
| DSRS 5.4.7, Rev 0: Decay Heat<br>Removal (DHR) System<br>Responsibilities | II.9 | DHRS Interface with other systems | Conforms              | None.   | 5.4.3          |
| SRP 5.4.8, Rev 3: Reactor<br>Water Cleanup System (BWR)                   | All  | Various                           | Not Applicable        | This SRP section and its acceptance criteria (II.1 through II.4) apply only to BWRs.  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC  | AC Title/Description   | Conformance<br>Status | Comments  | Section         |
|---|-----|--|-----------------------|---|-----------------|
| SRP 5.4.11, Rev 4: Pressurizer<br>Relief Tank   | All | Various  | Not Applicable        | This SRP section and its acceptance criteria (II.1 and II.2) apply only to PWRs that use a pressurizer relief tank. A pressurizer relief tank is not used in the NuScale design. Fluid relieved through the reactor coolant system overpressure protection system is routed directly to the containment vessel.   | Not Applicable  |
| SRP 5.4.12, Rev 1: Reactor<br>Coolant System High Point<br>Vents                              | All | Various  | Departure             | Because of the integral reactor coolant system configuration, non-condensable gases accumulating in the pressurizer space will not interfere with core cooling during or after design basis accidents. The NuScale design supports an exemption from the requirements of 10 CFR 50.46a related to reactor coolant system high point venting, as well as the substantively similar requirements of 10 CFR 50.34(f)(2)(vi). | Not Applicable  |
| SRP 5.4.13, (March 2007):<br>Isolation Condenser System<br>(BWR)                              | All | Various  | Not Applicable        | This SRP section and its acceptance criteria (II.1 through II.12) are applicable only to BWRs.  | Not Applicable  |
| BTP 5-1, Rev 3: Monitoring of<br>Secondary Side Water<br>Chemistry in PWR Steam<br>Generators | B.1 | Secondary Water Chemistry Program<br>Meeting Industry Guidelines | Conforms              | None.   | 5.4.1<br>10.3.5 |
| BTP 5-1, Rev 3: Monitoring of<br>Secondary Side Water<br>Chemistry in PWR Steam<br>Generators | B.2 | Sampling Schedule for Critical<br>Parameters                     | Partially Conforms    | A portion of this acceptance criterion governs information that is site-specific and thus is the responsibility of the COL applicant referencing the certified design.  | 5.4.1<br>10.3.5 |
| BTP 5-1, Rev 3: Monitoring of<br>Secondary Side Water<br>Chemistry in PWR Steam<br>Generators | B.3 | Records  | Partially Conforms    | A portion of this acceptance criterion governs information that is site-specific and thus is the responsibility of the COL applicant referencing the certified design.  | 5.4.1<br>10.3.5 |
| BTP 5-1, Rev 3: Monitoring of<br>Secondary Side Water<br>Chemistry in PWR Steam<br>Generators | B.4 | Program Change Evaluation and Reporting                          | Not Applicable        | This acceptance criterion governs information that is site-specific and is the responsibility of the COL applicant referencing the certified design.  | Not Applicable  |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC  | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|--|-----|--|-----------------------|---|----------------|
| BTP 5-2, Rev 3: Overpressurization Protection of Pressurized- Water Reactors While Operating at Low Temperatures | B.1 | System Design, Installation, and<br>Capabilities to Prevent Exceeding<br>Technical Specifications and NRC<br>Regulatory Requirements | Conforms              | None.   | 5.2.2          |
| BTP 5-2, Rev 3: Overpressurization Protection of Pressurized- Water Reactors While Operating at Low Temperatures | B.2 | Low-Temperature Overpressure<br>Protection Operability   | Partially Conforms    | Conforms to ASME Section XI Appendix G<br>Criteria. | 5.2.2          |
| BTP 5-2, Rev 3: Overpressurization Protection of Pressurized- Water Reactors While Operating at Low Temperatures | В.3 | System Designed to Withstand Single<br>Active Component Failure  | Conforms              | None.   | 5.2.2          |
| BTP 5-2, Rev 3: Overpressurization Protection of Pressurized- Water Reactors While Operating at Low Temperatures | B.4 | System Instrumentation and Controls<br>Design  | Conforms              | None.   | 5.2.2          |
| BTP 5-2, Rev 3: Overpressurization Protection of Pressurized- Water Reactors While Operating at Low Temperatures | B.5 | System Operability Testing   | Conforms              | None.   | 5.2.2<br>Ch 16 |
| BTP 5-2, Rev 3: Overpressurization Protection of Pressurized- Water Reactors While Operating at Low Temperatures | B.6 | Applicable Guidance  | Conforms              | None.   | 5.2.2          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description                                     | Conformance<br>Status | Comments  | Section |
|--|------|--|-----------------------|---|---------|
| BTP 5-2, Rev 3: Overpressurization Protection of Pressurized- Water Reactors While Operating at Low Temperatures | B.7  | System Design to Withstand<br>Operating-Basis Earthquake | Conforms              | None.   | 5.2.2   |
| BTP 5-2, Rev 3: Overpressurization Protection of Pressurized- Water Reactors While Operating at Low Temperatures | B.8  | Backup Electrical Power Source                           | Partially Conforms    | The intent of this guidance - that the low temperature overpressure protection (LTOP) system should not depend on the availability of offsite power to perform its function - applies to the NuScale design.  | 5.2.2   |
| BTP 5-2, Rev 3: Overpressurization Protection of Pressurized- Water Reactors While Operating at Low Temperatures | B.9  | Analyses Considering Inadvertent<br>System Actuation     | Conforms              | None.   | 5.2.2   |
| BTP 5-2, Rev 3: Overpressurization Protection of Pressurized- Water Reactors While Operating at Low Temperatures | B.10 | Interlocks to Ensure Overpressure<br>Protection          | Partially Conforms    | The intent of this acceptance criterion is applicable but the criterion refers to large LWR designs that provide pressure relief from a low-pressure system not normally connected to the primary system. In the NuScale design, the LTOP system is not connected to a low-pressure system. However, the intent of this guidance - to ensure that the LTOP system is not inadvertently isolated from the primary system - is applicable to the DCA. | 5.2.2   |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements   | 1    | Preservice Fracture Toughness Test<br>Requirements       | Partially Conforms    | This acceptance criterion is applicable except as indicated in the comments below for Acceptance Criteria 1.1 and 1.2.  | 5.3     |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                 | AC  | AC Title/Description                                       | Conformance<br>Status | Comments  | Section        |
|--|-----|--|-----------------------|---|----------------|
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 1.1 | Determination of RT <sub>NDT</sub> for Vessel<br>Materials | Partially Conforms    | Portions of this acceptance criterion apply only to older plants for which fracture toughness testing on vessel material did not include all tests necessary to determine RTNDT. The rest of this guidance applies to the NuScale design. | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 1.2 | Estimation of Charpy V-Notch Upper<br>Shelf Energies       | Partially Conforms    | This guidance is applicable except for reference to subtier NUREG-0744, which applies only to operating reactors that do not meet the minimum fracture toughness acceptance criteria defined in this BTP 5-3.                             | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 1.3 | Reporting Requirements                                     | Conforms              | None.   | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 2   | Operating Limitations for Fracture<br>Toughness            | Conforms              | None.   | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 2.1 | Pressure-Temperature Operating<br>Limitations              | Conforms              | None.   | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 2.2 | Recommended Bases for Operating Limitations                | Conforms              | None.   | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 2.3 | Reporting Requirements                                     | Conforms              | None.   | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 3   | Inservice Surveillance of Fracture<br>Toughness            | Partially Conforms    | This acceptance criterion applies except as indicated in the comments below for Acceptance Criteria 3.4 and 3.5.  | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 3.1 | Surveillance Program Requirements                          | Conforms              | None.   | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 3.2 | SAR Criteria   | Conforms              | None.   | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 3.3 | Surveillance Test Procedures                               | Conforms              | None.   | 5.3            |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 3.4 | Reporting Criteria   | Not Applicable        | This acceptance criterion governs plant-<br>specific reporting criteria that are the<br>responsibility of the COL holder.   | Not Applicable |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements | 3.5 | Technical Specification Changes                            | Not Applicable        | This acceptance criterion governs plant-<br>specific activities that are the responsibility<br>of the COL holder.   | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC     | AC Title/Description                                | Conformance<br>Status | Comments  | Section        |
|--|--------|---|-----------------------|---|----------------|
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements                                 | 4.1    | Pressurized Thermal Shock<br>Requirements           | Conforms              | None.   | 5.3            |
| DSRS BTP 5-4, Rev 0: Design<br>Requirements of the Residual<br>Heat Removal System | B.1    | Functional Requirements                             | Conforms              | None.   | 5.4.3          |
| DSRS BTP 5-4, Rev 0: Design<br>Requirements of the Residual<br>Heat Removal System | B.2    | Pressure Relief Requirements                        | Conforms              | None.   | 5.4.3          |
| DSRS BTP 5-4, Rev 0: Design<br>Requirements of the Residual<br>Heat Removal System | B.3    | Test Requirements                                   | Conforms              | None.   | 5.4.3          |
| DSRS BTP 5-4, Rev 0: Design<br>Requirements of the Residual<br>Heat Removal System | B.4    | Operational Procedures                              | Partially Conforms    | The procedures governed by this acceptance criterion are site-specific and are the responsibility of the COL applicant.   | 5.4.3          |
| DSRS BTP 5-4, Rev 0: Design<br>Requirements of the Residual<br>Heat Removal System | B.5    | Implementation                                      | Conforms              | None.   | 5.4.3          |
| SRP 6.1.1, Rev 2: Engineered<br>Safety Features Materials                          | II.1   | Materials and Fabrication                           | Conforms              | None.   | 6.1.1          |
| SRP 6.1.1, Rev 2: Engineered<br>Safety Features Materials                          | II.1.A | Austenitic Stainless Steels                         | Conforms              | None.   | 6.1.1          |
| SRP 6.1.1, Rev 2: Engineered<br>Safety Features Materials                          | II.1.B | Ferritic Steel Welding                              | Conforms              | None.   | 6.1.1          |
| SRP 6.1.1, Rev 2: Engineered<br>Safety Features Materials                          | II.2   | Composition and Compatibility of ESF Systems Fluids | Conforms              | This guidance is applicable except the NuScale design does not provide a method for post-accident pH control as addressed in BTP 6-1.   | 6.1.1          |
| SRP 6.1.1, Rev 2: Engineered<br>Safety Features Materials                          | II.3   | Component and Systems Cleaning                      | Partially Conforms    | RG 1.37 has been withdrawn by the NRC.  | 6.1.1          |
| Safety Features Materials  | II.4   | Thermal Insulation                                  | Conforms              | None.   | 6.1.1          |
| SRP 6.1.2, Rev 3: Protective<br>Coating Systems (Paints) -<br>Organic Materials    | All    | Various   | Not Applicable        | This SRP section is applicable only to the use of protective coatings on surfaces inside the containment. The NuScale Power Module design does not use protective coatings inside the containment vessel. | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title    | AC           | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|---------------------------------------|--------------|--|-----------------------|--|----------------|
| DSRS 6.2.1, Rev 0:                    | No specific  | Applicable acceptance criteria are   | See the applicable    | See SRP 2.4.6, 2.4.10, 2.4.12, 3.9.3, 19.0, and  | 2.4            |
| Containment Functional                | requirements | addressed in SRP 2.4.6, 2.4.10, 2.4.12,  | SRP or DSRS.          | DSRS 3.8.2.  | 3.8.2          |
| Design                                | listed.      | 3.9.3, 19.0 and DSRS 3.8.2.  |                       |  | 3.9.3          |
|                                       |              |  |                       |  | 6.2.1          |
|                                       |              |  |                       |  | 19.2           |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment | II.1         | Design Margin for Containment<br>Design Pressure                               | Conform               | The peak containment pressure for the limiting event is less than the design pressure. | 6.2.1          |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment | II.2         | Reducing Containment Pressure<br>Following Postulated Design Basis<br>Accident | Conforms              | None.  | 6.2.1          |
| DSRS 6.2.1.1.A, Rev 0:                | II.3         | Containment Heat Removal   | Conforms              | None.  | 6.2.1          |
| Containment                           |              | Capability and Design Margin - LOCA Assumptions                                |                       |  | 6.2.2          |
| DSRS 6.2.1.1.A, Rev 0:                | II.4         | Containment Heat Removal   | Conforms              | None.  | 6.2.1          |
| Containment                           |              | Capability and Design Margin -<br>Containment Response Analysis<br>Assumptions |                       |  | 6.2.2          |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment | II.5         | Protection of Containment from<br>External Pressure Conditions                 | Conforms              | None.  | 6.2.1          |
| DSRS 6.2.1.1.A, Rev 0:                | II.6         | Containment Monitoring   | Conforms              | None.  | 6.2.1          |
| Containment                           |              | Instrumentation  |                       |  |                |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment | II.7         | Design of Containment Internal<br>Structures and System Components             | Conforms              | None.  | 6.2.1          |
| DSRS 6.2.1.1.A, Rev 0:                | II.8         | Evaluation of Accident Involving   | Conforms              | None.  | 6.2.1          |
| Containment                           |              | Generated Hydrogen   | Comoniis              | itorie.  | 0.2.1          |
| DSRS 6.2.1.1.A, Rev 0:                | 11.9         | Evaluation of an Accident on other   | Conforms              | None.  | 6.2.1          |
| Containment                           |              | Modules  |                       |  |                |
| SRP 6.2.1.1.B, Draft Rev 3: Ice       | All          | Various  | Not Applicable        | The NuScale design does not use an ice   | Not Applicable |
| Condenser Containments                |              |  | r PP                  | condenser containment.   | r P P          |
| SRP 6.2.1.1.C, Rev 7: Pressure        | All          | Various  | Not Applicable        | This SRP section and its acceptance criteria   | Not Applicable |
| Suppression Type BWR                  |              |  |                       | apply only to applicants for BWR designs   |                |
| Containments                          |              |  |                       | that involve Pressure Suppression Type   |                |
|                                       |              |  |                       | Containments.  |                |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:   | AC   | AC Title/Description  | Conformance    | Comments  | Section        |
|---|------|---|----------------|---|----------------|
| Title   |      |   | Status         |   |                |
| SRP 6.2.1.2, Rev 3:<br>Subcompartment Analysis  | All  | Various   | Not Applicable | This SRP section and its acceptance criteria (II.1 through II.4) are applicable only to LWR designs that involve a containment structure that houses subcompartments. The NuScale containment vessel design does not have subcompartments housing high-energy piping as defined in this guidance (or internal compartments as referred to in GDC 50). | Not Applicable |
| DSRS 6.2.1.3, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Loss-of-Coolant<br>Accidents (LOCAs) | II.1 | Compliance with GDC 50 and<br>10 CFR 50, Appendix K < paragraph<br>I.A - Sources of Heat during the LOCA    | Departure      | The energy from metal-water reactions is not included. See Section 6.2.1. The NuScale design supports an exemption from selected portions of 10 CFR 50, Appendix K.   | 6.2.1          |
| DSRS 6.2.1.3, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Loss-of-Coolant<br>Accidents (LOCAs) | II.2 | Inspections, Tests, Analyses, and<br>Acceptance Criteria (ITAAC) for<br>Design Certification Applications   | Conforms       | None.   | 6.2.1          |
| DSRS 6.2.1.3, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Loss-of-Coolant<br>Accidents (LOCAs) | II.3 | Inspections, Tests, Analyses, and<br>Acceptance Criteria (ITAAC) for<br>Combined License (COL) Applications | Not Applicable | This acceptance criterion is applicable only to COL applicants.   | Not Applicable |
| DSRS 6.2.1.4, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Secondary System<br>Pipe Ruptures    |      | Sources of Energy   | Conforms       | None.   | 6.2.1          |
| DSRS 6.2.1.4, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Secondary System<br>Pipe Ruptures    |      | Mass and Energy Release Rate  | Conforms       | None.   | 6.2.1          |
| DSRS 6.2.1.4, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Secondary System<br>Pipe Ruptures    | II.3 | Single-Failure Analyses   | Conforms       | None.   | 6.2.1          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|--|------|--|-----------------------|--|----------------|
| SRP 6.2.1.5, Rev 3: Minimum<br>Containment Pressure<br>Analysis for Emergency Core<br>Cooling System Performance<br>Capability Studies | All  | Containment Pressure Model for<br>ECCS Performance Analysis;<br>Containment Response Analyses<br>Conservatism                                  | Not Applicable        | This SRP section and its acceptance criteria are applicable only to PWRs for which a postulated LOCA results in core uncovery. For the NuScale reactor design, a LOCA does not result in core uncovery.                        | Not Applicable |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems  | II.1 | GDC 5, Sharing of Structures,<br>Systems, and Components   | Conforms              | None.  | 6.2.2<br>9.2.5 |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems  | II.2 | GDC 38, Containment Heat Removal   | Departure             | The NuScale design supports an exemption from the power provisions of GDC 38. As described in Section 3.1.4, the design complies with a NuScale-specific principal design criterion in lieu of this GDC.                       | 6.2.2          |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems  | II.3 | GDC 39, Inspection of Containment<br>Heat Removal System   | Conforms              | None.  | 6.2.2          |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems  | 11.4 | GDC 40, Testing of Containment Heat<br>Removal System  | Departure             | The NuScale design does not conform to GDC 40 and the design supports an exemption.  | 3.1.4<br>6.2.2 |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems  | II.5 | 10 CFR 50.46(b)(5), long-term cooling, including adequate water level (head) margin RRVs), in the presence of LOCA-generated and latent debris | Conforms              | None.  | 6.2.2          |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems  | II.6 | Compliance with 10 CFR 50.46(b)(5) as it relates to requirements for long-term cooling   | Conforms              | None.  | 6.2.2          |
| SRP 6.2.3, Rev 3: Secondary<br>Containment Functional<br>Design  | AII  | Various  | Not Applicable        | This SRP section and its acceptance criteria (II.1 through II.4) apply only to LWR designs that incorporate primary and secondary containment. The NuScale containment vessel design does not include a secondary containment. | Not Applicable |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System  | II.1 | Instrument Line Isolation  | Conforms              | No instrumentation process lines penetrate containment.  | 6.2.4          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev: | AC    | AC Title/Description                     | Conformance    | Comments                            | Section        |
|---------------------------|-------|--|----------------|-------------------------------------|----------------|
| Title                     |       |  | Status         |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.2  | Isolation of and Leak Detection in       | Conforms       | None.                               | 6.2.4          |
| Containment Isolation     |       | Lines in Engineered Safety Feature (or   |                |                                     |                |
| System                    |       | Related) Systems                         |                |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.3  | Isolation of and Leak Detection in       | Conforms       | None.                               | 6.2.4          |
| Containment Isolation     |       | Lines in Systems Needed for Safe         |                |                                     |                |
| System                    |       | Shutdown                                 |                |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.4  | Containment Isolation Valve              | Conforms       | None.                               | 6.2.4          |
| Containment Isolation     |       | Requirements                             |                |                                     |                |
| System                    |       |  |                |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.5  | Containment Isolation Valve              | Conforms       | None.                               | 6.2.4          |
| Containment Isolation     |       | Requirements for Engineered Safety       |                |                                     |                |
| System                    |       | Feature (or Related) Systems             |                |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.6  | Use of Sealed-Closed Barriers in Place   | Conforms       | None.                               | 6.2.4          |
| Containment Isolation     |       | of Automatic Isolation Valves            |                |                                     |                |
| System                    |       |  |                |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.7  | Use of Relief Valves as Isolation Valves | Not Applicable | Relief valves are not used as CIVs. | Not Applicable |
| Containment Isolation     |       |  |                |                                     |                |
| System                    |       |  |                |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.8  | Classification of Essential or           | Conforms       | None.                               | 6.2.4          |
| Containment Isolation     |       | NonEssential Systems                     |                |                                     |                |
| System                    |       |  |                |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.9  | Location of Isolation Valves Outside     | Conforms       | None.                               | 6.2.4          |
| Containment Isolation     |       | Containment                              |                |                                     |                |
| System                    |       |  |                |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.10 | Loss of Power to Automatic Isolation     | Conforms       | None.                               | 6.2.4          |
| Containment Isolation     |       | Valves                                   |                |                                     |                |
| System                    |       |  |                |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.11 | Isolation Reliability                    | Conforms       | None.                               | 6.2.4          |
| Containment Isolation     |       | ,  |                |                                     |                |
| System                    |       |  |                |                                     |                |
| DSRS 6.2.4, Rev 0:        | II.12 | Parameter Diversity for Initiation of    | Conforms       | None.                               | 6.2.4          |
| Containment Isolation     |       | Containment Isolation                    |                |                                     |                |
| System                    |       |  |                |                                     |                |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                    | AC    | AC Title/Description   | Conformance<br>Status | Comments  | Section |
|---|-------|--|-----------------------|---|---------|
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | II.13 | Radiation Monitors for Initiation of<br>Containment Isolation on Open Paths<br>to the Environs | Departure             | The containment evacuation system has the potential for an open path from containment to the environs but is isolated upon a high containment vessel pressure signal, and a low-low pressurizer level signal. Any in-containment event resulting in core damage or degradation also results in containment isolation on low low pressurizer level and high containment pressure. Any event leading to core damage or degradation, results in containment isolation on low low pressurizer level. These features provide an alternative, reliable means to prevent radiological release from the CES to the environs, consistent with the intent of this Acceptance Criterion. The NuScale design supports an exemption from 50.34(f)(2)(xiv). | 6.2.4   |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | II.14 | Isolation Valve Closure Times  | Conforms              | None.   | 6.2.4   |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | II.15 | Use of Closed System Inside<br>Containment   | Conforms              | None.   | 6.2.4   |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | II.16 | Specific Design Criteria for<br>Containment Isolation Components                               | Conforms              | None.   | 6.2.4   |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | II.17 | Provisions to Allow Control Room<br>Operator Actions   | Conforms              | None.   | 6.2.4   |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | II.18 | Operability and Leakage Rate Testing   | Conforms              | None.   | 6.2.4   |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | II.19 | Reopening of Containment Isolation<br>Valves   | Conforms              | None.   | 6.2.4   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                              | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|-------|---|-----------------------|---|----------------|
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System           | II.20 | Station Blackout  | Conforms              | None.   | 6.2.4          |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System           | II.21 | Source Term in Radiological Calculations  | Conforms              | None.   | 6.2.4          |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment | II.1  | Analysis of Hydrogen and Oxygen<br>Concentration Control and<br>Distribution in Containment | Partially Conforms    | Systems to control hydrogen concentrations within containment are not required because combustion has no impact on CNV integrity.   | 6.2.5          |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment | II.2  | Equipment Survivability and Containment Structural Integrity                                | Conforms              | None.   | 6.2.5          |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment | II.3  | Ensuring a Mixed Atmosphere   | Conforms              | None.   | 6.2.5          |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment | II.4  | Design Requirements of GDC 41   | Departure             | The NuScale design supports an exemption from the power provisions of GDC 41. As described in Section 3.1.4, the design complies with a NuScale-specific principal design criterion in lieu of this GDC.  | 6.2.5          |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment | 11.5  | Inspection and Test Requirements of<br>GDC 41, GDC 42, and GDC 43                           | Not Applicable        | For GDC 42 and 43, the NuScale design does not include a containment atmospheric cleanup system. Containment integrity is assured without systems to control hydrogen and oxygen concentrations within containment.  See acceptance criterion II.4 above for GDC 41 compliance. | Not Applicable |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment | II.6  | Containment Structural Integrity<br>Analysis  | Conforms              | None.   | 6.2.5          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                                     | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|--|-------|---|-----------------------|---|----------------|
| DSRS 6.2.6, Rev 0:<br>Containment Leakage<br>Testing                   | All   | Various   | Departure             | The NuScale design supports an exemption from the containment leakage rate testing at design pressure requirements of GDC 52 and Type A test requirements of 10 CFR 50 Appendix J.  | 6.2.6          |
| SRP 6.2.7, Rev 1: Fracture Prevention of Containment Pressure Boundary | All   | Various   | Conforms              | None.   | 6.2.7          |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                      | II.1  | ECCS Acceptance Criteria of<br>10 CFR 50.46                               | Conforms              | None.   | 6.3.1<br>6.3.3 |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                      | II.2  | Single-Failure Consideration  | Conforms              | None.   | 6.3.1          |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                      | II.3  | Inservice Inspection and Operability Testing                              | Departure             | None.   | 6.3.2          |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                      | II.4  | Combined Reactivity Control System<br>Capability and Actuation Provisions | Departure             | The guidance in this acceptance criterion related to actuation signals is applicable to ECCS actuation. For the requirements of GDC 27, the NuScale ECCS does not perform a poison addition safety function nor does it provide a makeup function. The NuScale design supports an exemption to GDC 27. As described in Section 3.1.4, the design complies with a NuScale-specific principal design criterion in lieu of this GDC. | 6.3.1          |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                      | II.5  | Water Hammer  | Conforms              | None.   | 6.3.1          |
| OSRS 6.3, Rev 0: Emergency<br>Core Cooling System                      | II.6  | Design of Non-Safety-Related<br>Portions of ECCS                          | Conforms              | None.   | 6.3.1          |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                      | II.7  | ECCS Interfaces and Shared Systems  | Conforms              | None.   | 6.3.1          |
| OSRS 6.3, Rev 0: Emergency<br>Core Cooling System                      | II.8  | Long Term Cooling   | Conforms              | None.   | 6.3.1          |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                      | II.9  | ECCS Outage Times and Reports on<br>Unavailability                        | Conforms              | None.   | 6.3.2          |
| OSRS 6.3, Rev 0: Emergency<br>Core Cooling System                      | II.10 | Programmatic Requirements   | Conforms              | None.   | 6.3.1          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                  | AC     | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|--------|---|-----------------------|---|----------------|
| SRP 6.4, Rev 3: Control Room<br>Habitability System | II.1   | Control Room Emergency Zone   | Conforms              | None.   | 6.4            |
| SRP 6.4, Rev 3: Control Room<br>Habitability System | II.2   | Ventilation System Criteria   | Conforms              | None.   | 6.4            |
| SRP 6.4, Rev 3: Control Room<br>Habitability System | II.3   | Pressurization Systems  | Conforms              | None.   | 6.4            |
| SRP 6.4, Rev 3: Control Room<br>Habitability System | 11.4   | Emergency Standby Atmosphere<br>Filtration System   | Not Applicable        | This guidance is applicable only to reactor designs that rely on emergency filtration for control room habitability during a design basis accident. The NuScale control room habitability system neither relies on nor uses emergency filtration to protect operators during accident conditions.  Rather, clean air is provided using compressed air tanks.  | Not Applicable |
| SRP 6.4, Rev 3: Control Room<br>Habitability System | II.5   | Relative Location of Source and<br>Control Room   | Not Applicable        | This guidance is applicable only to reactor designs that rely on the control room emergency ventilation system for control room habitability during a design basis accident. The NuScale control room habitability system uses compressed air tanks as a clean air source during postulated accident events. This eliminates the potential for radioactive material or toxic gases to enter the control room via ventilation system inlets. | Not Applicable |
| SRP 6.4, Rev 3: Control Room<br>Habitability System | II.6.A | Dose Guidelines for Current Operating Reactors That Do Not Implement an Alternative Source Term | Not Applicable        | This guidance is applicable only to currently operating reactors.   | Not Applicable |
| SRP 6.4, Rev 3: Control Room<br>Habitability System | II.6.B | Dose Guidelines for New Reactors<br>and Licensees That Implement an<br>Alternative Source Term  | Conforms              | The subtier RG 1.183 is partially applicable.   | 6.4.1          |
| SRP 6.4, Rev 3: Control Room<br>Habitability System | II.7   | Toxic Gas Hazards   | Partially Conforms    | Programmatic requirements are the COL applicant responsibility.   | 6.4            |

