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U.S. NUCLEAR REGULATORY COMMISSION STANDARD REVIEW PLAN OFFICE OF NUCLEAR MATERIAL SAFETY AND SAFEGUARDS

1.2 INSTITUTIONAL INFORMATION

1.2.1 PURPOSE OF REVIEW

The purpose of this review is to establish that the license application includes adequate information identifying the applicant, the applicant's characteristics, and the proposed activity.

1.2.2 RESPONSIBILITY FOR REVIEW

Primary: Licensing Project Manager

Secondary: None

Supporting: Office of the General Counsel; Office of Administration/Division of Security

1.2.3 AREAS OF REVIEW

Information provided for review should include the identity and address of the applicant's facility and corporate headquarters; corporate information sufficient to show the relationship of the applicant's organization relative to other corporate entities; the existence and extent of foreign ownership or influence; financial information sufficient to indicate the resources available to the applicant to pursue the activities for which the license is sought; the site location as legally described in land records; a description of each proposed licensed activity in the form of requested authorized uses; the type of license being applied for; and the type, quantity, and form(s) of material(s) proposed to be used at the licensed facility.

1.2.4 ACCEPTANCE CRITERIA

1.2.4.1 Regulatory Requirements

The regulations applicable to the areas of review in this SRP are 10 CFR 70.22, "Contents of applications", §70.65(b)(1), (2), and (3), "Additional Contents of Applications," 10 CFR 70.33, "Renewal of Licenses," and 10 CFR 95, "Security Facility Approval and Safeguarding of National Security Information and Restricted Data."

1.2.4.2 Regulatory Guidance

There are no regulatory guides that apply to institutional information for a fuel cycle facility.

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1.2.4.3 Regulatory Acceptance Criteria

The application is acceptable if the following criteria are met:

1. Corporate Identity

The applicant has furnished its full name and address. The address of the fuel cycle facility is provided if it is different from that of the applicant. If the application is for renewal, the applicant identifies the number of the license to be renewed. A full description of the plant site location (State, county, and municipality) is given. The State where the applicant is incorporated or organized and the location of the principal office are indicated. If the applicant is a corporation or other entity, the names and citizenship of its principal officers are provided. The application shall include information known to the applicant concerning the control or ownership, if any, exercised over the applicant by any alien, foreign corporation, or foreign government. Primary ownership and relationships to other components of the same ownership are explicitly described. The presence and operations of any other company on the site to be licensed are fully described.

2. Financial Qualifications

A description of financial qualifications demonstrates the applicant's current and continuing access to the financial resources necessary to engage in the proposed activity in accordance with §70.22(a)(8) and §70.23(a)(5).

3. Type, Quantity, and Form of Licensed Material

The elemental name, maximum quantity, and specifications, including the chemical and physical form(s), of the special nuclear material the applicant proposes to acquire, deliver, receive, possess, produce, use, transfer or store are identified. For special nuclear material, the specifications include the isotopic content and amount of enrichment by weight percent.

4. Authorized Uses

A summary, non-technical narrative description is provided for each activity or process in which special nuclear material is proposed to be acquired, delivered, received, possessed, produced, used, processed, transferred, or stored. The authorized uses of SNM proposed for the facility are described and are consistent with the Atomic Energy Act of 1954, et seq. The description is consistent with more detailed process descriptions submitted as part of the ISA summary reviewed under Section 3.0 of this SRP.

If the application is for a renewal, the applicant states the period of time for which license renewal is requested, and why the renewal application should be considered timely in accordance with 10 CFR 70.

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5. Special Exemptions or Special Authorizations

Specific requests for exemptions or authorizations of an unusual nature should be listed in this section and justified in the appropriate technical section of the application.

6. Security of Classified Information

If applicable, applicant has requested and received a facility security clearance in accordance with 10 CFR 95.

1.2.5 REVIEW PROCEDURES

1.2.5.1 Acceptance Review

The staff review starts with a determination by the primary reviewer that the is complete and addresses each issue in Subsection 1.2.3, "Areas of Review."

If significant deficiencies are identified in the application, the applicant will be requested to submit additional material before the start of the safety evaluation.

1.2.5.2 Safety Evaluation

The material to be reviewed is for the most part informational in nature, and detailed technical analysis is generally not required beyond the acceptance criterion. The reviewer requests review assistance, as needed, from the Division of Security and the Office of the General Counsel in the review of corporate and financial information.

1.2.6 EVALUATION FINDINGS

If the information provided is consistent with the guidance of this SRP, the staff will conclude that this evaluation is complete. The staff can document its review as follows:

The staff has reviewed the institutional information for [name of facility] according to Standard Review Plan Section 1.2. Based on the review, the NRC staff has determined that the applicant has adequately described and documented the corporate structure and financial information, and that the applicant is in compliance with those parts of 10 CFR 70.22 and 70.65 relating to other institutional information. In addition, in accordance with 70.22(a)(2) and (4), the applicant has adequately described the types, forms, quantities, and proposed authorized uses of licensed materials to be permitted at this facility as follows:

<u>Material</u>	<u>Form</u>	<u>Quantity</u>	<u>Authorized Use(s)</u>
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The applicant's proposed activities are consistent with the Atomic Energy Act. The applicant has provided all institutional information necessary to understand the ownership, financial qualifications, location, planned activities, and nuclear materials to be handled in connection with the requested license.

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1.2.7 REFERENCES

Code of Federal Regulations, Title 10, Part 70, Domestic Licensing of Special Nuclear Material,
U.S. Government Printing Office, Washington, DC.