Revision 1

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|------|--|-----------------------|---|----------------|
| SRP 6.5.1, Rev 4: ESF<br>Atmosphere Cleanup<br>Systems                        | II   | First full paragraph on Page 6.5.1-6,<br>Design, Testing, and Maintenance of<br>ESF Atmosphere Cleanup System Air<br>Filtration and Adsorption Units | Not Applicable        | The NuScale Power Plant design does not use engineered safety feature (ESF) filter systems or ESF ventilation systems to mitigate the consequences of a design basis accident (DBA). In the NuScale Power Plant design there is a nonsafety-related Reactor Building heating ventilating and air conditioning (HVAC) system which includes filtering; however, it is not credited in the dose analysis.   | Not Applicable |
| SRP 6.5.2, Rev 4: Containment<br>Spray as a Fission Product<br>Cleanup System | All  | Various  | Not Applicable        | This SRP section and its acceptance criteria (II.1 through II.3) are applicable only to large LWRs with containment spray systems. The NuScale containment vessel design does not incorporate a spray system.   | Not Applicable |
| SRP 6.5.3, Rev 3: Fission<br>Product Control Systems and<br>Structures        | II.1 | Primary Containment  | Partially Conforms    | A portion of this acceptance criterion and its subtier guidance is applicable only to LWR designs that include containment fission product clean-up systems. The NuScale containment vessel does not contain fission product clean up systems, nor does it include or require pressure suppression systems (e.g., suppression pools or active containment heat removal systems such as containment spray) that serve a fission product removal/dose mitigation function. Rather, fission product control is inherent in the passive design of the NuScale Power Module, wherein the compact containment vessel is submerged in the reactor pool. Therefore, the aspects of this guidance related to these systems are not applicable to the DCA. This guidance is applicable to the review of certain NuScale containment parameters and design features, such as design leakage rate and systems leakage prior to containment isolation. | 6.5.3          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                     | Conformance<br>Status | Comments   | Section        |
|---|------|--|-----------------------|--|----------------|
| SRP 6.5.3, Rev 3: Fission<br>Product Control Systems and<br>Structures                | II.2 | Secondary Containment                    | Not Applicable        | This acceptance criterion is applicable only to LWRs that incorporate both a primary and secondary containment. The NuScale containment vessel design does not include a secondary containment.  | Not Applicable |
| SRP 6.5.3, Rev 3: Fission<br>Product Control Systems and<br>Structures                | II.4 | Other Fission Product Control<br>Systems | Not Applicable        | The only credited ESF fission product control system in the NuScale Power Plant design is the containment vessel in conjunction with the containment isolation valves and passive containment isolation barriers.                                      | Not Applicable |
| SRP 6.5.4, Draft Rev 4: Ice<br>Condenser as a Fission<br>Product Cleanup System       | All  | Various                                  | Not Applicable        | This SRP section and its acceptance criteria are applicable only to applicants for plant designs that involve ice condenser containments. The NuScale reactor design does not use an ice condenser containment.  | Not Applicable |
| SRP 6.5.5, Rev 1: Pressure<br>Suppression Pool as a Fission<br>Product Cleanup System | All  | Various                                  | Not Applicable        | This SRP section and its acceptance criteria are applicable only to large LWRs that credit a pressure suppression pool for fission product scrubbing and retention (i.e., BWRs). The NuScale reactor design does not credit or use a suppression pool. | Not Applicable |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components   | II.1 | Components Subject to Inspection         | Conforms              | None.  | 6.6.1          |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components   | II.2 | Accessibility                            | Conforms              | None.  | 6.6.2          |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components   | II.3 | Examination Categories and Methods       | Conforms              | None.  | 6.6.3          |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components   | II.4 | Inspection Intervals                     | Conforms              | None.  | 6.6.4          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description   | Conformance<br>Status | Comments   | Section                       |
|--|-------|--|-----------------------|--|-------------------------------|
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components    | II.5  | Evaluation of Examination Results                              | Conforms              | None.  | 6.6.5                         |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components    | II.6  | System Pressure Tests  | Conforms              | None.  | 6.6.7                         |
| DSRS 6.6, Rev. 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components   | II.7  | Structural Supports  | Conforms              | None.  | 6.6.1<br>6.6.5<br>Table 6.6-1 |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components    | II.8  | Augmented ISI to Protect Against<br>Postulated Piping Failures | Conforms              | None.  | 6.6.8                         |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components    | II.9  | Code Exemptions  | Conforms              | None.  | 6.6                           |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components    | II.10 | Relief Requests  | Conforms              | None.  | 6.6                           |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components    | II.11 | Code Cases   | Conforms              | None.  | 6.6                           |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components    | II.12 | Operational Programs   | Not Applicable        | The operational program and implementation milestones governed by this acceptance criterion are site-specific and are the responsibility of the COL applicant. | Not Applicable                |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components    | II.13 | Risk Informed ISI Program                                      | Not Applicable        | NuScale is not implementing a Risk<br>Informed ISI Program.  | Not Applicable                |
| SRP 6.7, Draft Rev 3: Main<br>Steam Isolation Valve<br>Leakage Control System<br>(BWR) | All   | Various  | Not Applicable        | This SRP section and its acceptance criteria are applicable only to BWRs.  | Not Applicable                |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:  | AC  | AC Title/Description  | Conformance        | Comments  | Section |
|--|-----|---|--------------------|---|---------|
| Title  |     |   | Status             |   |         |
| BTP 6-1, (March 2007): pH for<br>Emergency Coolant Water for<br>Pressurized Water Reactors |     | Minimum pH for Emergency Coolant<br>Water   | Conforms           | This acceptance criterion is applicable but certain language in BTP 6-1, which would be applied by Acceptance Criterion B.1, refers to SSC that are not in the NuScale design. Specifically, the NuScale design does not use a containment spray system or a sump. However, during ECCS operation, ECCS water does collect inside the NuScale containment vessel for recirculation back to the reactor core, and thus the intent of this acceptance criterion is applicable to the DCA. | 6.1.1   |
| BTP 6-1, (March 2007): pH for<br>Emergency Coolant Water for<br>Pressurized Water Reactors | B.2 | Spray Water pH and Water Chemistry<br>Requirements for Fission Product<br>Removal | Partially Conforms | The intent of a portion of this acceptance criterion is applicable but the specific language refers to SSC that are not in the NuScale design. Specifically the NuScale design does not use a containment spray system or a sump. However, during ECCS operation, ECCS water does collect inside the NuScale containment vessel for recirculation back to the reactor core, and thus the pH guideline contained in this acceptance criterion is applicable to the DCA.                  | 6.2.2   |
| BTP 6-1, (March 2007): pH for<br>Emergency Coolant Water for<br>Pressurized Water Reactors | B.3 | Hydrogen Generation from<br>Aluminum Corrosion                                    | Conforms           | This acceptance criterion is applicable but certain language in BTP 6-1, which would be applied by Acceptance Criterion B.3, refers to SSC that are not in the NuScale design. Specifically, the NuScale design does not use a containment spray system or a sump. However, during ECCS operation, ECCS water does collect inside the NuScale containment vessel for recirculation back to the reactor core, and thus the intent of this acceptance criterion is applicable to the DCA. | 6.3.2   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC                    | AC Title/Description | Conformance<br>Status | Comments   | Section        |
|---|-----------------------|----------------------|-----------------------|--|----------------|
| BTP 6-2, Rev 3: Minimum<br>Containment Pressure Model<br>for PWR ECCS Performance   | All (B.1 thru<br>B.3) | Various              | Not Applicable        | This guidance is applicable only to PWRs for which a postulated LOCA results in core uncovery. For the NuScale design, a LOCA does not result in core uncovery.  | Not Applicable |
| BTP 6-3, Rev 3: Determination<br>of Bypass Leakage Paths in<br>Dual Containment Plants  | All                   | Various              | Not Applicable        | These acceptance criteria (B.1 through B.9) are applicable only to large LWRs that incorporate both a primary and secondary containment. The NuScale containment vessel design does not include a secondary containment.   | Not Applicable |
| BTP 6-4, Rev 3: Containment<br>Purging During Normal Plant<br>Operations  | All (B.1 thru<br>B.5) | Various              | Not Applicable        | This guidance pertains to containment purge systems used to vent containment directly to the environs. While the NuScale containment vessel design includes an evacuation system, it serves a different purpose than a purge system, and includes features that provide suitable means to prevent radiological release to the environs (see DSRS 6.2.4, AC II.13). (The NuScale containment vessel evacuation system valve closure times are addressed under SRP Section 6.2.4.) | Not Applicable |
| BTP 6-5, Rev 3: Currently the<br>Responsibility of Reactor<br>Systems Piping From the<br>RWST (or BWST) and<br>Containment Sump(s) to the<br>Safety Injection Pumps | All                   | Various              | Not Applicable        | This guidance is applicable only to LWR ECCS designs that rely on safety injection pumps and refueling (or borated) water storage tanks. The NuScale ECCS design does not use pumps or refueling water storage tanks (or equivalent).  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section                      |
|--|------|---|-----------------------|--|------------------------------|
| DSRS 7.0, Rev 0:<br>Instrumentation and Controls<br>- Introduction and Overview<br>of Review Process | All  | Various   | Conforms              | This DSRS section provides a general description of the process for reviewing I&C systems that is applicable to the DCA. However, this guidance does not contain specific acceptance criteria. Rather, specific acceptance criteria for SRP Chapter 7 are provided in the individual SRP Chapter 7 sections, and are summarized in SRP Section 7.1, SRP Table 7-1, and SRP Appendix 7.1-A. | 7.0                          |
| DSRS Appendix 7.0-A, Rev 0:<br>I&C - Hazard Analysis   | -    | I&C - Hazard Analysis   | Conforms              | None.  | 7.1.7<br>7.1.8               |
| DSRS Appendix 7.0-B, Rev 0:<br>I&C - System Architecture   | -    | I&C - System Architecture   | Conforms              | None.  | 7.0.3<br>7.0.4<br>7.1<br>7.2 |
| DSRS Appendix 7.0-C, Rev 0:<br>I&C - Simplicity  | -    | I&C - Simplicity  | Conforms              | None.  | 7.1.6<br>7.1.7<br>7.1.8      |
| DSRS Appendix 7.0-D, Rev 0:<br>I&C - References  | -    | References  | -                     | None.  | -                            |
| DSRS 7.1.1, Rev 0:<br>Fundamental Design<br>Principals   | All  | Specific SRP Acceptance Criteria Applicable to I&C Systems Important to Safety are Listed in Table 7.0-1. | Conforms              | None.  | 7.1<br>7.1.1                 |
| DSRS 7.1.2, Rev 0:<br>Independence   | II.1 | Ensure compliance to current version of RG 1.75   | Conforms              | RG 1.75 endorses IEEE Std 384-1992,<br>Standard Criteria for Independence of Class<br>1E Equipment and Circuits, with identified<br>exceptions and clarifications.   | 7.1.2                        |
| DSRS 7.1.2, Rev 0:<br>Independence   | II.2 | Ensure compliance to current version of RG 1.152  | Conforms              | None.  | 7.1.2                        |
| DSRS 7.1.3, Rev 0:<br>Redundancy   | -    | Conformance with RG 1.53  | Conforms              | None.  | 7.1.3                        |
| DSRS 7.1.4, Rev 0:<br>Predictability and<br>Repeatability  | -    | Predictability and Repeatability  | Conforms              | There are no specific DSRS acceptance criteria in this section.  | 7.1.4                        |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                   | AC           | AC Title/Description   | Conformance<br>Status                  | Comments  | Section                 |
|--|--------------|--|--|---|-------------------------|
| DSRS 7.1.5, Rev 0: Diversity<br>and Defense in Depth | II.1         | Methods for performing D3 analyses of reactor protection systems | Conforms                               | NUREG/CR-6303, Method for Performing D3 Analyses of Reactor Protection Systems, issued December 1994, summarizes several D3 analyses performed after 1990 and presents an acceptable method for performing such analyses.   | 7.1.5                   |
| DSRS 7.1.5, Rev 0: Diversity and Defense in Depth    | II.2         | SECY-93-087  | Conforms                               | The SRM for SECY-93 087 describes the NRC position on defense-in-depth in Item18.II.Q.  | 7.1.5                   |
| DSRS 7.1.5, Rev 0: Diversity<br>and Defense in Depth | II.3         | GL 85-06   | Conforms                               | Generic Letter (GL) 85-06, Quality Assurance Guidance for ATWS Equipment That Is Not Safety-Related, dated April 16, 1985, provides quality assurance guidance for nonsafety-related ATWS equipment.  | 7.1.3<br>7.1.5          |
| DSRS 7.1.5, Rev 0: Diversity<br>and Defense in Depth | II.4         | Conformance to RG 1.53   | Conforms                               | None.   | 7.1.5                   |
| DSRS 7.1.5, Rev 0: Diversity and Defense in Depth    | II.5         | Conformance to RG 1.62   | Conforms                               | See RG 1.62 in Table 1.9-2.   | 7.1.5                   |
| DSRS 7.1.5, Rev 0: Diversity<br>and Defense in Depth | II.6         | Conformance to IEEE Std. 7-4.3.2                                 | Conforms                               | IEEE Std. 7-4.3.2-2003 provides guidance on performing an engineering evaluation of software CCF for digital-based systems, including use of manual action and nonsafety- related systems, or components, or both, to provide means to accomplish the function that would otherwise be defeated by the CCF. | 7.1.1<br>7.1.2<br>7.1.5 |
|  | II.1         | Conformance to RG 1.28   | Conforms                               | See RG 1.28 in Table 1.9-2.   | 7.2.1                   |
|  | II.2         | Conformance to RG 1.152  | Conforms                               | See RG 1.152 in Table 1.9-2.  | 7.2.1                   |
|  | 11.3         | Conformance to RG 1.168  | Partially Conforms                     |   | 7.2.1                   |
| •  | II.4<br>II.5 | Conformance to RG 1.169  | Partially Conforms                     |   | 7.2.1                   |
| ,  | II.6         | Conformance to RG 1.170 Conformance to RG 1.171                  | Partially Conforms Partially Conforms  |   | 7.2.1<br>7.2.1          |
|  | II.6<br>II.7 | Conformance to RG 1.171  | Partially Conforms  Partially Conforms |   | 7.2.1                   |
| • ,  | II.8         | Conformance to RG 1.172  | Partially Conforms                     |   | 7.2.1                   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description             | Conformance<br>Status | Comments   | Section |
|--|------|----------------------------------|-----------------------|--|---------|
| DSRS 7.2.2, Rev 0: Equipment<br>Qualification  | II.1 | Conformance to IEEE Std 7- 4.3.2 | Conforms              | Digital I&C safety systems conform to the guidance in Section 5.4 of IEEE Std 7- 4.3.2-2003, IEEE Standard Criteria for Digital Computers in Safety Systems of Nuclear Power Generating Stations, as endorsed (with identified exceptions and clarifications) by RG 1.152, Rev. 3. | 7.2.2   |
| DSRS 7.2.2, Rev 0: Equipment<br>Qualification  | II.2 | Conformance to RG 1.209          | Conforms              | None.  | 7.2.2   |
| DSRS 7.2.2, Rev 0: Equipment<br>Qualification  | II.3 | Conformance to RG 1.151          | Partially Conforms    | See RG 1.151 in Table 1.9-2.   | 7.2.2   |
| DSRS 7.2.2, Rev 0: Equipment<br>Qualification  | II.4 | Conformance to RG 1.180          | Partially Conforms    | See RG 1.180 in Table 1.9-2.   | 7.2.2   |
| DSRS 7.2.2, Rev 0: Equipment<br>Qualification  | II.5 | Conformance to RG 1.204          | Partially Conforms    | See RG 1.204 in Table 1.9-2.   | 7.2.2   |
| DSRS 7.2.3, Rev 0: Reliability,<br>Integrity, and Completion of<br>Protective Action | II.1 | Conformance to IEEE Std 7-4.3.2  | Conforms              | Digital I&C safety systems conform to the reliability, integrity, and completion of protective action guidance in Sections 5.5, and 5.15 of IEEE Std 7-4.3.2-2003, as endorsed by RG 1.152 Rev. 3.   | 7.2.3   |
| DSRS 7.2.4, Rev 0: Operating and Maintenance Bypasses                                | II.1 | Conformance to RG 1.47           | Conforms              | None.  | 7.2.4   |
| DSRS 7.2.5, Rev 0: Interlocks  | II.1 | Conformance to IEEE Std 7-4.3.2  | Conforms              | For computer-based interlocks, the components and system conform to the guidance for digital computers in IEEE Std 7-4.3.2, as endorsed (with identified exceptions and clarifications) by RG 1.152 Rev. 3.  | 7.2.5   |
| DSRS 7.2.6, Rev 0: Derivation of System Inputs                                       | All  | Various                          | Conforms              | There are no specific DSRS acceptance criteria in this section.  | 7.2.6   |
| DSRS 7.2.7, Rev 0: Setpoints   | II.1 | Conformance to RG 1.105          | Partially Conforms    | See RG 1.105 in Table 1.9-2.   | 7.2.7   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:   | AC   | AC Title/Description                          | Conformance | Comments   | Section |
|---|------|---|-------------|--|---------|
| Title   |      |   | Status      |  |         |
| DSRS 7.2.7, Rev 0: Setpoints  | II.2 | NRC Regulatory Issue Summary (RIS)<br>2006-17 | Conforms    | NRC Regulatory Issue Summary (RIS) 2006-17, NRC Staff Position on the Requirements of 10 CFR 50.36, Technical Specifications, Regarding Limiting Safety System Settings during Periodic Testing and Calibration of Instrument Channels, discusses issues that could occur during testing of LSSSs and may adversely affect on equipment operability. | 7.2.7   |
| DSRS 7.2.7, Rev 0: Setpoints  | II.3 | Generic Letter (GL) 91-04                     | Conforms    | Generic Letter (GL) 91-04, Guidance on Preparation of a Licensee Amendment Request for Changes in Surveillance Intervals to accommodate a 24-Month Fuel Cycle, provides guidance on issues that should be addressed by the setpoint analysis when calibration intervals are extended from 12 or 18 to 24 months.                                     | 7.2.7   |
| DSRS 7.2.8, Rev 0: Auxiliary<br>Features  | All  | Various                                       | Conforms    | There are no specific DSRS acceptance criteria in this section.  | 7.2.8   |
| DSRS 7.2.9, Rev 0: Control of<br>Access, Identification, and<br>Repair                        | II.1 | Conformance to IEEE Std 7-4.3.2               | Conforms    | Digital I&C safety systems and components conform to the identification guidance in Section 5.11 of IEEE Std 7-4.3.2-2003.   | 7.2.9   |
| DSRS 7.2.9, Rev 0: Control of<br>Access, Identification, and<br>Repair                        | II.2 | Conformance to RG 1.75                        | Conforms    | None.  | 7.2.9   |
| DSRS 7.2.10, Rev 0:<br>Interaction Between Sense<br>and Command Features and<br>Other Systems | All  | Varies  | Conforms    | There are no specific DSRS acceptance criteria in this section. However, the guidance provided is used to review the acceptability of the information associated with interaction between sense and command features and other systems.  | 7.2.10  |
| DSRS 7.2.11, Rev 0: Multi-Unit<br>Stations  |      | Conformance to RG 1.53                        | Conforms    | None.  | 7.2.11  |
| DSRS 7.2.12, Rev 0: Automatic<br>and Manual Controls  | II.1 | Conformance to RG 1.62                        | Conforms    | See RG 1.62 in Table 1.9-2.  | 7.2.12  |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                         | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section                                 |
|--|------|---|-----------------------|---|---|
| DSRS 7.2.13, Rev 0: Displays and Monitoring                | II.1 | Conformance to RG 1.97  | Partially Conforms    | See RG 1.97 in Table 1.9-2.   | 7.2.13                                  |
| DSRS 7.2.13, Rev 0: Displays and Monitoring                | II.2 | Conformance to RG 1.47  | Conforms              | None.   | 7.2.13                                  |
| DSRS 7.2.13, Rev 0: Displays<br>and Monitoring             | II.3 | SECY-93-087   | Conforms              | The SRM on SECY-93-087, Item II.T, Control Room Annunciator Alarm Reliability, provides general guidance on the alarm system interface with operator workstations.  | 7.2.13                                  |
| DSRS 7.2.14, Rev 0: Human<br>Factors Considerations        | All  | Various   | Conforms              | There are no specific DSRS acceptance criteria in this section. However, the guidance provided is used to review the acceptability of information associated with human factors considerations.   | 7.2.14                                  |
| DSRS 7.2.15, Rev 0: Capability<br>for Test and Calibration | II.1 | Conformance to IEEE Std 7-4.3.2   | Conforms              | Digital I&C safety systems and components conform to the guidance related to capability for test and calibration in Sections 5.7, 5.5.2, and 5.5.3 of IEEE Std 7-4.3.2-2003.  | 7.2.15                                  |
| DSRS 7.2.15, Rev 0: Capability for Test and Calibration    | II.2 | Conformance to RG 1.118   | Partially Conforms    | See RG 1.118 in Table 1.9-2.  | 7.2.15                                  |
| DSRS 8.1, Rev 0: Electric<br>Power - Introduction          |      | Specific SRP Acceptance Criteria<br>Contained in SRP Sections 8.2, 8.3.1,<br>8.3.2, and 8.4 (summarized in Table 8-<br>1) | Partially Conforms    | DSRS Table 8-1 provides a matrix of the NRC requirements, guidance, and Commission policy documents, and industry codes and standards that are applied as acceptance criteria and guidance to the review of the electrical systems described in Sections 8.2, 8.3.1, 8.3.2, and 8.4. Some of these documents are not relevant or are only partially relevant to the NuScale design. | 8.1.4<br>8.2.2<br>8.3.1<br>8.3.2<br>8.4 |
| DSRS 8.2, Rev 0: Offsite Power<br>System                   | II.1 | Compliance with GDC 5   | Not Applicable        | Conformance with GDC 5 is the responsibility of the COL applicant as described in Section 8.2.2.  | Not Applicable                          |
| DSRS 8.2, Rev 0: Offsite Power<br>System                   | II.2 | Compliance with GDC 17  | Departure             | The NuScale design supports an exemption from GDC 17 that includes the associated requirements for the offsite power system.  | 8.2.3                                   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title              | AC             | AC Title/Description                             | Conformance<br>Status | Comments  | Section        |
|---|----------------|--|-----------------------|---|----------------|
| DSRS 8.2, Rev 0: Offsite Power<br>System        | II.3           | Compliance with GDC 18                           | Departure             | The NuScale design supports an exemption from GDC 18 that includes the associated requirements for the offsite power system.            | 8.2.3          |
| DSRS 8.2, Rev 0: Offsite Power<br>System        | II.4           | Compliance with GDC 33                           | Departure             | The NuScale design supports an exemption from GDC 33.   | 8.2.3          |
| DSRS 8.2, Rev 0: Offsite Power<br>System        | II.4           | Compliance with GDCs 34, 35, 38, 41, and 44      | Departure             | NuScale complies with a set of principal design criteria in lieu of these GDC.  | 8.2.3          |
| DSRS 8.2, Rev 0: Offsite Power<br>System        | II.5           | Compliance with 10 CFR 50.63 -<br>Passive Design | Conforms              | The details regarding conformance with 10 CFR 50.63 are described in Section 8.4, Station Blackout.                                     | 8.2.3<br>8.4   |
| DSRS 8.2, Rev 0: Offsite Power<br>System        | II.6           | Compliance with 10 CFR 50.65(a)(4)               | Not Applicable        | Development of the maintenance rule (10 CFR 50.65) program is the responsibility of the COL applicant referencing the certified design. | Not Applicable |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II.1           | Compliance with GDC 2                            | Conforms              | Onsite AC power systems conform to GDC 2 to the extent described in Section 8.3.1.2.1.  | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II.2           | Compliance with GDC 4                            | Conforms              | Onsite AC power systems conform to GDC 4 to the extent described in Section 8.3.1.2.2.  | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II.3           | Compliance with GDC 5                            | Partially Conforms    | Onsite AC power systems conform to GDC 5 to the extent described in Section 8.3.1.  | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II.4           | Compliance with GDC 17                           | Departure             | The NuScale design supports an exemption from GDC 17 that includes the associated requirements for the onsite AC power system.          | 8.3.1          |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II.5           | Compliance with GDC 18                           | Departure             | The NuScale design supports an exemption from GDC 18 that includes the associated requirements for the onsite AC power system.          | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDC 33                           | Departure             | The NuScale design supports an exemption from GDC 33.   | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDCs 34, 35, 38, 41, and 44      | Departure             | NuScale complies with a set of principal design criteria in lieu of these GDC.  | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II.6           | Compliance with GDC 50                           | Conforms              | The electrical design requirements associated with GDC 50 for electrical penetration assemblies (EPAs) are included in Section 8.3.     | 8.1<br>8.3     |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title              | AC             | AC Title/Description                       | Conformance<br>Status | Comments   | Section        |
|---|----------------|--|-----------------------|--|----------------|
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II.7           | Compliance with 10 CFR 50.65(a)(4)         | Not Applicable        | Development of the maintenance rule (10 CFR 50.65) program - including the identification of SSC that require assessment per 10 CFR 50.65(a)(4) - is the responsibility of the COL applicant referencing the certified design. |                |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II.8           | Compliance with 10 CFR 50.55a(h)           | Not Applicable        | No onsite electrical AC power system equipment is required to conform to 10 CFR 50.55a(h) and IEEE Std. 603-1991.  | Not Applicable |
| DSRS 8.3.1, Rev 0: AC<br>Power Systems (Onsite) | II.9           | Compliance with 10 CFR 52.47(b)(1)         | Conforms              | None.  | 8.1<br>8.3     |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDC 2                      | Conforms              | None.  | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDC 4                      | Conforms              | None.  | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDC 5                      | Conforms              | None.  | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDC 17                     | Departure             | The NuScale design supports an exemption from GDC 17 that includes the associated requirements for the onsite DC power systems.  | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDC 18                     | Departure             | The NuScale design supports an exemption from GDC 18 that includes the associated requirements for the onsite DC power systems.  | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDC 33                     | Departure             | The NuScale design supports an exemption from GDC 33.  | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDC 34, 35, 38, 41, and 44 | Departure             | Nuscale complies with a set of principal design criteria in lieu of these GDC.   | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDC 50                     | Conforms              | The electrical design requirements associated with GDC 50 for electrical penetration assemblies (EPAs) are included in Section 8.3.  | 8.1<br>8.3     |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | II.1           | Conformance with RG 1.32                   | Partially Conforms    | As it applies to certain aspects of the EDSS design.   | 8.3.2          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                                | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|---|------|--|-----------------------|--|----------------|
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)                   | II.2 | Conformance with RG 1.75   | Conforms              | As it applies to certain aspects of the EDSS design.   | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)                   | II.3 | Conformance with RG 1.81   | Partially Conforms    | As it applies to certain aspects of the EDSS design.   | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)                   | II.4 | Conformance with RG 1.118  | Partially Conforms    | As it applies to certain aspects of the EDSS design.   | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)                   | II.5 | Conformance with RG 1.153  | Partially Conforms    | As it applies to certain aspects of the EDSS design.   | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)                   | II.6 | Conformance with RG 1.153  | Partially Conforms    | As it applies to certain aspects of the EDSS design.   | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)                   | II.7 | Conformance with RG 1.63   | Partially Conforms    | See RG 1.63 in Table 1.9-2.  | 8.1<br>8.3.2   |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)                   | II.8 | Conformance with RG 1.160  | Not Applicable        | Development of the maintenance rule (10 CFR 50.65) program - including the identification of SSC that require assessment per 10 CFR 50.65(a)(4) - is the responsibility of the COL applicant referencing the certified design.   | 8.3.2          |
| DSRS 8.4, Rev 0: Station<br>Blackout                              | II.1 | Compliance with 10 CFR 50.63 and the guidelines of RG 1.155                    | Partially Conforms    | None.  | 8.4            |
| DSRS 8.4, Rev 0: Station<br>Blackout                              | II.2 | Use of Alternate AC Power Sources<br>and RTNSS for Plants of Passive<br>Design | Partially Conforms    | As described in Section 8.4, all safety-related functions can be performed without reliance on AC power for 72 hours after an SBO event, and as described in Section 19.3 a RTNSS process has been implemented. Consequently, the Alternate AC Power Source is not applicable to the NuScale design. | 8.4<br>19.3    |
| DSRS 8.4, Rev 0: Station<br>Blackout                              | II.3 | Independence of SBO-related power sources                                      | Partially Conforms    | Although DC power supplies are not required to meet the SBO mitigation requirements of 10 CFR 50.63, the independence of SBO related power supplies (EDSS) is described in Section 8.3.  | 8.3.2<br>8.4.3 |
| SRP Appendix 8-A, Rev1:<br>General Agenda, Station Site<br>Visits | All  | Various  | Not Applicable        | This SRP appendix governs staff visits to plant sites as part of licensing reviews during the operating or COL stage.  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC                       | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|--|--------------------------|--|-----------------------|--|----------------|
| SRP BTP 8-1, Rev 3:<br>Requirements on Motor-<br>Operated Valves in the ECCS<br>Accumulator Lines  | All (B.1 thru<br>B.4)    | Various  | Not Applicable        | The NuScale design does not use safety injection tanks (or equivalent) in response to a design basis accident. Design and operation of the NuScale ECCS also do not involve motor-operated valves.   | Not Applicable |
| SRP BTP 8-2, Rev 3: Use of<br>Diesel-Generator Sets for<br>Peaking   | В.                       | Use of Onsite Emergency Power<br>Diesel-Generator Sets for Purposes<br>Other Than Supplying Standby Power<br>is Prohibited   | Conforms              | The backup diesel generators are used only for supplying standby power to designated loads when needed, and are not interconnected with other AC power sources except for short periods for the purpose of load testing.   | 8.1.1<br>8.3.1 |
| SRP BTP 8-3, Rev 3: Stability of<br>Offsite Power Systems  | B.1                      | Grid Reliability   | Not Applicable        | The analysis of grid stability is the responsibility of the COL applicant that references the NuScale design certification.  | Not Applicable |
| SRP BTP 8-3, Rev 3: Stability of<br>Offsite Power Systems  | B.2                      | Grid Capacity  | Not Applicable        | The analysis of grid stability is the responsibility of the COL applicant that references the NuScale design certification.  | Not Applicable |
| SRP BTP 8-4, Rev 3:<br>Application of the Single<br>Failure Criterion to Manually<br>Controlled Electrically<br>Operated Valves          | All (B.1<br>through B.5) | Various  | Not Applicable        | BTP 8-4 establishes the acceptability of disconnecting power to electrical components of a fluid system as one means of designing against a single failure that might cause an undesirable component action. Removal of electric power from safety-related valves is not used in the design as a means of satisfying the single failure criterion. | Not Applicable |
| SRP BTP 8-5, Rev 3:<br>Supplemental Guidance for<br>Bypass and Inoperable Status<br>Indication for Engineered<br>Safety Features Systems | All (B.1 thru<br>B.6)    | Design Criteria Reflecting Importance<br>of Providing Accurate Information to<br>the Operator and Reducing the<br>Possibility of Adversely Affecting<br>Monitored Safety Systems | Not Applicable        | This BTP does not apply to NuScale electric power systems as these systems are not engineered safety features and are not relied on to support engineered safety features.   | Not Applicable |
| SRP BTP 8-6, Rev 3: Adequacy<br>of Station Electric Distribution<br>System Voltages  | All                      | Criteria for evaluating voltage protection for the offsite power system to assure proper operation and sequencing of Class 1E loads  | Not Applicable        | For the NuScale design, the offsite power system does not supply power to Class 1E loads and does not support safety-related functions.  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section                       |
|---|------|--|-----------------------|--|-------------------------------|
| SRP BTP 8-7, Rev 3: Criteria for<br>Alarms and Indications<br>Associated with Diesel-<br>Generator Unit Bypassed and<br>Inoperable Status | All  | Design Criteria Reflecting Importance<br>of Providing Accurate Information to<br>the Operator and Reducing the<br>Possibility of Adversely Affecting<br>Monitored Safety Systems | Not Applicable        | The NuScale plant does not require or include safety-related emergency diesel generators.  | Not Applicable                |
| SRP BTP 8-8, (Feb 2012):<br>Onsite (Emergency Diesel<br>Generators) and Offsite<br>Power Sources Allowed<br>Outage Time Extensions        | All  | Various  | Not Applicable        | With the nonreliance on AC power for safety-related functions, the operating restrictions (i.e., Technical Specifications Allowed Outage Times) for inoperable AC power sources specified in this guidance are not appropriate to apply. | Not Applicable                |
| SRP BTP 8-9, Rev. 0: Open<br>Phase Conditions in Electric<br>Power System   | B.1  | Electrical system design to address open phase condition   | Partially Conforms    | None.  | 8.2.3                         |
| SRP BTP 8-9, Rev. 0: Open<br>Phase Conditions in Electric<br>Power System   | B.2  | Criteria for evaluating open phase conditions for active plant designs   | Not Applicable        | Not applicable to passive plant designs.   | Not Applicable                |
| SRP BTP 8-9, Rev. 0: Open<br>Phase Conditions in Electric<br>Power System   | B.3  | Criteria for evaluating open phase conditions for passive plant designs  | Partially Conforms    | None.  | 8.2.3                         |
| SRP 9.1.1, Rev 3: Criticality<br>Safety of Fresh and Spent<br>Fuel Storage and Handling   | II.1 | Specific Criteria to Meet GDC 62   | Conforms              | None.  | 9.1.1.3<br>9.1.1.1            |
| Spent Fuel Storage  | II.1 | Specific Criteria to Meet GDC 2  | Conforms              | None.  | 9.1.2.1<br>9.1.2.3            |
| DSRS 9.1.2, Rev 0: New and<br>Spent Fuel Storage  | II.2 | Specific Criteria to Meet GDC 4  | Conforms              | None.  | 9.1.2.1<br>9.1.2.3            |
| DSRS 9.1.2, Rev 0: New and<br>Spent Fuel Storage  | II.3 | Specific Criteria to Meet GDC 5  | Conforms              | None.  | 9.1.2.1<br>9.1.2.3            |
| Spent Fuel Storage  | II.4 | Specific Criteria to Meet GDC 61   | Conforms              | An ESF ventilation system is not required (see RG 1.52).   | 9.1.2.1<br>9.1.2.3            |
| DSRS 9.1.2, Rev 0: New and<br>Spent Fuel Storage  | II.5 | Specific Criteria to Meet GDC 63   | Conforms              | None.  | 9.1.2.1<br>9.1.2.3<br>9.1.2.5 |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title | AC   | AC Title/Description                    | Conformance<br>Status | Comments                                     | Section |
|------------------------------------|------|---|-----------------------|--|---------|
| DSRS 9.1.2, Rev 0: New and         | II.6 | Specific Criteria to Meet               | Conforms              | None.  | 9.1.2.1 |
| Spent Fuel Storage                 |      | 10 CFR 20.1101(b)                       |                       |  | 9.1.2.2 |
|                                    |      |   |                       |  | 9.1.2.3 |
| DSRS 9.1.2, Rev 0: New and         | II.7 | Criticality Monitors and Subcriticality | Conforms              | None.  | 9.1.1   |
| Spent Fuel Storage                 |      | Margin                                  |                       |  |         |
| DSRS 9.1.3, Rev 0: Spent Fuel      | II.1 | Specific Criteria to Meet GDC 2         | Partially Conforms    | The design conforms except that: (1) The     | 9.1.3.1 |
| Pool Cooling and Cleanup           |      |   |                       | normal makeup water supply system and its    | 9.1.3.2 |
| System                             |      |   |                       | source are not seismic Category I and the    | 9.1.3.3 |
|                                    |      |   |                       | system is not designed to Quality Group C    |         |
|                                    |      |   |                       | per RG 1.26. The ultimate heat sink (UHS)    |         |
|                                    |      |   |                       | system is a seismic Category I supply system |         |
|                                    |      |   |                       | and source for spent fuel cooling and        |         |
|                                    |      |   |                       | shielding for accident conditions. A         |         |
|                                    |      |   |                       | redundant UHS makeup supply line is          |         |
|                                    |      |   |                       | designed to Quality Group C and seismic      |         |
|                                    |      |   |                       | Category I requirements. (2) An ESF          |         |
|                                    |      |   |                       | ventilation system is not required (see RG   |         |
|                                    |      |   |                       | 1.52).                                       |         |
| DSRS 9.1.3, Rev 0: Spent Fuel      | II.2 | Specific Criteria to Meet GDC 4         | Partially Conforms    | This design conforms except that: (1) The    | 9.1.3.1 |
| Pool Cooling and Cleanup           |      |   |                       | normal makeup water supply system and its    | 9.1.3.3 |
| System                             |      |   |                       | source are not designed to accommodate       |         |
|                                    |      |   |                       | the effects of postulated accidents. The UHS |         |
|                                    |      |   |                       | system is the supply system and source for   |         |
|                                    |      |   |                       | spent fuel cooling and shielding that are    |         |
|                                    |      |   |                       | designed to accommodate the effects of       |         |
|                                    |      |   |                       | postulated accidents. A redundant UHS        |         |
|                                    |      |   |                       | makeup supply line is designed to meet       |         |
|                                    |      |   |                       | GDC 4. (2) An ESF ventilation system is not  |         |
|                                    |      |   |                       | required (see RG 1.52).                      |         |
| DSRS 9.1.3, Rev 0: Spent Fuel      | II.3 | Specific Criteria to Meet GDC 5         | Conforms              | None.  | 9.1.3.1 |
| Pool Cooling and Cleanup           |      |   |                       |  | 9.1.3.3 |
| System                             |      |   |                       |  |         |
| DSRS 9.1.3, Rev 0: Spent Fuel      | II.4 | Specific Criteria to Meet GDC 61        | Conforms              | None.  | 9.1.3.1 |
| Pool Cooling and Cleanup           |      |   |                       |  | 9.1.3.2 |
| System                             |      |   |                       |  |         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                           | Conformance<br>Status | Comments  | Section            |
|---|------|--|-----------------------|---|--------------------|
| DSRS 9.1.3, Rev 0: Spent Fuel<br>Pool Cooling and Cleanup<br>System       | II.5 | Specific Criteria to Meet GDC 63               | Conforms              | None.   | 9.1.3.1<br>9.1.3.5 |
| DSRS 9.1.3, Rev 0: Spent Fuel<br>Pool Cooling and Cleanup<br>System       | II.6 | Specific Criteria to Meet<br>10 CFR 20.1101(b) | Conforms              | None.   | 9.1.3.1<br>9.1.3.3 |
| DSRS 9.1.3, Rev 0: Spent Fuel<br>Pool Cooling and Cleanup<br>System       | II.7 | ITAAC for Design Certification<br>Applications | Conforms              | None.   | 14.3               |
| DSRS 9.1.3, Rev 0: Spent Fuel<br>Pool Cooling and Cleanup<br>System       | II.8 | ITAAC for Combined License<br>Applications     | Not Applicable        | This acceptance criterion is applicable only to COL applicants. | Not Applicable     |
| SRP 9.1.4, Rev 3: Light Load<br>Handling System (Related to<br>Refueling) | II.1 | Specific Criteria to Meet GDC 2                | Conforms              | None.   | 9.1.4              |
| SRP 9.1.4, Rev 3: Light Load<br>Handling System (Related to<br>Refueling) | II.2 | Specific Criteria to Meet GDC 5                | Conforms              | None.   | 9.1.4              |
| SRP 9.1.4, Rev 3: Light Load<br>Handling System (Related to<br>Refueling) | II.3 | Specific Criteria to Meet GDC 61               | Conforms              | None.   | 9.1.4              |
| SRP 9.1.4, Rev 3: Light Load<br>Handling System (Related to<br>Refueling) | II.4 | Specific Criteria to Meet GDC 62               | Conforms              | None.   | 9.1.4              |
| SRP 9.1.5, Rev 1: Overhead<br>Heavy Load Handling<br>Systems              | II.1 | Specific Criteria to Meet GDC 1                | Conforms              | None.   | 9.1.5              |
| SRP 9.1.5, Rev 1: Overhead<br>Heavy Load Handling<br>Systems              | II.2 | Specific Criteria to Meet GDC 2                | Conforms              | None.   | 9.1.5              |
| SRP 9.1.5, Rev 1: Overhead<br>Heavy Load Handling<br>Systems              | II.3 | Specific Criteria to Meet GDC 4                | Conforms              | None.   | 9.1.5              |
| SRP 9.1.5, Rev 1: Overhead<br>Heavy Load Handling<br>Systems              | 11.4 | Specific Criteria to Meet GDC 5                | Conforms              | None.   | 9.1.5              |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                | AC    | AC Title/Description                                      | Conformance<br>Status | Comments  | Section  |
|---|-------|---|-----------------------|---|--|
| SRP 9.2.1, Rev 5: Station<br>Service Water System | III.1 | Protection Against Natural<br>Phenomena (GDC 2)           | Conforms              | The NuScale site cooling water system (SCWS) does not provide essential cooling to safety-related SSC and is not safety-related or important-to-safety. The applicability of GDC 2 to the SCWS reviewed under this acceptance criterion is limited to aspects ensuring that a failure of the nonsafety-related SCWS does not result in an adverse effect on a Seismic Category I SSC. For the NuScale design, this is provided by the design and construction of the nonsafety related SCWS to meet the provisions of RG 1.29, Staff Regulatory Guidance C.1.i. | 9.2.7<br>(Used for Site<br>Cooling Water<br>System (SCWS)) |
| SRP 9.2.1, Rev 5: Station<br>Service Water System | II.2  | Environmental and Dynamic Effects<br>(GDC 4)              | Partially Conforms    | The NuScale site cooling water system does not provide essential cooling to safety-related SSC and is not considered safety-related or risk-significant. The applicability of GDC 4 to the NuScale cooling water system reviewed under this acceptance criterion is limited to aspects ensuring that a failure of the nonsafety-related SSC does not result in an adverse effect on a safety-related SSC.   | 9.2.7<br>(Used for Site<br>Cooling Water<br>System (SCWS)) |
| SRP 9.2.1, Rev 5: Station<br>Service Water System | II.3  | Sharing of Structures, Systems, and<br>Components (GDC 5) | Conforms              | The NuScale site cooling water system does not provide essential cooling to safety-related SSC and are not safety-related or risk-significant. The design and layout of these systems satisfy GDC 5. Specifically, sharing of the site cooling water system between units has no reasonable likelihood of adversely affecting essential SSC and associated safety functions.  | 9.2.7<br>(Used for Site<br>Cooling Water<br>System (SCWS)) |
| SRP 9.2.1, Rev 5: Station<br>Service Water System | II.4  | Cooling Water System (GDC 44)                             | Not Applicable        | The site cooling water system does not serve a safety-related cooling or accident mitigation function.  | Not Applicable   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                             | AC   | AC Title/Description                           | Conformance<br>Status | Comments   | Section        |
|--|------|--|-----------------------|--|----------------|
| SRP 9.2.1, Rev 5: Station<br>Service Water System              | II.5 | Cooling Water System Inspection (GDC 45)       | Not Applicable        | The site cooling water system does not serve a safety-related cooling or accident mitigation function.   | Not Applicable |
| SRP 9.2.1, Rev 5: Station<br>Service Water System              | II.6 | Cooling Water System Testing (GDC 46)          | Not Applicable        | The site cooling water system does not serve a safety-related cooling or accident mitigation function.   | Not Applicable |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | II.1 | Protection Against Natural<br>Phenomena        | Partially Conforms    | The system function contemplated by this SRP criterion is applicable to the NuScale reactor component cooling water (RCCW) system. This criterion is based on RG 1.29 position C.1 for safety-related portions, and position C.2 for nonsafety-related portions. Position C.1 is not applicable since the RCCW is not safety related. The NuScale RCCW complies with position C.2 in that the SSCs whose structural failure could affect the operability of safety-related SSCs are designed as Seismic Category II. | 9.2.2          |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | II.2 | Environmental and Dynamic Effects              | Partially Conforms    | Additional information pertaining to impact of environmental and dynamic effects provided in Sections 3.5 and 3.6.   | 9.2.2          |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | II.3 | Sharing of Structures, Systems, and Components | Not Applicable        | See comment above for Acceptance<br>Criterion II.1.  | Not Applicable |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | II.4 | Cooling Water System                           | Not Applicable        | See comment above for Acceptance<br>Criterion II.1.  | Not Applicable |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | II.5 | Cooling Water System Inspection                | Not Applicable        | See comment above for Acceptance<br>Criterion II.1.  | Not Applicable |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | II.6 | Cooling Water System Testing                   | Not Applicable        | See comment above for Acceptance<br>Criterion II.1.  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:                               | AC   | AC Title/Description  | Conformance        | Comments   | Section |
|---|------|---|--------------------|--|---------|
| Title   |      |   | Status             |  |         |
| SRP 9.2.4, Rev 3: Potable and<br>Sanitary Water Systems | II.1 | Control of Releases of Radioactive<br>Materials to the PWSW | Partially Conforms | The NuScale potable and sanitary water systems do not interface with system potentially containing radioactivity. The NuScale potable and sanitary water systems are designed such that failure will not result in flooding or other adverse impacts on essential SSC.   | 9.2.4   |
| SRP 9.2.5, Rev 3: Ultimate<br>Heat Sink                 | II.1 | Protection Against Natural<br>Phenomena                     | Partially Conforms | Since RG 1.27 is not applicable to the NuScale design, compliance with GDC 2 is demonstrated by adherence to RG 1.13, Regulatory Positions C.1 and C.2. The NuScale UHS, provides both spent fuel cooling and containment heat removal, and is protected from natural phenomena and site-related events by the Seismic Category I RXB structure and with a Seismic Category I emergency makeup line. | 9.2.5   |
| SRP 9.2.5, Rev 3: Ultimate<br>Heat Sink                 | II.2 | Sharing of Structures, Systems, and Components              | Conforms           | None.  | 9.2.5   |
| SRP 9.2.5, Rev 3: Ultimate<br>Heat Sink                 | II.3 | Cooling Water System  | Partially Conforms | This acceptance criterion is applicable except for aspects related to the use of fiberglass piping (see RG 1.72). The NuScale design does not use fiberglass piping.   | 9.2.5   |
| SRP 9.2.5, Rev 3: Ultimate<br>Heat Sink                 | II.4 | Cooling Water System Inspection                             | Conforms           | None.  | 9.2.5   |
| SRP 9.2.5, Rev 3: Ultimate<br>Heat Sink                 | II.5 | Cooling Water System Testing                                | Conforms           | None.  | 9.2.5   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:                          | AC   | AC Title/Description                                  | Conformance    | Comments  | Section         |
|--|------|---|----------------|---|-----------------|
| Title  |      |   | Status         |   |                 |
| SRP 9.2.6, Rev 3: Condensate<br>Storage Facilities | II.1 | Protection Against Natural<br>Phenomena               | Conforms       | The NuScale design's condensate storage system is neither safety-related nor risk-significant. The condensate storage systems and components are located outside the Seismic Category I reactor building. The effects of discharging water from a condensate storage facility failure have no reasonable potential to adversely impact the operation of safety-related systems or safe operation of the plant.  Consistent with Staff Regulatory Guidance C.1.i of RG 1.29, no portion of the NuScale condensate storage system requires design and construction to withstand the safe-shutdown earthquake to prevent a failure that could adversely affect a Seismic Category I SSC. | 9.2.6<br>10.4.7 |
| SRP 9.2.6, Rev 3: Condensate<br>Storage Facilities | II.2 | Environmental and Dynamic Effects Design Basis        | Conforms       | None.   | 9.2.6<br>10.4.7 |
| SRP 9.2.6, Rev 3: Condensate<br>Storage Facilities | II.3 | Sharing of Structures, Systems, and<br>Components     | Conforms       | Sharing of the condensate storage facilities does not impair the ability of safety-related or risk-significant SSC to perform their safety functions.   | 9.2.6<br>10.4.7 |
| SRP 9.2.6, Rev 3: Condensate<br>Storage Facilities | II.4 | Control of Radioactive Releases to the<br>Environment | Conforms       | None.   | 9.2.6<br>10.4.7 |
| SRP 9.2.6, Rev 3: Condensate<br>Storage Facilities | II.5 | 10 CFR 20.1406 Compliance                             | Conforms       | None.   | 9.2.6<br>10.4.7 |
| SRP 9.2.7, Rev 0: Chilled Water<br>System          | II.1 | Quality Standards and Records                         | Not Applicable | The NuScale CHWS does not perform safety or containment isolation functions.  | Not Applicable  |
| SRP 9.2.7, Rev 0: Chilled Water<br>System          |      | Protection Against Natural<br>Phenomena               | Conforms       | This criterion is based on RG 1.29. The CHWS is not classified as Seismic Category I. The CHWS complies with Staff Regulatory Guidance C.1.i in that the SSC whose failure could adversely affect Seismic Category I SSC are designed as Seismic Category II.   | 9.2.8           |
| SRP 9.2.7, Rev 0: Chilled Water<br>System          | II.3 | Environmental and Dynamic Effects                     | Conforms       | None.   | 9.2.8           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title | AC   | AC Title/Description                   | Conformance<br>Status | Comments                                       | Section        |
|------------------------------------|------|--|-----------------------|--|----------------|
| SRP 9.2.7, Rev 0: Chilled Water    | II 4 | Sharing of Structures, Systems, and    |                       | The NuScale CHWS is a nonsafety-system         | Not Applicable |
| System                             | 11.4 | Components                             | Not Applicable        | and does not perform safety functions.         | Not Applicable |
| SRP 9.2.7, Rev 0: Chilled Water    | 11 5 | Cooling Water System                   | Not Applicable        | The NuScale CHWS is a nonsafety-system         | Not Applicable |
| System                             | L.3  | Cooling water system                   | Not Applicable        | and does not perform safety functions.         | пот Арріісавіе |
| SRP 9.2.7, Rev 0: Chilled Water    | 11.6 | Cooling Water System Inspection        | Not Applicable        | The NuScale CHWS is a nonsafety-system         | Not Applicable |
| System                             | 11.0 | Cooling Water System Inspection        | Not Applicable        | and does not perform safety functions.         | пот Арріїсавіе |
| SRP 9.2.7, Rev 0: Chilled Water    | 11 7 | Cooling Water System Testing           | Not Applicable        | The NuScale CHWS is a nonsafety-system         | Not Applicable |
| System                             | 11.7 | Cooling water system resting           | Not Applicable        | and does not perform safety functions.         | пот Арріісавіе |
| SRP 9.2.7, Rev 0: Chilled Water    | II O | Minimization of Contamination          | Conforms              | The CHWS is at a higher pressure than the      | 9.2.8          |
| System                             | 11.0 | Willimization of Contamination         | Comonis               | LRWS and GRWS where the systems                | 9.2.0          |
| Jystein                            |      |  |                       | interface, precluding introduction of          |                |
|                                    |      |  |                       | radioactive contaminants into the CHWS.        |                |
| SRP 9.3.1, Rev 2: Compressed       | II 1 | Specific Criteria to Meet GDC 1        | Not Applicable        | NuScale compressed air systems are non-        | Not Applicable |
| Air System                         |      | Specific criteria to Meet abe 1        | Not Applicable        | safety, non-risk-significant systems.          | тос пррисавіс  |
| SRP 9.3.1, Rev 2: Compressed       | II 2 | Specific Criteria to Meet GDC 2        | Not Applicable        | NuScale compressed air systems are non-        | Not Applicable |
| Air System                         |      | Specific criteria to Meet GD C 2       | Notripplicable        | safety, non-risk-significant systems.          | тострысскоге   |
| SRP 9.3.1, Rev 2: Compressed       | II.3 | Specific Criteria to Meet GDC 5        | Conforms              | None.  | 9.3.1          |
| Air System                         |      |  |                       |  | 2.51.          |
| SRP 9.3.1, Rev 2: Compressed       | 11.4 | Specific Criteria to Meet 10 CFR 50.63 | Partially Conforms    | The intent of this acceptance criterion and    | 9.3.1          |
| Air System                         |      |  | Tartiany comoning     | its subtier guidance - to maintain the ability | 8.4            |
|                                    |      |  |                       | to withstand and recover from a SBO lasting    |                |
|                                    |      |  |                       | a specified minimum duration - is              |                |
|                                    |      |  |                       | applicable. However, much of the language      |                |
|                                    |      |  |                       | refers to reactor plant designs such as large  |                |
|                                    |      |  |                       | LWRs, and is not relevant to the NuScale       |                |
|                                    |      |  |                       | plant design. The NuScale plant design         |                |
|                                    |      |  |                       | meets the intent of this guidance with its     |                |
|                                    |      |  |                       | passive design and reduced reliance on AC      |                |
|                                    |      |  |                       | power to cope with design-basis events.        |                |
|                                    |      |  |                       | Specifically, compressed air is not required   |                |
|                                    |      |  |                       | to achieve core cooling in the event of a      |                |
|                                    |      |  |                       | station blackout in the NuScale design.        |                |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section        |
|--|------|---|-----------------------|--|----------------|
| DSRS 9.3.2, Rev 3: Process and<br>Post-Accident Sampling<br>Systems                                    |      | Sampling Capability   | Partially Conforms    | This acceptance criterion is applicable except for aspects that are BWR-specific, or not part of the NuScale design (e.g., refueling water storage tank, pressurizer relief tank, and containment sump). | 9.3.2          |
| DSRS 9.3.2, Rev 3: Process and<br>Post-Accident Sampling<br>Systems                                    |      | 10 CFR 20.1406. Minimization of contamination   | Conforms              | None.  | 9.3.2          |
| DSRS 9.3.2, Rev 3: Process and<br>Post-Accident Sampling<br>Systems                                    | II.3 | Technical Specifications  | Not Applicable        | This was addressed in NRC-approved TSTF 366-A and is no longer applicable.   | Not Applicable |
| DSRS 9.3.2, Rev 3: Process and<br>Post-Accident Sampling<br>Systems                                    | II.4 | Process Sampling System Functional<br>Design  | Conforms              | None.  | 9.3.2          |
| DSRS 9.3.2, Rev 3: Process and<br>Post-Accident Sampling<br>Systems                                    | II.5 | Seismic Design and Quality Group<br>Classification  | Conforms              | None.  | 9.3.2          |
| DSRS 9.3.2, Rev 3: Process and<br>Post-Accident Sampling<br>Systems                                    | II.6 | ITAAC   | Conforms              | None.  | 9.3.2          |
| SRP 9.3.3, Rev 3: Equipment and Floor Drainage System  | II.1 | Protection Against Natural<br>Phenomena   | Conforms              | None.  | 9.3.3          |
| SRP 9.3.3, Rev 3: Equipment and Floor Drainage System  | II.2 | Environmental and Dynamic Effects   | Conforms              | None.  | 9.3.3          |
| SRP 9.3.3, Rev 3: Equipment and Floor Drainage System  | II.3 | Control of Releases of Radioactive<br>Material to the Environment   | Conforms              | No portions of the NuScale drain system penetrate the containment barrier.   | 9.3.3          |
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | II.1 | CVCS Functional Performance during<br>Adverse Environmental Phenomena;<br>Pumping Capacity; and defense-in-<br>depth RCS makeup | Partially Conforms    | The only CVCS safety-related function is to preclude an inadvertent boron dilution of the reactor coolant system.  | 9.3.4          |
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | II.2 | Single Failure Criteria and GDC 5   | Conforms              | The single-failure criteria apply only to the two safety-related demineralized water isolation valves provided to preclude an inadvertent boron dilution of the reactor coolant system.                  | 9.3.4          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|--|------|---|-----------------------|---|----------------|
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | II.3 | Minimization of contamination   | Conforms              | None.   | 9.3.4          |
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | II.4 | Components of the RCPB, quality classification and seismic design classification      | Conforms              | The CVCS is located outside the RCPB.   | 9.3.4          |
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | II.5 | Chemical and Volume Control System<br>Design and Arrangement                          | Conforms              | None.   | 9.3.4          |
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | II.6 | Detection of Reactor Coolant Leakage<br>Outside Containment                           | Conforms              | None.   | 9.3.4          |
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | II.7 | Prevention of CVCS Holdup Tank Wall<br>Buckling/Failure; CVCS Venting and<br>Draining | Partially Conforms    | A portion of this acceptance criterion is applicable but the specific language refers to CVCS designs that are not relevant to the NuScale design. The NuScale CVCS design does not have holdup tanks that are subject to the vacuum conditions in subtier Bulletin 80-05. The last sentence of this acceptance criterion is applicable to the NuScale CVCS design, which will include appropriate venting and draining capability. | 9.3.4          |
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | II.8 | ITAAC   | Conforms              | None.   | 9.3.4<br>14.3  |
| SRP 9.3.5, Rev 3: Standby<br>Liquid Control System (BWR)   | All  | Various   | Not Applicable        | This SRP section and its acceptance criteria are applicable only to BWRs.   | Not Applicable |
| DSRS 9.3.6, Rev 0:<br>Containment Evacuation and<br>Flooding Systems                                   | II.1 | GDC 2   | Conforms              | None.   | 9.3.6          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:  | AC   | AC Title/Description                | Conformance | Comments                                   | Section |
|----------------------------|------|-------------------------------------|-------------|--|---------|
| Title                      |      |                                     | Status      |  |         |
|                            | II.2 | GDC 60                              | Conforms    | None.                                      | 9.3.6   |
| Containment Evacuation and |      |                                     |             |  |         |
| Flooding Systems           |      |                                     |             |  |         |
| DSRS 9.3.6, Rev 0:         | II.3 | TMI 10 CFR 50.34(f)                 | Conforms    | None.                                      | 9.3.6   |
| Containment Evacuation and |      |                                     |             |  |         |
| Flooding Systems           |      |                                     |             |  |         |
| SRP 9.4.1, Rev 3: Control  | II.1 | Protection Against Natural          | Conforms    | None.                                      | 9.4.1   |
| Room Area Ventilation      |      | Phenomena                           |             |  |         |
| System                     |      |                                     |             |  |         |
| SRP 9.4.1, Rev 3: Control  | II.2 | Environmental and Dynamic Effects   | Conforms    | None.                                      | 9.4.1   |
| Room Area Ventilation      |      |                                     |             |  |         |
| System                     |      |                                     |             |  |         |
| SRP 9.4.1, Rev 3: Control  | II.3 | Sharing of Structures, Systems, and | Conforms    | Operation of the CRVS is part of normal    | 9.4.1   |
| Room Area Ventilation      |      | Components                          |             | plant operations. All modules share the    |         |
| System                     |      |                                     |             | same control room.                         |         |
| SRP 9.4.1, Rev 3: Control  | II.4 | Control Room                        | Conforms    | None.                                      | 9.4.1   |
| Room Area Ventilation      |      |                                     |             |  |         |
| System                     |      |                                     |             |  |         |
| SRP 9.4.1, Rev 3: Control  | II.5 | Control of Releases of Radioactive  | Conforms    | This acceptance criterion is applicable    | 9.4.1   |
| Room Area Ventilation      |      | Material to the Environment         |             | except for aspects related to ESF          |         |
| System                     |      |                                     |             | atmosphere cleanup systems. The NuScale    |         |
|                            |      |                                     |             | control room habitability system neither   |         |
|                            |      |                                     |             | relies on nor uses emergency filtration to |         |
|                            |      |                                     |             | protect operators during accident          |         |
|                            |      |                                     |             | conditions. Rather, clean air is provided  |         |
|                            |      |                                     |             | using compressed air tanks.                |         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                           | AC   | AC Title/Description                  | Conformance<br>Status | Comments   | Section |
|--|------|---------------------------------------|-----------------------|--|---------|
| SRP 9.4.1, Rev 3: Control<br>Room Area Ventilation<br>System | 11.6 | Loss of All Alternating Current Power | Conforms              | The intent of this acceptance criterion and its subtier guidance - to maintain the ability to withstand and recover from a station blackout (SBO) lasting a specified minimum duration - is applicable. However, much of the specific language refers to reactor plant designs such as large LWRs, and is not relevant to the NuScale plant design. The NuScale plant design meets the intent of this guidance with its passive design and reduced reliance on AC power to cope with design basis events. Consistent with Commission policy, this coping capability eliminates safety benefit a typical large LWR gains by having an alternate AC power source (e.g., gas turbine generator) for station blackout. Moreover, and specific to this SRP Section 9.4.1 acceptance criterion, the control room habitability system (Section 6.4) relies on compressed air tanks to pressurize the control room envelope in the event of an SBO.  The design of the main control room and the surrounding walls, ceiling, and structure act as a passive heat sink to maintain the environment within acceptable conditions in the event of an SBO. | 9.4.1   |
| SRP 9.4.2, Rev 3: Spent Fuel<br>Pool Area Ventilation System | II.1 | Compliance with GDC 2                 | Conforms              | None.  | 9.4.2   |
| SRP 9.4.2, Rev 3: Spent Fuel<br>Pool Area Ventilation System | II.2 | Compliance with GDC 5                 | Conforms              | None.  | 9.4.2   |
| SRP 9.4.2, Rev 3: Spent Fuel<br>Pool Area Ventilation System | II.3 | Compliance with GDC 60                | Conforms              | This acceptance criterion is applicable except for aspects related to ESF atmosphere cleanup systems (see RG 1.52).  | 9.4.2   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                                     | AC                      | AC Title/Description                     | Conformance<br>Status | Comments   | Section        |
|--|-------------------------|--|-----------------------|--|----------------|
| Pool Area Ventilation System   | II.4                    | Compliance with GDC 61                   | Conforms              | This acceptance criterion is applicable except for aspects related to ESF atmosphere cleanup systems, as described in the comment above for RG 1.52 subtier to Acceptance Criterion II.3.  | 9.4.2          |
| SRP 9.4.3, Rev 3: Auxiliary and<br>Radwaste Area Ventilation<br>System | II.1                    | Compliance with GDC 2                    | Conforms              | None.  | 9.4.3          |
| SRP 9.4.3, Rev 3: Auxiliary and<br>Radwaste Area Ventilation<br>System | II.2                    | Compliance with GDC 5                    | Conforms              | None.  | 9.4.3          |
| SRP 9.4.3, Rev 3: Auxiliary and<br>Radwaste Area Ventilation<br>System |                         | Compliance with GDC 60                   | Not Applicable        | The RWBV system does not filter exhaust. Exhaust is filtered by the RBV system.  | Not Applicable |
| SRP 9.4.4, Rev 3: Turbine Area<br>Ventilation System                   | All (II.1 thru<br>II.3) | Compliance with GDC 2, GDC 5, and GDC 60 | Not Applicable        | This SRP section and its acceptance criteria (II.1 through II.3) are applicable only to LWR designs that rely on the turbine area ventilation system, or portions thereof, to fulfill safety-related or risk-significant functions. The NuScale Turbine Building HVAC system (TBVS) is not relied on to control airborne radioactivity concentrations in the Turbine Building and gaseous effluents during normal operations (including anticipated operational occurrences) and after any accidents that result in a radioactive material release. Furthermore, there are no requirements for TBVS performance needed to preclude adverse effects on safety-related functions during all conditions of plant operation. | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                                   | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|--|------|---|-----------------------|---|----------------|
| SRP 9.4.5, Rev 3: Engineered<br>Safety Feature Ventilation<br>System | All  | Various   | Not Applicable        | This SRP Section addresses ESF ventilation systems designed for fission product removal in a post-design basis accident environment. The NuScale design does not rely on ESF ventilation systems to mitigate the consequences of a design basis accident. Non-safety-related normal ventilation systems provide atmosphere cleanup capability, as necessary, that meets the design, testing, and maintenance guidelines specified in RG 1.140. These systems are not credited for meeting applicable offsite dose limits. | Not Applicable |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program                       | II.1 | Fire Protection Probabilistic Risk<br>Assessment (Including Appendix C)           | Not Applicable        | Development and implementation of a risk-informed, performance-based fire protection program would be the responsibility of COL applicants that reference the NuScale design, and that elect to implement the provisions of 10 CFR 50.48(c).  | Not Applicable |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program                       | II.2 | Fire Protection Program Considerations for License Renewal (Including Appendix B) | Not Applicable        | This acceptance criterion is applicable only to reactor licensees seeking license renewal.  | Not Applicable |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program                       | II.3 | NRC Staff Positions and Guidelines on Fire Protection                             | Partially Conforms    | This acceptance criterion is applicable except NuScale will use the current year subtier documents.   | 9.5.1          |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program                       | II.4 | Fire Protection for Permanently<br>Shutdown and Decommissioning<br>Reactor Plants | Not Applicable        | This acceptance criterion (RG 1.191) is applicable only to reactor licensees that have submitted the necessary certifications for license termination under 10 CFR 50.82.   | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section                               |
|---|------|--|-----------------------|---|---------------------------------------|
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program  | II.5 | Fire Protection Program for New<br>Reactor Combined License<br>Applications            | Partially Conforms    | This acceptance criterion and its subtier guidance apply to COL applicants under 10 CFR 52. COL applicants referencing a certified design would be responsible for implementing this guidance.  Notwithstanding the above, NuScale, as an applicant for a design certification, would consider this guidance to be applicable to the design certification application to the extent necessary to ensure that the COL applicant can satisfy this guidance.   | 9.5.1<br>Appendix 9A                  |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program  | II.6 | Enhanced Fire Protection Criteria for<br>New Reactor Designs (Including<br>Appendix A) | Partially Conforms    | The enhanced fire protection criteria for new reactor designs specify passive separation of redundant trains as the preferred approach to ensure safeshutdown capability. Due to the modular nature and small size of the NuScale Power Module, it is not feasible in all instances to provide installed passive separation of redundant trains. When train separation is not feasible, fire protection for redundant shutdown systems is employed to ensure, to the extent practicable, such that one shutdown division will be free of fire damage. | 9.5.1<br>Table 9.5.1-2<br>Appendix 9A |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program  | II.7 | Operational Program and Proposed<br>Implementation Milestones                          | Not Applicable        | The information governed by this acceptance criterion is the responsibility of the COL applicant.   | Not Applicable                        |
| SRP 9.5.1.2, Rev 0: Risk-<br>Informed, Performance-<br>Based Fire Protection<br>Program | All  | Various  | Not Applicable        | Development and implementation of a risk-informed, performance-based fire protection program would be the responsibility of COL applicants that reference the NuScale design, and that elect to implement the provisions of 10 CFR 50.48(c).  | Not Applicable                        |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:                   | AC   | AC Title/Description  | Conformance        | Comments   | Section        |
|---|------|---|--------------------|--|----------------|
| Title                                       |      |   | Status             |  |                |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.1 | Emergency Facilities and Equipment  | Partially Conforms | This acceptance criterion governs site-<br>specific emergency response<br>communication systems that are the<br>responsibility of the COL applicant.   | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.2 | Onsite Technical Support Center and<br>Operational Support Center                                       | Partially Conforms | The NuScale standard plant design will include provisions for an onsite technical support center and an onsite operational support center as specified by 10 CFR 50.34(f)(2)(xxv) and this acceptance criterion. However, communication systems serving these facilities in support of emergency response are part of the sitespecific design that are the responsibility of the COL applicant.  | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.3 | Emergency Facilities and Equipment<br>for Meeting 10 CFR 52.47(a)(8)                                    | Partially Conforms | The NuScale design includes provisions for design-specific emergency facilities (i.e., pertain to design features, facilities, functions, and equipment that are technically relevant to the NuScale standard plant design), consistent with 10 CFR 50.47(a)(8) and this acceptance criterion. However, communication systems and equipment serving these facilities in support of emergency response are part of the site-specific design that are the responsibility of the COL applicant. | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.4 | Design, Fabrication, Erection,<br>Construction, Testing, and Inspection<br>of SSC to Meet 10 CFR 50.55a | Not Applicable     | None.  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:                   | AC    | AC Title/Description      | Conformance    | Comments  | Section        |
|---|-------|---------------------------|----------------|---|----------------|
| Title                                       |       | _                         | Status         |   |                |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.5  | ITAAC                     | Conforms       | The aspects of this acceptance criterion within the scope of the NuScale design are applicable to the DCA. Aspects related to site-specific design, fabrication, erection, construction, testing, and inspection of SSC, and maintenance of records for activities throughout the life of the facility, are the responsibility of the COL applicant referencing the certified design. | Ch 14          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.6  | ITAAC for a COL applicant | Not Applicable | COL applicant responsibility to prepare COL-specific ITAAC.   | Not Applicable |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.7  | Compliance with GDC 1     | Conforms       | Design documents are developed that comply with the requirements of GDC-1 for the plant relative to application of quality standards in support of design, fabrication, erection, and testing of communication systems. The COL applicant must comply with GDC-1 for site-specific scope.   | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.8  | Compliance with GDC 2     | Conforms       | Design documents are developed to meet requirements of GDC-2 for protection from natural phenomena as it relates to communication equipment.  | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.9  | Compliance with GDC 3     | Conforms       | None.   | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.10 | Compliance with GDC 4     | Conforms       | Design documents are developed for the communications systems comply with the requirements of GDC-4 for protection from deleterious impact of environmental and dynamic effects.  | 9.5.2          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title          | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|-------|---|-----------------------|---|----------------|
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.11 | Compliance with GDC 19  | Conforms              | Design documents are developed to meet requirements of GDC-19 for ensuring that communication equipment is provided at appropriate locations inside the control room with the capability to support all normal and emergency operations, including intra-plant communications and plant to emergency facilities and offsite communication requirements even in the event of a single failure within a communication subsystem or the loss of the normal power source. The design addresses control room communications so that control room can maintain communications with site and offsite entities during normal and accident conditions. | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.12 | Compliance with<br>10 CFR 73.45(e)(2)(iii),<br>10 CFR 73.45(g)(4)(i), and<br>10 CFR 73.45(g)(4)(ii) | Not Applicable        | This acceptance criterion is applicable only to licensees subject to 10 CFR 73.45 and the general performance requirements of 10 CFR 73.20. The NuScale design does not reprocess spent fuel or use or transport special nuclear material.  | Not Applicable |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.13 | Compliance with 10 CFR 73.46(f)   | Conforms              | Much of this acceptance criterion governs site-specific, programmatic aspects of physical security communication systems that are the responsibility of the COL applicant referencing the certified design. Aspects of this acceptance criterion that are related to the physical design of the power reactor and communication systems within the scope of the certified design are applicable to the DCA.   | 9.5.2          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title          | AC                      | AC Title/Description  | Conformance<br>Status | Comments  | Section                                 |
|---|-------------------------|---|-----------------------|---|---|
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.14                   | Compliance with<br>10 CFR 73.55(e)(9)(vi)(B)                            | Conforms              | Much of this acceptance criterion governs site-specific, programmatic aspects of physical security communication systems that are the responsibility of the COL applicant referencing the NuScale design. Aspects of this acceptance criterion that are related to the physical design of the power reactor and communication systems within the scope of the certified design are applicable to the DCA. | 13.6 (via Security<br>Technical Report) |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.15                   | Compliance with 10 CFR 73.55(j)   | Partially Conforms    | Design focus pertains to addressing requirements for physical protection of licensed activities in nuclear power reactors against radiological sabotage and the communication requirements necessary to afford this protection. Elements of this design fall under the COL applicant and are addressed as part of the facility physical security plan.  | 13.6                                    |
| SRP 9.5.3, Rev 3: Lighting<br>Systems       | II.1                    | Integrated Design of the System   | Conforms              | None.   | 9.5.3                                   |
| SRP 9.5.3, Rev 3: Lighting<br>Systems       | II.2                    | Emergency Lighting System(s)  | Conforms              | None.   | 9.5.3                                   |
| SRP 9.5.3, Rev 3: Lighting<br>Systems       | II.3                    | Lighting Levels   | Conforms              | None.   | 9.5.3                                   |
|   | All (II.1 thru<br>II.4) | Compliance with GDC 2, GDC 4, GDC 5, and GDC 17                         | Not Applicable        | The NuScale plant design does not require or include safety-related emergency diesel generators that would be subject to this SRP section. No AC or DC power is relied upon for the performance of NuScale plant safety functions.  | Not Applicable                          |
|   | All (II.1 thru<br>II.7) | Compliance with GDC 2, GDC 4, GDC 5, GDC 17, GDC 44, GDC 45, and GDC 46 | Not Applicable        | The NuScale plant design does not require or include safety-related emergency diesel generators that would be subject to this SRP section. No AC or DC power is relied upon for the performance of NuScale plant safety functions.  | Not Applicable                          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:  | AC                      | AC Title/Description   | Conformance        | Comments   | Section        |
|--|-------------------------|--|--------------------|--|----------------|
| Title  |                         |  | Status             |  |                |
| Diesel Engine Starting System  |                         | Compliance with GDC 2, GDC 4, GDC 5, and GDC 17  | Not Applicable     | The NuScale plant design does not require or include safety-related emergency diesel generators that would be subject to this SRP section. No AC or DC power is relied upon for the performance of NuScale plant safety functions. | Not Applicable |
| SRP 9.5.7, Rev 3: Emergency<br>Diesel Engine Lubrication<br>System                       | All (II.1 thru<br>II.4) | Compliance with GDC 2, GDC 4, GDC 5, and GDC 17  | Not Applicable     | The NuScale plant design does not require or include safety-related emergency diesel generators that would be subject to this SRP section. No AC or DC power is relied upon for the performance of NuScale plant safety functions. | Not Applicable |
| SRP 9.5.8, Rev 3: Emergency<br>Diesel Engine Combustion Air<br>Intake and Exhaust System | All (II.1 thru<br>II.4) | Compliance with GDC 2, GDC 4, GDC 5, and GDC 17  | Not Applicable     | The NuScale plant design does not require or include safety-related emergency diesel generators that would be subject to this SRP section. No AC or DC power is relied upon for the performance of NuScale plant safety functions. | Not Applicable |
| SRP 10.2, Rev 3: Turbine<br>Generator  | II.1                    | Protect SSC important to safety from<br>the effects of turbine missiles with a<br>turbine overspeed protection system<br>(GDC 4) | Partially Conforms | The combination of turbine rotor inspections and the low probability of turbine missile generation is sufficient to protect SSC from the adverse effects of turbine missiles.  | 10.2.2         |
| SRP 10.2, Rev 3: Turbine<br>Generator  | II.2                    | Inservice Inspection covering valves essential for overspeed protection.   | Conforms           | None.  | 10.2.2         |
| SRP 10.2, Rev 3: Turbine<br>Generator  | II.3                    | Prevention of Adverse Effects on<br>Safety-Related SSC in the Turbine<br>Building  | Not Applicable     | There are no safety-related SSC in the Turbine Building.   | Not Applicable |
| DSRS 10.2.3, Rev 0: Turbine<br>Rotor Integrity   | II.1                    | Materials Selection  | Conforms           | None.  | 10.2.3         |
| _ ,  | II.2                    | Fracture Toughness   | Conforms           | None.  | 10.2.3         |
|  | II.3                    | Pre-Service Inspection   | Conforms           | None.  | 10.2.3         |
| DSRS 10.2.3, Rev 0: Turbine<br>Rotor Integrity   | II.4                    | Turbine Rotor Design   | Conforms           | None.  | 10.2.3         |

| SRP or DSRS Section, Rev:<br>Title                         | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|--|------|--|-----------------------|---|----------------|
| DSRS 10.2.3, Rev 0: Turbine<br>Rotor Integrity             | II.5 | Inservice Inspection   | Conforms              | None.   | 10.2.3         |
| DSRS 10.2.3, Rev 0: Turbine<br>Rotor Integrity             | II.6 | 10 CFR 52.47(b)(1) ITAAC   | Conforms              | Including ITAAC because it is noted as DSRS guidance.   | 10.2.3         |
| DSRS 10.3, Rev 0: Main Steam<br>Supply System              | II.1 | Protection against natural phenomena (GDC 2)   | Conforms              | The NuScale main steam system (MSS) is not safety-related, but the portion of the system downstream of the main steam isolation valves (MSIV) inside the RXB includes the secondary MSIVs which act as backup to the MSIVs. Functionality is ensured by the design and construction of the MSS to the provisions of RG 1.29, Staff Regulatory Guidance C.1.i and C.2. | 10.3.1         |
| DSRS 10.3, Rev 0: Main Steam<br>Supply System              | II.2 | Protection of SSC important to safety from the effects of turbine missiles (GDC 4)                         | Conforms              | The NuScale MSS is not safety-related or risk-significant. Thus, the applicability of GDC 4 to the NuScale MSS reviewed under this acceptance criterion is limited to aspects ensuring that a failure of the nonsafety-related SSC does not result in an adverse effect on a safety-related SSC.  | 10.3.1         |
| DSRS 10.3, Rev 0: Main Steam<br>Supply System              | II.3 | Shared SSC important to safety perform required safety functions (GDC 5)                                   | Conforms              | None.   | 10.3.1         |
| DSRS 10.3, Rev 0: Main Steam<br>Supply System              | II.4 | MSS is capable of supporting core cooling or safe-shutdown (non-DBA) in the event of an SBO (10 CFR 50.63) | Partially Conforms    | The intent of this acceptance criterion and its subtier guidance is applicable. The NuScale plant design meets the intent of this guidance with its passive design and reduced reliance on AC power to cope with design basis events.   | 10.3.1         |
| DSRS 10.3, Rev 0: Main Steam<br>Supply System              | II.5 | Protection of Important-to-Safety SSC from Tornado Missiles (RG 1.117, Appendix Positions 2 and 4)         | Conforms              | None.   | 10.3.1         |
| Feedwater System Materials                                 | II.1 | Materials Selection and Fabrication of Class 2 and 3 Components  | Not Applicable        | The NuScale design as described in Section 10.3.6 contains no Class 2 or 3 components.  | Not Applicable |
| SRP 10.3.6, Rev 3: Steam and<br>Feedwater System Materials | II.2 | Fracture Toughness of Class 2 and 3<br>Components  | Not Applicable        | The NuScale design as described in Section 10.3.6 contains no Class 2 or 3 components.  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                        | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|------|--|-----------------------|---|----------------|
| SRP 10.4.1, Rev 3: Main<br>Condensers                     | II.1 | Prevent excessive releases of radioactivity to the environment (GDC 60)  | Conforms              | None.   | 10.4.1         |
| SRP 10.4.2, Rev 3: Main<br>Condenser Evacuation<br>System | II.1 | Prevent excessive releases of radioactivity to the environment (GDC 60)  | Conforms              | None.   | 10.4.2         |
| SRP 10.4.3, Rev 3: Turbine<br>Gland Seal                  | II.1 | Prevent excessive releases of radioactivity to the environment (GDC 60)  | Conforms              | None.   | 10.4.3         |
| SRP 10.4.4, Rev 3: Turbine<br>Bypass System               | II.1 | Piping Failures (GDC 4)  | Conforms              | None.   | 10.4.4         |
| SRP 10.4.4, Rev 3: Turbine<br>Bypass System               | II.2 | Residual Heat Removal (GDC 34)   | Departure             | The NuScale design supports an exemption from the power provisions of GDC 34. As described in Section 3.1.4, the design complies with a NuScale-specific principal design criterion in lieu of this GDC.  | 10.4.4         |
| SRP 10.4.4, Rev 3: Turbine<br>Bypass System               | II.3 | MSIV Alternate Leakage Path  | Not Applicable        | BWR only.   | Not Applicable |
| SRP 10.4.5, Rev 3: Circulating<br>Water System            | II.1 | Flooding of SSC important to safety (GDC 4)  | Conforms              | None.   | 10.4.5         |
| SRP 10.4.6, Rev 3: Condensate<br>Cleanup System           | II.1 | Maintain direct cycle BWR plant water quality to avoid corrosion-induced failure of the reactor coolant pressure boundary (GDC 14) | Not Applicable        | BWR only.   | Not Applicable |
| SRP 10.4.6, Rev 3: Condensate<br>Cleanup System           | II.2 | Maintain indirect cycle PWR water quality to avoid corrosion-induced failure of the reactor coolant pressure boundary (GDC 14)     | Conforms              | In the NuScale SG design, the primary water is outside the steam generator tubes, the secondary water is inside the tubes, and there is no SG blowdown so the secondary chemistry requirements for the NuScale design differ from those outlined in the referenced EPRI report. | 10.4.6         |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | II.1 | Seismic Events (GDC 2)   | Conforms              | None.   | 10.4.7         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:                                 | AC   | AC Title/Description                                   | Conformance        | Comments  | Section        |
|---|------|--|--------------------|---|----------------|
| Title   |      |  | Status             |   |                |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | II.2 | Fluid Instabilities (GDC 4)                            | Partially Conforms | The intent of this acceptance criterion and its subtier guidance - to satisfy GDC 4 related to protecting SSC from fluid flow instability effects such as water hammer - is applicable. However, much of the specific language in the subtier guidance refers to reactor plant designs such as large LWRs, and is not relevant to the NuScale plant design. | 10.4.7         |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | II.3 | Sharing of Structures, Systems, and Components (GDC 5) | Conforms           | None.   | 10.4.7         |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | II.4 | Heat Removal Capability (GDC 44)                       | Not Applicable     | The CFWS is not a system used to transfer heat to an ultimate heat sink.  | Not Applicable |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | II.5 | Inspection (GDC 45)                                    | Not Applicable     | The CFWS is not a system used to transfer heat to an ultimate heat sink.  | Not Applicable |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | II.6 | Testing (GDC 46)                                       | Not Applicable     | The CFWS is not a system used to transfer heat to an ultimate heat sink.  | Not Applicable |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | II.7 | Flow Accelerated Corrosion                             | Conforms           | None.   | 10.4.7         |
| SRP 10.4.8, Rev 3: Steam<br>Generator Blowdown System     | All  | Various  | Not Applicable     | The NuScale steam generator design does not use a blowdown system.  | Not Applicable |
| SRP 10.4.9, Rev 3: Auxiliary<br>Feedwater System (PWR)    | All  | Various  | Not Applicable     | The NuScale design neither requires nor uses an auxiliary feedwater system. The NuScale decay heat removal system (DHRS) performs some functions similar to an auxiliary feedwater system. However, as compared to an auxiliary feedwater system, the DHRS differs in its design, operation, and relationship to the small break LOCA plant response.       | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC                    | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|---|-----------------------|--|-----------------------|--|----------------|
| BTP 10-1, Rev 3: Design<br>Guidelines for Auxiliary<br>Feedwater System Pump<br>Drive and Power Supply<br>Diversity for Pressurized<br>Water Reactor Plants |                       | Design Guidelines for Auxiliary<br>Feedwater System Pump Drive and<br>Power Supply Diversity | Not Applicable        | This guidance is applicable only to large PWRs that use Auxiliary Feedwater (AFW) system pumps powered by electrical and steam sources. The NuScale DHRS fulfills a similar function as the AFW system at a large PWR. The NuScale DHRS design does not use pumps: it operates via passive natural circulation.  | Not Applicable |
| BTP 10-2, Rev 4: Design<br>Guidelines for Avoiding<br>Water Hammers in Steam<br>Generators  | TFSGD B.1 thru<br>B.4 | Top-Feed Steam Generator Designs   | Not Applicable        | The NuScale plant design does not use a top-feed steam generator design.   | Not Applicable |
| BTP 10-2, Rev 4: Design<br>Guidelines for Avoiding<br>Water Hammers in Steam<br>Generators  | PSGD B.1 thru<br>B.4  | Preheat Steam Generator Designs  | Not Applicable        | The NuScale plant design does not use a preheat steam generator design.  | Not Applicable |
| BTP 10-2, Rev 4: Design<br>Guidelines for Avoiding<br>Water Hammers in Steam<br>Generators  | OTSGD B.1             | Once-Through Steam Generator<br>Designs - Auxiliary Feedwater Supply                         | Not Applicable        | This acceptance criterion is applicable only to large PWRs that use a once-through steam generator design. The NuScale plant design does not involve an AFW system as would be found at a typical large LWR, but does include the DHRS that fulfills a similar function as a typical AFW system. However, the NuScale steam generator design precludes potential water hammer issues without providing DHRS water through an externally mounted supply top discharge header as is prescribed by this acceptance criterion. | Not Applicable |
| BTP 10-2, Rev 4: Design<br>Guidelines for Avoiding<br>Water Hammers in Steam<br>Generators  | OTSGD B.2             | Once-Through Steam Generator<br>Designs - Tests and Test Procedures                          | Conforms              | None.  | 5.4.1          |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms   | II.1                  | RG 1.110   | Partially Conforms    | See RG 1.110 in Table 1.9-2.   | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms   | II.2                  | RG 1.112   | Partially Conforms    | See RG 1.112 in Table 1.9-2.   | 11.1           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title        | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section        |
|---|------|---|-----------------------|--|----------------|
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | II.3 | RG 1.140  | Partially Conforms    | RG 1.140 in Table 1.9-2.   | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | 11.4 | DC/COL-ISG-5  | Not Applicable        | The NuScale design is similar to large PWRs in the existing fleet for effluent release calculations. However, an alternate methodology is necessary because the existing PWRGALE code was developed in the 1980s for evaluation of the PWR reactors of that time and does not address the NuScale plant design.  | Not Applicable |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | II.5 | normal operation and AOO sources of<br>radioactive liquid and gaseous<br>effluents  | Conforms              | None.  | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | II.6 | Release rates should be developed<br>using methods that are consistent<br>with NUREG-0017, PWR-GALE86, or<br>ANSI/ANS 18.1-1999 | Partially Conforms    | The NuScale design is similar to large PWRs in the existing fleet for effluent release calculations. However, an alternate methodology is necessary because the existing PWRGALE code was developed in the 1980s for evaluation of the large PWR reactors of that time and does not address the NuScale plant design. Some aspects of ANSI/ANS 18.1 are used for the coolant source terms. | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | II.7 | Decontamination factors used to reduce gaseous effluent releases to the environment   | Partially Conforms    | Decontamination factors are consistent with the NuScale Technical Report, Effluent Release (GALE Replacement) Methodology and Results, Revision 0 (TR-1116-52065).   | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | II.8 | Decontamination factors applied to reduce liquid effluent releases to the environment   | Partially Conforms    | Decontamination factors are consistent with the NuScale Technical Report, Effluent Release (GALE Replacement) Methodology and Results, Revision 0 (TR-1116-52065).   | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | II.9 | RWMS system augmentations used in cost-benefit calculations are consistent with the guidance of RG 1.110                        | Partially Conforms    | See RG 1.110 in Table 1.9-2.   | 11.1           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                  | AC    | AC Title/Description   | Conformance<br>Status | Comments  | Section      |
|---|-------|--|-----------------------|---|--------------|
| DSRS 11.1, Rev 0: Coolant<br>Source Terms           | II.10 | Primary and secondary coolant source terms, used in characterizing liquid and gaseous effluents        | Conforms              | None.   | 11.2<br>11.3 |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms           | II.11 | If neutron activation products are expected in reactor pool water and secondary coolant                | Conforms              | None.   | 11.1         |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms           | II.12 | 10 CFR 50.34(b)(3), 10 CFR 50.34a,<br>and 10 CFR 52.79(a)(3).  | Partially Conforms    | The NuScale design is similar to large PWRs in the existing fleet for effluent release calculations. However, an alternate methodology is necessary because the existing PWRGALE code was developed in the 1980s for evaluation of the large PWR reactors of that time and does not address the NuScale plant design. | 11.1         |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms           | II.13 | The design basis coolant source term is based on a combination of assumptions of failed fuel fractions | Partially Conforms    | The design basis coolant source term for NuScale is partially based on a failed fuel fraction much less than 0.25%, which is described in NuScale's Technical Report, Effluent Release (GALE Replacement) Methodology and Results, Revision 0 (TR-1116-52065).  | 11.1         |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms           | II.14 | calculational technique or any source term parameter   | Conforms              | None.   | 11.1         |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System | II.1  | Capability to Meet Dose Design<br>Objectives   | Partially Conforms    | This acceptance criterion is applicable except for aspects that are related to performance of a site-specific cost-benefit analysis, which is the responsibility of the COL applicant.  | 11.2.3       |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System | II.2  | Design for Anticipated Processing<br>Requirements  | Conforms              | None.   | 11.2.2       |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System | II.3  | Seismic Design of Structures Housing<br>Liquid Waste Management System<br>Components                   | Conforms              | None.   | 11.2.2       |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System | II.4  | Provisions to Control Leakage and<br>Facilitate Operation and Maintenance                              | Conforms              | None.   | 11.2.2       |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                   | AC    | AC Title/Description                                   | Conformance<br>Status | Comments   | Section          |
|--|-------|--|-----------------------|--|------------------|
| DSRS 11.2, Rev 0: Liquid                             | II.5  | Automatic control features                             | Conforms              | None.  | 11.2             |
| Waste Management System                              |       |  |                       |  | 11.5             |
|  |       |  |                       |  | 11.6             |
| DSRS 11.2, Rev 0: Liquid                             | II.6  | Exhaust ventilation system                             | Conforms              | None.  | 11.3             |
| Waste Management System                              |       |  |                       |  |                  |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System  | II.7  | Criteria for Early Site Permit Applications            | Not Applicable        | This acceptance criterion is applicable only to applicants for an early site permit. | Not Applicable   |
| DSRS 11.3, Rev 0: Gaseous                            | II.1  | Capability to Meet Dose Design                         | Partially Conforms    | This acceptance criterion is applicable  | 11.3.1           |
| Waste Management System                              |       | Objectives   |                       | except for aspects that are related to   | 11.3.2           |
| ,  |       |  |                       | performance of a site-specific cost-benefit  | 11.3.3           |
|  |       |  |                       | analysis, which is the responsibility of the COL applicant.                          | 11.3.4           |
| DSRS 11.3, Rev 0: Gaseous                            | II.2  | Design for Anticipated Processing                      | Conforms              | None.  | 11.3.2           |
| Waste Management System                              |       | Requirements   |                       |  |                  |
| DSRS 11.3, Rev 0: Gaseous                            | II.3  | Seismic Design and Quality Group                       | Conforms              | None.  | 11.3.1           |
| Waste Management System                              |       | Classification of Components and                       |                       |  |                  |
|  |       | Structures Housing Gaseous Waste<br>Management System  |                       |  |                  |
| DSRS 11.3, Rev 0: Gaseous                            | II.4  | Features to Minimize Contamination,                    | Partially Conforms    | This acceptance criterion is applicable  | 11.3.2           |
| Waste Management System                              |       | Facilitate Decommissioning, and                        | ,                     | except for aspects that govern site-specific   |                  |
| - ,  |       | Minimize Generation of Radwaste                        |                       | activities that are the responsibility of the COL applicant.                         |                  |
| DSRS 11.3, Rev 0: Gaseous                            | II.5  | Design, Testing, and Maintenance of                    | Conforms              | None.  | 11.3.1           |
| Waste Management System                              |       | HEPA Filters and Charcoal Adsorbers                    |                       |  | 11.3.4           |
| DSRS 11.3, Rev 0: Gaseous                            | II.6  | Automatic control features                             | Conforms              | None.  | 11.3.7           |
| Waste Management System                              |       |  |                       |  |                  |
| DSRS 11.3, Rev 0: Gaseous                            | II.7  | Design to Withstand Effects of                         | Conforms              | None.  | 11.3.2           |
| Waste Management System                              |       | Hydrogen Explosion                                     |                       |  |                  |
| DSRS 11.3, Rev 0: Gaseous                            | II.8  | Postulated Leakage or Failure of a                     | Conforms              | None.  | 11.3.3           |
| Waste Management System                              |       | Waste Gas Storage Tank or Offgas<br>Charcoal Delay Bed |                       |  |                  |
| DSRS 11.3, Rev 0: Gaseous                            | II.9  | Criteria for Early Site Permit                         | Not Applicable        | This acceptance criterion is applicable only   | Not Applicable   |
| Waste Management System                              |       | Applications   |                       | to applicants for an early site permit.  |                  |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | II.10 | Relevant RGs, ISG, and BTP                             | Partially Conforms    | As described above.  | As listed above. |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                 | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section   |
|--|------|--|-----------------------|--|---|
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.1 | Design Parameters Based on<br>Expected Radionuclide Distributions<br>and Concentrations  | Conforms              | None.  | Table 11.4-1<br>Table 11.4-5 thru<br>Table 11.4-9 |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.2 | Sizing of Processing Equipment   | Conforms              | None.  | 11.4.2  |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.3 | Liquid and Wet Waste Stabilization in<br>Accordance with Process Control<br>Program  | Partially Conforms    | This acceptance criterion is applicable except for aspects related to development and implementation of a Process Control Program (PCP), which is the responsibility of the COL applicant. | 11.4.2  |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.4 | Stabilization of Other Forms of Wet<br>Waste in Accordance with Process<br>Control Program   | Not Applicable        | The development and implementation of a PCP is the responsibility of the COL applicant.  | Not Applicable                                    |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.5 | Design Objectives, Design Criteria,<br>Treatment Methods, Expected<br>Effluent Releases, Monitoring and<br>Control Instrumentation Setpoints | Not Applicable        | The development and implementation of a PCP and ODCM are the responsibility of the COL applicant.  | Not Applicable                                    |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.6 | Waste Containers, Shipping Casks,<br>and Waste Packaging   | Partially Conforms    | This guidance is applicable to design certification except for site-specific, programmatic and operational aspects that are the responsibility of the COL applicant.                       | 11.4.1<br>11.4.2                                  |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.7 | Onsite Waste Storage Facilities  | Partially Conforms    | This guidance is applicable to design certification except for site-specific, programmatic and operational aspects that are the responsibility of the COL applicant.                       | 11.4.1<br>11.4.2                                  |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.8 | Seismic Design and Quality Group<br>Classification of Components and<br>Structures Housing Solid Waste<br>Management System                  | Conforms              | None.  | 3.8<br>11.4.1<br>Table 11.4-1<br>11.4.2           |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.9 | Provisions to Control Leakage and Facilitate Operation and Maintenance   | Partially Conforms    | This acceptance criterion is applicable except for aspects that govern site-specific activities that are the responsibility of the COL applicant.  | 11.4.1<br>11.4.3<br>12.3                          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                 | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section                  |
|--|-------|---|-----------------------|---|--------------------------|
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.10 | Features to Minimize Contamination,<br>Facilitate Decommissioning, and<br>Minimize Generation of Radwaste | Partially Conforms    | This acceptance criterion is applicable except for aspects that govern site-specific activities that are the responsibility of the COL applicant.   | 11.4.1<br>11.4.3<br>12.3 |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.11 | Storage Facility Design for Long Term<br>Onsite Storage (Including Appendix<br>11.4A)                     | Not Applicable        | The NuScale design has no long term storage facility for solid radioactive waste. This is a COL applicant responsibility.   | Not Applicable           |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.12 | Class A, B, C - Processing and<br>Disposing of Liquid, Wet, and Dry<br>Solid Wastes                       | Partially Conforms    | This acceptance criterion governs site-specific, programmatic aspects of the PCP development and implementation that are the responsibility of the COL applicant. This guidance is applicable to the extent necessary to ensure that the COL applicant referencing the certified design can satisfy the guidance.   | 11.4.2<br>11.4.3         |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.13 | Greater than Class C - Processing and<br>Disposing of Liquid, Wet, and Dry<br>Solid Wastes                | Partially Conforms    | This acceptance criterion governs site-specific, programmatic aspects of the PCP development and implementation that are the responsibility of the COL applicant. This guidance is applicable to the extent necessary to ensure that the COL applicant referencing the certified design can satisfy the guidance.   | 11.4.1<br>11.4.2         |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.14 | Processing and Disposing of Mixed<br>Wastes   | Partially Conforms    | This acceptance criterion governs site-specific, programmatic aspects of PCP implementation (specific to mixed waste processing and disposal) that are the responsibility of the COL applicant. This guidance is applicable to the extent necessary to ensure that the COL applicant referencing the certified design can satisfy the guidance contained therein. | 11.4.2                   |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.15 | All Effluent Releases Associated with<br>Operation of the SWMS  | Partially Conforms    | This acceptance criterion is applicable except for site specific, programmatic aspects that are the responsibility of the COL applicant.  | 11.4.2                   |

| SRP or DSRS Section, Rev:  | AC    | AC Title/Description  | Conformance        | Comments  | Section                       |
|--|-------|---|--------------------|---|-------------------------------|
| Title  |       |   | Status             |   |                               |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System   | II.16 | Operational Programs  | Not Applicable     | The information governed by this acceptance criterion is largely site-specific and is the responsibility of the COL applicant.  | Not Applicable                |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System   | II.17 | Automatic control features  | Not Applicable     |   | Not Applicable                |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System   | II.18 | Design of exhaust ventilation systems   | Conforms           | None.   | 11.4.2                        |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System   | II.19 | Seismic design of structures housing SWMS   | Conforms           | None.   | 11.4.1                        |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems | II.1  | Installation of Instrumentation and<br>Monitoring Equipment and Sampling<br>and Analyses of Normal and Potential<br>Effluent Pathways       | Partially Conforms | This acceptance criterion is applicable except for certain aspects of its subtier guidance (see RG 1.21, RG 1.33, RG 1.97, RG 4.1, RG 4.15, and BTP 7-10).  | 9.3.2<br>11.2<br>11.3<br>11.5 |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems | II.2  | Instrumentation and Monitoring<br>Equipment and Sampling and<br>Analysis of Radioactive Waste Process<br>Systems (Including Appendix 11.5A) | Partially Conforms | This acceptance criterion is applicable except for certain aspects of its subtier guidance (see RG 1.21, RG 1.33, RG 1.97, RG 4.15, RG 4.21 and BTP 7-10). Administrative and procedural controls are COL applicant responsibility. | 9.3.2<br>11.5<br>12.3.4       |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems | II.3  | Provisions for Administrative and<br>Procedural Controls (Including<br>Appendix 11.5A)  | ·                  | This acceptance criterion is applicable except for certain aspects of its subtier guidance (see RG 1.21, RG 1.33, RG 1.97 and RG 4.15). Administrative and procedural controls are COL applicant responsibility.                    | 9.3.2<br>11.5<br>12.3         |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems | II.4  | Monitoring, Sampling, and Analyses<br>of All Identified Gaseous Effluent<br>Release Paths (Including Appendix<br>11.5A)                     | Partially Conforms | This acceptance criterion is applicable except for certain aspects of its subtier guidance (see RG 1.97 and BTP 7-10). Administrative and procedural controls are COL applicant responsibility.                                     | 11.5                          |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems | II.5  | Monitoring, Sampling, and Analysis of<br>All Identified Liquid Effluent Release<br>Paths  | Partially Conforms | This acceptance criterion is applicable except for the administrative and procedural controls that are the COL applicant's responsibility.  | 11.5                          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section               |
|--|------|--|-----------------------|--|-----------------------|
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems   | II.6 | Operational Programs   | Not Applicable        | The information governed by this acceptance criterion is site-specific and is the responsibility of the COL applicant.   | Not Applicable        |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems   | II.7 | Descriptions of design features and instrumentation used in primary and secondary coolant system leakage detection | Conforms              | None.  | 11.5                  |
| on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring                               | II.1 | Installation of instrumentation or sampling equipment  | Conforms              | None.  | 11.5<br>11.6          |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring | II.2 | Gaseous and liquid release points should be monitored  | Conforms              | None.  | 11.5<br>11.6          |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring | II.3 | Radiation exposure rates and airborne concentration monitoring locations and sampling points                       | Conforms              | None.  | 11.6<br>12.3          |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring | II.4 | Compliance with GDC 63 & 64 via post-TMI action plan items   | Partially Conforms    | This acceptance criterion is applicable except for aspects of its subtier regulation 10 CFR 50.34(f)(2)(xxvi) that address testing and operational programs, which are a COL applicant responsibility. | 9.3.2<br>11.5<br>11.6 |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                       | AC   | AC Title/Description                  | Conformance<br>Status | Comments                                  | Section        |
|--|------|---------------------------------------|-----------------------|---|----------------|
|  | II.5 | Ensure samples are representative     | Conforms              | None.                                     | 9.3.2          |
| on Instrumentation and                                   |      | Ensure sumples are representative     | Comoniis              | Tronc.                                    | 11.6           |
| Control Design Features for                              |      |                                       |                       |   |                |
| Process and Effluent                                     |      |                                       |                       |   |                |
| Radiological Monitoring, and                             |      |                                       |                       |   |                |
| Area Radiation and Airborne                              |      |                                       |                       |   |                |
| Radioactivity Monitoring                                 |      |                                       |                       |   |                |
|  | II.6 | Describe process used to develop,     | Partially Conforms    | This acceptance criterion is applicable   | 11.6           |
| on Instrumentation and                                   |      | review, verify, validate and audit    | ,                     | except for site-specific, programmatic    | Ch 17          |
| Control Design Features for                              |      | digital computer software.            |                       | aspects regarding software reviews, which |                |
| Process and Effluent                                     |      |                                       |                       | are the COL applicant's responsibility.   |                |
| Radiological Monitoring, and                             |      |                                       |                       |   |                |
| Area Radiation and Airborne                              |      |                                       |                       |   |                |
| Radioactivity Monitoring                                 |      |                                       |                       |   |                |
| DSRS 11.6, Rev 0: Guidance                               | II.7 | RETS/SREC and ODCM established        | Not Applicable        | The RETS/SREC and ODCM are COL            | Not Applicable |
| on Instrumentation and                                   |      | setpoints.                            |                       | applicant responsibilities.               |                |
| Control Design Features for                              |      |                                       |                       |   |                |
| Process and Effluent                                     |      |                                       |                       |   |                |
| Radiological Monitoring, and                             |      |                                       |                       |   |                |
| Area Radiation and Airborne                              |      |                                       |                       |   |                |
| Radioactivity Monitoring                                 |      |                                       |                       |   |                |
| · · · · · · · · · · · · · · · · ·                        | II.8 | Compliance with 10 CFR 20.1406 via    | Partially Conforms    | See RG 4.21 in Table 1.9-2.               | 11.5           |
| on Instrumentation and                                   |      | RG 4.21, NEI 97-06, 08-08A and 07-07. |                       |   | 11.6           |
| Control Design Features for                              |      |                                       |                       |   | 12.3.6         |
| Process and Effluent                                     |      |                                       |                       |   |                |
| Radiological Monitoring, and                             |      |                                       |                       |   |                |
| Area Radiation and Airborne                              |      |                                       |                       |   |                |
| Radioactivity Monitoring                                 |      |                                       |                       |   |                |
| *  | II.9 | Description of design features and    | Conforms              | None.                                     | 5.2.5          |
| on Instrumentation and                                   |      | instrumentation used in primary and   |                       |   | 9.3.4          |
| Control Design Features for                              |      | secondary coolant system leakage      |                       |   | 9.3.6          |
| Process and Effluent                                     |      | detection                             |                       |   | 11.5           |
| Radiological Monitoring, and Area Radiation and Airborne |      |                                       |                       |   | 11.6           |
|  |      |                                       |                       |   |                |
| Radioactivity Monitoring                                 |      |                                       |                       |   |                |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title | AC    | AC Title/Description                | Conformance<br>Status | Comments                                  | Section |
|------------------------------------|-------|-------------------------------------|-----------------------|---|---------|
|                                    | II.10 | Additional information on operating | Conforms              | None.                                     | 11.5    |
| on Instrumentation and             |       | experience                          | 2010115               | 1.0.00                                    | 11.6    |
| Control Design Features for        |       |                                     |                       |   | 12.3    |
| Process and Effluent               |       |                                     |                       |   |         |
| Radiological Monitoring, and       |       |                                     |                       |   |         |
| Area Radiation and Airborne        |       |                                     |                       |   |         |
| Radioactivity Monitoring           |       |                                     |                       |   |         |
|                                    | II.11 | Radiation monitoring and sampling   | Conforms              | None.                                     | 13.4    |
| on Instrumentation and             |       | conformance to Tech Specs, Initial  | 2010115               | 1.0.00                                    | 14.2    |
| Control Design Features for        |       | Test Program, and ITAAC.            |                       |   | 14.3    |
| Process and Effluent               |       |                                     |                       |   | Ch 16   |
| Radiological Monitoring, and       |       |                                     |                       |   | G       |
| Area Radiation and Airborne        |       |                                     |                       |   |         |
| Radioactivity Monitoring           |       |                                     |                       |   |         |
|                                    | II.12 | Describe the types and ranges of    | Conforms              | None.                                     | 11.5    |
| on Instrumentation and             |       | radiation monitoring equipment      | 2010115               | 1.0.00                                    | 11.6    |
| Control Design Features for        |       |                                     |                       |   | 12.3    |
| Process and Effluent               |       |                                     |                       |   |         |
| Radiological Monitoring, and       |       |                                     |                       |   |         |
| Area Radiation and Airborne        |       |                                     |                       |   |         |
| Radioactivity Monitoring           |       |                                     |                       |   |         |
|                                    | II.13 | Reactor fuel storage area monitors  | Conforms              | None.                                     | 7.2     |
| on Instrumentation and             |       |                                     | 20111011115           | 1.0.00                                    | 11.5    |
| Control Design Features for        |       |                                     |                       |   | 11.6    |
| Process and Effluent               |       |                                     |                       |   | 12.3.4  |
| Radiological Monitoring, and       |       |                                     |                       |   |         |
| Area Radiation and Airborne        |       |                                     |                       |   |         |
| Radioactivity Monitoring           |       |                                     |                       |   |         |
|                                    | B.1   | Processing Requirements             | Conforms              | This guidance is applicable except for    | 11.4.1  |
| Guidance for Solid                 |       |                                     |                       | aspects related to PCP development and    | 11.4.2  |
| Radioactive Waste                  |       |                                     |                       | implementation that are applicable to COL |         |
| Management Systems                 |       |                                     |                       | applicants.                               |         |
| Installed in Light-Water-          |       |                                     |                       | F. F                                      |         |
| Cooled Nuclear Power               |       |                                     |                       |   |         |
| Reactor Plants                     |       |                                     |                       |   |         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC  | AC Title/Description                              | Conformance<br>Status | Comments   | Section          |
|---|-----|---|-----------------------|--|------------------|
| BTP 11-3, Rev 4: Design<br>Guidance for Solid<br>Radioactive Waste<br>Management Systems<br>Installed in Light-Water-<br>Cooled Nuclear Power<br>Reactor Plants | B.2 | Assurance of Complete Stabilization or Dewatering | Not Applicable        | This guidance is related to PCP development and implementation that are applicable to COL applicants.  | Not Applicable   |
| BTP 11-3, Rev 4: Design<br>Guidance for Solid<br>Radioactive Waste<br>Management Systems<br>Installed in Light-Water-<br>Cooled Nuclear Power<br>Reactor Plants | B.3 | Waste Storage                                     | Conforms              | None.  | 11.4.1<br>11.4.2 |
| BTP 11-3, Rev 4: Design<br>Guidance for Solid<br>Radioactive Waste<br>Management Systems<br>Installed in Light-Water-<br>Cooled Nuclear Power<br>Reactor Plants | B.4 | Portable Solid Waste Systems                      | Partially Conforms    | This guidance is applicable except for aspects related to control and use of portable solid radwaste processing equipment that are applicable to COL applicants. | 11.4.1<br>11.4.2 |
| BTP 11-3, Rev 4: Design<br>Guidance for Solid<br>Radioactive Waste<br>Management Systems<br>Installed in Light-Water-<br>Cooled Nuclear Power<br>Reactor Plants | B.5 | Additional Design Features                        | Partially Conforms    | This guidance is applicable except for aspects related to PCP development and implementation that are applicable to COL applicants.                              | 11.4.2           |
| BTP 11-5, Rev 4: Postulated<br>Radioactive Releases Due to a<br>Waste Gas System Leak or<br>Failure   | B.1 | Waste Gas System Leak or Failure<br>Analysis      | Partially Conforms    | This acceptance criterion is applicable except for aspects that are BWR-specific or are site-specific.   | 11.3.1<br>11.3.3 |
| BTP 11-5, Rev 4: Postulated<br>Radioactive Releases Due to a<br>Waste Gas System Leak or<br>Failure   | B.2 | Staff Method for Analysis                         | Conforms              | None.  | 11.3.3           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|------|--|-----------------------|---|----------------|
| BTP 11-6, Rev 4: Postulated<br>Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures                | B.1  | Failure Mechanism and Radioactivity<br>Releases                        | Not Applicable        | COL applicant.  | Not Applicable |
| BTP 11-6, Rev. 4: Postulated<br>Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures               | B.2  | Mitigating Design Features   | Not Applicable        | COL applicant.  | Not Applicable |
| Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures   | B.3  | Radioactive Source Term  | Partially conforms    | This acceptance criterion is applicable except for aspects that are BWR-specific or are related to site-specific activities that are the responsibility of the COL applicant. | 11.2.3         |
| Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures   | B.4  | Calculations of Transport Capabilities in Groundwater or Surface Water | Not Applicable        | The development of representative site parameters under this acceptance criterion (and SRP Section 2.4.13) is site-specific and applicable to COL applicant.                  | Not Applicable |
| BTP 11-6, Rev 4: Postulated<br>Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures                | B.5  | Exposure Scenarios and Acceptance<br>Criteria                          | Not Applicable        | The development of representative site parameters under this acceptance criterion is site-specific and applicable to COL applicant.   | Not Applicable |
| BTP 11-6, Rev 4: Postulated<br>Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures                | B.6  | SRP Dose Acceptance Criteria   | Not Applicable        | This acceptance criterion is the responsibility of the COL applicant.   | Not Applicable |
| BTP 11-6, (Rev 4): Postulated<br>Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures              | B.7  | Specifications on Tank Waste<br>Radioactivity Concentration Levels     | Not Applicable        | Compliance with this guidance is the responsibility of the COL applicant.   | Not Applicable |
| SRP 12.1, Rev 4: Assuring That<br>Occupational Radiation<br>Exposures Are As Low As Is<br>Reasonably Achievable | II.1 | Policy Considerations  | Partially Conforms    | These site-specific aspects are the responsibility of the COL applicant referencing the certified design.   | 12.1.1         |
| SRP 12.1, Rev 4: Assuring That<br>Occupational Radiation<br>Exposures Are As Low As Is<br>Reasonably Achievable | II.2 | Design Considerations  | Conforms              | None.   | 12.1.2         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                        | Conformance<br>Status | Comments  | Section                      |
|---|------|---|-----------------------|---|------------------------------|
| SRP 12.1, Rev 4: Assuring That<br>Occupational Radiation<br>Exposures Are As Low As Is<br>Reasonably Achievable | II.3 | Operational Considerations                  | Not Applicable        | This guidance governs site-specific operational programs, plans, and procedures that are the responsibility of the COL applicant.   | Not Applicable               |
| SRP 12.1, Rev 4: Assuring That<br>Occupational Radiation<br>Exposures Are As Low As Is<br>Reasonably Achievable | II.4 | Radiation Protection Considerations         | Not Applicable        | See comment above for Acceptance<br>Criterion II.3.   | Not Applicable               |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.1 | RG 1.183                                    | Partially Conforms    | See RG 1.183 in Table 1.9-2.  | 12.2.1                       |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.2 | RG 1.7                                      | Not Applicable        | See RG 1.7 in Table 1.9-2. There is no radiation source created from the determination of gaseous concentrations in containment following an accident (such as sample lines outside containment). | Not Applicable               |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.3 | RG 1.112                                    | Partially Conforms    | See RG 1.112 in Table 1.9-2.  | 12.2.1                       |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.4 | NUREG-0737, Task Action Plan Item<br>II.B.2 | Conforms              | None.   | 12.3<br>12.4                 |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.5 | ANSI/ANS Standard 18.1                      | Conforms              | None.   | 11.1                         |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.6 | Radiation Sources for 10 CFR 50.49<br>(EQ)  | Conforms              | None.   | 12.2<br>Ch 3                 |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.7 | RG 1.143                                    | Partially Conforms    | See RG 1.143 in Table 1.9-2.  | 11.2<br>11.3<br>11.4<br>11.6 |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.8 | RG 1.26, RG 1.29 and RG 1.117               | Conforms              | None.   | 3.2                          |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features   | II.1 | RG 1.7                                      | Not Applicable        | See RG 1.7 in Table 1.9-2. There is no radiation field created from the determination of gaseous concentrations in containment following an accident (such as sample lines outside containment).  | Not Applicable               |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:   | AC    | AC Title/Description      | Conformance        | Comments                     | Section          |
|---|-------|---------------------------|--------------------|------------------------------|------------------|
| Title   |       |                           | Status             |                              |                  |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.2  | RG 1.52                   | Not Applicable     | See RG 1.52 in Table 1.9-2.  | Not Applicable   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.3  | RG 1.69                   | Partially Conforms | See RG 1.69 in Table 1.9-2.  | 12.3.2           |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.4  | RG 1.97                   | Partially Conforms | See RG 1.97 in Table 1.9-2.  | 7.2.13<br>12.3.4 |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.5  | RG 1.183                  | Partially Conforms | See RG 1.183 in Table 1.9-2. | 12.2             |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.6  | RG 8.2                    | Not Applicable     | See RG 8.2 in Table 1.9-2.   | Not Applicable   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.7  | RG 8.8                    | Partially Conforms | See RG 8.8 in Table 1.9-2.   | 12.3.1           |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.8  | RG 8.10                   | Not Applicable     | See RG 8.10 in Table 1.9-2.  | Not Applicable   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.9  | RG 8.15                   | Not Applicable     | See RG 8.15 in Table 1.9-2.  | Not Applicable   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.10 | RG 8.19                   | Conforms           | None.                        | 12.4             |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.11 | RG 8.25                   | Not Applicable     | See RG 8.25 in Table 1.9-2.  | Not Applicable   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.12 | RG 8.38                   | Partially Conforms | See RG 8.38 in Table 1.9-2.  | 12.3.1           |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.13 | ANSI/ANS/HPSSC-6.8.1-1981 | Conforms           | None.                        | 12.3.4           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                                | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section |
|---|-------|---|-----------------------|---|---------|
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.14 | ANSI/HPS N13.1-2011   | Conforms              | None.   | 12.3.4  |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.15 | ANSI/ANS-6.4-2006   | Conforms              | None.   | 12.3.2  |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.16 | Memo from Larry W. Camper to David<br>B. Matthews and Elmo E. Collins dated<br>10-10-2006 | Partially Conforms    | The portion of this guidance that pertains to the design phase is applicable to the DCA.  | 12.3.4  |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.17 | RG 1.140  | Partially Conforms    | See RG 1.140 in Table 1.9-2.  | 12.3.3  |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.18 | RG 1.89   | Partially Conforms    | See RG 1.89 in Table 1.9-2.   | 3.11    |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.19 | RG 4.21   | Partially Conforms    | See RG 4.21 in Table 1.9-2.   | 12.3.6  |
| DSRS 12.3-12.4, Radiation<br>Protection Design Features           | II.20 | RG 1.45   | Partially Conforms    | See RG 1.45 in Table 1.9-2.   | 5.2.5   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.21 | NEI 97-06   | Conforms              | None.   | Ch 5    |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.22 | RG 1.143  | Partially Conforms    | See RG 1.143 in Table 1.9-2.  | Ch 11   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.23 | BTP 11-3 and SECY-94-198  | Partially Conforms    | These guidance documents are not applicable to the DCA so far as they address the addition of supplemental extended LLW storage and the development of a PCP. This is a COL applicant responsibility. | 11.4    |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.24 | RG 1.97   | Partially Conforms    | See RG 1.97 in Table 1.9-2.   | 12.3.4  |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|-------|---|-----------------------|---|----------------|
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features                               | II.25 | RG 1.12   | Partially Conforms    | See RG 1.12 in Table 1.9-2.   | 12.3.1         |
| DSRS 12.5, Rev 0: Operational<br>Radiation Protection Program                                   | All   | Various   | Not Applicable        | This guidance governs operational programs, procedures, facilities and organization that are site-specific, and are the responsibility of the COL applicant referencing the certified design. | Not Applicable |
| SRP 13.1.1, Rev 5:<br>Management and Technical<br>Support Organization                          | All   | General and Specific Requirements   | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.1.2 - 13.1.3, Rev 6:<br>Operating Organization   | All   | Operating Organization  | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.2.1, Rev 3: Reactor<br>Operator Requalification<br>Program; Reactor Operator<br>Training | All   | General and Specific Requirements   | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.2.2, Rev 3: Non-<br>Licensed Plant Staff Training  | All   | Various   | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.1  | Meeting the Standards of<br>10 CFR 50.47(b); Conduct of Full<br>Participation Exercise per 10 CFR 50,<br>Appendix E | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.2  | Onsite and Offsite Emergency<br>Response Plans  | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.3  | Emergency Classification and Action<br>Level Scheme   | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.4  | Meteorological Criteria   | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.5  | Upgrading Emergency Response<br>Facilities  | Not Applicable        | There are no proposed changes to existing emergency response facilities.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.6  | Alerting and Notifications  | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.7  | Protective Action Recommendations   | Not Applicable        | COL applicant.  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title     | AC    | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|--|-------|--|-----------------------|--|----------------|
| SRP 13.3, Rev 3: Emergency<br>Planning | II.8  | Alternatives to NUREG-0654/FEMA-<br>REP-1, Rev 1,  | Not Applicable        | COL applicant.   | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.9  | State, Tribal, and Local Government<br>Planning and Preparedness                                 | Not Applicable        | COL applicant.   | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.10 | Emergency Planning Zones   | Not Applicable        | COL applicant.   | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.11 | Evacuation Time Estimates  | Not Applicable        | COL applicant.   | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.12 | Emergency Response Data System   | Partially Conforms    | The NuScale design includes an emergency response data system. Site-specific aspects are the responsibility of the COL applicant that references the NuScale certified design. | 13.3           |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.13 | Acceptability of Emergency Plans   | Not Applicable        | COL applicant.   | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.14 | Offsite Emergency Planning When<br>Local Governments Decline to<br>Participate                   | Not Applicable        | COL applicant.   | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.15 | Early Site Permit Criteria - Physical<br>Characteristics Unique to Proposed<br>Site              | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.16 | Early Site Permit Criteria - Preliminary<br>Analysis of Evacuation Times                         | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.17 | Physical Characteristics Unique to Proposed Site   | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.18 | Copies of Letters of Agreement or<br>Other Certifications  | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.19 | Emergency Preparedness Information<br>and Plans Associated with Early Site<br>Permit Application | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.20 | Complete and Integrated Emergency<br>Plans Associated with Early Site<br>Permit Application      | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.21 | ITAAC Associated with Early Site<br>Permit Application   | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.22 | ITAAC Associated with Design<br>Certification Application  | Not Applicable        | Emergency planning ITAAC are not part of the NuScale DCA.  | Not Applicable |

| SRP or DSRS Section, Rev:<br>Title  | AC             | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|----------------|---|-----------------------|---|----------------|
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.23          | ITAAC Associated with Combined<br>License Application                                       | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.24          | Generic Emergency Planning ITAAC  | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.25          | Design and Implementation of<br>Emergency Response Facilities                               | Partially Conforms    | COL applicant. The NuScale design includes a technical support center. The operational support center and the emergency operations facility are the responsibility of the COL applicant that references the NuScale certified design. | 13.3           |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.26          | Safety Parameter Display System   | Conforms              | Safety parameter displays are provided in the technical support center. The emergency operations facility is the responsibility of the COL applicant that references the NuScale design certification.                                | 13.3           |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.27          | Reactor Coolant System and<br>Containment Sampling  | Partially Conforms    | Programmatic aspects of post-accident sampling are the responsibility of the COL applicant.   | 9.3.2          |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.28          | Containment Monitoring and<br>Continuous Sampling from Potential<br>Accident Release Points | Partially Conforms    | Programmatic aspects of containment sampling are the responsibility of the COL applicant.   | 9.3.2          |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.29          | NRC Notifications and<br>Communications   | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.30          | Generic Communications and<br>Commission Orders Pertaining to<br>Emergency Planning         | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.31          | Operational Programs  | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.4, Rev 3: Operational Programs   | Not Applicable | Various (Including Attachment,<br>Sample FSAR Table 13.4-x)                                 | Not Applicable        | There are no specific requirements for this SRP section.  | Not Applicable |
| SRP 13.5.1.1, Rev 1:<br>Administrative Procedures -<br>General                    | All            | Various   | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.5.1.2, Draft Rev 0:<br>Administrative Procedures -<br>Initial Test Program | All            | Various   | Not Applicable        | Draft SRP section was never finalized. Content was subsumed into SRP Section 14.2.  | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:       | AC             | AC Title/Description                | Conformance        | Comments                                   | Section              |
|---------------------------------|----------------|-------------------------------------|--------------------|--|----------------------|
| Title                           |                |                                     | Status             |  |                      |
| SRP 13.5.2.1, Rev 2: Operating  | All            | Various                             | Not Applicable     | COL applicant.                             | Not Applicable       |
| and Emergency Operating         |                |                                     |                    |  |                      |
| Procedures                      |                |                                     |                    |  |                      |
| SRP 13.5.2.2, Draft Rev 0:      | All            | Various                             | Not Applicable     | NRC never finalized guidance in this SRP.  | Not Applicable       |
| Maintenance and Other           |                |                                     |                    | Instead, applicable guidance was relocated |                      |
| Operating Procedures            |                |                                     |                    | to SRP 17.5.                               |                      |
| SRP 13.6.1, Rev 1: Physical     | All            | Various                             | Not Applicable     | COL applicant.                             | Not Applicable       |
| Security - Combined License     |                |                                     |                    |  |                      |
| and Operating Reactors          |                |                                     |                    |  |                      |
| SRP 13.6.2, Rev 2: Physical     | All            | Various                             | Conforms           | Applicable for the physical security       | 13.6.2 (via Security |
| Security - Design Certification |                |                                     |                    | elements within the certified design       | Technical Report)    |
|                                 |                |                                     |                    | boundary of the NuScale plant.             |                      |
| SRP 13.6.3, Rev 1: Physical     | All            | Various                             | Not Applicable     | ESP applicant.                             | Not Applicable       |
| Security - Early Site Permit    |                |                                     |                    |  |                      |
| SRP 13.6.4, Rev 1: Access       | II (no number) | 10 CFR 73.56                        | Not Applicable     | COL applicant.                             | Not Applicable       |
| Authorization                   |                |                                     |                    |  |                      |
| SRP 13.6.6, Rev 0: Cyber        | All            | Various                             | Not Applicable     | COL applicant.                             | Not Applicable       |
| Security Plan                   |                |                                     |                    |  |                      |
| 1                               | All            | Various                             | Not Applicable     | COL applicant.                             | Not Applicable       |
| Duty (Operational)              |                |                                     |                    |  |                      |
| *                               | All            | Various                             | Not Applicable     | COL applicant.                             | Not Applicable       |
| Duty (Construction)             |                |                                     |                    |  |                      |
| DSRS 14.2, Rev 0: Initial Plant | II.1           | Summary of Test Program and         | Conforms           | None.                                      | 14.2                 |
| Test Program - Design           |                | Objectives                          |                    |  |                      |
| Certification and New COL       |                |                                     |                    |  |                      |
| applicants                      |                |                                     |                    |  |                      |
| DSRS 14.2, Rev 0: Initial Plant | II.2           | Test Programs Conformance with      | Conforms           | None.                                      | 14.2                 |
| Test Program - Design           |                | Regulatory Guides                   |                    |  |                      |
| Certification and New COL       |                |                                     |                    |  |                      |
| applicants                      |                |                                     |                    |  |                      |
| DSRS 14.2, Rev 0: Initial Plant | II.3           | Initial Test Program Administrative | Partially Conforms | Subheading DC Applicant, Items A through   | 14.2                 |
| Test Program - Design           |                | Procedures                          |                    | D, are applicable to the DCA. Subheading   |                      |
| Certification and New COL       |                |                                     |                    | COL/OL applicants, Items A through H, are  |                      |
| applicants                      |                |                                     |                    | applicable only to COL applicant.          |                      |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|------|---|-----------------------|---|----------------|
| DSRS 14.2, Rev 0: Initial Plant<br>Test Program - Design<br>Certification and New COL<br>applicants                         | 11.4 | Initial Startup Tests   | Partially Conforms    | Subheading DC Applicant, Item A, is applicable to the DCA. Subheading COL/OL applicants, Items A and B, are applicable to COL applicants.                 | 14.2           |
| DSRS 14.2, Rev 0: Initial Plant<br>Test Program - Design<br>Certification and New COL<br>applicants                         | II.5 | Individual Test Descriptions/<br>Abstracts                              | Conforms              | None.   | 14.2           |
| DSRS 14.2, Rev 0: Initial Plant<br>Test Program - Design<br>Certification and New COL<br>applicants                         | II.6 | Initial Test Program Acceptance<br>Criteria                             | Partially Conforms    | Subheading DC Applicant, Items A through C, are applicable to the DCA. Subheading COL/OL applicants, Items A through C, are applicable to COL applicants. | 14.2           |
| SRP 14.2.1, (August 2006):<br>Generic Guidelines for<br>Extended Power Uprate<br>Testing Programs                           | All  | Various   | Not Applicable        | This SRP section is applicable only to extended power uprate license amendment requests.  | Not Applicable |
| SRP 14.3, (March 2007):<br>Inspections, Tests, Analyses,<br>and Acceptance Criteria   | II.1 | Acceptability of the Scope of ITAAC                                     | Partially Conforms    | A portion of this acceptance criterion is applicable to COL applicants.   | 14.3           |
| SRP 14.3, (March 2007):<br>Inspections, Tests, Analyses,<br>and Acceptance Criteria   | II.2 | Specific Acceptance Criteria for ITAAC<br>Specified in SRP Section 14.3 | Conforms              | None.   | 14.3           |
| SRP 14.3.2, Rev 0: Structural<br>and Systems Engineering -<br>Inspections, Tests, Analyses,<br>and Acceptance Criteria      | All  | Various   | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.   | Not Applicable |
| SRP 14.3.3, (March 2007):<br>Piping Systems and<br>Components - Inspections,<br>Tests, Analyses, and<br>Acceptance Criteria | All  | Various   | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.   | Not Applicable |
| SRP 14.3.4, Rev 0: Reactor<br>Systems - Inspections, Tests,<br>Analyses, and Acceptance<br>Criteria                         | All  | Various   | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.   | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                        | AC  | AC Title/Description             | Conformance<br>Status | Comments  | Section        |
|---|-----|----------------------------------|-----------------------|---|----------------|
| DSRS 14.3.5, Rev 0:<br>Instrumentation and Controls       | All | Various                          | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3. | Not Applicable |
| - Inspections, Tests, Analyses,                           |     |                                  |                       | provided in Still 1 i.s.                                  |                |
| and Acceptance Criteria                                   |     |                                  |                       |   |                |
|   | All | Various                          | Not Applicable        | Methodology for developing ITAAC is                       | Not Applicable |
| Systems - Inspections, Tests,<br>Analyses, and Acceptance |     | 10.000                           | тост, гринцине        | provided in SRP 14.3.                                     |                |
| Criteria  |     |                                  |                       |   |                |
| SRP 14.3.7, Rev 0: Plant                                  | All | Various                          | Not Applicable        | Methodology for developing ITAAC is                       | Not Applicable |
| Systems - Inspections, Tests,                             |     |                                  |                       | provided in SRP 14.3.                                     |                |
| Analyses, and Acceptance                                  |     |                                  |                       |   |                |
| Criteria  |     |                                  |                       |   |                |
| SRP 14.3.8, Rev 0: Radiation                              | All | Various                          | Not Applicable        | Methodology for developing ITAAC is                       | Not Applicable |
| Protection - Inspections,                                 |     |                                  |                       | provided in SRP 14.3.                                     |                |
| Tests, Analyses, and                                      |     |                                  |                       |   |                |
| Acceptance Criteria                                       |     |                                  |                       |   |                |
| SRP 14.3.9, (March 2007):                                 | All | Various                          | Not Applicable        | Methodology for developing ITAAC is                       | Not Applicable |
| Human Factors Engineering -                               |     |                                  |                       | provided in SRP 14.3.                                     |                |
| Inspections, Tests, Analyses,                             |     |                                  |                       |   |                |
| and Acceptance Criteria                                   |     |                                  |                       |   |                |
| SRP 14.3.10, (March 2007):                                | All | Various                          | Not Applicable        | Methodology for developing ITAAC is                       | Not Applicable |
| Emergency Planning -                                      |     |                                  |                       | provided in SRP 14.3.                                     | 1 ''           |
| Inspections, Tests, Analyses,                             |     |                                  |                       |   |                |
| and Acceptance Criteria                                   |     |                                  |                       |   |                |
| SRP 14.3.11, (March 2007):                                | All | Various                          | Not Applicable        | Methodology for developing ITAAC is                       | Not Applicable |
| Containment Systems -                                     |     |                                  |                       | provided in SRP 14.3.                                     | 1 ''           |
| Inspections, Tests, Analyses,                             |     |                                  |                       |   |                |
| and Acceptance Criteria                                   |     |                                  |                       |   |                |
| SRP 14.3.12, Rev 1: Physical                              | All | Various                          | Partially Conforms    | The COL applicant addresses Physical                      | 14.3.12        |
| Security Hardware -                                       |     |                                  | ,                     | Security Hardware ITAAC outside of the                    |                |
| Inspections, Tests, Analyses,                             |     |                                  |                       | nuclear island and structures.                            |                |
| and Acceptance Criteria                                   |     |                                  |                       |   |                |
| DSRS 15.0, Rev 0: Introduction                            | 1.1 | Categorization of Transients and | Conforms              | None.   | 15.0           |
| - Transient and Accident Analyses                         |     | Accidents                        |                       |   | .5.5           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                                     | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section |
|--|-------|---|-----------------------|---|---------|
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses | l.2   | Categorization According to<br>Frequency of Occurrence        | Partially Conforms    | Events that have been historically classified as AOOs are not analyzed for frequency of occurrence. Some events that have an IE frequency are also deterministically classified as AOOs.  | 15.0    |
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses | 1.3   | Categorization According to Type                              | Conforms              | None.   | 15.0    |
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses | I.4.A | Analysis Acceptance Criteria for AOOs                         | Conforms              | None.   | 15.0.0  |
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses | I.4.B | Analysis Acceptance Criteria for IEs and Postulated Accidents | Partially Conforms    | The guidance is applicable except for 4.B.ii and 4.B.iv. CHF, not DNBR, is used to determine the thermal margin for the fuel cladding. LOCA acceptance criteria uses an acceptance criterion that is more restrictive than the temperature limit of 2,200 degree F. | 15.0.0  |
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses | 1.5   | Plant Characteristics Considered in the Safety Evaluation     | Conforms              | None.   | 15.0    |
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses | l.6   | Assumed Protection and Safety Systems Actions                 | Conforms              | None.   | 15.0    |
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses | 1.7   | Evaluation of Individual Initiating Events                    | Conforms              | None.   | 15.0    |
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses | I.8.A | Identification of Causes and<br>Frequency Classification      | Conforms              | None.   | 15.0    |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC             | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|---|----------------|--|-----------------------|--|----------------|
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses                    | I.8.B          | Sequence of Events and Systems<br>Operation  | Partially Conforms    | This acceptance criterion is applicable except for Item B.vi, which is applicable to COL applicants. Development and implementation of emergency operating procedures and emergency response procedures is the responsibility of the COL applicant referencing the NuScale design. | 15.0           |
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses                    |                | Core, System, and Barrier<br>Performance   | Partially Conforms    | The guidance is applicable except for aspects that are BWR-specific. NuScale evaluates critical heat flux (CHF), which is more applicable to the NuScale design than DNBR.   | 15.0.2         |
| SRP 15.0.1, Rev 0: Radiological<br>Consequence Analyses Using<br>Alternative Source Terms | II (No number) | First full paragraph and 6 bullets on<br>Page 15.0.1-6, Compliance with<br>Specific Provisions of NUREG-0737                   | Not Applicable        | The NuScale design uses a modified version of the alternative source term (AST) methodology to evaluate radiological consequences of accidents.  | Not Applicable |
| SRP 15.0.1, Rev 0: Radiological<br>Consequence Analyses Using<br>Alternative Source Terms | II (No number) | Last paragraph on Page 15.0.1-6 and<br>Table 1, Exposure Criteria for<br>Radiological Consequences of Design<br>Basis Accident | Not Applicable        | The NuScale design utilizes a modified version of the AST methodology to evaluate radiological consequences of accidents.  | Not Applicable |
| SRP 15.0.2, (March 2007):<br>Review of Transient and<br>Accident Analysis Methods         | II.1           | Evaluation Model   | Departure             | The NuScale design supports an exemption from selected portions of 10 CFR 50 Appendix K. NuScale ECCS evaluation models for LOCAs only address technically relevant features required by Appendix K.   | 15.0.2         |
| SRP 15.0.2, (March 2007):<br>Review of Transient and<br>Accident Analysis Methods         | II.2           | Accident Scenario Identification<br>Process  | Conforms              | None.  | 15.0.2         |
| SRP 15.0.2, (March 2007):<br>Review of Transient and<br>Accident Analysis Methods         | II.3           | Code Assessment  | Departure             | The NuScale design supports an exemption from selected portions of 10 CFR 50 Appendix K. NuScale ECCS evaluation models for LOCAs only assess the technically relevant features required by Appendix K and TMI Action Item II.K3.30.   | 15.0.2         |
| SRP 15.0.2, (March 2007):<br>Review of Transient and<br>Accident Analysis Methods         | II.4           | Uncertainty Analysis   | Conforms              | Non-LOCA methods use sensitivity analyses or bounding values to determine input parameters.  | 15.0.2         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:    | AC              | AC Title/Description                   | Conformance        | Comments                                    | Section       |
|------------------------------|-----------------|--|--------------------|---|---------------|
| Title                        |                 |  | Status             |   |               |
| SRP 15.0.2, (March 2007):    | II.5            | Quality Assurance Plan                 | Conforms           | None.                                       | 15.0.2        |
| Review of Transient and      |                 |  |                    |   |               |
| Accident Analysis Methods    |                 |  |                    |   |               |
| DSRS 15.0.3, Rev 0: Design   | II.1            | Offsite Radiological Consequences of   | Conforms           | None.                                       | 15.0.3        |
| Basis Accident Radiological  |                 | Postulated DBAs (includes Table 1)     |                    |   |               |
| Consequence Analyses for     |                 |  |                    |   |               |
| the NuScale SMR Design       |                 |  |                    |   |               |
| DSRS 15.0.3, Rev 0: Design   | II.2            | Control Room Radiological              | Conforms           | None.                                       | 6.4           |
| Basis Accident Radiological  |                 | Habitability                           |                    |   | 9.4           |
| Consequence Analyses for     |                 |  |                    |   | 13.3          |
| the NuScale SMR Design       |                 |  |                    |   | 15.0.3        |
| DSRS 15.0.3, Rev 0: Design   | II.3            | Technical Support Center               | Partially Conforms | Dose acceptance criterion met for TSC       | 15.0.3        |
| Basis Accident Radiological  |                 | Radiological Habitability              |                    | when AC power is available. TSC function is |               |
| Consequence Analyses for     |                 |  |                    | transferred to the main control room when   |               |
| the NuScale SMR Design       |                 |  |                    | AC is not available.                        |               |
| DSRS 15.1.1-15.1.4, Rev 0:   | II.1            | Identify Limiting Increase in Heat     | Conforms           | None.                                       | 15.1.1-15.1.4 |
| Decrease in Feedwater        | Basic Objective | Removal Events                         |                    |   |               |
| Temperature, Increase in     |                 |  |                    |   |               |
| Feedwater Flow, Increase in  |                 |  |                    |   |               |
| Steam Flow, and Inadvertent  |                 |  |                    |   |               |
| Opening of the Turbine       |                 |  |                    |   |               |
| Bypass System or Inadvertent |                 |  |                    |   |               |
| Operation of the Decay Heat  |                 |  |                    |   |               |
| Removal System               |                 |  |                    |   |               |
| DSRS 15.1.1-15.1.4, Rev 0:   |                 | Verify Fuel Damage and System          | Conforms           | None.                                       | 15.1.1-15.1.4 |
| Decrease in Feedwater        | Basic Objective | Pressure Criteria are Met for Limiting |                    |   |               |
| Temperature, Increase in     |                 | Event.                                 |                    |   |               |
| Feedwater Flow, Increase in  |                 |  |                    |   |               |
| Steam Flow, and Inadvertent  |                 |  |                    |   |               |
| Opening of the Turbine       |                 |  |                    |   |               |
| Bypass System or Inadvertent |                 |  |                    |   |               |
| Operation of the Decay Heat  |                 |  |                    |   |               |
| Removal System               |                 |  |                    |   |               |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:    | AC        | AC Title/Description               | Conformance | Comments                                   | Section       |
|------------------------------|-----------|------------------------------------|-------------|--|---------------|
| Title                        |           |                                    | Status      |  |               |
| DSRS 15.1.1-15.1.4, Rev 0:   | II.1      | System Pressure                    | Conforms    | None.                                      | 15.1.1-15.1.4 |
| Decrease in Feedwater        | Specific  |                                    |             |  |               |
| Temperature, Increase in     | Criterion |                                    |             |  |               |
| Feedwater Flow, Increase in  |           |                                    |             |  |               |
| Steam Flow, and Inadvertent  |           |                                    |             |  |               |
| Opening of the Turbine       |           |                                    |             |  |               |
| Bypass System or Inadvertent |           |                                    |             |  |               |
| Operation of the Decay Heat  |           |                                    |             |  |               |
| Removal System               |           |                                    |             |  |               |
| DSRS 15.1.1-15.1.4, Rev 0:   | II.2      | MCHFR Remains Above 95/95 Limit    | Conforms    | NuScale has determined that critical heat  | 15.1.1-15.1.4 |
| Decrease in Feedwater        | Specific  |                                    |             | flux more accurately describes plant       |               |
| Temperature, Increase in     | Criterion |                                    |             | phenomena than departure from nucleate     |               |
| Feedwater Flow, Increase in  |           |                                    |             | boiling.                                   |               |
| Steam Flow, and Inadvertent  |           |                                    |             |  |               |
| Opening of the Turbine       |           |                                    |             |  |               |
| Bypass System or Inadvertent |           |                                    |             |  |               |
| Operation of the Decay Heat  |           |                                    |             |  |               |
| Removal System               |           |                                    |             |  |               |
| DSRS 15.1.1-15.1.4, Rev 0:   | II.3      | AOOs Should Not Generate More      | Conforms    | NuScale events are classified by AOO, IE,  | 15.1.1-15.1.4 |
| Decrease in Feedwater        | Specific  | Serious Condition                  |             | accident, and special event, but will      |               |
| Temperature, Increase in     | Criterion |                                    |             | conform with SRP requirement that          |               |
| Feedwater Flow, Increase in  |           |                                    |             | incidents of moderate frequency should not |               |
| Steam Flow, and Inadvertent  |           |                                    |             | generate a more serious plant condition    |               |
| Opening of the Turbine       |           |                                    |             | without other faults occurring             |               |
| Bypass System or Inadvertent |           |                                    |             | independently.                             |               |
| Operation of the Decay Heat  |           |                                    |             |  |               |
| Removal System               |           |                                    |             |  |               |
| DSRS 15.1.1-15.1.4, Rev 0:   | II.4      | Instrument Spans and Setpoints use | Conforms    | None.                                      | 15.1.1-15.1.4 |
| Decrease in Feedwater        | Specific  | RG 1.105                           |             |  |               |
| Temperature, Increase in     | Criterion |                                    |             |  |               |
| Feedwater Flow, Increase in  |           |                                    |             |  |               |
| Steam Flow, and Inadvertent  |           |                                    |             |  |               |
| Opening of the Turbine       |           |                                    |             |  |               |
| Bypass System or Inadvertent |           |                                    |             |  |               |
| Operation of the Decay Heat  |           |                                    |             |  |               |
| Removal System               |           |                                    |             |  |               |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:    | AC              | AC Title/Description             | Conformance | Comments | Section       |
|------------------------------|-----------------|----------------------------------|-------------|----------|---------------|
| Title                        |                 |                                  | Status      |          |               |
| DSRS 15.1.1-15.1.4, Rev 0:   | II.5            | Identify Limiting Single Failure | Conforms    | None.    | 15.1.1-15.1.4 |
|                              | Specific        |                                  |             |          |               |
| Temperature, Increase in     | Criterion       |                                  |             |          |               |
| Feedwater Flow, Increase in  |                 |                                  |             |          |               |
| Steam Flow, and Inadvertent  |                 |                                  |             |          |               |
| Opening of the Turbine       |                 |                                  |             |          |               |
| Bypass System or Inadvertent |                 |                                  |             |          |               |
| Operation of the Decay Heat  |                 |                                  |             |          |               |
| Removal System               |                 |                                  |             |          |               |
| DSRS 15.1.1-15.1.4, Rev 0:   | II.1 Analytical | Initial Power Level is 102%      | Conforms    | None.    | 15.1.1-15.1.4 |
| Decrease in Feedwater        | Parameters      |                                  |             |          |               |
| Temperature, Increase in     |                 |                                  |             |          |               |
| Feedwater Flow, Increase in  |                 |                                  |             |          |               |
| Steam Flow, and Inadvertent  |                 |                                  |             |          |               |
| Opening of the Turbine       |                 |                                  |             |          |               |
| Bypass System or Inadvertent |                 |                                  |             |          |               |
| Operation of the Decay Heat  |                 |                                  |             |          |               |
| Removal System               |                 |                                  |             |          |               |
| DSRS 15.1.1-15.1.4, Rev 0:   | II.2 Analytical | Conservative Scram Used          | Conforms    | None.    | 15.1.1-15.1.4 |
| Decrease in Feedwater        | Parameters      |                                  |             |          |               |
| Temperature, Increase in     |                 |                                  |             |          |               |
| Feedwater Flow, Increase in  |                 |                                  |             |          |               |
| Steam Flow, and Inadvertent  |                 |                                  |             |          |               |
| Opening of the Turbine       |                 |                                  |             |          |               |
| Bypass System or Inadvertent |                 |                                  |             |          |               |
| Operation of the Decay Heat  |                 |                                  |             |          |               |
| Removal System               |                 |                                  |             |          |               |
| DSRS 15.1.1-15.1.4, Rev 0:   | II.3 Analytical | Core Burnup                      | Conforms    | None.    | 15.1.1-15.1.4 |
| Decrease in Feedwater        | Parameters      |                                  |             |          |               |
| Temperature, Increase in     |                 |                                  |             |          |               |
| Feedwater Flow, Increase in  |                 |                                  |             |          |               |
| Steam Flow, and Inadvertent  |                 |                                  |             |          |               |
| Opening of the Turbine       |                 |                                  |             |          |               |
| Bypass System or Inadvertent |                 |                                  |             |          |               |
| Operation of the Decay Heat  |                 |                                  |             |          |               |
| Removal System               |                 |                                  |             |          |               |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:   | AC                            | AC Title/Description   | Conformance | Comments   | Section       |
|---|-------------------------------|--|-------------|--|---------------|
| Title   |                               |  | Status      |  |               |
| DSRS 15.1.1-15.1.4, Rev 0: Decrease in Feedwater Temperature, Increase in Feedwater Flow, Increase in Steam Flow, and Inadvertent | II.4 Analytical<br>Parameters | Setpoint Inaccuracies use guidance in RG 1.105                                       | Conforms    | None.  | 15.1.1-15.1.4 |
| Opening of the Turbine<br>Bypass System or Inadvertent<br>Operation of the Decay Heat<br>Removal System                           |                               |  |             |  |               |
| and Outside of Containment  | II.1<br>Specific<br>Criteria  | Reactor Coolant and Main Steam<br>System Pressure                                    | Conforms    | None.  | 15.1.5        |
|   | II.2<br>Specific<br>Criteria  | Evaluation of Core Damage Potential  | Conforms    | NuScale has determined that critical heat flux more accurately describes plant phenomena than departure from nucleate boiling. | 15.1.5        |
| System Piping Failures Inside   | II.3<br>Specific<br>Criteria  | Radiological Criteria for Steam Line<br>Breaks                                       | Conforms    | None.  | 15.1.5        |
|   | II.4<br>Specific<br>Criteria  | Safety-Related Classification and<br>Auto-Initiation of Decay Heat<br>Removal System | Conforms    | None.  | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment  | II.1<br>Assumptions           | Initial Power Level and Plant<br>Operating Mode                                      | Conforms    | None.  | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment  | II.2<br>Assumptions           | Loss of Offsite Power  | Conforms    | None.  | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment  | II.3<br>Assumptions           | Postulated Steam Line Break Effects  | Conforms    | None.  | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment  | II.4<br>Assumptions           | Worst Case Failure of Single Active<br>Component                                     | Conforms    | None.  | 15.1.5        |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC                   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|----------------------|---|-----------------------|---|----------------|
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.5<br>Assumptions  | Maximum-Worth Rod Fully<br>Withdrawn                                | Conforms              | None.   | 15.1.5         |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.6<br>Assumptions  | Core Burnup   | Conforms              | None.   | 15.1.5         |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.7<br>Assumptions  | Initial Core Flow   | Conforms              | NuScale has determined that critical heat flux more accurately describes plant phenomena than departure from nucleate boiling.  | 15.1.5         |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.8<br>Assumptions  | Postulated Failure of Non-Seismic<br>Main Steam Line                | Conforms              | None.   | 15.1.5         |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.9<br>Assumptions  | Postulated Failure of Seismic Main<br>Steam Line                    | Conforms              | None.   | 15.1.5         |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.10<br>Assumptions | Limiting Consequence Assessment<br>When Operator Action is Credited | Not Applicable        | Operator Action is not required to mitigate the consequences of a steam line break.   | Not Applicable |
| SRP 15.1.5.A, Rev 2:<br>Radiological Consequences<br>of Main Steam Line Failures<br>Outside Containment of a<br>PWR | All                  | Various   | Partially Conforms    | Per SRP Section 15.0.3, Section I, Areas of Review, Item 10 under subheading Review Interfaces, for the review of design certification applications, SRP Section 15.0.3 supersedes the radiological analyses, assumptions, acceptance criteria, and methodologies identified in this SRP Section 15.1.5, Appendix A. Provisions related to the nonradiological analyses aspects of this SRP Section 15.1.5, Appendix A, remain applicable to the DCA. | 15.0.3         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC                        | AC Title/Description  | Conformance<br>Status | Comments   | Section        |
|---|---------------------------|---|-----------------------|--|----------------|
| SRP 15.1.5.A, Rev 2:<br>Radiological Consequences<br>of Main Steam Line Failures<br>Outside Containment of a<br>PWR | II (No number)            | First full paragraph and Items 1 and 2<br>on Page 15.1.5-11, Exposure<br>Guidelines for Calculated Doses  | Partially Conforms    | The part of this guidance specifying the calculation of radiological consequences of a postulated main steam line break outside containment is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.A under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3.                    | 15.0.3         |
| SRP 15.1.5.A, Rev 2:<br>Radiological Consequences<br>of Main Steam Line Failures<br>Outside Containment of a<br>PWR | ll (No number)            | First full paragraph following Items 1<br>and 2 on Page 15.1.5-11,<br>Methodology and Assumptions for<br>Calculating Radiological<br>Consequences                     | Not Applicable        | This acceptance criterion specifies radiological analysis methodology and assumptions that are superseded by SRP Section 15.0.3.   | Not Applicable |
| SRP 15.1.5.A, Rev 2:<br>Radiological Consequences<br>of Main Steam Line Failures<br>Outside Containment of a<br>PWR | II (No number)            | Second full paragraph following<br>Items 1 and 2 on Page 15.1.5-11,<br>Technical Specifications for Assumed<br>Iodine Activity and Primary-to-<br>Secondary Leak Rate | Partially Conforms    | The part of this guidance related to the required technical specification for primary and secondary coolant iodine activity and primary-to-secondary leak rate is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.A under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3. | 15.0.3         |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum   | II.1 Specific<br>Criteria | Reactor Coolant Pressure  | Conforms              | None.  | 15.1.6         |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum   | II.2 Specific<br>Criteria | Cladding Integrity  | Conforms              | None.  | 15.1.6         |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum   | II.3 Specific<br>Criteria | AOOs Should Not Generate More<br>Serious Condition  | Conforms              | None.  | 15.1.6         |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum   | II.4 Specific<br>Criteria | Instruments Spans and Setpoints use RG 1.105  | Conforms              | None.  | 15.1.6         |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum   | II.5 Specific<br>Criteria | Identify Limiting Single Failure  | Conforms              | None.  | 15.1.6         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC                            | AC Title/Description  | Conformance<br>Status | Comments   | Section       |
|--|-------------------------------|---|-----------------------|--|---------------|
| Containment Vacuum   | II.1 Analytical<br>Parameters | Initial Power Level is 102%                                     | Conforms              | None.  | 15.1.6        |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum  | II.2 Analytical<br>Parameters | Conservative Scram Used   | Conforms              | None.  | 15.1.6        |
| DSRS 15.1.6, Rev 0: Loss of Containment Vacuum   | II.3 Analytical<br>Parameters | Core Burnup   | Conforms              | None.  | 15.1.6        |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum  | II.4 Analytical<br>Parameters | Maximize Heat Transfer from RCS to Containment and Reactor Pool | Conforms              | None.  | 15.1.6        |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum  | II.5 Analytical<br>Parameters | Setpoint Inaccuracies use Guidance in RG 1.105                  | Conforms              | None.  | 15.1.6        |
| Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed)                               |                               | Basic Objectives - Initiating Events                            | Conforms              | None.  | 15.2.1-15.2.5 |
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | II.2                          | Specific Criteria for Events of<br>Moderate Frequency           | Partially Conforms    | The NuScale design does not have a steam pressure regulator. | 15.2.1-15.2.5 |
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | II.2.A                        | Reactor Coolant System and Main<br>Steam System Pressures       | Conforms              | None.  | 15.2.1-15.2.5 |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:      | AC     | AC Title/Description                  | Conformance | Comments                                 | Section       |
|--------------------------------|--------|---------------------------------------|-------------|--|---------------|
| Title                          |        |                                       | Status      |  |               |
| DSRS 15.2.1-15.2.5, Rev 0:     | II.2.B | Fuel Cladding Integrity               | Conforms    | The NuScale design does not have a steam | 15.2.1-15.2.5 |
| Loss of External Load; Turbine |        |                                       |             | pressure regulator.                      |               |
| Trip; Loss of Condenser        |        |                                       |             |  |               |
| Vacuum; Closure of Main        |        |                                       |             |  |               |
| Steam Isolation Valve; and     |        |                                       |             |  |               |
| Steam Pressure Regulator       |        |                                       |             |  |               |
| Failure (Closed)               |        |                                       |             |  |               |
| DSRS 15.2.1-15.2.5, Rev 0:     | II.2.C | Incidents of Moderate Frequency       | Conforms    | None.                                    | 15.2.1-15.2.5 |
| Loss of External Load; Turbine |        |                                       |             |  |               |
| Trip; Loss of Condenser        |        |                                       |             |  |               |
| Vacuum; Closure of Main        |        |                                       |             |  |               |
| Steam Isolation Valve; and     |        |                                       |             |  |               |
| Steam Pressure Regulator       |        |                                       |             |  |               |
| Failure (Closed)               |        |                                       |             |  |               |
| DSRS 15.2.1-15.2.5, Rev 0:     | II.2.D | Instrument Setpoints - Impact on      | Conforms    | None.                                    | 15.2.1-15.2.5 |
| Loss of External Load; Turbine |        | Plant Response                        |             |  |               |
| Trip; Loss of Condenser        |        |                                       |             |  |               |
| Vacuum; Closure of Main        |        |                                       |             |  |               |
| Steam Isolation Valve; and     |        |                                       |             |  |               |
| Steam Pressure Regulator       |        |                                       |             |  |               |
| Failure (Closed)               |        |                                       |             |  |               |
| DSRS 15.2.1-15.2.5, Rev 0:     | II.2.E | Most Limiting Plant System Single     | Conforms    | None.                                    | 15.2.1-15.2.5 |
| Loss of External Load; Turbine |        | Failure                               |             |  |               |
| Trip; Loss of Condenser        |        |                                       |             |  |               |
| Vacuum; Closure of Main        |        |                                       |             |  |               |
| Steam Isolation Valve; and     |        |                                       |             |  |               |
| Steam Pressure Regulator       |        |                                       |             |  |               |
| Failure (Closed)               |        |                                       |             |  |               |
| DSRS 15.2.1-15.2.5, Rev 0:     | II.2.F | Performance of Nonsafety-Related      | Conforms    | None.                                    | 15.2.1-15.2.5 |
| Loss of External Load; Turbine |        | Systems and Single Failures of Active |             |  |               |
| Trip; Loss of Condenser        |        | and Passive Systems                   |             |  |               |
| Vacuum; Closure of Main        |        | ,                                     |             |  |               |
| Steam Isolation Valve; and     |        |                                       |             |  |               |
| Steam Pressure Regulator       |        |                                       |             |  |               |
| Failure (Closed)               |        |                                       |             |  |               |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| AC     | AC Title/Description                   | Conformance   | Comments   | Section  |
|--------|--|---|--|--|
|        |  |   |  |  |
| II.3   | Analytical Model                       | Conforms  | None.  | 15.2.1-15.2.5  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
| II.3.A | Values of Parameters Used in           | Conforms  | None.  | 15.2.1-15.2.5  |
|        | Analytical Model - Initial Power Level |   |  |  |
|        | and Modes of Operation                 |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
| II.3.B | Values of Parameters Used in           | Conforms  | None.  | 15.2.1-15.2.5  |
|        | Analytical Model - Scram               |   |  |  |
|        | Characteristics                        |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
| II.3.C | Values of Parameters Used in           | Conforms  | None.  | 15.2.1-15.2.5  |
|        | Analytical Model - Core Burnup         |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
| II.3.D | Values of Parameters Used in           | Conforms  | None.  | 15.2.1-15.2.5  |
|        | Analytical Model - Instrumentation     |   |  |  |
|        | Setpoints for Mitigating System        |   |  |  |
|        | Actuation                              |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        |  |   |  |  |
|        | II.3.A<br>II.3.B                       | II.3.A Values of Parameters Used in Analytical Model - Initial Power Level and Modes of Operation  II.3.B Values of Parameters Used in Analytical Model - Scram Characteristics  II.3.C Values of Parameters Used in Analytical Model - Core Burnup  II.3.D Values of Parameters Used in Analytical Model - Instrumentation Setpoints for Mitigating System | II.3.A Analytical Model Conforms  Values of Parameters Used in Analytical Model - Initial Power Level and Modes of Operation  Values of Parameters Used in Analytical Model - Scram Characteristics  Conforms  Values of Parameters Used in Analytical Model - Scram Characteristics  Values of Parameters Used in Analytical Model - Core Burnup  Values of Parameters Used in Analytical Model - Instrumentation Setpoints for Mitigating System  Conforms | II.3. Analytical Model Conforms None.  II.3.A Values of Parameters Used in Analytical Model - Initial Power Level and Modes of Operation  II.3.B Values of Parameters Used in Analytical Model - Scram Characteristics  II.3.C Values of Parameters Used in Analytical Model - Core Burnup  II.3.C Values of Parameters Used in Analytical Model - Instrumentation Setpoints for Mitigating System  Status  Conforms None. |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC       | AC Title/Description  | Conformance<br>Status | Comments   | Section |
|--|----------|---|-----------------------|--|---------|
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | II.1     | Reactor Coolant and Main Steam<br>System Pressures  | Conforms              | None.  | 15.2.6  |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | II.2     | Fuel Cladding Integrity   | Conforms              | None.  | 15.2.6  |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | II.3     | Incidents of Moderate Frequency   | Conforms              | NuScale categorizes events as AOO and IE.  | 15.2.6  |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | II.4     | Requirements of GDC 10 and GDC 15   | Conforms              | None.  | 15.2.6  |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | II.5     | Most Limiting Plant System Single<br>Failure  | Conforms              | None.  | 15.2.6  |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | II.5 A-D | Analysis of Loss of AC Power -<br>Analytical Model and Methods,<br>conservative assumptions and RG<br>1.105 | Conforms              | None.  | 15.2.6  |
| DSRS 15.2.7, Rev 0: Loss of<br>Normal Feedwater Flow                                       | II.1     | Fuel and System Pressure Parameters met   | Conforms              | None.  | 15.2.7  |
| DSRS 15.2.7, Rev 0: Loss of<br>Normal Feedwater Flow                                       | II.2     | Events of Moderate Frequency  | Conforms              | NuScale categorizes events as AOO and IE.  | 15.2.7  |
| DSRS 15.2.7, Rev 0: Loss of<br>Normal Feedwater Flow                                       | II.3     | Analytical Model and Methods  | Conforms              | None.  | 15.2.7  |
| DSRS 15.2.8, Rev 0: Feedwater<br>System Pipe Break Inside and<br>Outside Containment (PWR) | II.1     | Reactor Coolant System and Main<br>Steam System Pressures   | Conforms              | None.  | 15.2.8  |
| DSRS 15.2.8, Rev 0: Feedwater<br>System Pipe Break Inside and<br>Outside Containment (PWR) | II.2     | Evaluation of Core Damage Potential   | Conforms              | For slower reactivity insertions, NuScale uses a heat generation rate limit to ensure that fuel centerline melting limits are met. | 15.2.8  |
| DSRS 15.2.8, Rev 0: Feedwater<br>System Pipe Break Inside and<br>Outside Containment (PWR) | II.3     | Calculated Site Boundary Doses  | Conforms              | None.  | 15.2.8  |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC     | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|--------|--|-----------------------|---|----------------|
| DSRS 15.2.8, Rev 0: Feedwater<br>System Pipe Break Inside and<br>Outside Containment (PWR)  | II.4   | DHRS must be safety grade and automatically initiated when required. | Conforms              | None.   | 15.2.8         |
| DSRS 15.2.8, Rev 0: Feedwater<br>System Pipe Break Inside and<br>Outside Containment (PWR)  | 11.5   | Assumptions for Initial Plant<br>Conditions and Postulated Failures  | Conforms              | None.   | 15.2.8         |
| SRP 15.3.1-15.3.2, Rev 2: Loss<br>of Forced Reactor Coolant<br>Flow Including Trip of Pump<br>Motor and Flow Controller<br>Malfunctions | All    | Various  | Not Applicable        | Applicable only to LWR designs that rely on forced reactor coolant flow for core cooling. The NuScale design uses passive natural circulation of the primary coolant, eliminating the need for reactor coolant pumps.                             | Not Applicable |
| SRP 15.3.3-15.3.4, Rev 2:<br>Reactor Coolant Pump Rotor<br>Seizure and Reactor Coolant<br>Pump Shaft Break                              | All    | Various  | Not Applicable        | Section 15.3.3 - 15.3.4 are applicable only to LWR designs that rely on forced reactor coolant flow for core cooling. The NuScale design uses passive natural circulation of the primary coolant, eliminating the need for reactor coolant pumps. | Not Applicable |
| SRP 15.4.1, Rev 3:<br>Uncontrolled Control Rod<br>Assembly Withdrawal From a<br>Subcritical or Low Power<br>Startup Condition           | II.1.A | Thermal Margin Limits  | Conforms              | Critical heat flux (CHF) is more appropriate terminology for NuScale phenomena than departure from nucleate boiling (DNB).  | 15.4.1         |
| SRP 15.4.1, Rev 3:<br>Uncontrolled Control Rod<br>Assembly Withdrawal From a<br>Subcritical or Low Power<br>Startup Condition           | II.1.B | Fuel Centerline Temperatures   | Conforms              | For slower reactivity insertions, NuScale uses a heat generation rate limit to ensure that fuel centerline melting limits are met.  | 15.4.1         |
| SRP 15.4.1, Rev 3:<br>Uncontrolled Control Rod<br>Assembly Withdrawal From a<br>Subcritical or Low Power<br>Startup Condition           | II.1.C | Uniform Cladding Strain  | Not Applicable        | The SRP states that this criterion applies to BWRs. NuScale uses the 95/95 MCHFR approach to ensure no cladding or fuel failures.   | Not Applicable |
| SRP 15.4.2, Rev 3:<br>Uncontrolled Control Rod<br>Assembly Withdrawal at<br>Power   | II.1.A | Thermal Margin Limits  | Conforms              | CHF is more appropriate terminology for NuScale phenomenon than DNB.  | 15.4.2         |

| SRP or DSRS Section, Rev:<br>Title   | AC     | AC Title/Description         | Conformance<br>Status | Comments  | Section        |
|--|--------|------------------------------|-----------------------|---|----------------|
| SRP 15.4.2, Rev 3:<br>Uncontrolled Control Rod<br>Assembly Withdrawal at<br>Power  | II.1.B | Fuel Centerline Temperatures | Conforms              | For slower reactivity insertions, NuScale uses a heat generation rate limit to ensure that fuel centerline melting limits are met.  | 15.4.2         |
| SRP 15.4.2, Rev 3:<br>Uncontrolled Control Rod<br>Assembly Withdrawal at<br>Power  | II.1.C | Uniform Cladding Strain      | Not Applicable        | The SRP states that this criterion applies to BWRs. NuScale uses the 95/95 MCHFR approach to ensure no cladding or fuel failures.   | Not Applicable |
| SRP 15.4.3, Rev 3: Control Rod<br>Misoperation (System<br>Malfunction or Operator<br>Error)  | II.1   | Thermal Margin Limits        | Conforms              | CHF is more appropriate terminology for NuScale phenomenon than DNB.  | 15.4.3         |
| SRP 15.4.3, Rev 3: Control Rod<br>Misoperation (System<br>Malfunction or Operator<br>Error)  | II.2   | Fuel Centerline Temperatures | Conforms              | For slower reactivity insertions, NuScale uses a heat generation rate limit to meet fuel centerline melting limits.   | 15.4.3         |
| SRP 15.4.3, Rev 3: Control Rod<br>Misoperation (System<br>Malfunction or Operator<br>Error)  | II.3   | Uniform Cladding Strain      | Conforms              | None.   | 15.4.3         |
| SRP 15.4.4-15.4.5, Rev 2: Startup of an Inactive Loop or Recirculation Loop at an Incorrect Temperature, and Flow Controller Malfunction Causing an Increase in BWR Core Flow Rate | II.A   | RCS and MSS Pressures        | Not Applicable        | This guidance is not applicable because the specific language refers to PWR designs that use forced reactor coolant flow and have reactor coolant loops and pumps. The NuScale design does not require or include reactor coolant pumps. The potential for a postulated startup reactivity accident (e.g., initiated by abnormal startup sequence) has been identified as an event requiring consideration for the NuScale reactor design. The guidance for these AOOs is available in DSRS 15.4.6 - Inadvertent Decrease in Boron Concentration in the Reactor Coolant System (PWR). | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description         | Conformance<br>Status | Comments  | Section        |
|--|------|------------------------------|-----------------------|---|----------------|
| SRP 15.4.4-15.4.5, Rev 2: Startup of an Inactive Loop or Recirculation Loop at an Incorrect Temperature, and Flow Controller Malfunction Causing an Increase in BWR Core Flow Rate | II.B | Fuel Thermal Limits          | Not Applicable        | This guidance is not applicable because the specific language refers to PWR designs that use forced reactor coolant flow and have reactor coolant loops and pumps. The NuScale design does not require or include reactor coolant pumps. The potential for a postulated startup reactivity accident (e.g., initiated by abnormal startup sequence) has been identified as an event requiring consideration for the NuScale reactor design. The guidance for these AOOs is available in DSRS 15.4.6 - Inadvertent Decrease in Boron Concentration in the Reactor Coolant System (PWR). | Not Applicable |
| SRP 15.4.4-15.4.5, Rev 2: Startup of an Inactive Loop or Recirculation Loop at an Incorrect Temperature, and Flow Controller Malfunction Causing an Increase in BWR Core Flow Rate | II.C | Events of Moderate Frequency | Not Applicable        | This guidance is not applicable because the specific language refers to PWR designs that use forced reactor coolant flow and have reactor coolant loops and pumps. The NuScale design does not require or include reactor coolant pumps. The potential for a postulated startup reactivity accident (e.g., initiated by abnormal startup sequence) has been identified as an event requiring consideration for the NuScale reactor design. The guidance for these AOOs is available in DSRS 15.4.6 - Inadvertent Decrease in Boron Concentration in the Reactor Coolant System (PWR). | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description | Conformance<br>Status | Comments  | Section        |
|--|------|----------------------|-----------------------|---|----------------|
| SRP 15.4.4-15.4.5, Rev 2: Startup of an Inactive Loop or Recirculation Loop at an Incorrect Temperature, and Flow Controller Malfunction Causing an Increase in BWR Core Flow Rate | II.D | Instrument Setpoints | Not Applicable        | This guidance is not applicable because the specific language refers to PWR designs that use forced reactor coolant flow and have reactor coolant loops and pumps. The NuScale design does not require or include reactor coolant pumps. The potential for a postulated startup reactivity accident (e.g., initiated by abnormal startup sequence) has been identified as an event requiring consideration for the NuScale reactor design. The guidance for these AOOs is available in DSRS 15.4.6 - Inadvertent Decrease in Boron Concentration in the Reactor Coolant System (PWR). | Not Applicable |
| SRP 15.4.4-15.4.5, Rev 2: Startup of an Inactive Loop or Recirculation Loop at an Incorrect Temperature, and Flow Controller Malfunction Causing an Increase in BWR Core Flow Rate | II.E | Single Failure       | Not Applicable        | This guidance is not applicable because the specific language refers to PWR designs that use forced reactor coolant flow and have reactor coolant loops and pumps. The NuScale design does not require or include reactor coolant pumps. The potential for a postulated startup reactivity accident (e.g., initiated by abnormal startup sequence) has been identified as an event requiring consideration for the NuScale reactor design. The guidance for these AOOs is available in DSRS 15.4.6 - Inadvertent Decrease in Boron Concentration in the Reactor Coolant System (PWR). | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description                                    | Conformance<br>Status | Comments  | Section        |
|--|------|---|-----------------------|---|----------------|
| SRP 15.4.4-15.4.5, Rev 2:<br>Startup of an Inactive Loop or<br>Recirculation Loop at an<br>Incorrect Temperature, and<br>Flow Controller Malfunction<br>Causing an Increase in BWR<br>Core Flow Rate | II.F | Non-Safety Systems                                      | Not Applicable        | This guidance is not applicable because the specific language refers to PWR designs that use forced reactor coolant flow and have reactor coolant loops and pumps. The NuScale design does not require or include reactor coolant pumps. The potential for a postulated startup reactivity accident (e.g., initiated by abnormal startup sequence) has been identified as an event requiring consideration for the NuScale reactor design. The guidance for these AOOs is available in DSRS 15.4.6 - Inadvertent Decrease in Boron Concentration in the Reactor Coolant System (PWR). | Not Applicable |
| SRP 15.4.6, Rev 2: Inadvertent<br>Decrease in Boron<br>Concentration in the Reactor<br>Coolant System (PWR)  | II.1 | Reactor Coolant and Main Steam<br>System Pressures      | Conforms              | None.   | 15.4.6         |
| SRP 15.4.6, Rev 2: Inadvertent<br>Decrease in Boron<br>Concentration in the Reactor<br>Coolant System (PWR)  | II.2 | Fuel Cladding Integrity                                 | Conforms              | CHF is more appropriate terminology for NuScale phenomenon.   | 15.4.6         |
| SRP 15.4.6, Rev 2: Inadvertent<br>Decrease in Boron<br>Concentration in the Reactor<br>Coolant System (PWR)  | II.3 | Incidents of Moderate Frequency                         | Conforms              | None.   | 15.4.6         |
| SRP 15.4.6, Rev 2: Inadvertent<br>Decrease in Boron<br>Concentration in the Reactor<br>Coolant System (PWR)  | II.4 | Minimum Time Intervals for Required<br>Operator Actions | Not Applicable        | Operator action is not required to mitigate an inadvertent boron dilution event.  | Not Applicable |
| SRP 15.4.6, Rev 2: Inadvertent<br>Decrease in Boron<br>Concentration in the Reactor<br>Coolant System (PWR)  | II.5 | Analysis Model, Methods, and<br>Assumptions             | Conforms              | None.   | 15.4.6         |

| SRP or DSRS Section, Rev:      | AC             | AC Title/Description                  | Conformance        | Comments                                       | Section |
|--------------------------------|----------------|---------------------------------------|--------------------|--|---------|
| Title                          |                |                                       | Status             |  |         |
| SRP 15.4.7, Rev 2: Inadvertent | II.1           | Provision in Plant Operating          | Conforms           | None.  | 15.4.7  |
| Loading and Operation of a     |                | Procedures Requiring                  |                    |  |         |
| Fuel Assembly in an Improper   |                | Instrumentation to Detect Fuel        |                    |  |         |
| Position                       |                | Loading Errors                        |                    |  |         |
| SRP 15.4.7, Rev 2: Inadvertent | II.2           | Offsite Radiological Consequences     | Conforms           | No fuel failure is expected.                   | 15.4.7  |
| Loading and Operation of a     |                |                                       |                    |  |         |
| Fuel Assembly in an Improper   |                |                                       |                    |  |         |
| Position                       |                |                                       |                    |  |         |
| SRP 15.4.8, Rev 3: Spectrum of | II.1           | Availability of Monitoring            | Conforms           | None.  | 15.4.8  |
| Rod Ejection Accidents (PWR)   |                | Instrumentation                       |                    |  |         |
| SRP 15.4.8, Rev 3: Spectrum of | II.2           | Effects of Postulated Reactivity      | Conforms           | None.  | 15.4.8  |
| Rod Ejection Accidents (PWR)   |                | Accidents                             |                    |  |         |
| SRP 15.4.8, Rev 3: Spectrum of | II.3           | Radiation Dose Limits                 | Conforms           | None.  | 15.4.8  |
| Rod Ejection Accidents (PWR)   |                |                                       |                    |  |         |
| SRP 15.4.8.A, Rev 1:           | All            | Various                               | Partially Conforms | Per SRP Section 15.0.3, Section I, Areas of    | 15.0.3  |
| Radiological Consequences      |                |                                       | •                  | Review, Item 10 under subheading Review        |         |
| of a Control Rod Ejection      |                |                                       |                    | Interfaces, for the review of design           |         |
| Accident (PWR)                 |                |                                       |                    | certification applications, SRP Section 15.0.3 |         |
|                                |                |                                       |                    | supersedes the radiological analyses,          |         |
|                                |                |                                       |                    | assumptions, acceptance criteria, and          |         |
|                                |                |                                       |                    | methodologies identified in SRP Section        |         |
|                                |                |                                       |                    | 15.4.8, Appendix A. Provisions related to the  |         |
|                                |                |                                       |                    | nonradiological analyses aspects of this SRP   |         |
|                                |                |                                       |                    | Section 15.4.8, Appendix A, apply to the       |         |
|                                |                |                                       |                    | DCA.   |         |
| SRP 15.4.8.A, Rev 1:           | II (No number) | First paragraph of Section II (bottom | Partially Conforms | The part of this guidance specifying the       | 15.0.3  |
| Radiological Consequences      |                | of page 15.4.8-5 and top of page      |                    | calculation of radiological consequences of    |         |
| of a Control Rod Ejection      |                | 15.4.8-6) - Acceptability of Site and |                    | a postulated control rod ejection accident is  |         |
| Accident (PWR)                 |                | Dose Mitigating ESF                   |                    | applicable to the DCA. However, per SRP        |         |
|                                |                |                                       |                    | Section 15.0.3, Section I, Areas of Review,    |         |
|                                |                |                                       |                    | Item 10.C under subheading Review              |         |
|                                |                |                                       |                    | Interfaces, the part of this acceptance        |         |
|                                |                |                                       |                    | criterion that specifies radiological          |         |
|                                |                |                                       |                    | acceptance criteria is superseded by SRP       |         |
|                                |                |                                       |                    | Section 15.0.3.                                |         |

| SRP or DSRS Section, Rev:<br>Title   | AC             | AC Title/Description   | Conformance<br>Status | Comments   | Section               |
|--|----------------|--|-----------------------|--|-----------------------|
| SRP 15.4.8.A, Rev 1:<br>Radiological Consequences<br>of a Control Rod Ejection<br>Accident (PWR)                               | ll (No number) | First full paragraph on page 15.4.8-6) - Technical Specification for Primary-<br>to-Secondary Leak Rate  | Partially Conforms    | The part of this guidance related to the required technical specification for primary-to-secondary leak rate is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.C under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3. | 15.0.3                |
| SRP 15.4.8.A, Rev 1:<br>Radiological Consequences<br>of a Control Rod Ejection<br>Accident (PWR)                               | ll (No number) | Second full paragraph on page<br>15.4.8-6) - Dose Model  | Not Applicable        | Per SRP Section 15.0.3, Section I, Areas of<br>Review, Item 10.C under subheading<br>Review Interfaces, this acceptance criterion<br>specifies radiological acceptance criteria<br>and assumptions that are superseded by<br>SRP Section 15.0.3.   | Not Applicable        |
| SRP 15.4.9, Rev 3: Spectrum of<br>Rod Drop Accidents (BWR)   | All            | -  | Not Applicable        | This SRP section and its acceptance criteria (II.1 through II.3) are applicable only to BWRs.  | Not Applicable        |
| SRP 15.4.9.A, Draft Rev 3:<br>Radiological Consequences<br>of Control Rod Drop Accident<br>(BWR)                               | All            | -  | Not Applicable        | This SRP section and its acceptance criteria are applicable only to BWRs.  | Not Applicable        |
| DSRS 15.5.1-15.5.2, Rev 0:<br>Chemical and Volume<br>Control System Malfunction<br>That Increases Reactor<br>Coolant Inventory | II.1           | The frequency classification for this event is an AOO.   | Conforms              | None.  | 15.0<br>15.5.1-15.5.2 |
| DSRS 15.5.1-15.5.2, Rev 0:<br>Chemical and Volume<br>Control System Malfunction<br>That Increases Reactor<br>Coolant Inventory | II.2           | The sequence of events, from initiation until a stabilized condition is reached including assumptions for equipment that operates, fails to operate or requires operator action. | Conforms              | None.  | 15.5.1-15.5.2         |
| DSRS 15.5.1-15.5.2, Rev 0:<br>Chemical and Volume<br>Control System Malfunction<br>That Increases Reactor<br>Coolant Inventory | II.3           | Evaluation Model must be an approved model or be justified.  | Conforms              | None.  | 15.5.1-15.5.2         |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC     | AC Title/Description   | Conformance<br>Status | Comments  | Section          |
|--|--------|--|-----------------------|---|------------------|
| DSRS 15.5.1-15.5.2, Rev 0:<br>Chemical and Volume<br>Control System Malfunction<br>That Increases Reactor<br>Coolant Inventory | II.4.A | Input Parameters and Initial<br>Conditions - Initial Power Level   | Conforms              | None.   | 15.5.1-15.5.2    |
| DSRS 15.5.1-15.5.2, Rev 0:<br>Chemical and Volume<br>Control System Malfunction<br>That Increases Reactor<br>Coolant Inventory | II.4.B | Input Parameters and Initial<br>Conditions - Scram Characteristics | Conforms              | None.   | 15.5.1-15.5.2    |
| DSRS 15.5.1-15.5.2, Rev 0:<br>Chemical and Volume<br>Control System Malfunction<br>That Increases Reactor<br>Coolant Inventory | II.4.C | Input Parameters and Initial<br>Conditions - Core Burnup           | Conforms              | None.   | 15.5.1-15.5.2    |
| SRP 15.6.1, Rev 2: Inadvertent<br>Opening of a PWR Pressurizer<br>Relief Valve or a BWR Pressure<br>Relief Valve               | All    | Various  | Partially Conforms    | This guidance is only applicable to LWRs that are designed with power-operated pressurizer relief valves. The NuScale design does not use power-operated relief valves (PORVs), which have the potential to open inadvertently. Rather, the NuScale design uses springloaded ASME code safety relief valves, which do not have the PORVs vulnerability to inadvertent operation. However, a mechanical failure of the reactor safety valve (RSV) is bounded by an inadvertent ECCS valve actuation, analyzed in Section 15.6.6. | 15.6.1<br>15.6.6 |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC | AC Title/Description   | Conformance<br>Status | Comments  | Section          |
|---|----|--|-----------------------|---|------------------|
| SRP 15.6.2, Rev 2: Radiological<br>Consequences of the Failure<br>of Small Lines Carrying<br>Primary Coolant Outside<br>Containment |    | Penultimate paragraph of Section II<br>on page 15.6.22 - Acceptability of Site<br>and Dose Mitigating ESF Systems                                      | Partially Conforms    | The part of this guidance specifying the calculation of radiological consequences of a postulated failure outside containment of a small reactor coolant line is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.E under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3. | 15.0.3<br>15.6.2 |
| SRP 15.6.2, Rev 2: Radiological<br>Consequences of the Failure<br>of Small Lines Carrying<br>Primary Coolant Outside<br>Containment |    | Last paragraph of Section II on page<br>15.6.22 - Plant-Specific Technical<br>Specifications for Primary Coolant<br>System Iodine Activity             | Partially Conforms    | The part of this guidance related to the required technical specification for primary coolant iodine activity is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.E under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3.   | 15.0.3<br>15.6.2 |
| SRP 15.6.3, Rev 2: Radiological<br>Consequences of Steam<br>Generator Tube Failure (PWR)  |    | First paragraph and Items (1) and (2) of Section II on page 15.6.32 - Acceptability of Site and Dose Mitigating ESF Systems                            | Partially Conforms    | The part of this guidance specifying the calculation of radiological consequences of a postulated steam generator tube failure is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.F under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3.                                | 15.0.3<br>15.6.3 |
| SRP 15.6.3, Rev 2: Radiological<br>Consequences of Steam<br>Generator Tube Failure (PWR)  |    | First sentence of the last paragraph of<br>Section II on page 15.6.32 -<br>Methodology and Assumptions for<br>Calculating Radiological<br>Consequences | Not Applicable        | This acceptance criterion specifies radiological analysis methodology and assumptions that are superseded by SRP Section 15.0.3.  | Not Applicable   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section          |
|--|------|---|-----------------------|---|------------------|
| SRP 15.6.3, Rev 2: Radiological<br>Consequences of Steam<br>Generator Tube Failure (PWR)   |      | Last two sentences of the last paragraph of Section II on page 15.6.32 - Plant-Specific Technical Specifications for Primary and Secondary Coolant System Iodine Activity | Partially Conforms    | The part of this guidance related to the required technical specification for primary and secondary coolant iodine activity is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.F under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3. | 15.0.3<br>15.6.3 |
| SRP 15.6.4, Rev 2: Radiological<br>Consequences of Main Steam<br>Line Failure Outside<br>Containment (BWR)   | All  | -   | Not Applicable        | This SRP section and its acceptance criteria are applicable only to BWRs.   | Not Applicable   |
| DSRS 15.6.5, Rev 0: Loss-of-<br>Coolant Accidents Resulting<br>from Spectrum of Postulated<br>Piping Breaks within the<br>Reactor Coolant Pressure<br>Boundary | II.1 | Evaluation of ECCS Performance  | Departure             | The NuScale design supports an exemption from selected portions of 10 CFR 50 Appendix K. The features of Appendix K requirements that are technically relevant to the NuScale design are included in the Appendix K analysis of small break LOCAs.  | 15.6.5           |
| DSRS 15.6.5, Rev 0: Loss-of-<br>Coolant Accidents Resulting<br>from Spectrum of Postulated<br>Piping Breaks within the<br>Reactor Coolant Pressure<br>Boundary | II.2 | Radiological Consequences of Most<br>Severe LOCA  | Conforms              | Per SRP Section 15.0.3, Section I, Areas of Review, Item 10 under subheading Review Interfaces, for the review of design certification applications, SRP Section 15.0.3 supersedes the radiological analyses, assumptions, acceptance criteria, and methodologies identified in this SRP Section 15.6.5.  | 15.6.5           |
| DSRS 15.6.5, Rev 0: Loss-of-<br>Coolant Accidents Resulting<br>from Spectrum of Postulated<br>Piping Breaks within the<br>Reactor Coolant Pressure<br>Boundary | II.3 | TMI Action Plan Requirements  | Conforms              | None.   | 15.6.5           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section          |
|---|------|--|-----------------------|--|------------------|
| DSRS 15.6.5, Rev 0: Loss-of-<br>Coolant Accidents Resulting<br>from Spectrum of Postulated<br>Piping Breaks within the<br>Reactor Coolant Pressure<br>Boundary    | II.4 | Programmatic Requirements  | Conforms              | None.  | 15.6.5           |
| SRP 15.6.5.A, Rev 1: Radiological Consequences of a Design Basis Loss-of- Coolant Accident Including Containment Leakage Contribution                             | II.1 | Calculated Doses and Containment<br>Leakage Contribution                       | Partially Conforms    | The part of this guidance specifying the calculation of radiological consequences of a hypothetical LOCA is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.H under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3. A portion of this guidance is applicable only to BWRs.              | 15.0.3<br>15.6.5 |
| SRP 15.6.5.A, Rev 1: Radiological Consequences of a Design Basis Loss-of- Coolant Accident Including Containment Leakage Contribution                             | II.2 | Model for and Calculation of Post-<br>LOCA Containment Leakage<br>Contribution | Partially Conforms    | The part of this guidance specifying the calculation of post LOCA containment leakage contribution is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.H under subheading Review Interfaces, the part of this Acceptance Criterion that specifies radiological acceptance criteria and analysis model is superseded by SRP Section 15.0.3. A portion of this guidance is applicable only to BWRs. | 15.0.3<br>15.6.5 |
| SRP 15.6.5.B, Rev 1: Radiological Consequences of a Design Basis Loss-of- Coolant Accident: Leakage From Engineered Safety Feature Components Outside Containment | II.1 | ESF System Leakage Assumptions   | Conforms              | None.  | 15.0.3<br>15.6.5 |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                         | Conformance<br>Status | Comments  | Section          |
|---|------|--|-----------------------|---|------------------|
| SRP 15.6.5.B, Rev 1: Radiological Consequences of a Design Basis Loss-of- Coolant Accident: Leakage From Engineered Safety Feature Components Outside Containment | II.2 | Calculation of Radiological<br>Consequences  | Partially Conforms    | The part of this guidance specifying the calculation of radiological consequences of postulated leakage is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.H under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological analyses, assumptions, acceptance criteria, and methodologies is superseded by SRP Section 15.0.3.   | 15.0.3<br>15.6.5 |
| SRP 15.6.5.B, Rev 1: Radiological Consequences of a Design Basis Loss-of- Coolant Accident: Leakage From Engineered Safety Feature Components Outside Containment | II.3 | Combining Radiological<br>Consequences       | Partially Conforms    | The part of this guidance specifying that radiological consequences from ESF component leakage should be combined with consequences from other fission product release paths is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.H under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3. A portion of this guidance is applicable only to BWRs. | 15.0.3<br>15.6.5 |
| SRP 15.6.5.D, Rev 1: Radiological Consequences of a Design Basis Loss-of- Coolant Accident: Leakage From Main Steam Isolation Valve Leakage Control System (BWR)  | All  | Various                                      | Not Applicable        | This SRP section and its acceptance criteria are applicable only to BWRs.   | Not Applicable   |
| DSRS 15.6.6, Rev 0:<br>Inadvertent Operation of<br>ECCS   | II.1 | RCS pressure below 110 percent design value. | Conforms              | None.   | 15.6.6           |
| DSRS 15.6.6, Rev 0:<br>Inadvertent Operation of<br>ECCS   | II.2 | Maintain minimum DNBR.                       | Conforms              | NuScale evaluated CHF as it is more appropriate than DNBR for the NuScale design.   | 15.6.6           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:   | AC   | AC Title/Description   | Conformance        | Comments   | Section        |
|---|------|--|--------------------|--|----------------|
| Title   |      |  | Status             |  |                |
| DSRS 15.6.6, Rev 0:<br>Inadvertent Operation of<br>ECCS                       | II.3 | An AOO should not develop more<br>serious plant condition without other<br>faults occurring independently. | Conforms           | None.  | 15.6.6         |
| SRP 15.7.3, Rev 2: Radioactive<br>Release from a Subsystem or<br>Component    |      | Various  | Partially Conforms | Branch Technical Position 11-6, which is referenced in Section 11.2.   | 11.2           |
| SRP 15.7.4, Rev 1: Radiological<br>Consequences of Fuel<br>Handling Accidents |      | Acceptability of Site and Dose<br>Mitigating ESF Systems   | Not Applicable     | This acceptance criterion specifies radiological analysis acceptance criteria that are superseded by SRP Section 15.0.3.   | Not Applicable |
| SRP 15.7.4, Rev 1: Radiological<br>Consequences of Fuel<br>Handling Accidents | II.2 | Radioactivity Control Features of Fuel<br>Storage and Handling Systems                                     | Partially Conforms | The portion of this acceptance criterion related to fuel storage and handling systems inside the Fuel Building is applicable to those systems inside the NuScale Reactor Building. The portion of this acceptance criterion related to fuel storage and handling systems inside containment is applicable only to large LWR designs that incorporate a containment building housing numerous plant SSC. The NuScale design does not use a containment building. Rather, each NPM has its own compact steel containment vessel. This containment vessel does not contain fuel storage and handling systems. Thus, the portion of this acceptance criterion related to fuel storage and handling systems inside containment is not applicable. | 15.7.4         |
| SRP 15.7.4, Rev 1: Radiological<br>Consequences of Fuel<br>Handling Accidents | II.3 | Dose Model and Modeling<br>Assumptions   | Not Applicable     | This acceptance criterion specifies radiological analysis methodology and assumptions that are superseded by SRP Section 15.0.3.   | Not Applicable |

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Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status    | Comments   | Section        |
|---|------|--|--------------------------|--|----------------|
| <b>Title</b><br>SRP 15.7.4, Rev 1: Radiological<br>Consequences of Fuel<br>Handling Accidents | 11.4 | ESF Grade Atmosphere Clean-Up<br>System in Spent Fuel Storage Area | Status<br>Not Applicable | The NuScale design does not rely on ESF ventilation systems to mitigate the consequences of a design basis accident. Non-safety-related normal ventilation systems provide atmosphere cleanup capability, as necessary, that meets the design, testing, and maintenance guidelines in RG 1.140. These systems provide appropriate containment, confinement, and filtering to limit releases of airborne radioactivity to the environment during normal operations, anticipated operational occurrences, and postulated accident conditions. However, these systems are not required following an accident and respice page 2014 in the | Not Applicable |
| SRP 15.7.4, Rev 1: Radiological<br>Consequences of Fuel<br>Handling Accidents                 | II.5 | Radiation Detection in Containment                                 | Partially Conforms       | accident, and receive no credit in the determination of the radiological consequences of an accident.  The intent of this acceptance criterion is applicable but the specific language refers to LWR designs that incorporate a containment building within which fuel handling operations are performed. The NuScale design does not use a containment  | 15.7.4         |
|   |      |  |                          | building. Rather, each NPM has its own compact steel containment vessel immediately surrounding the reactor vessel. The containment design provisions of this guidance for fuel handling operations inside containment are not relevant to the NuScale containment vessel design. However, the intent of this acceptance criterion is appropriate to apply to the NuScale Reactor Building, where the operating NPMs reside in the reactor pool and fuel handling operations are performed.  |                |

Revision 1

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title | AC   | AC Title/Description                   | Conformance<br>Status | Comments                                      | Section        |
|------------------------------------|------|--|-----------------------|---|----------------|
| SRP 15.7.5, Rev 2: Spent Fuel      | All  | Various                                | Partially Conforms    | One of the principal functions of the         | 15.7.5         |
| Cask Drop Accidents                |      |  | ,                     | NuScale reactor building crane (RBC) is to    | 15.7.6         |
|                                    |      |  |                       | move spent fuel casks in the Reactor          |                |
|                                    |      |  |                       | Building refueling area. The RBC system       |                |
|                                    |      |  |                       | design conforms to the single-failure-proof   |                |
|                                    |      |  |                       | guidelines of NUREG-0612 so that any          |                |
|                                    |      |  |                       | credible failure of a single component will   |                |
|                                    |      |  |                       | not result in the loss of capability to stop  |                |
|                                    |      |  |                       | and hold a critical load. The single-failure- |                |
|                                    |      |  |                       | proof crane precludes the need to perform     |                |
|                                    |      |  |                       | load drop evaluations and as a result no      |                |
|                                    |      |  |                       | accident analysis has been performed to       |                |
|                                    |      |  |                       | assess radiological consequences of a spent   |                |
|                                    |      |  |                       | fuel cask drop accident or a NPM drop         |                |
|                                    |      |  |                       | accident.                                     |                |
| SRP 15.7.5, Rev 2: Spent Fuel      | II.1 | Acceptability of Site and Dose         | Not Applicable        | The RBC system design conforms to the         | Not Applicable |
| Cask Drop Accidents                |      | Mitigating ESF Systems                 |                       | single-failure-proof guidelines of NUREG-     |                |
|                                    |      |  |                       | 0612 so that any credible failure of a single |                |
|                                    |      |  |                       | component will not result in the loss of      |                |
|                                    |      |  |                       | capability to stop and hold a critical load.  |                |
|                                    |      |  |                       | The single-failure-proof crane precludes the  |                |
|                                    |      |  |                       | need to perform load drop evaluations and     |                |
|                                    |      |  |                       | as a result no accident analysis has been     |                |
|                                    |      |  |                       | performed to assess radiological              |                |
|                                    |      |  |                       | consequences of a spent fuel cask drop        |                |
|                                    |      |  |                       | accident or a NPM drop accident.              |                |
|                                    | II.2 | Radioactivity Control Features of Fuel | Not Applicable        | The RBC system design conforms to the         | Not Applicable |
| Cask Drop Accidents                |      | Storage and Handling Systems           |                       | single-failure-proof guidelines of NUREG-     |                |
|                                    |      |  |                       | 0612 so that any credible failure of a single |                |
|                                    |      |  |                       | component will not result in the loss of      |                |
|                                    |      |  |                       | capability to stop and hold a critical load.  |                |
|                                    |      |  |                       | The single-failure-proof crane precludes the  |                |
|                                    |      |  |                       | need to perform load drop evaluations and     |                |
|                                    |      |  |                       | as a result no accident analysis has been     |                |
|                                    |      |  |                       | performed to assess radiological              |                |
|                                    |      |  |                       | consequences of a spent fuel cask drop        |                |
|                                    |      |  |                       | accident or a NPM drop accident.              |                |

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Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                       | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section          |
|--|------|--|-----------------------|--|------------------|
| SRP 15.7.5, Rev 2: Spent Fuel<br>Cask Drop Accidents     | II.3 | Dose Model and Modeling<br>Assumptions                             | Not Applicable        | The RBC system design conforms to the single-failure-proof guidelines of NUREG-0612 so that any credible failure of a single component will not result in the loss of capability to stop and hold a critical load. The single-failure-proof crane precludes the need to perform load drop evaluations and as a result no accident analysis has been performed to assess radiological consequences of a spent fuel cask drop accident or a NPM drop accident. | Not Applicable   |
| SRP 15.7.5, Rev 2: Spent Fuel<br>Cask Drop Accidents     | 11.4 | ESF Grade Atmosphere Clean-Up<br>System in Spent Fuel Storage Area | Not Applicable        | The RBC system design conforms to the single-failure-proof guidelines of NUREG-0612 so that any credible failure of a single component will not result in the loss of capability to stop and hold a critical load. The single-failure-proof crane precludes the need to perform load drop evaluations and as a result no accident analysis has been performed to assess radiological consequences of a spent fuel cask drop accident or a NPM drop accident. | Not Applicable   |
| SRP 15.7.5, Rev 2: Spent Fuel<br>Cask Drop Accidents     | II.5 | Plant Design Features Eliminating<br>Need for Calculation          | Partially Conforms    | The RBC system design conforms to the single-failure-proof guidelines of NUREG-0612 so that any credible failure of a single component will not result in the loss of capability to stop and hold a critical load. The single-failure-proof crane precludes the need to perform load drop evaluations and as a result no accident analysis has been performed to assess radiological consequences of a spent fuel cask drop accident or a NPM drop accident. | 15.7.5<br>15.7.6 |
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram | II.1 | Acceptance Criteria for Boiling Water<br>Reactors (BWRs)           | Not Applicable        | This guidance is only applicable to BWRs.  | Not Applicable   |
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram | II.2 | Acceptance Criteria for Pressurized Water Reactors (PWRs)          | Not Applicable        | NuScale is characterized as an evolutionary plant (See the acceptance criteria in II.3).   | Not Applicable   |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC        | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|-----------|---|-----------------------|---|----------------|
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram                      | II.3.A.i  | Provide a diverse scram system  | Partially Conforms    | The NuScale design relies on diversity within the module protection system (MPS) to reduce the risk associated with ATWS events. Internal diversity within the MPS is a simpler approach and meets the intent of the diverse scram elements of the ATWS Rule.   | 15.8           |
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram                      | II.3.A.ii | or, Demonstrate that the ATWS event consequences are acceptable   | Not Applicable        | As discussed in the comment above for Acceptance Criteria II.3.A.i, the NuScale design relies on diversity within the RPS to reduce the risk associated with ATWS events.   | Not Applicable |
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram                      | II.3.B    | Required Equipment Does Not Apply<br>to Design  | Conforms              | As discussed above in the comment for Acceptance Criteria II.2, the design features required by 10 CFR 50.62(C)(1) either do not apply to the NuScale design or are not required to reduce the risk from ATWS events. Internal diversity within the MPS is a simpler approach to addressing the diverse scram elements of the ATWS Rule and acceptance criteria II.3.A.ii. and II.3.C(2) for evolutionary plants. | 15.8           |
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram                      | II.3.C    | Analysis Demonstrating the Failure<br>Probability of Failing the ATWS<br>Success Criteria is Sufficiently Small | Partially Conforms    | NuScale will conform to the second criterion option of reducing the probability of a failure to scram. This is achieved with a diverse RPS instead of a diverse scram system as discussed above.  | 15.8           |
| DSRS 15.9.A, Rev 0: Thermal<br>Hydraulic Stability Review<br>Responsibilities | II.1      | No requirements   | -                     | None.   | -              |
| DSRS 15.9.A, Rev 0: Thermal<br>Hydraulic Stability Review<br>Responsibilities | II.2      | Meeting Requirements of GDC 12  | Conforms              | None.   | 4.4.7          |
| DSRS 15.9.A, Rev 0: Thermal<br>Hydraulic Stability Review<br>Responsibilities | II.3      | Detect and suppress system criteria for demonstrating acceptable consequences of stability                      | Not Applicable        | Reactor trip signals prevent violation of CHF limits before flow instabilities can develop.   | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:   | AC    | AC Title/Description   | Conformance<br>Status | Comments  | Section                |
|---|-------|--|-----------------------|---|------------------------|
|   |       |  |                       |   |                        |
| Hydraulic Stability Review<br>Responsibilities                                | II.4  | Detect and Suppress Method:<br>Exclusion zone and buffer region<br>methodology                       | Not Applicable        | Exclusion zone option is not used in the NuScale design. Reactor trip signals that prevent violation of CHF limits before unstable flow oscillations can develop. Protective action occurs prior to development of oscillation. | Not Applicable         |
| DSRS 15.9.A, Rev 0: Thermal   | II.5  | Detect and Suppress Method Trip of   | Partially Conforms    | Existing reactor trip signals provide an  | 4.4.4                  |
| Hydraulic Stability Review<br>Responsibilities                                |       | reactor before SAFDL violation   |                       | exclusion zone that prevents violation of SAFDL limits from other causes occur before parameters indicating flow instabilities are present.   | 4.4.7                  |
| DSRS 15.9.A, Rev 0: Thermal<br>Hydraulic Stability Review<br>Responsibilities | II.6  | Backup options if licensing solutions declared inoperable  | Not Applicable        | Detect and Suppress options are not employed. Existing technical specifications for RTS provide controls on allowable unavailabilities of protective trips. Backup options are not required.                                    | Not Applicable         |
| DSRS 15.9.A, Rev 0: Thermal<br>Hydraulic Stability Review<br>Responsibilities | II.7  | Criteria to determine the acceptability of the D&S System compliance with the requirements of GDC 20 | Partially Conforms    | RTS system trips reactor prior to conditions that could initiate flow instabilities. Stabilities are not detected and suppressed.   | 4.4.7                  |
| DSRS 15.9.A, Rev 0: Thermal<br>Hydraulic Stability Review<br>Responsibilities | II.8  | Detect and Suppress system to monitor process variables and systems.                                 | Not Applicable        | RTS system trips reactor prior to conditions that could initiate flow instabilities. Stabilities are not detected and suppressed.   | Not Applicable         |
| DSRS 15.9.A, Rev 0: Thermal<br>Hydraulic Stability Review<br>Responsibilities | II.9  | Stability-related instrumentation functionality should be demonstrated by analysis.                  | Conforms              | Reactor trip signals prevent violation of CHF limits before flow instabilities can develop. No unique monitoring is required to detect hydraulic instabilities.   | 4.4.7<br>15.9.A<br>7.2 |
| DSRS 15.9.A, Rev 0: Thermal   | II.10 | Ensure plant is free from other  | Conforms              | None.   | 4.4.4                  |
| Hydraulic Stability Review  |       | instability modes that could violate   |                       |   | 4.4.7                  |
| Responsibilities  |       | SAFDLs   |                       |   | 15.9.A                 |
| DSRS 15.9.A, Rev 0: Thermal   | II.11 | D&S System extremely high  | Partially Conforms    | RTS system is used instead of a D&S. RTS  | 4.4.7                  |
| Hydraulic Stability Review  |       | probability of functioning in the  |                       | occurs prior to conditions that could initiate  | 4.4.6                  |
| Responsibilities  |       | event of an AOO.   |                       | instabilities.  |                        |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title | AC      | AC Title/Description              | Conformance<br>Status | Comments  | Section |
|------------------------------------|---------|-----------------------------------|-----------------------|---|---------|
| OSRS 16.0, Rev. 0: Technical       | All (No | Acceptance Criteria for Technical | Partially Conforms    | This DSRS section and its acceptance criteria   | Ch 16   |
| pecifications                      | Number) | Specifications                    |                       | is applicable but much of the specific          |         |
|                                    |         |                                   |                       | language refers to existing LWR technical       |         |
|                                    |         |                                   |                       | specifications or to plant-specific technical   |         |
|                                    |         |                                   |                       | specifications to be developed by a COL         |         |
|                                    |         |                                   |                       | applicant. For the latter, the DCA contains     |         |
|                                    |         |                                   |                       | COL information items, as appropriate, that     |         |
|                                    |         |                                   |                       | describe the required development of            |         |
|                                    |         |                                   |                       | plant-specific technical specifications that is |         |
|                                    |         |                                   |                       | deferred to the COL applicant referencing       |         |
|                                    |         |                                   |                       | the NuScale design. Notwithstanding the         |         |
|                                    |         |                                   |                       | above, pursuant to 10 CFR 52.47(a)(11) and      |         |
|                                    |         |                                   |                       | consistent with DSRS 16.0, the DCA contains     |         |
|                                    |         |                                   |                       | proposed technical specifications that are      |         |
|                                    |         |                                   |                       | prepared in accordance with 10 CFR 50.36        |         |
|                                    |         |                                   |                       | and 10 CFR 50.36a. The improved standard        |         |
|                                    |         |                                   |                       | technical specification guidance for LWRs       |         |
|                                    |         |                                   |                       | specified in this DSRS - NUREGs-1430            |         |
|                                    |         |                                   |                       | through -1434, and NUREG-2194 - were            |         |
|                                    |         |                                   |                       | utilized to the extent appropriate and          |         |
|                                    |         |                                   |                       | practicable. Additionally, the Technical        |         |
|                                    |         |                                   |                       | Specifications Task Force "Writer's Guide for   |         |
|                                    |         |                                   |                       | Plant-Specific Improved Technical               |         |
|                                    |         |                                   |                       | Specifications," TSTF-GG-05-01, Revision 1,     |         |
|                                    |         |                                   |                       | August 2010 was used to draft the               |         |
|                                    |         |                                   |                       | specifications.                                 |         |
|                                    |         |                                   |                       | There are a number of technical and             |         |
|                                    |         |                                   |                       | editorial differences between the NuScale       |         |
|                                    |         |                                   |                       | proposed technical specifications and those     |         |
|                                    |         |                                   |                       | presented in the improved standard              |         |
|                                    |         |                                   |                       | technical specifications. Consistent with this  |         |
|                                    |         |                                   |                       | DSRS 16.0, technical justification for such     |         |
|                                    |         |                                   |                       | differences is provided.                        |         |

Revision 1

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description               | Conformance<br>Status | Comments   | Section        |
|--|------|------------------------------------|-----------------------|--|----------------|
| SRP 16.1, Rev 1: Risk-Informed<br>Decision Making: Technical<br>Specifications     | II.1 | Traditional Engineering Guidelines | Partially Conforms    | This guidance is for revisions being made to existing technical specifications (TS), presumably including deviation from generic or any applicable standard TS. The discussion provided was considered in the development of the NuScale TS, however the specific content is not applicable to development of new generic TS as a part of a DCA.   | 16.1.1         |
| SRP 16.1, Rev 1: Risk-Informed<br>Decision Making: Technical<br>Specifications     | II.2 | Probabilistic Guidelines           | Partially Conforms    | This guidance applies to revisions being made to existing TS, including deviation from generic or applicable standard TS. The discussion provided was considered in the development of the NuScale TS, however the specific content is not applicable to development of new generic TS as a part of a DCA.   | 16.1.1         |
| SRP 17.1, Rev 2: Quality<br>Assurance During the Design<br>and Construction Phases | All  | Various                            | Not Applicable        | This guidance is applicable only to existing NRC-approved QA Programs that are based on ANSI N45.2 and its daughter standards. The NuScale QA Program Description (QAPD) is based on NQA-1-2008 and the NQA-1a-2009 addenda, as endorsed in RG 1.28, Rev 4. Since the issuance of SRP Section 17.1, the NRC has issued SRP Section 17.5 (based on NQA-1) for the review of QAPDs for new reactor applicants - including applicants for design certification - under 10 CFR 52. Accordingly, SRP Section 17.5 (rather than SRP Section 17.1) is the appropriate guidance to be applied to the NuScale QAPD. | Not Applicable |
| SRP 17.2, Rev 2: Quality<br>Assurance During the<br>Operations Phase               | All  | Various                            | Not Applicable        | This guidance is applicable only to existing NRC-approved operational QA Programs that are based on ANSI N45.2 and its daughter standards.   | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description            | Conformance<br>Status | Comments   | Section        |
|---|------|---------------------------------|-----------------------|--|----------------|
| SRP 17.3, Rev 0: Quality<br>Assurance Program<br>Description  | All  | Various                         | Not Applicable        | This guidance is applicable only to existing NRC-approved QA Programs. Since the issuance of this SRP section, the NRC has issued SRP Section 17.5 for the review of QAPDs for new reactor applicants - including applicants for design certification - under 10 CFR 52. Accordingly, SRP Section 17.5 (rather than SRP Section 17.3) is the appropriate guidance to be applied to the QAPD incorporated into the DCA. | Not Applicable |
| SRP 17.4, Rev 1: Reliability<br>Assurance Program (RAP)   | II.A | Design Certification            | Conforms              | None.  | 17.4           |
| SRP 17.4, Rev 1: Reliability<br>Assurance Program (RAP)   | II.B | COL Applicant                   | Not Applicable        | This acceptance criterion is applicable only to COL applicants.  | Not Applicable |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.A | Organization                    | Partially Conforms    | The onsite, offsite, operational, and maintenance organizational elements of Item II.A.3 are the responsibility of the COL applicant referencing the certified design.   | 17.5           |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.B | Quality Assurance Program       | Partially Conforms    | The provisions for site-specific and operational phase of the quality assurance program are not applicable to the NuScale QA program to be applied during the design certification phase, and are to be addressed within the operational QA program developed and maintained by the COL applicant referencing the certified design.  | 17.5           |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.C | Design Control and Verification | Conforms              | None.  | 17.5           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section        |
|---|------|---|-----------------------|--|----------------|
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants | II.D | Procurement Document Control                                      | Conforms              | None.  | 17.5           |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants | II.E | Instructions, Procedures, and<br>Drawings (Controlled Documents)  | Conforms              | None.  | 17.5           |
| Assurance Program Description - Design Certification, Early Site Permit and New COL applicants                          | II.F | Document Control  | Partially Conforms    | The site-specific and operational provisions of document control are the responsibility of the COL applicant referencing the certified design. | 17.5           |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants | II.G | Control of Purchased Material,<br>Equipment, and Services         | Conforms              | None.  | 17.5           |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants | II.H | Identification and Control of<br>Materials, Parts, and Components | Not Applicable        | This acceptance criterion governs activities that are the responsibility of the COL applicant referencing the certified design.                | Not Applicable |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants | 11.1 | Control of Special Processes                                      | Not Applicable        | This acceptance criterion governs activities that are the responsibility of the COL applicant referencing the certified design.                | Not Applicable |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants | II.J | Inspection  | Partially Conforms    | The provisions specific to inservice, modification, etc. are the responsibility of the COL applicant referencing the certified design.         | 17.5           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                             | Conformance<br>Status | Comments  | Section        |
|---|------|--|-----------------------|---|----------------|
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants             | II.K | Test Control                                     | Conforms              | None.   | 17.5           |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants             | II.L | Control of Measuring and Test<br>Equipment       | Conforms              | None.   | 17.5           |
| Assurance Program Description - Design Certification, Early Site Permit and New COL applicants                                      | II.M | Handling, Storage, and Shipping                  | Not Applicable        | This acceptance criterion governs activities that are the responsibility of the COL applicant referencing the certified design. | Not Applicable |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants             | II.N | Inspection, Test, and Operating<br>Status        | Not Applicable        | This acceptance criterion governs activities that are the responsibility of the COL applicant referencing the certified design. | Not Applicable |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants             | II.O | Nonconforming Materials, Parts, or<br>Components | Conforms              | None.   | 17.5           |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants             | II.P | Corrective Action                                | Conforms              | None.   | 17.5           |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.Q | Quality Assurance Records                        | Conforms              | None.   | 17.5           |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:   | AC   | AC Title/Description                                       | Conformance    | Comments   | Section         |
|---|------|--|----------------|--|-----------------|
| Title   |      |  | Status         |  |                 |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants             | II.R | Audits   | Conforms       | None.  | 17.5            |
| · ·   | II.S | Training and Qualification Criteria                        | Conforms       | None.  | 17.5            |
| SRP 17.5, Rev 1: Quality Assurance Program Description - Design Certification, Early Site Permit and New COL applicants             | II.T | Training and Qualification -<br>Inspection and Test        | Conforms       | None.  | 17.5            |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.U | Nonsafety-Related SSC Quality<br>Controls                  | Conforms       | None.  | 17.5            |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.V | Quality Assurance Program<br>Commitments                   | Conforms       | None.  | 17.5            |
| SRP 17.6, Rev 2: Maintenance<br>Rule  | All  | Various  | Not Applicable | This SRP section and its acceptance criteria govern a site-specific operational program that is the responsibility of the COL applicant. | Not Applicable  |
| SRP 18.0, Rev 2: Human<br>Factors Engineering   | II.A | Review of the HFE Aspects of a New<br>Plant                | Conforms       | None.  | 18.1 thru 18.12 |
| SRP 18.0, Rev 2: Human<br>Factors Engineering   | II.B | Review of the HFE Aspects of Control<br>Room Modifications | Not Applicable | This acceptance criterion is applicable to existing reactor licensees that request NRC approval of control room modifications.           | Not Applicable  |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description   | Conformance<br>Status | Comments  | Section               |
|--|-------|--|-----------------------|---|-----------------------|
| SRP 18.0, Rev 2: Human<br>Factors Engineering  | II.C  | Review of the HFE Aspects of<br>Modifications Affecting<br>RiskImportant Human Actions                               | Not Applicable        | This acceptance criterion is applicable to existing reactor licensees that request NRC approval of plant changes that affect important human actions. | Not Applicable        |
| SRP Appendix 18-A, Rev 0:<br>Crediting Manual Operator<br>Actions in Diversity and<br>Defense-in-Depth (D3)<br>Analyses  | C.1.B | Review Criteria for Phase 1 (Analysis)   | Conforms              | This appendix supersedes DI&CISG-05,<br>Section 3, "Crediting Manual Operator<br>Actions in Diversity and Defense-in-Depth<br>(D3) Analyses".         | 7.1.5<br>18.4<br>18.6 |
| SRP Appendix 18-A, Rev 0:<br>Crediting Manual Operator<br>Actions in Diversity and<br>Defense-in-Depth (D3)<br>Analyses  | C.2.B | Review Criteria for Phase 2<br>(Preliminary Validation)  | Conforms              | This appendix supersedes DI&CISG-05,<br>Section 3, "Crediting Manual Operator<br>Actions in Diversity and Defense-in-Depth<br>(D3) Analyses".         | 7.1.5<br>18.4<br>18.6 |
| SRP Appendix 18-A, Rev 0:<br>Crediting Manual Operator<br>Actions in Diversity and<br>Defense-in-Depth (D3)<br>Analyses  | C.3.B | Review Criteria for Phase 3<br>(Integrated System Validation)  | Conforms              | This appendix supersedes DI&CISG-05,<br>Section 3, "Crediting Manual Operator<br>Actions in Diversity and Defense-in-Depth<br>(D3) Analyses".         | 7.1.5<br>18.4<br>18.6 |
| SRP Appendix 18-A, Rev 0:<br>Crediting Manual Operator<br>Actions in Diversity and<br>Defense-in-Depth (D3)<br>Analyses  | C.4.B | Review Criteria for Phase 3<br>(Maintaining Long-Term Integrity of<br>Credited Manual Actions in the D3<br>Analysis) | Conforms              | This appendix supersedes DI&CISG-05,<br>Section 3, "Crediting Manual Operator<br>Actions in Diversity and Defense-in-Depth<br>(D3) Analyses".         | 7.1.5<br>18.4<br>18.6 |
| SRP 19.0, Rev 3: Probabilistic<br>Risk Assessment and Severe<br>Accident Evaluation for New<br>Reactors  | All   | Various  | Partially Conforms    | Evaluation of site-specific hazards and PRA update are COL applicant responsibility.  | 19.0<br>19.1<br>19.2  |
| SRP 19.1, Rev 3: Determining<br>The Technical Adequacy of<br>Probabilistic Risk Assessment<br>For Risk-Informed License<br>Amendment Requests After<br>Initial Fuel Load | All   | Various  | Not Applicable        | Applicable to PRAs used by a licensee to support license amendments for an operating reactor.   | Not Applicable        |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC  | AC Title/Description | Conformance<br>Status | Comments  | Section        |
|--|-----|----------------------|-----------------------|---|----------------|
| SRP 19.2, Initial Issuance:<br>Review of Risk Information<br>Used to Support Permanent<br>PlantSpecific Changes to the<br>Licensing Basis: General<br>Guidance | All | Various              | Not Applicable        | Applicable to licensees, plant-specific proposals for changes to the licensing basis.   | Not Applicable |
| RP 19.3, Rev 0: Regulatory<br>Freatment of Non-Safety<br>Systems for Passive Advanced<br>Light Water Reactors  | All | Various              | Conforms              | None.   | 19.3           |
| and Guidance to Address<br>Loss-of-Large Areas of the<br>Plant Due to Explosions and<br>Fires  | All | Various              | Partially Conforms    | Applicable with the exception of acceptance criterion II.17 Boiling Water Reactor: Containment Venting and Vessel Flooding (Item B.2.e) which is a BWR specific criterion and acceptance criterion II.20 SFP Mitigative Measures. The SFP mitigating measure is not required by NEI 06-12 and includes a statement that this mitigation strategy is not required if the SFP is below grade and cannot be drained. | 19.4<br>20     |
| GRP 19.5, Rev 0: Adequacy of<br>Design features and<br>Junctional capabilities<br>dentified and described for<br>withstanding Aircraft Impacts                 |     | Various              | Conforms              | None.   | 19.5           |

**Table 1.9-4: Conformance with Interim Staff Guidance (ISG)** 

| ISG Section/ Title  | AC  | AC Title / Description  | Conformance<br>Status | Comments  | Section        |
|---|-----|---|-----------------------|---|----------------|
| DC/COL-ISG-1: Seismic<br>Issues of High Frequency<br>Ground Motion  | 1   | Seismic Issues addressed in this<br>Interim Staff Guidance  | -                     | This section points out to the guidance provided in Sections 2, 3, 4, and 5.  | 3.7            |
| DC/COL-ISG-1  | 2   | Ground Motion Definitions   | Conforms              | The definitions provided in Section 3.7 are consistent.   | 3.7            |
| DC/COL-ISG-1  | 3   | Staff Guidance/Position on the Definitions of Safe-Shutdown and Operating-Basis Earthquakes, Use of Various Ground Motions, Seismic Instrumentation and Operating-Basis Earthquake Exceedance | Conforms              | The CSDRS (and CSDRs-HF) is effectively the SSE for the DCA. The OBE is specified as 1/3 of the CSDRS thus does not require any analysis in the DCA. There are COL items for the applicant to ensure the GMRS is enveloped and to have a seismic monitoring program with responses following an OBE exceedance. | 3.7            |
| DC/COL-ISG-1  | 4   | Staff Guidance/Position on<br>Addressing HF Ground Motion<br>Evaluations  | Conforms              | The NuScale design includes a high frequency CSDRS.   | 3.7            |
| DC/COL-ISG-1  | 5   | Staff Comments on the Industry Draft White Paper on Testing of Dynamic Soil Properties for Nuclear Power Plant Combined License Applications and Guidance on Information for Review           | Partially Conforms    | This discusses laboratory analysis of the site-specific soil column. The FSAR includes COL items for the applicant to develop site-specific information.  | 2.5            |
| DC/COL-ISG-2: Financial<br>Qualifications of Applicants<br>For Combined License<br>Applications                                       | All | Various   | Not Applicable        | This ISG is applicable to COL applicants.   | Not Applicable |
| DC/COL-ISG-3: Probabilistic<br>Risk Assessment Information<br>to Support Design<br>Certification and Combined<br>License Applications | All | Various   | Not Applicable        | Guidance concerning the review of PRA information and severe accident assessments submitted to support DC and COL applications has been incorporated into SRP 19.0, Rev 3.  | Not Applicable |
| DC/COL-ISG-4: Definition of Construction and on Limited Work Authorizations   | All | Various   | Not Applicable        | This ISG is applicable to all ESP and COL applicants requesting authorization to perform limited work activities or considering preconstruction activities.   | Not Applicable |

Table 1.9-4: Conformance with Interim Staff Guidance (ISG) (Continued)

| ISG Section/ Title  | AC                            | AC Title / Description  | Conformance<br>Status | Comments  | Section           |
|---|-------------------------------|---|-----------------------|---|-------------------|
| DC/COL-ISG-5: GALE86 Code<br>for Calculation of Routine<br>Radioactive Releases in<br>Gaseous and Liquid Effluents<br>to Support Design<br>Certification and Combined<br>License Applications | All                           | Five paragraphs under heading<br>Final Interim Staff Guidance on<br>Page 3 - Acceptability of GALE86  | Not Applicable        | The NuScale design is similar to large PWRs in the existing fleet for effluent release calculations. However, an alternate methodology is necessary because the existing PWRGALE code was developed in the 1980s for evaluation of the large PWR reactors of that time and does not address the NuScale plant design.   | Not Applicable    |
| DC/COL-ISG-6: Evaluation<br>and Acceptance Criteria for<br>10 CFR 20.1406 to Support<br>Design Certification and<br>Combined License<br>Applications  | Bullets 1 thru<br>6 (p 3 & 4) | Acceptance Criteria -<br>Compliance with RG 4.21  | Partially Conforms    | This guidance refers to Attachment C. The correct reference is Attachment B. This guidance is applicable, except for the portions that relate to site-specific, operational aspects that are the responsibility of the COL applicant referencing the NuScale design. The aspects of this guidance that pertain to design features, facilities, functions, and equipment that are technically relevant to the NuScale standard plant design are applicable to the DCA. | 12.3.6            |
| DC/COL-ISG-7: Assessment<br>of Normal and Extreme<br>Winter Precipitation Loads<br>on the Roofs of Seismic<br>Category I Structures   | All                           | Normal and Extreme Winter<br>Precipitation Events and their<br>Resulting Live Roof Loads  | Conforms              | Section 3.4 identifies parameter specified for the Extreme and Normal winter precipitation events. These values are used in the structural analysis in 3.8. The COL applicant needs to determine site-specific information to compare to the design parameters. That determination is performed in Section 2.3.   | 2.3<br>3.4<br>3.8 |
| DC/COL-ISG-8: Necessary<br>Content of Plant-Specific<br>Technical Specifications  | para 1 (p4)                   | First paragraph under heading<br>Final Interim Staff Guidance,<br>specifying identification and<br>timing of resolution of generic<br>technical specification COL<br>action items | Conforms              | None.   | Ch 16             |
| DC/COL-ISG-8  | para 2-4 (p. 4<br>& 5)        | Second, third, and fourth<br>paragraphs under heading Final<br>Interim Staff Guidance,<br>specifying compliance options<br>for COL applicants                                     | Not Applicable        | This portion of the ISG is applicable only to COL applicants.   | Not Applicable    |
| DC/COL-ISG-10: Review of<br>Evaluation to Address<br>Adverse Flow Effects in<br>Equipment Other Than<br>Reactor Internals   | All                           | Final paragraph on Page 1 -<br>Review of Adverse Flow Effects   | Partially Conforms    | This guidance is applicable except for aspects that are BWR-specific.   | 3.9.5             |

Table 1.9-4: Conformance with Interim Staff Guidance (ISG) (Continued)

| ISG Section/ Title  | AC  | AC Title / Description  | Conformance<br>Status | Comments   | Section        |
|---|-----|---|-----------------------|--|----------------|
| DC/COL-ISG-11: Finalizing<br>Licensing-basis Information  | All | Licensing-Basis Information<br>Freeze Point; Changes That<br>Should Not be Considered for<br>Deferral | Partially Conforms    | This guidance is applicable except for aspects that are applicable only to COL applicants or early site permit.  |                |
| DC/COL-ISG-13: NUREG-<br>0800 Standard Review Plan<br>Section 11.2 and Branch<br>Technical Position 11-6<br>Assessing the Consequences<br>of an Accidental Release of<br>Radioactive Materials from<br>Liquid Waste Tanks for<br>Combined License<br>Applications Submitted<br>under 10 CFR Part 52 | 1   | Failure Mechanism and<br>Radioactivity Releases   | Partially Conforms    | Site-specific aspects that are the responsibility of the COL applicant.  | 11.2.3         |
| DC/COL-ISG-13   | 2   | Mitigating Design Features  | Partially Conforms    | This guidance is applicable except for site-specific aspects that are the responsibility of the COL applicant.   | 11.2.3         |
| DC/COL-ISG-13   | 3   | Radioactive Source Term (Including Attachment A)  | Partially Conforms    | Site-specific aspects are the responsibility of the COL applicant.   | 11.2.3         |
| DC/COL-ISG-13   | 4   | Calculations of Transport<br>Capabilities in Ground Water or<br>Surface Water                         | Not Applicable        | This acceptance criterion governs site-specific calculations that are the responsibility of the COL applicant referencing the certified design.  | Not Applicable |
| DC/COL-ISG-13   | 5   | Exposure Scenarios and Acceptance Criteria  | Not Applicable        | This acceptance criterion governs analysis modeling using site-specific hydrogeological data, site characteristics, and radiological analysis; as such, this guidance is the responsibility of the COL applicant referencing the certified design. | Not Applicable |
| DC/COL-ISG-13   | 6   | SRP Dose Acceptance Criteria  | Partially Conforms    | Site-specific aspects are the responsibility of the COL applicant.   | 11.2.3         |
| DC/COL-ISG-13   | 7   | Specifications on Tank Waste<br>Radioactivity Concentration<br>Levels                                 | ,                     | Site-specific aspects (e.g., development and implementation of the ODCM) are the responsibility of the COL applicant.  | 11.2.2         |
| DC/COL-ISG-13   | 8   | Evaluation Findings for<br>Combined License Reviews   | Not Applicable        | This acceptance criterion is explicitly directed towards the review of combined license applications.  | Not Applicable |

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Table 1.9-4: Conformance with Interim Staff Guidance (ISG) (Continued)

| ISG Section/ Title  | AC                 | AC Title / Description   | Conformance<br>Status | Comments   | Section        |
|---|--------------------|--|-----------------------|--|----------------|
| DC/COL-ISG-14: Assessing<br>Ground Water Flow and<br>Transport of Accidental<br>Radionuclide Releases                         | All                | Area of Review; Review Interfaces; Regulatory Requirements; Onsite Hydrogeological Characterization; Contamination Source and Receptor Location; Groundwater Modeling and Pathway Prediction; and Radioactive Consequence Analysis | Not Applicable        | As a supplement to SRP Sections 2.4.12 and 2.4.13, this guidance governs site-specific hydrogeological data, site characteristics, and radiological analysis aspects that are the responsibility of the COL applicant referencing the certified design.  | Not Applicable |
| ESP/DC/COL-ISG-15: Post-<br>Combined License<br>Commitments   | No Num (p4-<br>11) | New Section C.III.4.3 to Replace<br>Section C.III.4.3 of RG 1.206  | Not Applicable        | This guidance is for COL applicants.   | Not Applicable |
| ESP/DC/COL-ISG-15   | No Num<br>(p11-23) | Anticipated NRC Revisions of<br>NUREG0800, SRP Chapter 1.0   | Partially Conforms    | The portions of this guidance that apply to the DCA include discussion concerning COL action items and COL information items and not using the term "COL holder item." COL action items are identified throughout the FSAR.  | Ch 1           |
| DC/COL-ISG-16: Compliance<br>with 10 CFR 50.54(hh)(2) and<br>10 CFR 52.80(d)  | All                | -  | Not Applicable        | 10 CFR 50.54(hh)(2) is not applicable to design certification applicants; however 10 CFR 52.80(d) requires COL applicants to include a description and plans for implementation of the guide and strategies intended to maintain or restore core cooling, containment, and SFP cooling capabilities under the circumstances associated with the LOLA of the plant due to explosions or fire as required by 10 CFR 50.54 (hh)(2). | Not Applicable |
| DC/COL-ISG-17: Ensuring<br>Hazard-Consistent Seismic<br>Input for Site Response and<br>Soil Structure Interaction<br>Analyses | All                | -  | Not Applicable        | This ISG is applicable to the review of seismic design information submitted to support combined license (COL) applications.   | Not Applicable |

Table 1.9-4: Conformance with Interim Staff Guidance (ISG) (Continued)

| ISG Section/ Title   | AC  | AC Title / Description   | Conformance<br>Status | Comments  | Section           |
|--|-----|--|-----------------------|---|-------------------|
| DC/COL-ISG-19: Gas<br>Accumulation Issues in<br>Safety Related Systems   | All | Various  | Not Applicable        | This guidance is applicable only to reactor plant designs for which operation of emergency core cooling, residual heat removal, and containment spray systems relies on pumps (i.e. forced circulation). The NuScale emergency core cooling and decay heat removal systems (the NuScale design does not include a containment spray system) operate via natural circulation, and do not require or include pumps.   |                   |
| DC/COL-ISG-20:<br>Implementation of a<br>Probabilistic Risk<br>Assessment-Based Seismic<br>Margin Analysis for New<br>Reactors                           | All | Various  | Not Applicable        | Guidance concerning the performance of a SMA submitted to support DC and COL applications has been incorporated into SRP 19.0, Rev 3.   | Not Applicable    |
| DC/COL-ISG-21: Review of<br>Nuclear Power Plant Designs<br>using a Gas Turbine Driven<br>Standby Emergency<br>Alternating Current Power<br>System        | All | Guidance for Emergency Gas<br>Turbine Generators (Including<br>Attachment 1) | Not Applicable        | This guidance is applicable only to nuclear power plants that use a gas turbine-driven standby emergency AC power system - in lieu of emergency diesel generators - to supply power to safety-related or risk-significant equipment for operational events and during postulated accident conditions. The NuScale design uses onsite backup diesel generators instead of gas turbine generators. However, regardless of the type of standby AC generation used in the NuScale design, the onsite standby AC generation source and the onsite AC distribution system it serves are not safety-related, nor are they relied upon to fulfill safety functions during the first 72 hours following a design basis accident. |                   |
| DC/COL-ISG-22: Impact of<br>Construction (Under a<br>Combined License) of New<br>Nuclear Power Plant Units<br>on Operating Units at Multi-<br>Unit Sites | All | Various  | Not Applicable        | This ISG is applicable to COL applicants.   | Not Applicable    |
| DC/COL-ISG-24:<br>Implementation of RG 1.221<br>on Design-Basis Hurricane<br>and Hurricane Missiles  | All | Various  | Conforms              | Section 2.0 establishes requirements for hurricane wind speed and missile spectra "consistent with guidance in Regulatory 1.221, R0." Specific design requirements are established in Sections 3.3.2 and 3.5.1.4.   | 2.0<br>3.3<br>3.5 |

Table 1.9-4: Conformance with Interim Staff Guidance (ISG) (Continued)

| ISG Section/ Title  | AC      | AC Title / Description  | Conformance<br>Status | Comments  | Section        |
|---|---------|---|-----------------------|---|----------------|
| DC/COL-ISG-25: Changes<br>during Construction Under<br>Title 10 of the Code of<br>Federal Regulations Part 52   | All     | Various   | Not Applicable        | This ISG is applicable to 10 CFR Part 52, COL licensees with Changes during Construction license condition.   | Not Applicable |
| DC/COL-ISG-26:<br>Environmental Issues<br>Associated with New<br>Reactors   | All     | Various   | Not Applicable        | This ISG is applicable to the review of ESP and COL applications, including those applicants requesting a limited work authorization.                                 | Not Applicable |
| DC/COL-ISG-27: Specific<br>Environmental Guidance for<br>Light Water Small Modular<br>Reactor   | All     | Various   | Not Applicable        | This ISG is applicable to the review of ESP, LWA, OL, CP, and COL applications for light water SMR reactor technologies.  | Not Applicable |
| DC/COL-ISG-28: Assessing the Technical Adequacy of the Advanced Light-Water Reactor Probabilistic Risk Assessment for the Design Certification Application and Combined License Application | All     | Various   | Conforms              | Provides guidance for DC and COL applicants to conform to PRA Standard.   | 19.1           |
| Digital I&C-ISG-01: Cyber<br>Security   | 5.      | Staff Position  | Not Applicable        |   | Not Applicable |
| Digital I&C-ISG-02: Diversity<br>and Defense-in-Depth (D3)  | 1 and 2 | Adequate Diversity and Manual<br>Operator Actions - Staff Position<br>(Pages 2 and 3) | Not Applicable        | Digital I&C-ISG-02 is not applicable to the NuScale DCA. See DSRS 7.1.5 in Table 1.9-3 which provides information on the Diversity and Defense-in-Depth review.       | Not Applicable |
| Digital I&C-ISG-02  | 3       | BTP 7-19 Position 4 Challenges -<br>Staff Position (Page 6)                           | Not Applicable        | Digital I&C-ISG-02 is not applicable to the NuScale DCA.<br>See DSRS 7.1.5 in Table 1.9-3 which provides information<br>on the Diversity and Defense-in-Depth review. | Not Applicable |
| Digital I&C-ISG-02  | 4       | Effects of Common Cause Failure<br>(CCF) - Staff Position (Pages 8<br>and 9)          | Not Applicable        | Digital I&C-ISG-02 is not applicable to the NuScale DCA. See DSRS 7.1.5 in Table 1.9-3 which provides information on the Diversity and Defense-in-Depth review.       | Not Applicable |
| Digital I&C-ISG-02  | 6       | Echelons of Defense - Staff<br>Position (Page 12)                                     | Not Applicable        | Digital I&C-ISG-02 is not applicable to the NuScale application. See DSRS 7.1.5 in Table 1.9-3 which provides information on Diversity and Defense-in-Depth review.   | Not Applicable |
| Digital I&C-ISG-02  | 7       | Single Failure - Staff Position<br>(Page 14)  | Not Applicable        | Digital I&C-ISG-02 is not applicable to the NuScale application. See DSRS 7.1.5 in Table 1.9-3 which provides information on Diversity and Defense-in-Depth review.   | Not Applicable |

Table 1.9-4: Conformance with Interim Staff Guidance (ISG) (Continued)

| ISG Section/ Title   | AC  | AC Title / Description  | Conformance<br>Status | Comments   | Section        |
|--|-----|---|-----------------------|--|----------------|
| Digital I&C-ISG-03: Risk-<br>Informed Digital<br>Instrumentation and<br>Controls             | 4   | Staff Position  | Not Applicable        | Digital I&C-ISG-03 is not applicable to the NuScale DCA.<br>See DSRS 7.0 in Table 1.9-3 which provides an overview of<br>the I&C review process.   | Not Applicable |
| Digital I&C-ISG-04: Highly<br>Integrated Control Rooms &<br>Digital Communication<br>Systems | 1   | Interdivisional Communications - Staff Position (Pages 4 through 8)   | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA;<br>however, it is addressed as part of topical report NuScale<br>Power, LLC, TR-1015-18653-P-A, "Design of the Highly<br>Integrated Protection System Platform Topical Report." | Not Applicable |
| Digital I&C-ISG-04   | 2   | Command Prioritization - Staff<br>Position (Pages 8 through 10)   | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA;<br>however, it is addressed as part of topical report NuScale<br>Power, LLC, TR-1015-18653-A, "Design of the Highly<br>Integrated Protection System Platform Topical Report."   | Not Applicable |
| Digital I&C-ISG-04   | 3   | Multidivisional Control and<br>Display Stations - Staff Position<br>(Pages 11 through 16)                         | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA;<br>however, it is addressed as part of topical report NuScale<br>Power, LLC, TR-1015-18653-A, "Design of the Highly<br>Integrated Protection System Platform Topical Report."   | Not Applicable |
| Digital I&C-ISG-04   | 3.1 | Independence and Isolation<br>(Pages 11 through 13)   | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA; however, it is addressed as part of topical report NuScale Power, LLC, TR-1015-18653-A, "Design of the Highly Integrated Protection System Platform Topical Report."            | Not Applicable |
| Digital I&C-ISG-04   | 3.2 | Human Factors Considerations<br>(Pages 13 through 15)   | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA; however, it is addressed as part of topical report NuScale Power, LLC, TR-1015-18653-A, "Design of the Highly Integrated Protection System Platform Topical Report."            | Not Applicable |
| Digital I&C-ISG-04   | 3.3 | Diversity and Defense-in-Depth<br>(D3) Considerations (Page 15)   | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA;<br>however, it is addressed as part of topical report NuScale<br>Power, LLC, TR-1015-18653-A, "Design of the Highly<br>Integrated Protection System Platform Topical Report."   | Not Applicable |
| Digital I&C-ISG-05: Highly<br>Integrated Control Rooms -<br>Human Factors                    | 1   | Computer-Based Procedures -<br>Staff Position (Pages 3 through<br>7)  | Partially Conforms    | This position is applicable except for site-specific operational elements of subtier NUREG-0899 that are the responsibility of the COL applicant.  | 18.7           |
| Digital I&C-ISG-05   | 2   | Minimum Inventory - Staff<br>Position (Pages 9 through 11)  | Partially Conforms    | This acceptance criterion is applicable except for the application of certain subtier guidance.  | 18.7           |
| Digital I&C-ISG-05   | 3   | Crediting Manual Operator<br>Actions in Diversity and Defense-<br>In-Depth (D3) Analyses (Pages<br>13 through 21) | Not Applicable        | This acceptance criterion is superseded by Chapter 18<br>Appendix 18-A.  | Not Applicable |

Table 1.9-4: Conformance with Interim Staff Guidance (ISG) (Continued)

| ISG Section/ Title   | AC    | AC Title / Description  | Conformance<br>Status | Comments   | Section        |
|--|-------|---|-----------------------|--|----------------|
| Digital I&C-ISG-05   | 3.1.B | Phase 1: Analysis - Review<br>Criteria (Pages 15 through 16)  | Not Applicable        | This acceptance criterion is superseded by Chapter 18 Appendix 18-A Criterion 1.B.   | Not Applicable |
| Digital I&C-ISG-05   | 3.2.B | Phase 2: Preliminary Validation -<br>Review Criteria (Page 18)  | Not Applicable        | This acceptance criterion is superseded by Chapter 18 Appendix 18-A Criterion 2.B.   | Not Applicable |
| Digital I&C-ISG-05   | 3.3.B | Phase 3: Integrated System<br>Validation - Review Criteria<br>(Pages 19 through 20)   | Not Applicable        | This acceptance criterion is superseded by Chapter 18 Appendix 18-A.Criterion 3.B.   | Not Applicable |
| Digital I&C-ISG-05   | 3.4.B | Phase 4: Maintaining Long-Term<br>Integrity of Credited Manual<br>Actions in the D3 Analysis -<br>Review Criteria (Page 21) | Not Applicable        | This acceptance criterion is superseded by Chapter 18 Appendix 18-A.Criterion 4.B.   | Not Applicable |
| Digital I&C-ISG-06: Licensing<br>Process   | All   | Various   | Not Applicable        | This guidance is for review of requests for licensing basis changes from existing licensees to implement digital I&C upgrades.   | Not Applicable |
| Digital I&C-ISG-07: Fuel<br>Cycle Facilities   | All   | Various   | Not Applicable        | This guidance is for review of proposed measures for protecting digital I&C equipment used as items relied on for safety (IROFS) at fuel cycle facilities from unintentional digital events. | Not Applicable |
| NSIR/DPR-ISG-01:<br>Emergency Planning for<br>Nuclear Power Plants   | All   | Various   | Not Applicable        | This guidance governs site-specific programmatic and design aspects of emergency planning that are the responsibility of the COL applicant referencing the NuScale design.                   | Not Applicable |
| NSIR/DPR-ISG-02:<br>Emergency Planning<br>Exemption Requests for<br>Decommissioning Nuclear<br>Power Plants                    | All   | Various   | Not Applicable        | Applicable to license holder during decommissioning activities.  | Not Applicable |
| NSIR/DPR-ISG-03: Review of<br>Security Exemptions/License<br>Amendment Requests for<br>Decommissioning Nuclear<br>Power Plants | All   | Various   | Not Applicable        | Applicable to license holder during decommissioning activities.  | Not Applicable |
| JLD-ISG-12-01, Rev 1:<br>Compliance with Order EA-<br>12-049 Concerning<br>Mitigation Strategies                               | All   | Various   | Not Applicable        | This ISG is applicable to holders of, and applicants for, operating licenses, construction permits, and combined licenses.   | Not Applicable |

Table 1.9-4: Conformance with Interim Staff Guidance (ISG) (Continued)

| ISG Section/ Title  | AC  | AC Title / Description  | Conformance<br>Status | Comments  | Section        |
|---|-----|-------------------------|-----------------------|---|----------------|
| Compliance with Order EA-<br>12-051 Concerning Spent<br>Fuel Pool Instrumentation   | All | Various                 | Not Applicable        | This ISG is applicable to holders of, and applicants for operating licenses, construction permits, and combined licenses. Pool monitoring instrumentation that is capable of monitoring and providing indication of beyond design basis events (i.e., instrumentation that can monitor a wide range of spent fuel pool levels) is part of the NuScale design. |                |
| JLD-ISG-12-04, Draft: Performing a Seismic Margin Assessment in Response to the March 2012 Request for Information Letter   | All | Various                 | Not Applicable        | This ISG is for response to the March 2012 50.54(f) request for information letter. DC/COL-ISG-020 remains the NRC's current guidance for application of an SMA to new reactors licensing.  | Not Applicable |
| JLD-ISG-12-05, Draft:<br>Performance of an<br>Integrated Assessment for<br>Flooding   | All | Various                 | Not Applicable        | This ISG is for response to the March 2012 50.54(f) request for information letter.   | Not Applicable |
| JLD-ISG-12-06, Draft:<br>Performing a Tsunami,<br>Surge, or Seiche Hazard<br>Assessment   | All | Various                 | Not Applicable        | This ISG is for response to the March 2012 50.54(f) request for information letter.   | Not Applicable |
| JLD-ISG-13-01, Draft:<br>Estimating Flooding Hazards<br>due to Dam Failure  | All | Various                 | Not Applicable        | The information in this guidance is site-specific and is the responsibility of the COL applicant.   | Not Applicable |
| JLD-ISG-2015-01, Revision 0:<br>Compliance with Phase 2 of<br>Order EA-13-109, Order<br>Modifying Licenses with<br>Regard to Reliable Hardened<br>Containment Vents Capable<br>of Operation under Severe<br>Accident Conditions | All | Various                 | Not Applicable        | This ISG is applicable to BWR licensees with Mark I and Mark II containments.   | Not Applicable |
| DSS-ISG-2010-01   | 1   | Fuel Assembly Selection | Conforms              | One fuel assembly design is used in the criticality analysis.   | 9.1.1          |
| DSS-ISG-2010-01   | 2   | Depletion Analysis      | Conforms              | The analysis does not take credit for burnup.   | 9.1.1          |
| DSS-ISG-2010-01   | 3a  | Axial Burnup Profile    | Conforms              | The analysis does not take credit for burnup.   | 9.1.1          |
| DSS-ISG-2010-01   | 3b  | Rack Model              | Conforms              | The rack model analysis is appropriate for conditions.  | 9.1.1          |
| DSS-ISG-2010-01   | 3c  | Interfaces              | Conforms              | The analysis does not take credit for zoning or a loading pattern.  | 9.1.1          |

| ISG Section/ Title | AC | AC Title / Description   | Conformance<br>Status | Comments  | Section |
|--------------------|----|--------------------------|-----------------------|---|---------|
| DSS-ISG-2010-01    | 3d | Normal Conditions        | Conforms              | The analysis considers the presence of an additional assembly alongside the fuel storage racks. Due to the spacing and the large number of assemblies in the base analysis model, there is no statistically significant increase in reactivity. | 9.1.1   |
| DSS-ISG-2010-01    | 3e | Accident Conditions      | Conforms              | The analysis considers fuel handling accidents, rack damage consistent with postulated accidents and full boron dilution. All analyses are within the limits established for normal conditions.   | 9.1.1   |
| DSS-ISG-2010-01    | 4a | Area of Applicability    | Conforms              | The analysis considers area of applicability in the code validation.  | 9.1.1   |
| DSS-ISG-2010-01    | 4b | Trend Analysis           | Conforms              | The analysis includes a trend analysis in the code validation.  | 9.1.1   |
| DSS-ISG-2010-01    | 4c | Statistical Treatment    | Conforms              | The analysis includes both a bias term and an uncertainty derived from the code validation.   | 9.1.1   |
| DSS-ISG-2010-01    | 4d | Lumped Fission Products  | Conforms              | The analysis does not take credit for burnup.   | 9.1.1   |
| DSS-ISG-2010-01    | 4e | Code-to-Code Comparisons | Conforms              |   | 9.1.1   |
| DSS-ISG-2010-01    | 5a | Precedents               | Conforms              | The analysis does not rely upon cited precedents.   | 9.1.1   |
| DSS-ISG-2010-01    | 5b | References               | Conforms              | Cited references are publicly available and are referenced in SFP criticality analyses.   | 9.1.1   |
| DSS-ISG-2010-01    | 5c | Assumptions              | Conforms              | Assumptions used in the analysis are either observably conservative or are justified in the presentation of the assumption.   | 9.1.1   |

Table 1.9-5: Conformance with TMI Requirements (10 CFR 50.34(f)) and Generic Issues (NUREG-0933)

| ltem             | Regulation Description / Title  | Conformance<br>Status | Comments   | Section              |
|------------------|---|-----------------------|--|----------------------|
| 50.34(f)(1)(i)   | Perform a plant/site-specific probabilistic risk assessment, the aim of which is to seek such improvements in the reliability of core and containment heat removal systems as are significant and practical and do not impact excessively on the plant (II.B.8) | Partially Conforms    | Design certification will address reliability of core and containment heat removal systems, with an update required by COL applicant to reflect site-specific conditions.  | 19.0<br>19.1<br>19.2 |
| 50.34(f)(1)(ii)  | Perform an evaluation of the proposed auxiliary feedwater system (II.E.1.1)   | Not Applicable        | This rule requires an evaluation of proposed PWR auxiliary feedwater (AFW) systems. The NuScale plant design does have an AFW system like a typical LWR. Neither the literal language nor the intent of this rule applies to the NuScale design.                     | Not Applicable       |
| 50.34(f)(1)(iii) | Perform an evaluation of the potential for and impact of reactor coolant pump seal damage following small-break LOCA (II.K.2.16 and II.K.3.25)  | Not Applicable        | The NuScale reactor design differs from large PWRs because the NuScale design does not require or include reactor coolant pumps. Rather, the NuScale design uses passive natural circulation of the primary coolant, eliminating the need for reactor coolant pumps. | Not Applicable       |
| 50.34(f)(1)(iv)  | Perform an analysis of the probability of a small-<br>break LOCA caused by a stuck-open power-<br>operated relief valve (PORV) (II.K.3.2)   | Not Applicable        | This guidance is applicable only to PWRs that are designed with power-operated pressurizer relief valves. The NuScale design does not use power-operated relief valves.  | Not Applicable       |
| 50.34(f)(1)(v)   | Perform an evaluation of the safety effectiveness of providing for separation of high pressure coolant injection and reactor core isolation cooling system initiation levels (II.K.3.13)  | Not Applicable        | This requirement applies only to BWRs.   | Not Applicable       |
| 50.34(f)(1)(vi)  | Perform a study to identify practicable system modifications that would reduce challenges and failures of relief valves (II.K.3.16)   | Not Applicable        | This requirement applies only to BWRs. Regardless, the issue contemplated by this requirement was related to power-operated relief valves. The NuScale design does not use power-operated relief valves.   | Not Applicable       |
| 50.34(f)(1)(vii) | Perform a feasibility and risk assessment study to determine the optimum automatic depressurization system design modifications that would eliminate the need for manual activation (II.K.3.18)   | Not Applicable        | This requirement applies only to BWRs.   | Not Applicable       |

Table 1.9-5: Conformance with TMI Requirements (10 CFR 50.34(f)) and Generic Issues (NUREG-0933) (Continued)

| ltem              | Regulation Description / Title  | Conformance<br>Status | Comments   | Section                 |
|-------------------|---|-----------------------|--|-------------------------|
| 50.34(f)(1)(viii) | Perform a study of the effect on all core-cooling modes under accident conditions of designing the core spray and low pressure coolant injection systems (II.K.3.21)  | Not Applicable        | This requirement applies only to BWRs.   | Not Applicable          |
| 50.34(f)(1)(ix)   | Perform a study to determine the need for additional space cooling to ensure reliable long-term operation of the high pressure coolant injection and reactor core isolation cooling systems (II.K.3.24)   | Not Applicable        | This requirement applies only to BWRs.   | Not Applicable          |
| 50.34(f)(1)(x)    | Perform a study to ensure that the Automatic Depressurization System, valves, accumulators, and associated equipment and instrumentation will be capable of performing their intended functions (II.K.3.28)   | Not Applicable        | This requirement applies only to BWRs.   | Not Applicable          |
| 50.34(f)(1)(xi)   | Provide an evaluation of depressurization methods (II.K.3.45)   | Not Applicable        | This requirement applies only to BWRs.   | Not Applicable          |
| 50.34(f)(1)(xii)  | Perform an evaluation of alternative hydrogen control systems   | Not Applicable        | Pursuant to 10 CFR 52.47(a)(8) and 10 CFR 50.34(f), paragraph (f)(1)(xii) is excluded from the information required to be included in an application for a design certification.         | Not Applicable          |
| 50.34(f)(2)(i)    | Provide simulator capability that correctly models the control room and includes the capability to simulate small-break LOCAs(I.A.4.2)  | Not Applicable        | Provisions for simulator capability are the responsibility of the COL applicant referencing the certified design.  | Not Applicable          |
| 50.34(f)(2)(ii)   | Establish a program to improve plant procedures, with the program scope to include emergency procedures, reliability analyses, human factors engineering, crisis management, operator training, and coordination with INPO and other industry efforts (I.C.9) | Not Applicable        | The plant procedure improvement program specified by this requirement (and development of plant procedures) is the responsibility of the COL applicant referencing the certified design. | Not Applicable          |
| 50.34(f)(2)(iii)  | Provide, for Commission review, a control room design that reflects state-of-the-art human factor principles prior to committing to fabrication or revision of fabricated control room panels and layouts (I.D.1)   | Conforms              | None.  | 18.7                    |
| 50.34(f)(2)(iv)   | Provide a plant safety parameter display console (I.D.2)  | Conforms              | The NuScale safety display and indication system is integrated into the control room human-system interface design rather than having a separate console.                                | 7.1<br>7.2.13<br>18.7.2 |

Table 1.9-5: Conformance with TMI Requirements (10 CFR 50.34(f)) and Generic Issues (NUREG-0933) (Continued)

| ltem              | Regulation Description / Title  | Conformance<br>Status | Comments   | Section                       |
|-------------------|---|-----------------------|--|-------------------------------|
| 50.34(f)(2)(v)    | Provide for automatic indication of the bypassed and operable status of safety systems (I.D.3)  | Conforms              | None.  | 7.1<br>7.2.4                  |
| 50.24(0/2)/ :)    |   | 5 .                   | T  | 7.2.13                        |
| 50.34(f)(2)(vi)   | Provide the capability of high point venting of noncondensible gases from the reactor coolant system, and other systems that may be required to maintain adequate core cooling. Systems to achieve this capability shall be capable of being operated from the control room and their operation shall not lead to an unacceptable increase in the probability of loss-of-coolant accident or an unacceptable challenge to containment integrity. (II.B.1) | Departure             | The venting of noncondensible gases is unnecessary to ensure long term core cooling capability.  | 5.4.4                         |
| 50.34(f)(2)(vii)  | Perform radiation and shielding design reviews of spaces around systems that may, as a result of an accident, contain accident source term radioactive materials, and design as necessary to permit adequate access (II.B.2)  | Conforms              | None.  | 12.2<br>12.3.1<br>12.4        |
| 50.34(f)(2)(viii) | Provide capability to promptly obtain and analyze samples from the reactor coolant system and containment that may contain accident source term radioactive materials (II.B.3)  | Partially Conforms    | As described by SRP 9.3.2, I.6, and RG 1.206, C.I.9.3.2, a post-accident sampling system is not required provided that the guidance provided in SRP 9.3.2 for utilizing the normal process sampling system (post-accident) has been satisfied. | 9.3.2<br>11.5<br>12.4         |
| 50.34(f)(2)(ix)   | Provide a system for hydrogen control that can safely accommodate hydrogen generated by the equivalent of a 100% fuel-clad metal water reaction (II.B.8)  | Not Applicable        | Pursuant to 10 CFR 52.47(a)(8) and 10 CFR 50.34(f),<br>Paragraph (f)(2)(ix) is excluded from the information<br>required to be included in an application for a design<br>certification.   | Not Applicable                |
| 50.34(f)(2)(x)    | Provide a test program and associated model development, and conduct tests to qualify reactor coolant system relief and safety valves and, for PWRs, PORV block valves (II.D.1)   | Partially Conforms    | This requirement is applicable to the DCA except for aspects specifying PORV block valve testing. The NuScale design does not use power-operated relief valves.  | 5.2.2                         |
| 50.34(f)(2)(xi)   | Provide direct indication of relief and safety valve position (open or closed) in the control room (II.D.3)   | Conforms              | None.  | 5.2<br>6.3.1<br>7.1<br>7.2.13 |

Table 1.9-5: Conformance with TMI Requirements (10 CFR 50.34(f)) and Generic Issues (NUREG-0933) (Continued)

| ltem              | Regulation Description / Title                  | Conformance<br>Status | Comments   | Section        |
|-------------------|---|-----------------------|--|----------------|
| 50.34(f)(2)(xii)  | Provide automatic and manual auxiliary          | Not Applicable        | The NuScale design does not have an AFW system as          | Not Applicable |
|                   | feedwater (AFW) system initiation, and provide  |                       | would be found at a typical LWR. Also, while the DHRS      |                |
|                   | AFW system flow indication in the control room  |                       | performs some of the functions of an AFW system at a       |                |
|                   | (II.E.1.2)                                      |                       | PWR, the NuScale DHRS is designed for NuScale-             |                |
|                   |   |                       | specific transients and system characteristics, and its    |                |
|                   |   |                       | actuation and indication is designed accordingly.          |                |
|                   |   |                       | Specifically with regard to the portion of this            |                |
|                   |   |                       | requirement specifying control room flow indication,       |                |
|                   |   |                       | the DHRS operation involves passive natural                |                |
|                   |   |                       | circulation flow, with flow characteristics that vary with |                |
|                   |   |                       | system conditions, which makes DHRS flow a less            |                |
|                   |   |                       | useful measurement for the NuScale design. Control         |                |
|                   |   |                       | room indication for system parameters other than           |                |
|                   |   |                       | DHRS flow are more appropriate to ensure operators         |                |
|                   |   |                       | have the information necessary to adequately monitor       |                |
|                   |   |                       | DHRS operation and reactor core cooling. These             |                |
|                   |   |                       | parameters include DHRS pressure, valve position           |                |
|                   |   |                       | indication, and reactor coolant system pressure and        |                |
|                   |   |                       | temperature. 10 CFR 50.34(f)(2)(xii) is not considered     |                |
|                   |   |                       | applicable to the NuScale DHRS. Because the language       |                |
|                   |   |                       | and intent of 10 CFR 50.34(f)(1)(ii) do not apply, the     |                |
|                   |   |                       | requirement is not applicable to the NuScale design.       |                |
|                   |   |                       | An exemption would be unnecessary because                  |                |
|                   |   |                       | 10 CFR 50.34(f)(1)(ii) only applies to the technically     |                |
|                   |   |                       | relevant portions of the TMI requirements.                 |                |
| 50.34(f)(2)(xiii) | Provide pressurizer heater power supply and     | Departure             | The NuScale design equivalent to hot standby               | 5.4.5          |
|                   | associated motive and control power interfaces  | •                     | condition as stated in 10 CFR 50.34(f)(2)(xiii) is hot     | 8.3.1          |
|                   | sufficient to establish and maintain natural    |                       | shutdown condition. The NuScale design does not rely       | 8.3.2          |
|                   | circulation in hot standby conditions with only |                       | on pressurizer heaters to establish and maintain           |                |
|                   | onsite power available (II.E.3.1)               |                       | natural circulation in hot shutdown conditions.            |                |

Table 1.9-5: Conformance with TMI Requirements (10 CFR 50.34(f)) and Generic Issues (NUREG-0933) (Continued)

| ltem             | Regulation Description / Title   | Conformance<br>Status | Comments  | Section                                    |
|------------------|--|-----------------------|---|--|
| 50.34(f)(2)(xiv) | Provide containment isolation systems that (A) ensure all non-essential systems are isolated automatically; (B) ensure each non-essential penetration (except instrument lines) have two isolation barriers in series; (C) do not result in reopening of the containment isolation valves on resetting of the isolation signal; (D) use a containment set point pressure for initiating containment isolation as low as is compatible with normal operation; and (E) include automatic closing on a high radiation signal for all systems that provide a path to the environs (II.E.4.2) | Departure             | The containment evacuation system has the potential for an open path from containment to the environs but is isolated upon a high containment vessel pressure signal, a low-low pressurizer level signal, a low alternating current voltage signal, or high under-the-bioshield temperature. Additionally, the CES discharge is redirected into the gaseous radioactive waste system upon a high radiation signal.  | 5.2.5<br>6.2.4<br>7.1.5<br>7.2.13<br>9.3.6 |
| 50.34(f)(2)(xv)  | Capability for containment purging/venting designed to minimize the purging time consistent with as low as reasonably achievable (ALARA) (II.E.4.4)  | Not Applicable        | The NuScale containment vessel is smaller than a typical containment building, does not contain subcompartments and does not does not require or incorporate a purge or venting system function as contemplated by this requirement. Personnel access during reactor operation is not needed. In addition, the NuScale ECCS design does not include pumps, and does not involve a typical PWR ECCS recirculation mode where ECCS pump performance relies on containment pressure. Thus purge or vent capability as prescribed by 10 CFR 50.34(f)(2)(xv) is neither required nor included in the NuScale design. This requirement is not technically relevant to the NuScale design. | Not Applicable                             |
| 50.34(f)(2)(xvi) | Establish design criterion for the allowable number of actuation cycles of the ECCS and reactor protection system with the expected occurrence rates of severe overcooling events (II.E.5.1)   | Not Applicable        | This requirement applies only to Babcock and Wilcox (B&W) designs. Based on NUREG-0933, this applicability was the result of unique sensitivity that B&W reactor designs exhibited to secondary system transients (both undercooling and overcooling events). The NuScale design does not exhibit such sensitivity.   | Not Applicable                             |

Table 1.9-5: Conformance with TMI Requirements (10 CFR 50.34(f)) and Generic Issues (NUREG-0933) (Continued)

| ltem               | Regulation Description / Title  | Conformance<br>Status | Comments   | Section   |  |
|--------------------|---|-----------------------|--|---|--|
| 50.34(f)(2)(xvii)  | Provide instrumentation to measure, record, and readout in the control room: (A) containment pressure, (B) containment water level, (C) containment hydrogen concentration, (D) containment radiation intensity (high level), and (E) noble gas effluents at all potential, accident release points. Provide for continuous sampling of radioactive iodines and particulates in gaseous effluents from all potential accident release points, and for onsite capability to analyze and measure these samples (II.F.1) | Conforms              | None.  | 6.2.1<br>7.1.1<br>7.2.13<br>9.3.2<br>11.5<br>12.3.4 |  |
| 50.34(f)(2)(xviii) | Provide instruments that provide in the control room an unambiguous indication of inadequate core cooling (II.F.2)  | Conforms              | None.  | 4.3.2<br>6.3<br>7.0.4<br>7.2.13                     |  |
| 50.34(f)(2)(xix)   | Provide instrumentation adequate for monitoring plant conditions following an accident that includes core damage (II.F.3)   | Conforms              | None.  | 7.1.1<br>7.1.2<br>7.2.13                            |  |
| 50.34(f)(2)(xx)    | Provide power supplies for pressurizer relief valves, block valves, and level indicators (II.G.1)   | Departure             | The requirements of 10 CFR 50.34(f)(2)(xx) for power supplies for pressurizer relief valves and block valves are not technically relevant to the NuScale design.   | 5.4.5<br>8.1.4<br>8.3.1<br>8.3.2                    |  |
| 50.34(f)(2)(xxi)   | Design auxiliary heat removal systems such that necessary automatic and manual actions can be taken to ensure proper functioning when the main feedwater system is not operable (II.K.1.22)   | Not Applicable        | This requirement applies only to BWR designs.  | Not Applicable                                      |  |
| 50.34(f)(2)(xxii)  | Perform a failure modes and effects analysis of<br>the integrated control system (ICS) to include<br>consideration of failures and effects of input and<br>output signals to the ICS (II.K.2.9)   | Not Applicable        | This requirement explicitly states its applicability only to B&W plant designs. This applicability reflects aspects of the B&W ICS design that were identified following the TMI incident as design/reliability deficiencies, and are not pertinent to the NuScale design. | Not Applicable                                      |  |
| 50.34(f)(2)(xxiii) | Provide, as part of the reactor protection system, an anticipatory reactor trip that would be actuated on loss of main feedwater and on turbine trip (II.K.2.10)  | Not Applicable        | This requirement applies only to B&W plant designs.  | Not Applicable                                      |  |

Table 1.9-5: Conformance with TMI Requirements (10 CFR 50.34(f)) and Generic Issues (NUREG-0933) (Continued)

| ltem                | Regulation Description / Title   | Conformance<br>Status | Comments   | Section                        |
|---------------------|--|-----------------------|--|--------------------------------|
| 50.34(f)(2)(xxiv)   | Provide the capability to record reactor vessel water level in one location on recorders that meet normal post-accident recording requirements (II.K.3.23)   | Not Applicable        | This requirement applies only to BWR designs.  | Not Applicable                 |
| 50.34(f)(2)(xxv)    | Provide an onsite Technical Support Center and onsite Operational Support Center (III.A.1.2)   | Partially Conforms    | None.  | 13.3                           |
| 50.34(f)(2)(xxvi)   | Provide for leakage control and detection in the design of systems outside containment that contain (or might contain) accident source term radioactive materials (III.D.1.1)                      | Partially Conforms    | This requirement is applicable to the DCA to the extent it is relevant to the standard plant design. Aspects of this requirement that are pertinent to testing and operational programs are the responsibility of the COL applicant.   | 5.4<br>6.3.1<br>9.3.2<br>9.3.4 |
| 50.34(f)(2)(xxvii)  | Provide for monitoring of in-plant radiation and airborne radioactivity (III.D.3.3)  | Conforms              | None.  | 11.5<br>11.6<br>12.3.4         |
| 50.34(f)(2)(xxviii) | Evaluate potential pathways for radioactivity and radiation that may lead to control room habitability problems under accident conditions resulting in an accident source term release (III.D.3.4) | Conforms              | None.  | 6.4.1<br>6.4.4<br>15.0.3       |
| 50.34(f)(3)(i)      | Provide administrative procedures for evaluating operating, design, and construction experience (I.C.5)  | Not Applicable        | This requirement is the responsibility of the COL applicant.   | Not Applicable                 |
| 50.34(f)(3)(ii)     | Ensure that the QA list required by Criterion II in<br>Appendix B to 10 CFR 50 includes all SSC<br>important to safety (I.F.1)   | Conforms              | None.  | 3.2<br>17.4                    |
| 50.34(f)(3)(iii)    | Establish a QA Program based on the specified considerations (I.F.2)   | Partially Conforms    | This requirement is applicable to the DCA to the extent it is relevant to design activities in support of the DCA. Aspects of this rule specifying QA program requirements for site-specific design and analysis, operational programs, as-built documentation, and construction and installation are the responsibility of the COL applicant. | 17.5                           |

Table 1.9-5: Conformance with TMI Requirements (10 CFR 50.34(f)) and Generic Issues (NUREG-0933) (Continued)

| ltem             | Regulation Description / Title  | Conformance<br>Status | Comments   | Section        |
|------------------|---|-----------------------|--|----------------|
| 50.34(f)(3)(iv)  | Provide one or more dedicated containment penetrations, equivalent in size to a single 3-foot-diameter opening (II.B.8) | Departure             | This requirement is not technically relevant to the NuScale design. This TMI requirement is based on traditional large LWR containment designs and the potential, as of the time of the requirement, need for future containment venting systems to accommodate severe accidents. The NuScale containment vessel design differs from a typical LWR containment structure because of its high-pressure capability. A 3-foot opening relative to the NuScale containment is unnecessary. Containment structural integrity and availability of equipment necessary for safe shutdown are assured for hydrogen combustion scenarios occurring 72 hours following an event initiation, with have no adverse effect on containment integrity or plant safety functions. The NuScale design includes provisions to allow venting the containment atmosphere, including connections for portable equipment, if necessary beyond 72 hours. (Refer to TR-0716-50424, Section 2.8). | 6.2            |
| 50.34(f)(3)(v)   | Preliminary Design Information - Containment<br>Integrity (II.B.8)  | Not Applicable        | Pursuant to 10 CFR 52.47(a)(8) and 10 CFR 50.34(f), paragraph (f)(3)(v) is excluded from the information required to be included in an application for a design certification.   | Not Applicable |
| 50.34(f)(3)(vi)  | For plant designs with external hydrogen recombiners, provide redundant dedicated containment penetrations (II.E.4.1)   | Not Applicable        | The NuScale design does not have external hydrogen recombiners.  | Not Applicable |
| 50.34(f)(3)(vii) | Provide a description of the management plan for design and construction activities (II.J.3.1)                          | Not Applicable        | This requirement is applicable only to applicants and holders of reactor facility licenses.  | Not Applicable |
| Issue 191        | Assessment of Debris Accumulation on PWR Sump Performance   | Conforms              | None.  | 6.3<br>18.2.3  |
| Issue 193        | Boiling Water Reactor Emergency Cooling Water<br>System (ECCS) Suction Concerns   | Not Applicable        | This Issue is specific to boiling water reactors.  | Not Applicable |
| Issue 199        | Implications of Updated Probabilistic Seismic<br>Hazard Estimates in Central and Eastern U.S. on<br>Existing Plants     | Not Applicable        | This is applicable to currently-operating plants.  | Not Applicable |
| Issue 204        | Flooding of Nuclear Power Plant Sites Following<br>Upstream Dam Failures  | Not Applicable        | The information governed by this guidance is sitespecific.   | Not Applicable |

**Table 1.9-6: Evaluation of Operating Experience (Generic Letters and Bulletins)** 

| Doc ID                 | Title  | Conformance<br>Status | Comments  | Section                  |
|------------------------|--|-----------------------|---|--------------------------|
| Generic Letter 88-14   | Instrument Air Supply System Problems Affecting Safety-Related Equipment   | Conforms              | The IAS furnishes both instrument and service air. IAS moisture separators and dryer packages ensure that the instrument air supplied is dry in accordance with the quality standards of ANS/ISA S7.3-R1981.  | 9.3.1                    |
| Generic Letter 88-15   | Electric Power Systems - Inadequate Control Over<br>Design Processes   | Partially Conforms    | Portions relevant to the NuScale passive plant design are considered in the design of electrical systems.   | 8.1.4<br>8.3.1<br>8.3.2  |
| Generic Letter 91-06   | Resolution of Generic Issue A30, Adequacy Of<br>Safety-Related DC Power Supplies Pursuant to<br>10 CFR 50.54(f)            | Partially Conforms    | No safety-related DC systems; however, relevant portions are considered in the design of the non-Class 1E EDSS.   | 8.1.4<br>8.3.2           |
| Generic Letter 96-01   | Testing of Safety-Related Logic Circuits   | Conforms              | None.   | 7.2.2<br>7.2.15<br>8.1.4 |
| Generic Letter 2006-02 | Grid Reliability and the Impact on Plant Risk and the Operability of Offsite Power   | Not Applicable        | The NuScale Power Plant design does not rely on offsite power for safety-related or risk-significant functions. Grid stability studies are the responsibility of a COL applicant that references the NuScale design certification.  | Not Applicable           |
| Generic Letter 2007-01 | Inaccessible or Underground Power Cable Failures<br>that Disable Accident Mitigation Systems or Cause<br>Plant Transients. | Partially Conforms    | As described in Chapter 8, the electrical power systems do not include power cables that provide power to equipment with risk-significant or safety-related functions. The scope of compliance with the issues addressed by GL 2007-01 is limited to power cables within the scope of 10 CFR 50.65. Conformance is achieved for cable monitoring by the COL holder applying the guidance of RG 1.218 as discussed in Chapter 8. | 8.1<br>8.2<br>8.3        |
| Generic Letter 2008-01 | Managing Gas Accumulation in Emergency Core<br>Cooling, Decay Heat Removal, and Containment<br>Spray Systems               | Partially Conforms    | NuScale has determined that gas accumulation buildup will not impact ECCS under accident conditions. DHRS does not interface with the RCS. It is connected to the secondary system.   | 5.4<br>Ch 6              |
| Bulletin 2007-01       | Security Officer Attentiveness   | Not Applicable        | Applicable to holders of operating licenses for nuclear power reactors.   | Not Applicable           |

Table 1.9-6: Evaluation of Operating Experience (Generic Letters and Bulletins) (Continued)

| Doc ID           | Title   | Conformance<br>Status | Comments  | Section        |
|------------------|---|-----------------------|---|----------------|
| Bulletin 2011-01 | Mitigating Strategies                         | Not Applicable        | Bulletin 2011-01 was addressed to existing Licensees. It required the Licensee to "confirm continue compliance with 10 CFR 50.54(hh)(2)". The compliance with 10 CFR 50.54(hh)(2) is addressed in Section 20.2. | Not Applicable |
| Bulletin 2012-01 | Design Vulnerability in Electric Power System | Partially Conforms    | Consideration of this bulletin is demonstrated by the conformance with SRP BTP 8-9, which is described in Section 8.2.3.  | 8.2.3          |

Table 1.9-7: Conformance with Advanced and Evolutionary Light Water Reactor Design Issues (SECYs and Associated SRMs)

| Doc ID      | Title   | Conformance<br>Status | Comments   | Section        |
|-------------|---|-----------------------|--|----------------|
| SECY-89-013 | Design Requirements Related to the Evolutionary   | Conforms              | Addressed through SECY-90-016 and SECY-93-087. See   | -              |
|             | Advanced Light Water Reactors   |                       | Table 1.9-8 for further information.   |                |
| SECY-90-016 | Evolutionary Light-Water Reactor (LWR) Certification Issues and Their Relationship to Current Regulatory Requirements   | Partially Conforms    | This SECY was directed towards evolutionary ALWR designs. The applicability of certain SECY-90-016 issues to passive plants was later established in SECY-93-087   | 19.1<br>19.2   |
|             |   |                       | and SECY-94-084. As a passive ALWR design, the NuScale design conforms to the passive plant guidance of SECY-93-087 and SECY-94-084, rather than that of SECY-90-016. See Table 1.9-8 for further information. |                |
| SECY-90-241 | Level of Detail Required for Design Certification under Part 52   | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents.   | -              |
| SECY-90-377 | Requirements for Design Certification under 10 CFR Part 52  | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents.   | -              |
| SECY-91-074 | Prototype Decisions for Advanced Reactor Designs  | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents.   | -              |
| SECY-91-078 | Chapter 11 of the Electric Power Research<br>Institute's (EPRI's) Requirements Document and<br>Additional Evolutionary Light WaterReactor (LWR)<br>Certification Issues | Not Applicable        | SECY-91-078 pertains to evolutionary ALWR designs and is not directly applicable to passive plant designs.   | Not Applicable |
| SECY-91-178 | ITAAC for Design Certifications and Combined Licenses   | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents.   | 14.3.2         |
| SECY-91-210 | ITAAC Requirements for Design Review and Issuance of FDA  | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents.   | -              |
| SECY-91-229 | Severe Accident Mitigation Design Alternatives for<br>Certified Standard Designs  | Conforms              | Incorporated into NRC Orders, regulatory guidance, and pending rulemaking.   | 19.2.6         |
| SECY-91-262 | Resolution of Selected Technical and Severe<br>Accident Issues for Evolutionary Light-Water<br>Reactor (LWR) Designs  | Conforms              | Incorporated into NRC Orders, regulatory guidance, and pending rulemaking.   | -              |
| SECY-92-053 | Use of Design Acceptance Criteria During the<br>10 CFR Part 52 Design Certification Reviews   | Conforms              | Incorporated into NRC Orders, regulatory guidance, and pending rulemaking.   | 14.3.6         |
| SECY-92-092 | The Containment Performance Goal, External Events Sequences, and the Definition of Containment Failure for Advanced LWRs  | Conforms              | Incorporated into NRC Orders, regulatory guidance, and pending rulemaking.   | -              |

Table 1.9-7: Conformance with Advanced and Evolutionary Light Water Reactor Design Issues (SECYs and Associated SRMs) (Continued)

| Doc ID      | Title  | Conformance<br>Status | Comments   | Section   |
|-------------|--|-----------------------|--|---|
| SECY-93-087 | Policy, Technical, and Licensing Issues Pertaining to Evolutionary and Advanced Light-Water Reactor (ALWR) Designs   | See Table 1.9-8.      | None.  | -   |
| SECY-94-084 | Policy and Technical Issues Associated with the Regulatory Treatment of Non-Safety Systems in Passive Plant Design (RTNSS)   | Partially Conforms    | Incorporated into 10 CFR 52 and implementing NRC guidance documents. The NuScale Fire Protection System does not contain any RTNSS equipment. However, Section C, Safe Shutdown Requirements, of the SECY discusses the stable shutdown condition for passive ALWR which is applicable to the NuScale Power Plant. | 5.4<br>8.1<br>8.2<br>8.3<br>8.4<br>9.2.5<br>Appendix 9A<br>15.0.4<br>19.3 |
| SECY-94-302 | Source-Term-Related Technical and Licensing<br>Issues Relating to Evolutionary and Passive Light-<br>Water-Reactor Designs   | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents.   | -   |
| SECY-95-132 | Policy and Technical Issues Associated with Regulatory Treatment of Non-Safety Systems in Passive Plant Designs  | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents.   | 8.1<br>8.2<br>8.3<br>8.4<br>19.3  |
| SECY-14-038 | Performance-Based Framework for Nuclear Power<br>Plant Emergency Preparedness Oversight  | Not Applicable        | None.  | 13.3  |
| SECY-14-088 | Proposed Options to Address Lessons-Learned<br>Review of the U.S. Nuclear Regulatory<br>Commissions Force-On-Force Inspection Program<br>in Response to Staff Requirements Memorandum -<br>COMGEA/COMWCO-14-0001 | Not Applicable        | Site-specific requirements.  | Not Applicable  |

| Issue | Description   | Conformance<br>Status | Comments   | Section         |
|-------|---|-----------------------|--|-----------------|
| I.A   | Use of a Physically-Based Source Term: Incorporation of engineering judgment and a more realistic source term in design that deviates from the siting requirements in 10 CFR 100. | Conforms              | None.  | 15.0.3          |
| I.B   | Anticipated Transient without SCRAM (ATWS): Position on the current practices and design features to achieve a high degree of protection against an ATWS.                         | Partially Conforms    | The NuScale design relies on diversity within the module protection system (MPS) to reduce the risk associated with ATWS events.   | 15.8            |
| I.C   | Mid-Loop Operation: Position on design features necessary to ensure a high degree of reliability of RHR systems in PWR.   | Not Applicable        | Design does not use external loops and no drain down condition for refueling.  | Not Applicable  |
| I.D   | Station Blackout (SBO): Position on methods to mitigate the effects of a loss of all AC power.  | Not Applicable        | The relevance of the SECY-90-016 SBO issue to passive ALWR designs was deferred to and addressed in Section F of SECY-94-084 and SECY-95-132. The NuScale design conforms to the passive plant guidance these documents. | Not Applicable  |
| I.E   | Fire Protection: Positions on design configuration and features the fire protection system and other management schemes to ensure safe shutdown of the reactor.                   | Conforms              | None.  | Appendix 9A     |
| I.F   | Intersystem LOCA: Position on acceptable design practices and preventative measures to minimize the probability of an ISLOCA.   | Conforms              | None.  | 9.3.4<br>19.2.2 |
| I.G   | Hydrogen Control: Position on acceptable requirements to measure and mitigate the effects of hydrogen produced due to a water reaction with zirconium fuel cladding.              | Partially Conforms    |  | 6.2.5           |
| I.H   | Core Debris Coolability: Acceptability criteria for cooling area and quenching ability regarding corium interaction with concrete.  | Conforms              | None.  | 19.2            |
| 1.1   | High-Pressure Core Melt Ejection: Position on acceptable design features to prevent the event of a high-pressure core melt ejection.  | Conforms              | None.  | 19.2.3          |
| I'I   | Containment Performance: Position on acceptable conditional containment failure probabilities or other analyses to ensure a high degree of protection from the containment.       | Conforms              | None.  | 19.1<br>19.2    |
| I.K   | Dedicated Containment Vent Penetration: Position for a dedicated vent penetration to preclude containment failure resulting from a containment overpressurization event.          | Conforms              | None.  | 19.2.4          |

Table 1.9-8: Conformance with SECY-93-087, "Policy, Technical, and Licensing Issues Pertaining to Evolutionary and Advanced Light-Water Reactor Designs" (Continued)

| Issue | Description   | Conformance<br>Status | Comments  | Section                |
|-------|---|-----------------------|---|------------------------|
| I.L   | Equipment Survivability: Position on the applicability of environmental qualification and quality assurance requirements related to plant features provided only for severe-accident protection.  | Conforms              | None.   | 19.2.3                 |
| I.M   | Elimination of Operating-Basis Earthquake: Position on the applicability of the OBE in design and the possibility of decoupling the OBE and SSE in the design of safety systems.  | Conforms              | By setting the OBE to 1/3 of the SSE it is decoupled from the design evaluation process.  | 3.7                    |
| I.N   | In-Service Testing of Pumps and Valves: Position on periodic testing to confirm operability of safety-related pumps and valves.   | Conforms              | None.   | 3.9.6                  |
| II.A  | Industry Codes and Standards: Position on use of recently developed or modified design codes and industry standards in ALWR designs that have not been reviewed for acceptability by the NRC.   | Conforms              | NuScale use the latest endorsed codes and standards or others on case by case basis.  | all                    |
| II.B  | Electrical Distribution: Positions originally addressed by SECY-91-078 that specified that an evolutionary ALWR provide: (1) an alternate power source to nonsafety-related loads, and (2) at least one offsite circuit connected directly to each redundant safety division with no intervening nonsafety-related buses. | Not Applicable        | The NuScale electrical system design conforms to the passive plant guidance of SECY-94-084, Section G.  | Not Applicable         |
| II.C  | Seismic Hazard Curves and Design Parameters: Position on use of proposed generic bounding seismic hazard curves and performance of seismic PRA.   | Conforms              | None.   | 19.1.5                 |
| II.D  | Leak-Before-Break: Position on use of leak-before-break concept.  | Conforms              | LBB is applied to the MS and FW lines inside containment.   | 3.6.3                  |
| II.E  | Classification of Main Steam Lines in BWRs: Position on the staffs defined approach for seismic classification of the main steam line in both evolutionary and passive BWRs.  | Not Applicable        | Applicable to BWRs.   | Not Applicable         |
| II.F  | Tornado Design Basis: Position on the maximum tornado wind speed to be used for a design basis tornado.   | Conforms              | The FSAR uses the maximum tornado wind speed of 230 mph found in RG 1.76 Revision 1 rather than the outdated 300 mph guidance found in SECY-93-087. |                        |
| II.G  | Containment Bypass: Position on ALWR design against containment bypass.  Specifically, failure of the containment system to channel fission product releases through the suppression pool, or the failure of passive containment cooling heat exchanger tubes in large pools of water outside containment.                | Conforms              | None.   | 15.0.3<br>19.1<br>19.2 |
| II.H  | Containment Leak Rate Testing: Position on testing duration for Type C leak rate testing (prior to rule change).  | Partially Conforms    | None.   | 6.2.6                  |

Table 1.9-8: Conformance with SECY-93-087, "Policy, Technical, and Licensing Issues Pertaining to Evolutionary and Advanced Light-Water Reactor Designs" (Continued)

| Issue | Description   | Conformance    | Comments   | Section              |
|-------|---|----------------|--|----------------------|
|       |   | Status         |  |                      |
| II.I  | Post-Accident Sampling System: Position on the required capability to analyze dissolved hydrogen, oxygen, and chloride in accordance with applicable regulations.   | Conforms       | As described by SRP 9.3.2, I.6, and RG 1.206, C.I.9.3.2, a post-accident sampling system is not required provided that the guidance provided in SRP 9.3.2 for utilizing the normal process sampling system (post-accident) has been satisfied. | 9.3.2                |
| II.J  | Level of Detail: Position on a design certification submittal with depth of detail similar to that in an FSAR.  | Conforms       | None.  | All FSAR<br>Sections |
| II.K  | Prototyping: No guidance provided; information only   | Conforms       | None.  | 1.5                  |
| II.L  | ITAAC: Position on providing ITAAC to demonstrate that a nuclear power plant referencing a certified design is built and operates consistent with the design certification.   | Conforms       | None.  | 14.3                 |
| II.M  | Reliability Assurance Program: Position on providing a description of purpose, scope, objectives, and implementation of a design reliability assurance program.   | Conforms       | None.  | 17.4                 |
| II.N  | Site-Specific PRAs and Analyses of External Events: Position on the inclusion of external event analysis beyond the design basis that needs to be addressed as part of the plant PRA during the design certification review.  | Conforms       | None.  | 19.1                 |
| II.O  | Severe Accident Mitigation Design Alternatives (SAMDAs): Position on the consideration of SAMDA as part of the final design approval/design certification of an advanced reactor.   | Conforms       | None.  | 19.2.6               |
| II.P  | Generic Rulemaking Related to Design Certification: No guidance provided; information only.   | Not Applicable | Information Only.  | Not Applicable       |
| II.Q  | Defense Against Common-Mode Failures in Digital Instrumentation and Control Systems: Position on the use of defense-in-depth and diversity of instrumentation and control systems as part of the final design approval/design certification of an advanced reactor. | Conforms       | None.  | 7.1.5                |
| II.R  | Multiple SG Tube Failures: Position on requiring that analysis of multiple SG Tube Failures of 2 to 5 SG tubes be included in the application for design certification of passive ALWRs.  | Conforms       | None.  | 15.6<br>19.1         |
| II.S  | PRA Beyond Design Certification: Position on requiring conversion of the design certification PRA into a plant-specific PRA   | Conforms       | None.  | 19.1                 |
| II.T  | Control Room Annunciator (Alarm) Reliability: Position on recommending that additional requirements for ALWR alarm systems are necessary to minimize the problems experienced by operating nuclear power plants   | Conforms       | None.  | 7.2.13               |

Table 1.9-8: Conformance with SECY-93-087, "Policy, Technical, and Licensing Issues Pertaining to Evolutionary and Advanced Light-Water Reactor Designs" (Continued)

| Issue | Description   | Conformance<br>Status | Comments  | Section               |
|-------|---|-----------------------|---|-----------------------|
| III.A | Regulatory Treatment of Active Nonsafety Systems in Passive Designs: Position on the proposed staff approach for resolving the regulatory treatment of the active non-safety systems in passive ALWRs.  | Conforms              | None.   | 19.3                  |
| III.B | Definition of Passive Failure: Position on the staff redefining some passive failures of components as active failures (i.e., check valves) to cause valves to be evaluated in a much more stringent manner than in previous licensing review   | Conforms              | None.   | 15.0.0                |
| III.C | Thermal-Hydraulic Stability of the SBWR   | Not Applicable        | BWR requirement.  | Not Applicable        |
| III.D | Safe Shutdown Requirements: Position on using non-safety grade active cooling systems to bring a reactor to cold shutdown since non-safety RHR systems do not comply with the guidance of 1.139 or branch technical position 5-1  | Conforms              | The provisions of this SECY are met by using the non-safety related containment flood and drain system to flood the containment to allow cooldown to cold conditions for disconnection and transfer of NPMs. During shutdown and NPM movement, residual and decay heat removal is provided by heat convection and conduction from the reactor to the reactor pool via the RCS, flooded containment, and the RPV and containment vessel walls. | 3.1.4<br>5.4.3<br>7.1 |
| III.E | Control Room Habitability: Position on appropriate analytical methods (i.e., dose limits and accident duration) to be used in determining the acceptability criteria for control room habitably in accordance with regulatory standards.  | Conforms              | None.   | 15.0.3                |
| III.F | Radionuclide Attenuation: Position on fission product removal processes inside containment by natural effects and holdup by the secondary building and piping systems in addition to commission position on containment spray systems for passive ALWRs.  | Conforms              | None.   | 6.5.3<br>15.0.3       |
| III.G | Simplification of Offsite Emergency Planning: Position on simplifying off-site emergency planning of passive designs due to the estimated low probability of core damage of such designs.   | Conforms              | None.   | 13.3                  |
| III.H | Role of the Passive Plant Control Room Operator: Commission position on sufficient man-in-the-loop testing and evaluation to be performed and that a fully functional integrated control room prototype is necessary for passive plant control room designs to demonstrate that functions and tasks are integrated properly into the man/machine interface decisions. | Conforms              | None.   | 18.7<br>18.10         |

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## 1.10 Nuclear Power Plants to be Operated on Multi-Unit Sites

COL Item 1.10-1:

A COL applicant that references the NuScale Power Plant design certification will evaluate the potential hazards resulting from construction activities of the new NuScale facility to the safety-related and risk significant structures, systems, and components of existing operating unit(s) and newly constructed operating unit(s) at the co-located site per 10 CFR 52.79(a)(31). The evaluation will include identification of management and administrative controls necessary to eliminate or mitigate the consequences of potential hazards and demonstration that the limiting conditions for operation of an operating unit would not be exceeded. This COL item is not applicable for construction activities (build-out of the facility) at an individual NuScale Power Plant with operating NuScale Power Modules.

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